

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 5/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Room 2356 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Visiting Committee to the Divinity School, University of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Gottschall, Joan B.	5/10/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gottschall, Joan B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund Investor VWELX -*See Part VIII, No. 1									
2. Vanguard Wellington Fund Investor VWELX (Wintrust IRA)	C	Dividend	M	T					
3. Vanguard Wellington Fund Investor VWELX - *See Part VIII, No. 2									
4. Vanguard Wellington Fund VWELX - *See Part VIII, No. 2									
5. Vanguard Wellington Fund VWENX	D	Dividend	M	T	Sold (part)	12/18/20	M		
6. Vanguard Wellington Fund VWENX	D	Dividend	M	T	Sold (part)	12/18/20	M		
7. Vanguard Wellesley Fund VWIAX	E	Dividend	O	T					
8. Vanguard Wellesley Income Fund VWINX	C	Int./Div.	M	T	Sold (part)	12/10/20	M		
9. Vanguard Wellesley Fund VWIAX	C	Dividend	L	T	Sold (part)	12/18/20	L		
10. Vanguard Index Trust VFIAX (*Class Change/Was VINIX in 2019)	E	Dividend	M	T	Sold (part)	12/18/20	M		
11. Vanguard Index Trust VFIAX (*Class Change/Was VINIX in 2019)	D	Dividend	L	T	Sold (part)	12/18/20	L		
12. Vanguard Prime Portfolio VMMXX	A	Interest	K	T	Sold (part)	12/18/20	K		
13. Vanguard 500 Index Fund Admiral	A	Dividend	K	T					
14. Fed Empl Credit Union Accts	A	Interest	J	T					
15. IBM c/stock	B	Dividend	K	T					
16. Intel c/stock	A	Dividend	K	T					
17. Waste Management c/stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. CVS Caremark c/stock	A	Dividend	K	T					
19. Vanguard Capital Opp Fund VHCOX	D	Dividend	K	T	Sold (part)	12/18/20	K		
20. Dodge & Cox Fds Intl Stk Fd DODFX	A	Dividend	J	T					
21. American Fund Capital Income Bldr CAIFX	D	Int./Div.	M	T					
22. American Income Fund AMEFX	D	Dividend	M	T					
23. American Balanced Fund AMBFX	D	Int./Div.	N	T					
24. American Mut Fund AMRFX	B	Dividend	L	T					
25. Capital World Growth Inc. Fund WGIFX	C	Int./Div.	M	T					
26. Wintrust Fin. Corp. Accts (*See Part VIII, No. 3)	B	Interest	M	T					
27. Nextera Energy C:65339K-10-0	B	Interest	K	T					
28. Lancaster Cnty PA C:514040-ZN-7	B	Interest			Redeemed	11/02/20	K		
29. Philadelphia PA Wtr & Waste C:717893- M5-7	A	Interest			Redeemed	08/03/20	K		
30. Washington St Var Purp C:93974C-SJ-0	B	Interest			Redeemed	08/03/20	K		
31. Ohio St High Edl Fac C:67756D-KB-1	A	Interest			Redeemed	07/01/20	J		
32. Allegheny Cnty PA C:01728V-TJ-9	A	Interest	K	T					
33. Clarendon Sc Hsp Dist C:18041C-BF-2	A	Interest	J	T					
34. LA St Univ Agric&Mech C:546540-HZ-1	A	Interest			Redeemed	07/01/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Phoenix AZ Civic Impt C:71883M-HE-7	A	Interest			Redeemed	07/01/20	J		
36. Indiana St Fin Auth Rev C:455057-7G-8	A	Interest	J	T					
37. Pennsylvania St TPK C:709224-TS-8	A	Interest			Redeemed	12/01/20	J		
38. Washington St Var Purp C:93974C-WL-0	A	Interest	J	T					
39. Brewton AL PreRefunded C:107781-KY-1	A	Interest			Redeemed	12/01/20	J		
40. Bucks Cnty PA W&S Auth C:118683-BP5	A	Interest			Redeemed	12/01/20	J		
41. Phoenix AZ Civic Impt C:71883M-HE-7	A	Interest			Redeemed	07/01/20	J		
42. Palm Bch Cnty FL Auth C:69650Q-AM-2	A	Interest			Redeemed	07/01/20	J		
43. Orlando & Orange Cnty Expway C:686543-SN-0	A	Interest			Redeemed	07/01/20	J		
44. West Ottawa MI Pub S/D C:955023-SL-1	A	Interest	J	T					
45. JEA FL Wtr & Swr Sys Rev C:46613P-5K-8	A	Interest			Redeemed	04/01/20	J		
46. Howard Cnty MD C:44256P-FG-3	A	Interest	J	T					
47. Pennsylvania St TPK C:709224-F3-8	A	Interest	J	T					
48. JEA FL Elec Sys Rev Sub C:46613S-BU-3	A	Interest			Redeemed	10/01/20	K		
49. Pennsylvania St TPK C:709224-XX-2	A	Interest			Redeemed	12/01/20	J		
50. Pennsylvania St TPK C:709223-D5-7	A	Interest			Redeemed	12/01/20	J		
51. North TX Twy Auth Rev C:66285W-JD-9	A	Interest	J	T					

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52. Orlando & Orange Cnty Expway C:686543-SN-0	A	Interest			Redeemed	07/01/20	J		
53. Allegheny Cnty PA Ser C:01728V-LG-3	A	Interest	J	T					
54. Butler Cnty OH Hsp Facs C:123550-GZ-2	A	Interest			Redeemed	11/02/20	J		
55. Indiana St Hsg & Cmnty DV C:45506C-AC-1	A	Interest	J	T					
56. Pennsylvania St TPK C:709223-A7-6	B	Interest			Redeemed	12/01/20	K		
57. Virginia St Res Auth C:92818L-VH-2	A	Interest			Redeemed	11/02/20	J		
58. Washington St Var Purp Srv C:93974C-WU-0	A	Interest	K	T					
59. Pennsylvania St Fin Auth C:70915C-LZ-1	A	Interest	J	T					
60. Pennsylvania St Fin Auth C:70915C-LY-4	A	Interest	J	T					
61. Hopkins Cnty KY Sch Dist C:439767-TC-8	A	Interest	J	T					
62. Pennsylvania St Tpk C:709223-G3-9	A	Interest			Redeemed	12/01/20	J		
63. Sheboygan WI Area Sch Dist C:821023-ML-9	A	Interest	K	T					
64. Scranton PA Swr Auth C:810844-CE-8	A	Interest	K	T					
65. Thomasville GA Hsp C:884633-AT-5	B	Interest			Redeemed	11/02/20	K		
66. Lake Travis TX Indept Sch C:511074-ST-4	A	Interest	J	T					
67. Kentucky St TPK Auth Econ C:491552-XD-2	A	Interest	J	T					
68. North TX Twy Auth Rev C:66285W-FW-1	A	Interest	J	T					

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69. JEA FL Elec Sys Rev C:46613S-EX-4	A	Interest	J	T	Buy (add'l)	04/27/20	J		
70. New York NY City C:64972H-M9-0	A	Interest	J	T					
71. Lackawanna Cnty PA C:505480-Q3-0	A	Interest			Redeemed	09/01/20	J		
72. Philadelphia PA RFDG C:717813-MZ-9	A	Interest			Redeemed	08/03/20	K		
73. Alaska St HSG Fin Corp C:011839-PQ-4	B	Interest	K	T					
74. Miami Bch FL Stormwater C:59324P-CH-0	A	Interest	J	T					
75. Spokane Cnty WA Sch Dist C:848712-PF-6	A	Interest	J	T					
76. Viridian Mun Mgmt Dist C:92823P-AB-2	A	Interest	J	T					
77. West Travis Cnty TX Pub Util C:95632C-BE-8	A	Interest	J	T					
78. Kentucky St TPK Auth Econ C:491552-YV-1	A	Interest	J	T					
79. Orlando FL Cap Impt Spl C:68641H-HV-9	A	Interest			Redeemed	10/01/20	J		
80. Woodbridge Twp NJ Rfdg Swr C:978862-N6-3	A	Interest	J	T					
81. Cumberland Cnty NC Ltd. C:230593-AU-9	A	Interest	J	T					
82. Mississippi St Univ Edl Bldg C:605699-LA-3	A	Interest	J	T					
83. New York NY City Transnl C:64971W-3C-2	A	Interest	J	T					
84. Howard Cnty MD Rfdg Bds C:44256P-NW-9	A	Interest	K	T					
85. Manatee Cnty FL Sch Brd C:56185N-FF-0	A	Interest	J	T					

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86. Mississippi St Univ Edl Bldg C:605699-KY-2	A	Interest	J	T					
87. Austin TX Wtr & Wastewater Sys C:052476-6F-0	A	Interest	J	T					
88. Blackhawk PA Sch Dist C:092903-GK-3	A	Interest	J	T					
89. Hempfield PA Area Sch Dist C:423725-NG-1	A	Interest	K	T					
90. Pennsylvania St TPK C:709224-TP-4	A	Interest			Redeemed	12/01/20	J		
91. North TX Twy Auth Rev C:66285W-FX-9	A	Interest	J	T					
92. Osceola WI Sch Dist Go Sch Fac C:688124-JU-1	A	Interest	J	T					
93. Park Creek Met Dist CO C:700387-CL-5	A	Interest			Redeemed	12/01/20	J		
94. Pennsylvania St TPK Commn C:709224-TP-4	A	Interest	J	T	Buy	03/13/20	J		
95. Porterville CA Pub C: 73637R-AJ-7	A	Interest	J	T	Buy	03/13/20	J		
96. Metropolitan Transn Auth NY C:59261A-TW-7	A	Interest	J	T	Buy	03/19/20	J		
97. Miami Dade Cnty FL C:59333P-4A-3	A	Interest	J	T	Buy	03/20/20	J		
98. North TX Twy Auth Rev C:66285W-GT-7	A	Interest	J	T	Buy	03/20/20	J		
99. Seattle WA Mun Lt & Pwr C:812643-QM-9	A	Interest	J	T	Buy	03/20/20	J		
100. Dallas Fort Worth TX Intl Arpt C:235036-QT-0	A	Interest	J	T	Buy	03/23/20	J		
101. Fraser MI Pub Sch Dist C:355514-LA-6	A	Interest	J	T	Buy	03/23/20	J		
102. Houston TX Pup Impt Rfdg C:442331-X9-0	A	Interest	J	T	Buy	03/23/20	J		

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103. King Cnty WA Swr Rev C:495289-7Y-0	A	Interest	J	T	Buy	03/23/20	J		
104. King Cnty WA Swr Rev C:495289-7M-6	A	Interest	J	T	Buy	03/23/20	J		
105. Pennsylvania St TPK Commn C:709224-Z3-6	A	Interest	J	T	Buy	03/23/20	J		
106. Johnson Cnty KS Uni Sch C:478740-UC-5	A	Interest	J	T	Buy	03/24/20	J		
107. Lake Travis TX Indpt Sch C:511074-SR-8	A	Interest	J	T	Buy	03/24/20	J		
108. Pennsylvania St Tpk Commn C:709224-TS-8	A	Interest	J	T	Buy	03/24/20	J		
109. Seattle WA Mun Lt & Pwr C:812643-QL-1	A	Interest	J	T	Buy	03/24/20	J		
110. Massachusetts St Sch Bldg C:576000-MC-4	A	Interest	J	T	Buy	03/26/20	J		
111. Stafford TX Mun Sch Dist C:852519-HB-6	A	Interest	J	T	Buy	03/26/20	J		
112. Wausau WI Sch Dist Rfdg C:943363-PE-5	A	Interest	J	T	Buy	03/26/20	J		
113. American Mun Pwr OH Inc C:02765U-NS-9	A	Interest	J	T	Buy	03/27/20	J		
114. Annapolis MD Prerefunded 2017 C:035771-R9-7	A	Interest	J	T	Buy	03/27/20	J		
115. Annapolis MD Prerefunded 2017 C:035771-H3-1	A	Interest	J	T	Buy	03/27/20	J		
116. New York City NY Mun Wtr C:64972G-TR-5	A	Interest	J	T	Buy	03/31/20	J		
117. Miami Bch FL Stormwater C:59324P-BR-9	A	Interest	J	T	Buy	04/01/20	J		
118. Missouri JT Mun Elec C:606094-BH-2	A	Interest	J	T	Buy	04/01/20	J		
119. Norfolk VA Wtr Rev C:656009-HK-6	A	Interest	J	T	Buy	04/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

5/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pennsylvania St TPK Commn C:709223-D5-7	A	Interest	J	T	Buy	04/01/20	J		
121. San Diego CA Cmnty Cllg C:797272-KL-4	A	Interest	J	T	Buy	04/01/20	J		
122. Westchester Cnty NY C:95736U-Z6-4	A	Interest	J	T	Buy	04/01/20	J		
123. Alabama St Mun Elec Auth C:010595-EL-6	A	Interest	J	T	Buy	04/02/20	J		
124. Wilson PA Sch Dist C:972369-QY-1	A	Interest	J	T	Buy	04/02/20	J		
125. Ohio St Bldg Auth Rfdg C:67755C-J3-4	A	Interest	J	T	Buy	04/03/20	J		
126. Conroe TX Indpt Schl Dist C:208418-F7-3	A	Interest	J	T	Buy	04/07/20	J		
127. Metropolitan Trans Auth C:59261A-TV-9	A	Interest	J	T	Buy	04/14/20	J		
128. New York St Dorm Auth St C:64990E-M2-0	A	Interest	J	T	Buy	04/20/20	J		
129. Arizona Wtr Infra Fin C:040688-NS-8	A	Interest	J	T	Buy	04/21/20	J		
130. Dillon MT Wtr & Swr Sys C:254198-BA-1	A	Interest	J	T	Buy	04/21/20	J		
131. Dillon MT Wtr & Swr Sys C:254198-AZ-7	A	Interest	J	T	Buy	04/21/20	J		
132. New Haven CT Ser B G/O C:645020-F6-0	A	Interest	J	T	Buy	04/23/20	J		
133. Lorain Cnty OH Cmnty C:543573-BQ-1	A	Interest	J	T	Buy	04/24/20	J		
134. Beaumont CA Uni Sch Dist C:074437-DG-6	A	Interest	J	T	Buy	04/27/20	J		
135. New York St Twy Auth Gen C: 650009-S7-9	A	Interest	J	T	Buy	04/27/20	J		
136. Colorado Springs CO Utils Rev C:196632-D2-6	A	Interest	J	T	Buy	04/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Frederick Cnty MD C:355694-5T-3	A	Interest	J	T	Buy	04/29/20	J		
138. Indiana St Fin Auth Rev C:455057-M6-3	A	Interest	J	T	Buy	05/06/20	J		
139. Ayersville OH Loc Sch Dist C:054717-CQ-4	A	Interest	J	T	Buy	07/10/20	J		
140. Los Angeles CA Ser A G/O C:544351-HM-4	A	Interest	J	T	Buy	07/28/20	J		
141. Louisiana St Gas & Fuels C:546475-SV-7	A	Interest	J	T	Buy	07/30/20	J		
142. Deer Lakes Sch Dist PA C:243859-KH-7	A	Interest	J	T	Buy	07/31/20	J		
143. Jackson MI Rfdg Downtown C:468268-KP-1	A	Interest	K	T	Buy	07/31/20	K		
144. New Jersey St Tpk Auth C:646140-CU-5	A	Interest	J	T	Buy	07/31/20	J		
145. New Jersey St Tpk Auth C:646139-3D-5	A	Interest	J	T	Buy	07/31/20	J		
146. Pennsylvania St Tpk Commn C:709224-F9-5	A	Interest	J	T	Buy	07/31/20	J		
147. Waterbury CT Ser A G/O C:941247-US-5	A	Interest	J	T	Buy	07/31/20	J		
148. Oregon St Rfdg-Oregon Univ C:68608U-DZ-6	A	Interest	K	T	Buy	08/03/20	K		
149. Rvrds Cnty CA Redev Agy C:769123-LQ-1	A	Interest	J	T	Buy	08/04/20	J		
150. Skagit Cnty WA Pub Hsp C:830235GC5	A	Interest	J	T	Buy	08/12/20	J		
151. Anchor Bay MI Sch Dist C:032879-RW-0	A	Interest	J	T	Buy	08/14/20	J		
152. New York St Twy Auth Gen C:650009-YC-1	A	Interest	J	T	Buy	08/14/20	J		
153. Metropolitan Transn Auth NY C:59261A-TP-2	A	Interest	J	T	Buy	08/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Minnesota St Prerefunded C:604129-6L-5	A	Interest	J	T	Buy	08/20/20	J		
155. Marshall Univ WV Univ C:572716-JU-3	A	Interest	J	T	Buy	08/21/20	J		
156. Salt Lake Cnty UT Sales Tax C:795685-GH-3	A	Interest	K	T	Buy	08/21/20	K		
157. California EFA Rev PreRef Bds C:130179-LZ-5	A	Interest	J	T	Buy	08/26/20	J		
158. Fulton Cnty GA Dev C:359900-N3-7	A	Interest	J	T	Buy	08/28/20	J		
159. San Fancisco CA City & Cnty C: 79765R-UH-0	A	Interest	J	T	Buy	09/10/20	J		
160. Local OR Cap Assets Prog C:53957K-DX-2	A	Interest	J	T	Buy	09/11/20	J		
161. New Jersey St Tpk Auth Rv C:646139-3H-6	A	Interest	J	T	Buy	09/11/20	J		
162. Oxford AL Sch Wts Ser C:691395-YU-5	A	Interest	J	T	Buy	09/11/20	J		
163. Pell City AL Rfdg Wts & Cap C:705880-LP-5	A	Interest	J	T	Buy	09/11/20	J		
164. Virginia Cllg Bldg Auth VA C:927781-D8-4	A	Interest	J	T	Buy	09/14/20	J		
165. Northmont OH City Sch Dist C:666586-JA-1	A	Interest	J	T	Buy	09/15/20	J		
166. Western Sch Dist MI Sch Bldg C:959452-KG-7	A	Interest	J	T	Buy	09/17/20	J		
167. Defiance OH City Sch C:244721-EQ-5	A	Interest	J	T	Buy	09/21/20	J		
168. Indiana St Univ Revs C:455114-GK-8	A	Interest	J	T	Buy	09/21/20	J		
169. Kent St. Univ OH Univ C:490728-XZ-0	A	Interest	J	T	Buy	09/21/20	J		
170. Pennsylvania St High EFA C:70917S-BW-2	A	Interest	J	T	Buy	09/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Fowlerville MI Cmnty C:351280-MC-3	A	Interest	J	T	Buy	09/22/20	J		
172. Long Is Pwr Auth NY Elec C:542691-DR-8		None	J	T	Buy	09/22/20	J		
173. West Ottawa MI Pub S/D C:955023-TA-4	A	Interest	J	T	Buy	09/22/20	J		
174. Johnson Cnty KS Uni Sch Dist C:478740-UC-5	A	Interest			Redeemed	10/01/20	J		
175. Miami Dade Cnty FL Aviation C:59333P-4A	A	Interest			Redeemed	10/01/20	J		
176. Ohio St Bldg Auth Rfdg C:67755C-J3-4	A	Interest			Redeemed	10/01/20	J		
177. Dallas Forth Worth TX C:235036-QT-0	A	Interest			Redeemed	11/02/20	J		
178. Norfolk VA Wtr Rev C:656009-HK-6	A	Interest			Redeemed	11/02/20	J		
179. Colorado Springs CO C:196632-D2-6	A	Interest			Redeemed	11/16/20	J		
180. Pennsylvania St Tpk C:709224-Z3-6	A	Interest			Redeemed	12/01/20	J		
181. New York City NY Mun Wtr C:64972G-TR-5	A	Interest			Redeemed	12/15/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1 -- VII, Line 1 --

Per Wintrust brokerage firm, this Fund was placed into the wrong Class Fund on the 2019 Financial Disclosure Report. Vanguard Wellington Fund Investor Class "VWELX" should have been listed as a part of Line 5 -- Vanguard Wellington Fund Admiral Class "VWENX."

2 -- VII, Lines 3 & 4 --

Per Wintrust brokerage firm, these Funds were placed as a separate line item on the 2019 Financial Disclosure Report. Lines 3 & 4, Vanguard Wellington Fund Investor "VWELX" should have been and now are reported as a part of Line 2 -- Vanguard Wellington Fund Investor "VWELX."

3 -- VII, Line 26 --

This is a "sweep" account in both brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.

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Name of Person Reporting

Gottschall, Joan B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544