

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gaughan, Patricia A.	2. Court or Organization U.S. District Court, N.D. Ohio	3. Date of Report 05/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 801 West Superior Avenue Cleveland, Ohio 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member - Board of Directors	St. John Medical Center Community Board
2. Member - Board of Directors	Collaborative to End Human Trafficking
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978	PERS - mandatory public retirement plan covering state and local public employees (SEE Chap 145 of the Ohio Revised Code)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	St. Raphael Church (administration) - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Gaughan, Patricia A.	05/07/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Keybank National Association Savings Account	A	Interest	K	T					
2. IRA #1 (H)									
3. - American Funds American Mutual Fund (AMRFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
4. - American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Sold (part)	03/03/20	J		
5. - American Funds Washington Mutual Fund (WMFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
6. - American Funds New Perspective Fund (ANWFX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
7. - American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
8. - American Funds Smallcap World Fund (SMCFX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
9. - Blackrock Strategic Income Opportunities Fund (BSIIX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
10. - Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
11. - Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
12. - PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
13. - Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
14. - American Funds Growth Fund of America Fund (GFFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
15.									
16. IRA #2 (H)									
17. - American Funds American Mutual Fund (AMRFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Sold (part)	03/03/20	J		
19. - American Funds Washington Mutual Fund (WMFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
20. - American Funds New Perspective Fund (ANWFX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
21. - American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
22. - American Funds Smallcap World Fund (SMCFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
23. - Blackrock Strategic Income Opportunities Fund (BSIIX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
24. - Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
25. - Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
26. - PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
27. - Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
28. - American Funds Growth Fund of America Fund (GFFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
29.									
30. IRA #3 (H)									
31. - American Funds American Mutual Fund (AMRFX)	A	Dividend	K	T	Buy (add'l)	03/04/20	J		
32. - American Funds Washington Mutual Fund (WMFFX)	A	Dividend	K	T	Buy (add'l)	03/04/20	J		
33. - American Funds Europacific Growth Fund (AEPFX)	A	Dividend	J	T	Sold (part)	03/03/20	J		
34. - American Funds New Perspective Fund (ANWFX)	A	Dividend	K	T	Sold (part)	03/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - American Funds New World Fund (NFFFX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
36. - American Funds Smallcap World Fund (SMCFX)	A	Dividend	K	T	Sold (part)	03/03/20	J	A	
37. - Blackrock Strategic Income Opportunities Fund (BSIIX) (X)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
38. - Cohen & Steers Preferred Sec & Inc Fund (CPXIX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
39. - Metropolitan West Total Return Bond Fund (MWTIX) (X)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
40. - PGIM Total Return Bond (PDBZX)	A	Dividend	J	T	Sold (part)	03/03/20	J	A	
41. - Putnam Ultra Short Duration Income Fund (PSDYX)	A	Dividend	J	T	Buy (add'l)	03/04/20	J		
42. - American Funds Growth Fund of America Fund (GFFFX)	A	Dividend	K	T	Buy (add'l)	03/04/20	J		
43.									
44. Brokerage #1 (H)									
45. - UBS Bank USA Dep Acct	A	Interest	J	T					
46. - American Funds New World Fund (NFFFX)	A	Dividend	K	T					
47. - American Funds Smallcap World Fund (SMCFX)	A	Dividend	K	T					
48. - Artisan International Value Fund (APDKX)	A	Dividend	K	T					
49. - Cohen & Steers Global Realty Fund (CSSPX)	A	Dividend	K	T					
50. - Jensen Quality Growth Fund (JENIX)	C	Dividend	K	T					
51. - JP Morgan Equity Income Fund (HLIEX)	D	Dividend	L	T	Buy (add'l)	03/11/20	J		

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52. - JP Morgan Largecap Growth Fund (SEEGX)	D	Dividend	M	T	Buy (add'l)	03/11/20	J		
53. - Metropolitan West Total Return Bond Fund (MWTIX)	C	Dividend	L	T	Sold (part)	03/10/20	J	A	
54. - PGIM Short-Term Corporate Bond (PIFZX)	A	Dividend	K	T	Buy (add'l)	01/14/20	J		
55.					Sold (part)	03/10/20	J	A	
56. - PGIM Total Return Bond (PDBZX)	B	Dividend	L	T	Sold (part)	03/10/20	J	A	
57.					Sold (part)	04/20/20	J		
58.					Sold (part)	04/23/20	J		
59.					Sold (part)	07/24/20	J	A	
60.					Sold (part)	10/23/20	J	A	
61. - Principal Midcap Fund (PCBIX)	A	Dividend	K	T	Buy (add'l)	03/11/20	J		
62. - T. Rowe Price QM US Small-Cap Growth Equity Fund (PRDSX)	A	Dividend	J	T					
63. - Templeton Global Bond (TGBAX)	A	Dividend	J	T	Buy (add'l)	01/14/20	J		
64.					Sold (part)	03/10/20	J		
65. - The Income Fund of America Fund (AMEFX)	B	Dividend	K	T					
66. - Thornburg Income Builder (TIBIX)	B	Dividend	K	T					
67.									
68. Brokerage #2 (H) (X)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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NONE (No reportable income, assets, or transactions.)

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69. - UBS Bank USA Deposit	A	Interest	J	T					
70. - Accenture PLC Ireland CL A Stock (ACN)	A	Dividend	J	T	Buy	02/13/20	J		
71. - American Electric Power Co Stock (AEP)	A	Dividend	J	T	Buy	02/13/20	J		
72.					Buy (add'l)	03/11/20	J		
73.					Buy (add'l)	05/06/20	J		
74.					Buy (add'l)	05/07/20	J		
75.					Sold (part)	05/27/20	J		
76.					Sold (part)	07/28/20	J		
77.					Sold (part)	10/23/20	J		
78.					Sold (part)	10/26/20	J		
79. - Amgen Inc Stock (AMGN)	A	Dividend	J	T	Buy	10/01/20	J		
80.					Buy (add'l)	10/02/20	J		
81.					Buy (add'l)	10/07/20	J		
82.					Buy (add'l)	10/08/20	J		
83.					Buy (add'l)	11/10/20	J		
84. - Blackrock Inc Stock (BLK)	A	Dividend	J	T	Buy	02/13/20	J		
85.					Sold (part)	04/03/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	07/30/20	J		
87. - Carrier Global Corp Stock (CARR)					Spinoff (from line 188)	04/03/20	J		
88.					Sold	04/06/20	J		
89. - Chevron Corp Stock (CVX)	A	Dividend	J	T	Buy	02/13/20	J		
90.					Buy (add'l)	07/29/20	J		
91.					Sold (part)	11/06/20	J		
92.					Sold (part)	11/09/20	J		
93.					Sold (part)	11/25/20	J		
94.					Sold (part)	11/25/20	J	A	
95.					Sold (part)	11/27/20	J	A	
96. - Chubb LTD CHF Stock (CB)	A	Dividend	J	T	Buy	02/13/20	J		
97.					Buy (add'l)	04/07/20	J		
98.					Buy (add'l)	11/10/20	J		
99. - Cisco Systems Inc Stock (CSCO)	A	Dividend	J	T	Buy	02/13/20	J		
100.					Buy (add'l)	03/11/20	J		
101.					Buy (add'l)	04/07/20	J		
102.					Buy (add'l)	04/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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103.					Buy (add'l)	10/27/20	J		
104.					Buy (add'l)	10/28/20	J		
105. - Coca Cola Co Com Stock (KO)	A	Dividend	J	T	Buy	02/13/20	J		
106.					Buy (add'l)	07/29/20	J		
107. - Comcast New CL A Stock (CMSA)	A	Dividend	J	T	Buy	02/13/20	J		
108.					Sold (part)	05/04/20	J		
109. - Crane Co Stock (CR)	A	Dividend	J	T	Buy	02/13/20	J		
110.					Sold (part)	10/27/20	J		
111. - Diageo Plc New GB Spon ADR Stock (DEO)	A	Dividend	J	T	Buy	02/13/20	J		
112.					Sold (part)	11/06/20	J		
113. - Home Depot Inc Stock (HD)	A	Dividend	J	T	Buy	02/13/20	J		
114.					Sold (part)	04/03/20	J		
115.					Sold (part)	11/06/20	J	A	
116. - Intel Corp Stock (INTC)	A	Dividend	J	T	Buy	02/13/20	J		
117.					Sold (part)	11/06/20	J		
118. - Johnson & Johnson Com Stock (JNJ)	A	Dividend	J	T	Buy	02/13/20	J		
119.					Buy (add'l)	04/08/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/29/20	J		
121.					Sold (part)	09/30/20	J		
122.					Sold (part)	10/05/20	J		
123.					Buy (add'l)	11/10/20	J		
124. - JPMorgan Chase & Co Stock (JPM)	A	Dividend	J	T	Buy	02/13/20	J		
125.					Sold (part)	04/03/20	J		
126.					Sold (part)	04/06/20	J		
127. - Linde PLC EUR Stock (LIN)	A	Dividend	J	T	Buy	02/13/20	J		
128.					Sold (part)	11/06/20	J	A	
129. - Lockheed Martin Corp Stock (LMT)	A	Dividend	J	T	Buy	02/13/20	J		
130.					Sold (part)	11/06/20	J		
131. - Marsh & McLennan Cos Inc Stock (MMC)	A	Dividend	J	T	Buy	02/13/20	J		
132.					Buy (add'l)	05/06/20	J		
133.					Sold (part)	07/27/20	J		
134.					Sold (part)	07/28/20	J		
135. - McDonalds Corp Stock (MCD)	A	Dividend	J	T	Buy	02/13/20	J		
136. - Medtronic PLC Stock (MDT)	A	Dividend	J	T	Buy	02/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/06/20	J		
138. - Microsoft Corp Stock (MSFT)	A	Dividend	J	T	Buy	02/13/20	J		
139.					Sold (part)	03/11/20	J		
140.					Sold (part)	07/27/20	J	A	
141. - Nextra Energy Inc Com Stock (NEE)	A	Dividend	J	T	Buy	02/13/20	J		
142.					Sold (part)	07/27/20	J	A	
143. - Novartis AG Spon ADR Stock (NVS)	A	Dividend	J	T	Buy	02/13/20	J		
144.					Buy (add'l)	04/07/20	J		
145.					Buy (add'l)	04/08/20	J		
146.					Sold (part)	09/20/20	J		
147.					Sold (part)	09/30/20	J		
148.					Sold (part)	10/05/20	J		
149.					Sold (part)	10/06/20	J		
150.					Buy (add'l)	11/10/20	J		
151.					Buy (add'l)	11/12/20	J		
152. - Otis Worldwide Corp Stock (OTIS)		None			Spinoff (from line 191)	04/03/20	J		
153.					Sold	04/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Phillips 66 Stock (PSX)	A	Dividend	J	T	Buy	05/06/20	J		
155.					Buy (add'l)	05/07/20	J		
156.					Buy (add'l)	05/08/20	J		
157.					Buy (add'l)	07/29/20	J		
158.					Buy (add'l)	07/30/20	J		
159.					Buy (add'l)	11/30/20	J		
160. - Procter & Gamble Co Stock (PG)	A	Dividend	J	T	Buy	02/13/20	J		
161.					Sold (part)	07/27/20	J	A	
162.					Sold (part)	11/06/20	J	A	
163. - Raytheon Technologies Corp Stock (RTX)	A	Dividend	J	T	Buy	04/03/20	J		
164.					Buy (add'l)	04/08/20	J		
165.					Sold (part)	05/04/20	J		
166.					Buy (add'l)	07/30/20	J		
167.					Buy (add'l)	10/29/20	J		
168. - Republic Services Inc Stock (RSG)	A	Dividend	J	T	Buy	02/13/20	J		
169.					Sold (part)	04/03/20	J		
170.					Sold (part)	04/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Rockwell Automation Inc New Stock (ROK)	A	Dividend	J	T	Buy	02/13/20	J		
172.					Sold (part)	04/03/20	J		
173.					Sold (part)	11/06/20	J	A	
174. - Suncor Energy Inc New Foreign Stock CAD (SU)	A	Dividend	J	T	Buy	02/13/20	J		
175.					Sold (part)	05/04/20	J		
176. - Texas Instruments Stock (TXN)	A	Dividend	J	T	Buy	02/13/20	J		
177.					Sold (part)	04/03/20	J		
178.					Sold (part)	04/06/20	J		
179.					Buy (add'l)	07/29/20	J		
180.					Buy (add'l)	07/30/20	J		
181. - Truist Finl Corp Stock (TFC)	A	Dividend	J	T	Buy	02/13/20	J		
182.					Sold (part)	04/03/20	J		
183.					Sold (part)	04/06/20	J		
184. - Union Pacific Corp Stock (UNP)	A	Dividend	J	T	Buy	02/13/20	J		
185.					Buy (add'l)	04/07/20	J		
186.					Buy (add'l)	04/08/20	J		
187.					Sold (part)	11/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - United Parcel Services Inc CL B Stock (UPS)	A	Dividend	J	T	Buy	11/10/20	J		
189.					Buy (add'l)	11/12/20	J		
190. - United Technologies Corp Stock (UTX)	A	Dividend			Buy	02/13/20	J		
191.					Sold	04/03/20	J	A	
192. - VF Corp Stock (VFC)	A	Dividend	J	T	Buy	02/13/20	J		
193.					Buy (add'l)	04/07/20	J		
194.					Buy (add'l)	04/08/20	J		
195.									
196. Brokerage #3 (H) (X)									
197. - UBS Bank USA Deposit	A	Interest	J	T					
198. - Accenture PLC Ireland CL A Stock (ACN)	A	Dividend	J	T	Buy	02/13/20	J		
199.					Buy (add'l)	03/18/20	J		
200.					Buy (add'l)	03/19/20	J		
201.					Sold (part)	11/19/20	J	A	
202. - ADOBE Inc. Stock (ADBE)		None	J	T	Buy	02/13/20	J		
203.					Sold (part)	2/18/20	J	A	
204.					Sold (part)	11/18/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - ALPHABET Inc CL A Stock (GOOGL)		None	J	T	Buy	02/13/20	J		
206. - Amazon.com Inc Stock (AMZN)		None	J	T	Buy	02/13/20	J		
207. - American Tower Corp REIT Stock (AMT)	A	Dividend	J	T	Buy	02/13/20	J		
208.					Buy (add'l)	11/20/20	J		
209. - Ameriprise Financial Inc Stock (AMP)	A	Dividend	J	T	Buy	02/13/20	J		
210. - Apple Inc Stock (AAPL)	A	Dividend	J	T	Buy	06/10/20	J		
211.					Buy (add'l)	09/11/20	J		
212.					Buy (add'l)	09/24/20	J		
213. - Boeing Company Stock (BA)		None			Buy	02/13/20	J		
214.					Sold	03/19/20	J		
215. - Comcast Corp New CL A Stock (CMCSA)	A	Dividend	J	T	Buy	02/13/20	J		
216.					Buy (add'l)	03/23/20	J		
217.					Sold (part)	05/11/20	J		
218.					Sold (part)	05/12/20	J		
219.					Sold (part)	09/22/20	J	A	
220. - Costco Wholesale Corp Stock (COST)	A	Dividend	J	T	Buy	02/13/20	J		
221.					Sold (part)	04/14/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	06/09/20	J		
223. - Danaher Corp Stock (DHR)	A	Dividend	J	T	Buy	02/13/20	J		
224.					Buy (add'l)	04/16/20	J		
225.					Sold (part)	06/09/20	J	A	
226.					Sold (part)	10/26/20	J	A	
227. - Dollar Gen Corp New Stock (DG)	A	Dividend	J	T	Buy	05/13/20	J		
228.					Buy (add'l)	05/14/20	J		
229.					Buy (add'l)	10/27/20	J		
230. - Facebook Inc CL A Stock (FB)		None	J	T	Buy	02/13/20	J		
231.					Buy (add'l)	02/20/20	J		
232.					Buy (add'l)	03/19/20	J		
233.					Sold (part)	06/08/20	J	A	
234. - Fidelity Natl Information Svcs Stock (FIS)	A	Dividend	J	T	Buy	02/13/20	J		
235.					Sold (part)	03/16/20	J		
236.					Buy (add'l)	11/20/20	J		
237.					Buy (add'l)	11/23/20	J		
238. - Home Depot Inc Stock (HD)	A	Dividend	J	T	Buy	02/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	04/13/20	J		
240.					Sold (part)	09/22/20	J	A	
241. - Honeywell Intl Inc Stock (HON)	A	Dividend	J	T	Buy	02/13/20	J		
242.					Sold (part)	05/11/20	J		
243.					Sold (part)	05/13/20	J		
244.					Buy (add'l)	06/10/20	J		
245.					Buy (add'l)	06/11/20	J		
246.					Sold (part)	11/18/20	J	A	
247.					Sold (part)	11/19/20	J	A	
248. - Intercontinental Exchange Group Stock (ICE)	A	Dividend	J	T	Buy	02/13/20	J		
249.					Sold (part)	05/11/20	J		
250.					Sold (part)	05/12/20	J		
251. - Lauder Estee Cos CL A Stock (EL)	A	Dividend	J	T	Buy	02/13/20	J		
252.					Sold (part)	04/13/20	J		
253.					Sold (part)	09/09/20	J	A	
254. - Lowes Companies Inc Stock (LOW)	A	Dividend	J	T	Buy	02/13/20	J		
255.					Sold (part)	04/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	09/22/20	J	A	
257. - Medtronic Plc Stock (MDT)	A	Dividend	J	T	Buy	02/13/20	J		
258.					Buy (add'l)	04/15/20	J		
259. - Microsoft Corp Stock (MSFT)	A	Dividend	J	T	Buy	02/13/20	J		
260.					Buy (add'l)	03/23/20	J		
261.					Sold (part)	05/11/20	J		
262.					Sold (part)	05/12/20	J		
263.					Sold (part)	06/08/20	J		
264. - Mondelez Intl Inc Stock (MDLZ)	A	Dividend	J	T	Buy	02/13/20	J		
265.					Buy (add'l)	04/15/20	J		
266.					Buy (add'l)	04/16/20	J		
267.					Sold (part)	11/18/20	J		
268.					Sold (part)	11/19/20	J		
269. - O Reilly Automotive Inc Stock (ORLY)		None	J	T	Buy	02/13/20	J		
270.					Buy (add'l)	02/20/20	J		
271. - Parker Hannifin Corp Stock (PH)	A	Dividend	J	T	Buy	02/13/20	J		
272.					Sold (part)	05/11/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Gaughan, Patricia A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	11/23/20	J		
274. - Rockwell Automation Inc New Stock (ROK)	A	Dividend	J	T	Buy	02/13/20	J		
275.					Sold (part)	03/16/20	J		
276.					Sold (part)	09/09/20	J	A	
277. - Salesforce.com Inc Stock (CRM)		None	J	T	Buy	05/13/20	J		
278.					Buy (add'l)	05/14/20	J		
279.					Buy (add'l)	05/15/20	J		
280.					Buy (add'l)	11/20/20	J		
281. - Sherwin Williams Co Stock (SHW)	A	Dividend	J	T	Buy	05/13/20	J		
282. - Thermo Fisher Scientific Inc Stock (TMO)	A	Dividend	J	T	Buy	02/13/20	J		
283.					Buy (add'l)	04/15/20	J		
284.					Sold (part)	06/09/20	J	A	
285. - TJX Cos Inc New Stock (TJX)	A	Dividend	J	T	Buy	02/13/20	J		
286.					Buy (add'l)	06/11/20	J		
287. - Unitedhealth Group Inc Stock (UNH)	A	Dividend	J	T	Buy	02/13/20	J		
288.					Buy (add'l)	04/15/20	J		
289. - VISA Inc CL A Stock (V)	A	Dividend	J	T	Buy	02/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold (part)	03/16/20	J		
291.					Sold (part)	03/17/20	J		
292.					Buy (add'l)	11/20/20	J		
293. - Walt Disney Com Stock (DIS)		None			Buy	02/13/20	J		
294.					Sold (part)	05/11/20	J		
295.					Sold (part)	05/12/20	J		
296.					Sold	05/13/20	J		
297. UBS Lincoln National Life Insurance Co Moneyguard II 2017 (Whole) (X)		None	K	T					
298.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, line 297: Inadvertently omitted in prior year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia A. Gaughan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544