

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Rendell, Marjorie O.                                                                                                                 | <b>2. Court or Organization</b><br><br>USCA - 3CA - Philadelphia                                                                                                                                                                                                                         | <b>3. Date of Report</b><br><br>4/27/2021                        |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Circuit Court Judge (Senior)                                                  | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Court of Appeals<br>601 Market Street, Room 21613<br>Philadelphia, PA 19106-1598                                                                         |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u>               | <u>NAME OF ORGANIZATION/ENTITY</u>               |
|-------------------------------|--------------------------------------------------|
| 1. Member, Board of Directors | Rendell Center for Civics & Civic Engagement     |
| 2. President                  | Rendell Center for Civics & Civic Engagement     |
| 3. Member, Board of Directors | Cobbs Creek Restoration and Community Foundation |
| 4.                            |                                                  |
| 5.                            |                                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

Rendell, Marjorie O.

Date of Report

4/27/2021

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                      |
|-------------|---------------------------------------------|
| 1. 2020     | Administrative Office of PA Courts - Salary |
| 2.          |                                             |
| 3.          |                                             |
| 4.          |                                             |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. QUALIFIED (H)                                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. AIP Multi Strategy Fund                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 03/31/20                | L                               |                                |                                                                   |
| 3.                                                                                                                         |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 06/30/20                | K                               |                                |                                                                   |
| 4.                                                                                                                         |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/30/20                | K                               |                                |                                                                   |
| 5. BlackRock Low Duration Bond                                                                                             | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. DFA Emerging Mkts Core Equity                                                                                           | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. DFA Intl Small Cap Growth                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. DFA Intl Small Cap Value                                                                                                | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. DFA Intl Value                                                                                                          | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. DFA International Large Cap Growth                                                                                     | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. DFA Global Real Estate Securities                                                                                      | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. DFA US Large Cap Value                                                                                                 | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. DFA U.S. Micro Cap                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/19/20                | K                               | C                              |                                                                   |
| 14. DFA U.S. Small Cap Value                                                                                               | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/25/20                | K                               |                                |                                                                   |
| 15. DFA U.S Small Cap                                                                                                      | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 05/19/20                | K                               |                                |                                                                   |
| 16. DFA U.S Small Cap Growth                                                                                               |                                        | None                                          |                                                |                                           | Sold                                            | 03/25/20                | K                               |                                |                                                                   |
| 17. Dodge & Cox Stock                                                                                                      | D                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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Date of Report

4/27/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. First Eagle Overseas                                                                                                   | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 19. Hotchkis & Wiley Mid Cap Value                                                                                         |                                        | None                                   |                                                |                                    | Sold                                      | 01/29/20         | L                        | E                       |                                                            |
| 20. Ivy Mid Cap Growth                                                                                                     | D                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 01/29/20         | K                        | C                       |                                                            |
| 21. Metropolitan West Total Return                                                                                         | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 22. Morgan Stanley Inst Emerging Markets                                                                                   | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 23. Morgan Stanley Inst International Equity                                                                               | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 24. Royce Opportunity                                                                                                      | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 25. Schwab Adv Cash Reserve Prem                                                                                           | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 26. William Blair Lg Cap Growth                                                                                            | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 27. William Blair Small Cap Growth                                                                                         | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 28. DFA Short Term Government Port                                                                                         | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 29. DFA Interm Government                                                                                                  | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 30. AQR LG Cap Defenfisve                                                                                                  | C                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/30/20         | K                        |                         |                                                            |
| 31. JP Morgan Hedged Equity                                                                                                | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 06/30/20         | L                        |                         |                                                            |
| 32.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/30/20         | K                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/31/20         | K                        |                         |                                                            |
| 34. Invesco QQQ Trust                                                                                                      | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 03/25/20         | K                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Rendell, Marjorie O.

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4/27/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/22/20                | J                               |                                |                                                                   |
| 36. IShares Russell Mid-Cap                                                                                                | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 05/22/20                | K                               |                                |                                                                   |
| 37. IShares 1-3 Year Treasury                                                                                              | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 05/28/20                | L                               |                                |                                                                   |
| 38.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 06/30/20                | L                               |                                |                                                                   |
| 39.                                                                                                                        |                                        |                                               |                                                |                                           | Buy                                             | 08/11/20                | K                               |                                |                                                                   |
| 40.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/30/20                | K                               |                                |                                                                   |
| 41.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/20/20                | K                               |                                |                                                                   |
| 42. Segall Bryant & Hamill Mid Cap                                                                                         |                                        | None                                          |                                                |                                           | Sold                                            | 05/22/20                | J                               |                                |                                                                   |
| 43. PERSONAL (H)                                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 44. DFA Emerging Markets Core Equity                                                                                       | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 45. DFA Global Real Estate Securities                                                                                      | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. DFA Intl Large Cap Growth                                                                                              | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. DFA Short Term Muni Bond                                                                                               | B                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 01/29/20                | K                               |                                |                                                                   |
| 48. DFA Tax Managed Int'l Value                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/14/20                | L                               |                                |                                                                   |
| 49. DFA Tax Managed US Marketwide Value                                                                                    | B                                      | Dividend                                      | L                                              | T                                         | Sold<br>(part)                                  | 04/14/20                | M                               |                                |                                                                   |
| 50. DFA Tax Managed US Targeted Value                                                                                      |                                        | None                                          |                                                |                                           | Sold                                            | 03/25/20                | K                               |                                |                                                                   |
| 51. DFA US Micro Cap                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/19/20                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Dodge & Cox Stock                                                                                                      | C                                      | Dividend                                      | K                                              | T                                         | Sold<br>(part)                                  | 04/14/20                | M                               |                                |                                                                   |
| 53. Hotchkis & Wiley Mid Cap Value                                                                                         | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/29/20                | L                               |                                |                                                                   |
| 54. iShares Core MSCI EAFE ETF                                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 01/29/20                | L                               | D                              |                                                                   |
| 55. iShares MSCI EAFE Small Cap Index                                                                                      |                                        | None                                          |                                                |                                           | Sold                                            | 01/29/20                | M                               | E                              |                                                                   |
| 56. Ivy Mid Cap Growth                                                                                                     | D                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Metropolitan West Total Return Bond                                                                                    | E                                      | Dividend                                      | N                                              | T                                         | Buy<br>(add'l)                                  | 01/29/20                | K                               |                                |                                                                   |
| 58.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 04/27/20                | L                               | D                              |                                                                   |
| 59.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/08/20                | K                               |                                |                                                                   |
| 60.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 61. Morgan Stanley Inst. Emerging Markets                                                                                  | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Morgan Stanley Inst Int'l Equity                                                                                       | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Royce Opportunity Investment                                                                                           | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Schwab Advisor Premium Cash Reserve                                                                                    | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Thornburg Limited Term Muni                                                                                            | D                                      | Dividend                                      | N                                              | T                                         | Buy<br>(add'l)                                  | 01/29/20                | K                               |                                |                                                                   |
| 66.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 04/27/20                | M                               |                                |                                                                   |
| 67. Segall Bryant & Hamilton Mid-Cap Value<br>Dividend                                                                     |                                        | None                                          |                                                |                                           | Sold                                            | 05/22/20                | K                               |                                |                                                                   |
| 68. William Blair Small Cap Growth                                                                                         | D                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Rendell, Marjorie O.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. William Blair Large Cap Growth                                                                                         | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 70. DFA US Small Cap Growth                                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 03/25/20         | K                        |                         |                                                            |
| 71. DFA Intl Small Cap Growth                                                                                              | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 01/29/20         | K                        |                         |                                                            |
| 72. AQR Large Cap Defensive                                                                                                | C                                      | Dividend                               | N                                              | T                                  | Buy<br>(add'l)                            | 01/29/20         | L                        |                         |                                                            |
| 73. IShares Russell 1000                                                                                                   | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 04/14/20         | M                        |                         |                                                            |
| 74. Invesco QQQ Trust                                                                                                      | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 03/25/20         | K                        |                         |                                                            |
| 75. IShares Russell Mid Cap                                                                                                | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 05/22/20         | K                        |                         |                                                            |
| 76. DFA U.S Small Cap                                                                                                      | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 05/19/20         | K                        |                         |                                                            |
| 77. DFA International Small Cap Value                                                                                      | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/29/20         | L                        |                         |                                                            |
| 78. DFA International Value                                                                                                | C                                      | Dividend                               | M                                              | T                                  | Buy                                       | 04/14/20         | L                        |                         |                                                            |
| 79. DFA U.S Large Cap Value                                                                                                | C                                      | Dividend                               | M                                              | T                                  | Buy                                       | 04/14/20         | M                        |                         |                                                            |
| 80. First Eagle Overseas                                                                                                   | A                                      | Dividend                               | M                                              | T                                  | Buy                                       | 01/29/20         | M                        |                         |                                                            |
| 81. Global Net Lease Inc                                                                                                   | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 82. Ventas Inc                                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 83. Vereit Inc. Com                                                                                                        | D                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Rendell, Marjorie O.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Rendell, Marjorie O.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marjorie O. Rendell**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544