

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houser, Barbara J.	2. Court or Organization US Bky Ct; No Dst of Tx	3. Date of Report 04/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1254 Earle Cabell Federal Bldg 1100 Commerce Street Dallas, TX 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Family Present Interest Trust
2. Fellow	National Bankruptcy Conference
3. Fellow	American College of Bankruptcy
4. Fellow	American Bar Foundation
5. Fellow	Texas Bar Foundation
6. Master	John C. Ford American Inn of Court
7. Member; Member of Board of Directors and Executive Committee of such Board	American Bankruptcy Institute
8. Member	National Conference of Bankruptcy Judges
9. Member, Executive Board	SMU Dedman College of Law
10. Member of Board of Directors	Federal Judicial Center

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

Name of Person Reporting	Date of Report
Houser, Barbara J.	04/13/2021

2. _____

3. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	February 9-10	Washington DC	Attend meeting of Executive Committee of Board	Transportation, Lodging, and Meals
2.	American Bankruptcy Institute	February 27-28	Las Vegas	Attend meeting of Executive Committee of Board	Transportation, Lodging, and Meals
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Technical Communications common stock	A	Dividend	J	T					
2. Bank of America (various accounts)	A	Interest	K	T					
3. Wells Fargo (various accounts)	B	Interest			Closed	10/15/20	J		
4. Capital One Checking and saving accounts	A	Interest	J	T					
5. Family Present Interest Trust for Nephew		None							
6. Wal-Mart Stores, Inc. common stock	A	Dividend	K	T					
7. GMAC Bank (various accounts and CDs) n/ k/a Ally Bank	D	Interest	M	T					
8. Am Trust Direct n/k/a New York Community Bank money market	A	Interest	K	T					
9. Salem 5 Savings and Checking accounts	A	Interest	K	T					
10. Colorado Federal Savings Bank Money Market	B	Interest	M	T					
11. First National Bank of Omaha Money Market	B	Interest	M	T					
12. Eloan Bank n/k/ Popular Direct CD	A	Interest			Closed	01/22/20	M		
13. SallieMae Bank Money Market	B	Interest	M	T					
14. GE Capital Retail Bank CD n/k/a Synchrony Bank	B	Interest	M	T					
15. GE Capital Retail Bank High Yield Savings n/k/a Synchrony Bank	B	Interest	M	T					
16. GE Capital Retail Bank CD n/k/a Synchrony Bank	B	Interest	M	T					
17. Brokerage account # 1 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Alphabet Inc CL A (Googl)		None	M	T					
19. Automatic Data Processing Inc (ADP) common stock	B	Dividend	L	T	Sold (part)	03/02/20	K	D	
20. Berkshire Hatghaway Inc (BRKB) common stock		None	N	T	Sold (part)	04/08/20	L		
21. Boeing (BA) common Stock	A	Dividend			Sold	03/02/20	L		
22. Broadcom Inc (AGO) common stock	A	Dividend			Sold	04/08/20	L		
23. Costco Wholesale Corp (Cost)	B	Dividend	K	T	Sold (part)	03/23/20	K	D	
24. Diageo PLC (DEO) common stock	A	Dividend			Sold	04/08/20	L	D	
25. Emerson Electric (EMR) common stock	A	Dividend			Sold	03/02/20	K	D	
26. Hershey (HSY) common stock	B	Dividend	K	T	Sold (part)	03/02/20	K	D	
27.					Sold (part)	04/08/20	J	D	
28. ILL Tool Works Inc (ITW)	B	Dividend			Sold	05/06/20	L	E	
29. Johnson & Johnson (JNJ) common stock	B	Dividend	L	T					
30. Mc Donalds Corp (MCD) common stock	A	Dividend			Sold (part)	04/08/20	K	E	
31.					Sold	05/06/20	K	E	
32. Microsoft Corp (MSFT)	A	Dividend	L	T					
33. Nestle SA (NSRGY) common stock	B	Dividend	K	T	Sold (part)	03/23/20	K	D	
34. Pepsico (PEP) common stock	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Pfizer Inc (PFE) common stock	B	Dividend	K	T					
36. 3 M (MMM) common stock	B	Dividend	L	T					
37. Union Pacific Corp (UNP)	B	Dividend	M	T					
38. Walt Disney Co Hldg Co (DIS) common stock	A	Dividend			Sold	04/07/20	L	D	
39. Brokerage Account # 2 (H)									
40. Berkshire Hathaway CL-B (BRK'B)		None	K	T					
41. Conoco Phillips (COP) common stock	A	Dividend	J	T					
42. Phillips 66 Com (PSX) common stock	A	Dividend	J	T					
43. MS Liquid Asset Fund (money market account)	A	Interest	J	T					
44. Brokerage Account # 3 (H)									
45. ABBVIE Inc (ABBV) common stock	B	Dividend	K	T					
46. Altria Group Inc. (MO) common stock	A	Dividend			Sold	04/08/20	J		
47. AMN Elec Power Co (AEP) common stock	A	Dividend			Sold	04/09/20	K	D	
48. Astrazeneca (AZN) common stock	A	Dividend			Sold	04/09/20	K	D	
49. Automatic Data Processing (ADP) common stock	A	Dividend			Sold	04/09/20	K	E	
50. Boeing Company (BA)	A	Dividend			Sold	04/08/20	J		
51. Carnival Corp Paired SHS (CCL) common stock	A	Dividend			Sold	04/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Cisco Systems Inc COM (CSCO)	A	Dividend	K	T					
53. CME Group Inc (CME) common stock nb	A	Dividend			Sold	04/09/20	K	B	
54. Coca Cola Co (KO) common stock	A	Dividend	K	T					
55. Digital Realty TR Inc (DLR) common stock	A	Dividend	K	T					
56. Dominion Resources Inc New Va (D) common stock	B	Dividend	K	T					
57. Emerson Elec Co (EMR)	A	Dividend			Sold	04/08/20	K		
58. Intel Corp (INTC) common stock	A	Dividend	K	T					
59. Kimberly Clark (KMB) common stock	A	Dividend	K	T					
60. Kraft Foods Group Inc (KRFT) common stock	A	Dividend			Sold	04/08/20	J		
61. Lockheed Martin Corp	A	Dividend			Sold	04/09/20	K	E	
62. McDonalds Corp (MCD) common stock	A	Dividend	K	T					
63. Merck and Co Inc SHS (MRK)	B	Dividend	K	T					
64. Paccar Inc (PCAR) common stock	A	Dividend			Sold	04/09/20	K	C	
65. Pfizer Inc (PFE) common stock	B	Dividend	K	T					
66. Philip Morris Intl Inc (PM) common stock	A	Dividend			Sold	04/08/20	K		
67. Procter & Gamble Co common stock	A	Dividend	K	T					
68. Royal Dutch Shel PIC Spons ADR B (RDSB) common stock	A	Dividend			Sold	04/08/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Target Corp (TGT)	A	Dividend	K	T					
70. Texas Instruments (TXN)	A	Dividend			Sold	04/08/20	K		
71. Toronto Dominion Bank (TD) common stock	A	Dividend			Sold	04/08/20	K		
72. United Techs Corp (UTX)	A	Dividend				04/03/20	K	A	
73. Raytheon Technologies Corp (RTX)	A	Dividend	K	T		04/03/20	K		
74. Ventas Inc (VTR) common stock	A	Dividend			Sold	04/08/20	K		
75. Verizon Comm (VZ) common stock	B	Dividend	K	T					
76. Vodafone Group PLC SHS (VOD)	A	Dividend			Sold	04/08/20	J		
77. IShares MSCI EAFE Index Fund (EFA)	A	Dividend			Sold	04/08/20	L		
78. SPDR Series Trust shares (XTL)	A	Dividend			Sold	04/09/20	K	D	
79. Vanguard Small Cap Value ETF (VBR)	A	Dividend			Sold	04/08/20	K	A	
80. Vanguard Small Cap Growth ETF (VBK)	B	Dividend	M	T					
81. Vanguard Value ETF (VTV)	D	Dividend	M	T					
82. Vanguard Growth ETF (VUG)	C	Dividend	N	T					
83. Vanguard Information Tech ETF (VGT)	B	Dividend	L	T					
84. Brokerage Account # 4 (H)									
85. Allianzgi Convertible (NCV)	B	Dividend			Sold	08/10/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Calamos CV & Hi INCM FD (CHY)	B	Dividend			Sold	08/10/20	K		
87. Calamos Conv Opp Inc (CHI)	B	Dividend			Sold	08/10/20	K		
88. Eagle Point Credit Co (ECC)	B	Dividend			Sold	05/28/20	K		
89. Gamco Global Gold NA (GGN)	B	Dividend			Sold	08/10/20	K		
90. Miller/Howard High Income (HIE)	B	Dividend			Sold	05/28/20	K		
91. Nuveen Diversified Divid (JDD)	B	Dividend			Sold	08/10/20	K		
92. Nuveen Global High (JGH)	A	Dividend			Sold	08/10/20	J		
93. Oxford Lane Cap Corp (OXLC)	B	Dividend			Sold	05/28/20	J		
94. Wells Fargo Global (EOD)	B	Dividend			Sold	08/10/20	L		
95. Western Asset Global (EHI)	A	Dividend			Sold	08/10/20	J	A	
96. Western Asset Mtg (DMO)	B	Dividend			Sold	08/10/20	J		
97. Brokerage Account # 5 (H)									
98. First Trust Cloud Computing ETF (SKYY)	A	Dividend			Sold	03/05/20	K	C	
99. IShares 7-10 Year (IEF)	A	Dividend			Buy (add'l)	03/05/20	J		
100.					Sold	04/08/20	K	B	
101. IShares 20+ Year (TLT)	A	Dividend			Buy (add'l)	03/05/20	K		
102.					Sold	04/08/20	K	C	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Ishares Edge MSCI (QUSL)	A	Dividend			Sold	03/05/20	L	B	
104. Sector SPDR Utilities (XLU)	A	Dividend			Buy (add'l)	03/05/20	K		
105.					Sold	04/08/20	K		
106. SPDR S&P 500 ETF Trust (SPY)	A	Dividend			Sold	03/05/20	K	C	
107. Vaneck Vectors Gold (GDX)	A	Dividend			Buy (add'l)	03/05/20	K		
108.					Sold	04/08/20	K		
109. Vaneck Vectors Semi Conductor (SMH)	A	Dividend			Sold	03/05/20	J	B	
110. Vanguard Consumer Staples ETF (VDC)	A	Dividend			Buy (add'l)	03/05/20	J		
111.					Sold	04/08/20	K	A	
112. Vanguard Information Tech ETF (VGT)	A	Dividend			Sold	04/08/20	K	C	
113. Vanguard Real Estate ETF (VNQ)	A	Dividend			Sold	04/08/20	K		
114. SPDR S&P Aerospace (XAR)	A	Dividend			Sold (part)	03/05/20	K	B	
115.					Sold	04/08/20	J		
116. Consumer Discretionary SPDR (XLY)	A	Dividend			Sold	03/05/20	K		
117. Brokerage Account # 6 (H)									
118. Ishares 20 Year Treasury EFT (TLT)	B	Dividend			Sold	08/10/20	N	E	
119. Merrill Lynch Money Market accounts	B	Interest	O	T	Open	08/10/20	O		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Vaneck Vectors Gold Miners ETF (GDX)	B	Dividend	M	T	Buy	08/10/20	M		
121. Brokerage Account # 7 (H)									
122. Adobe Inc (ADBE)		None	J	T	Buy	08/10/20	J		
123. Alaphabet Inc Shs Cl A (GOOGL)		None	K	T	Buy	12/10/20	K		
124. Amazon Com Inc Com (AMZN)		None	K	T	Buy	08/10/20	K		
125. American Tower REIT Inc (AMT)	A	Dividend	J	T	Buy	08/10/20	J		
126. Apple Inc (AAPL)	A	Dividend	K	T	Buy	08/10/20	K		
127. Costco Wholesale CRP DEL (COST)	A	Dividend	J	T	Buy	08/10/20	J		
128. D R Horton Inc (DHI)	A	Dividend	J	T	Buy	08/10/20	J		
129. Dover Corp (DOV)	A	Dividend	J	T	Buy	08/10/20	J		
130. Ecolab Inc (ECL)	A	Dividend	J	T	Buy	08/10/20	J		
131. Edwards Lifesciences (EW)	A	Dividend	J	T	Buy	08/10/20	J		
132. Facebook Inc (FB)	A	Dividend	K	T	Buy	08/10/20	K		
133. Gen; Dynamics Corp Com (GD)	A	Dividend	J	T	Buy	08/10/20	J		
134. Honeywell Intl Inc Del (HON)	A	Dividend	J	T	Buy	08/13/20	J		
135. Intercontinental Exchange Inc (ICE)	A	Dividend	J	T	Buy	08/13/20	J		
136. Intuit Inc Com (INTU)	A	Dividend	J	T	Buy	08/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Intuitive Surgical Inc (ISRG)		None	J	T	Buy	08/13/20	J		
138. Lauder Estee Cos Inc A (EL)	A	Dividend	J	T	Buy	08/10/20	J		
139. Lowe's Companies Inc (LOW)	A	Dividend	J	T	Buy	08/13/20	J		
140. Mastercard Inc (MA)	A	Dividend	J	T	Buy	08/13/20	J		
141. Merck and Co Inc SHS (MRK)	A	Dividend	J	T	Buy	08/13/20	J		
142. Microsoft Corp (MSFT)	A	Dividend	K	T	Buy	08/13/20	K		
143. Netflix Com Inc (NFLX)		None	J	T	Buy	08/13/20	J		
144. Northrop Grumman Corp (NOC)	A	Dividend	J	T	Buy	08/10/20	J		
145. NVIDIA (NVDA)	A	Dividend	J	T	Buy	08/13/20	J		
146. O'Reilly Automotive Inc (ORLY)		None	J	T	Buy	08/13/20	J		
147. Paypal Holdings Inc (PYPL)		None	J	T	Buy	08/10/20	J		
148. Salesforce Com Inc (CRM)		None	J	T	Buy	08/13/20	J		
149. Therm Risher Scientific (TMO)	A	Dividend	J	T	Buy	08/13/20	J		
150. TJX Cos Inc (TJX)	A	Dividend	J	T	Buy	08/13/20	J		
151. United Health Group Inc (UNH)	A	Dividend	J	T	Buy	08/10/20	J		
152. Visa Inc CL a Ahea (V)	A	Dividend	J	T	Buy	08/10/20	J		
153. VMWare INC (VMW)		None	J	T	Buy	08/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Zoetis Inc (ZTS)	A	Dividend	J	T	Buy	08/13/20	J		
155. Brokerage Account # 8 (H)									
156. Morgan Stanley Bank (BDPS)	A	Interest	N	T	Open	09/02/20	N		
157. Legg Mason WA US TSY Res Inst (CIIXX)	A	Dividend	N	T	Buy	09/02/20	N		
158. US T-Bill		None	O	T	Buy	09/02/20	O		
159.									
160.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 5 - This trust has no assets.

Part VII, lines 72 & 73 - Rayethon (line 73) and United Techs (line 72) merged on 4/3/20 with Rayethon being the surviving entity.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Houser, Barbara J.

Date of Report

04/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara J. Houser**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544