

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hegarty, Michael E.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 08/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Various

Jefferson County Public Schools

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank	A	Interest	K	T					
2. Wells Fargo Checking	A	Interest	J	T					
3. Apple Common Stock	A	Dividend	J	T					
4. Baron Opportunity Fund	A	Dividend	J	T					
5. Ishares MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	01/25/13	J		
6. Fairholme Fund	A	Dividend	J	T					
7. Fidelity Select Biotechnology Fund	A	Dividend	J	T					
8. Fidelity Contra Fund	A	Dividend	J	T					
9. Fidelity Low Priced Stock Fund	A	Dividend	J	T					
10. Fidelity Leveraged Company Stock Fund	A	Dividend	J	T					
11. FMI Large Cap Fund	A	Dividend	K	T					
12. FPA Crescent Institutional Fund	A	Dividend	J	T					
13. Fidelity Small Cap Discovery Fund	A	Dividend	J	T					
14. Fidelity Strategic Dividend & Income Fund	A	Dividend	J	T					
15. Hennessy Gas Utility Index Fund	A	Dividend	J	T					
16. Hodges Small Cap Fund	A	Dividend	J	T					
17. Janus Balanced Fund Class T	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Janus Triton Fund Class T Shares	A	Dividend	J	T					
19. Pimco Real Estate Real Return Fund	A	Dividend	J	T					
20. Fidelity Permanent Portfolio	A	Dividend	J	T					
21. TCW Total Return Bond Fund Class 1	A	Dividend	J	T					
22. Tocqueville Gold Fund	A	Dividend	K	T					
23. Vanguard Small Cap Index Fund	A	Dividend	J	T	Buy	01/25/13	J		
24. Vanguard Total Stock Market Index ETF	A	Dividend	J	T	Buy	01/25/13	J		
25. DC ## 4-11 Wells Fargo Accounts	A	Interest	J	T					
26. DC # 4 Apple Stock	A	Dividend	J	T					
27. DC # 5 Pimco Real Estate Real Return Strategy Fund	A	Dividend			Sold	12/18/13	J	A	
28. DC # 5 Permanent Portfolio Fund	A	Dividend			Sold	12/18/13	J	A	
29. DC # 5 James Balanced: Golden Rainbow Fund	A	Dividend			Sold	12/18/13	J	A	
30. DC # 6 Permanent Portfolio	A	Dividend			Sold	12/18/13	J	A	
31. DC # 6 James Balanced Fund	A	Distribution			Sold	12/18/13	J	A	
32. DC # 6 Janus Triton Fund Class T	A	Dividend			Sold	12/18/13	J	A	
33. DC # 7 Permanent Portfolio	A	Dividend			Sold	12/18/13	J	A	
34. DC # 7 James Balanced Fund	A	Dividend			Sold	12/18/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. DC # 8 Villere Balanced Fund	A	Dividend			Sold	12/18/13	J	A	
36. DC # 8 James Balanced Fund	A	Dividend			Sold	12/18/13	J	A	
37. DC # 9 Fidelity Contrafund	A	Dividend			Sold	12/18/13	J	A	
38. DC # 9 James Balanced Fund	A	Dividend			Sold	12/18/13	J	A	
39. DC # 9 Buffalo Flexible Income Fund	A	Dividend			Sold	12/18/13	J	A	
40. DC # 10 Fidelity Small Cap Discovery Fund	A	Dividend			Sold	12/18/13	J	A	
41. DC # 10 James Balanced Fund	A	Dividend			Sold	12/18/13	J	A	
42. DC # 10 Buffalo Flexible Income Fund	A	Dividend			Sold	12/18/13	J	A	
43. DC##4-11 Mitchell Capital Management Allocation 70% Equity 30% Bond	A	Int./Div.	M	T	Buy	12/18/13	M		
44. Retirement: Arnold & Porter 401(k) Fidelity Puritan Fund	A	Int./Div.			Sold	04/19/13	K	C	
45. Retirement: Arnold & Porter 401(k) Fidelity Contrafund	A	Int./Div.			Sold	04/19/13	K	C	
46. Retirement: Arnold & Porter 401(k) T. Rowe Price MidCap Grow	A	Int./Div.			Sold	04/19/13	K	C	
47. Retirement: Fidelity IRA Contrafund	A	Int./Div.			Sold	04/19/13	J	B	
48. Retirement: Fidelity IRA GMNA Fund	A	Int./Div.			Sold	04/19/13	J	B	
49. Retirement: Fidelity IRA Select Biotechnology Fund	A	Int./Div.			Sold	04/19/13	J	B	
50. Retirement: Fidelity IRA Templeton Global Bond Fund	A	Int./Div.			Sold	04/19/13	J	B	
51. Retirement: Fidelity IRA Contrafund	A	Int./Div.			Sold	04/19/13	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Retirement Valley Forge Institutional Fund	A	Int./Div.			Sold	04/19/13	J	B	
53. Retirement: Templeton Global Bond Class A Fund	A	Int./Div.			Sold	04/19/13	J	B	
54. Retirement: Appleseed Fund	A	Int./Div.			Sold	04/19/13	J	A	
55. Retirement: Meridian Growth Fund	A	Int./Div.			Sold	04/19/13	J	B	
56. Retirement Phillips Edison ARC Shopping Cntr REIT	B	Int./Div.	L	T	Buy	05/14/13	L		
57. Retirement Phillips Edison ARC Shopping Cntr REIT	A	Int./Div.	K	T	Buy	05/14/13	K		
58. RetirementFS Investment Corporation II	B	Int./Div.	L	T	Buy	05/14/13	L		
59. Inherited IRA Mitchell Capital Management Alloc. 75% Equity 25% Bond	A	Int./Div.	M	T	Open	07/26/13	M		
60. Inherited IRA Edward Jones AT&T Stock	A	Int./Div.	J	T					
61. Inherited IRA Edward Jones Duke Energy Corp. Stock	A	Int./Div.	J	T					
62. Inherited IRA Edward Jones General Mills Inc. Stock	A	Int./Div.	J	T					
63. Inherited IRA Edward Jones Illinois Tool Works Inc. Stock	A	Int./Div.	J	T					
64. Inherited IRA Edward Jones Capital Income Builder Fund Class A	A	Int./Div.	K	T					
65. Inherited IRA Edward Jones Income Fund of America Fund Class A	A	Int./Div.	K	T					
66. Inherited IRA Edward Jones Berkshire Hathaway Inc. Del. Class B	A	Int./Div.	J	T					
67. Inherited IRA Edward Jones Johnson Controls Inc. Stock	A	Int./Div.	J	T					
68. Inherited IRA Edward Jones Royal Dutch Shell PLC Stock	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Inherited IRA Edward Jones US Bancorp Stock	A	Int./Div.	J	T					
70. Inherited IRA Edward Jones Ishares Dow J US Tech. Sect. Indx Fd	A	Int./Div.	J	T					
71. Inherited IRA Edward Jones Ishares DJ US Healthcare Sector Fd	A	Int./Div.	J	T					
72. Inherited IRA Edward Jones Bond Fund of America Class A	A	Int./Div.	J	T					
73. Inherited IRA Edward Jones Capital World Bond Fund	A	Int./Div.	J	T					
74. Inherited IRA Edward Jones CBA Aggressive Growth Fund Class A	A	Int./Div.	J	T					
75. Inherited IRA Edward Jones Columbia Smallcap Value Fund Class A	A	Int./Div.	J	T					
76. Inherited IRA Edward Jones Fundamental Investors Fund	A	Int./Div.	J	T					
77. Inherited IRA Edward Jones Growth Fund of America Class A	A	Int./Div.	J	T					
78. Inherited IRA Edward Jones Harbor Cap'l Apprec. Fund Investr Cl	A	Int./Div.	J	T					
79. Inherited IRA Edward Jones Harbor Int'l Fund Investor Class	A	Int./Div.	J	T					
80. Inherited IRA Edward Jones Invesco Comstock Fund Cl A	A	Int./Div.	J	T					
81. Inherited IRA Edward Jones MFS Int'l New Discovery Fund Cl I	A	Int./Div.	J	T					
82. Inherited IRA Edward Jones MFS Value Fund CL I	A	Int./Div.	J	T					
83. Inherited IRA Edward Jones Mutual Global Discovery Fund Cl A	A	Int./Div.	J	T					
84. Inherited IRA Edward Jones New World Fund Class A	A	Int./Div.	J	T					
85. DC # 5 Contrafund	A	Int./Div.			Closed	12/18/13	J	A	

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86. Retirement: Arnold & Porter 401(k) Fidelity Ginnie Mae Fund	A	Int./Div.			Sold	04/19/13	J	B	
87.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII lines 60-84 are investments inherited in 2013 [REDACTED], no transactions occurred, title was merely changed [REDACTED] name to our name

On prior report, Part VII, lines 47-48, I identified two different transactions dealing with DC # 4 Fidelity Leveraged Company Stock Fund. I clarify that the two different transactions on lines 47-48 disposed of the entirety of this holding, so that there was no such holding in calendar year 2013.

On prior report, Part VII, line 50, I identified DC # 5 Fidelity Contrafund, acquisition, as "spinoff." I intended this to mean that it was transferred from me to DC # 5, which is identified on Part VII, line 9. I am now supplementing that this holding was disposed of as indicated in Part VII, line 85.

I also failed to include Part VII, line 86, disposing of fund, which I now include.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael E. Hegarty

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544