

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vaughan, Lori V.	2. Court or Organization United States Bankruptcy Court, Middle District of Florida	3. Date of Report 05/18/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 400 W. Washington Street Orlando, FL 32801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Shareholder	Trenam, Kemker, Scharf, Barkin, Frye, O'Neill & Mullis, P.A.
2. Officer	Tampa Bay Bankruptcy Bar Association, Inc.
3. Director	USF Financing Corporation
4. Director	USF Property Corporation
5. Director	The Ryan Nece Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Trenam, Kemker, Scharf, Barkin, Frye, O'Neill & Mullis, P.A.; buy-back of equity	\$47,394.41
2. 2020	Trenam, Kemker, Scharf, Barkin, Frye, O'Neill & Mullis, P.A.; salary	\$24,750.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	David and Ashley Eckstein	Disney World Platinum Plus Annual Ticket	\$1,295.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank cash accounts	A	Interest	L	T					
2. Fidelity Contrafund	A	Dividend	J	T					
3. Harbor Capital Appreciation Fund; Institutional	A	Dividend	J	T					
4. Vanguard Insitutional Index Fund; Institutional	A	Dividend	J	T					
5. Vanguard Value Index Fund; Investor	A	Dividend	J	T					
6. BNY Mellon Small Cap Value Fund; Investor	A	Dividend	J	T					
7. Franklin Small Cap Growth Fund; R6	A	Dividend	J	T					
8. Dodge & Cox International Stock Fund	A	Dividend	J	T					
9. Nuveen Real Estate Securities Fund; Investor	A	Dividend	J	T					
10. Baird Aggregate Bond Fund; Institutional	A	Dividend	J	T					
11. Pimco High Yield Fund; Institutional	A	Dividend	J	T					
12. Federated Hermes Treasury Obligations Fund; Institutional	A	Dividend	J	T					
13. Morley Stable Value Fund		None	K	T					
14. Metropolitan West Total Return Bond 1 Fund	B	Dividend	L	T					
15. PIMCO Real Return Institutional Fund	A	Dividend	K	T					
16. Vanguard Total Bond Market Index Fund; Admiral	B	Dividend	L	T					
17. T. Rowe Price Retirement 2040 Fund		None			Sold	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Blackrock Equity Dividend I Fund	B	Dividend	K	T					
19. American Funds AMCAP R6 Fund	A	Dividend			Sold	07/15/20	K		
20. Vanguard Total Stock Market Index Fund; Admiral	A	Dividend	K	T					
21. Carillon Small Cap Growth Fund R6	C	Dividend	K	T					
22. T. Rowe Price Mid Cap Growth Fund	A	Dividend	K	T					
23. Vanguard Selected Value Fund; Investor	B	Dividend	K	T					
24. Vanguard Small Cap Value Index Fund; Admiral	A	Dividend	K	T					
25. Capital Research & Mgmt CoAmerican Funds EuroPacific Growth Fund; R6	A	Dividend	L	T					
26. Invesco Oppenheim Developing Markets Fund Class Y	A	Dividend	K	T					
27. Principal Real Estate Securities Fund; R6		None	K	T					
28. JP Morgan Large Cap Grpwth R6 Fund	B	Dividend	K	T	Buy	07/15/20	K		
29.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I have resigned from each of the positions listed in Part I of the report. Those offices were held prior to my appointment in 2020.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lori V. Vaughan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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