

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Neff, Janet T.	2. Court or Organization US District Court Western District of Michigan	3. Date of Report 04/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 110 Michigan Street NW Suite 402 Grand Rapids, Michigan 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1989	Michigan Judges Retirement System - Pension upon Retirement from Michigan Court of Appeals
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	State of Michigan Pension	\$90,865.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	State of Michigan Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank - Deposit Account	A	Int./Div.	L	T					
2. IRA #1 (H)									
3. - Blackrock Index FDS Inc - BTMKX	A	Dividend			Sold	03/13/20	J		
4. - Blackrock FDS V - BRHYX	B	Dividend	K	T	Buy	04/06/20	K		
5.					Buy (add'l)	05/18/20	J		
6.					Sold (part)	10/27/20	J	A	
7.					Buy (add'l)	11/27/20	J		
8. - Dodge & Cox Income Fund - DODIX	A	Dividend	J	T	Sold (part)	05/18/20	J	A	
9.					Sold (part)	06/08/20	J	A	
10. - Fidelity Salem STR TR - FXAIX	B	Dividend	L	T	Buy (add'l)	03/13/20	K		
11.					Sold (part)	03/19/20	K		
12.					Buy (add'l)	04/27/20	J		
13.					Sold (part)	06/08/20	J	B	
14.					Buy (add'l)	09/21/20	J		
15.					Sold (part)	10/27/20	J	B	
16.					Sold (part)	11/27/20	J		
17. - Ishares Core MSCI - IEMG	A	Dividend	K	T	Buy	10/22/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Ishares Tips Bond ETF - TIP	A	Dividend			Sold	03/12/20	J		
19. - Ishares Trust - MBB	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
20.					Sold (part)	10/22/20	J	B	
21.					Sold	11/12/20	J	A	
22. - Ishares Trust - GOVT	A	Dividend			Buy	03/16/20	J		
23.					Sold (part)	09/21/20	J	A	
24.					Sold	11/12/20	J		
25. - Ishares 20 Plus - TLT	A	Dividend	K	T	Buy (add'l)	09/22/20	J		
26.					Buy (add'l)	10/22/20	J		
27.					Buy (add'l)	11/30/20	J		
28. - JP Morgan Exchange - BBCA	A	Dividend	J	T	Buy (add'l)	11/30/20	J		
29. - JP Morgan Exchange - BBAX	A	Dividend	J	T	Sold (part)	03/20/20	J		
30.					Sold (part)	10/28/20	J		
31. - JP Morgan Exchange - BBEU	A	Dividend			Sold (part)	03/16/20	J		
32.					Sold (part)	03/20/20	J		
33.					Buy	06/09/20	K		
34.					Sold (part)	10/22/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	12/16/20	J	B	
36. - JP Morgan Exchange - BBJP	A	Dividend			Sold (part)	02/18/20	J	A	
37.					Sold (part)	03/16/20	J		
38.					Sold (part)	03/20/20	J		
39.					Buy (add'l)	06/09/20	J		
40.					Sold	10/28/20	J	A	
41. - JP Morgan TR II - JCBUX	B	Dividend	K	T	Sold (part)	09/21/20	J	A	
42.					Sold (part)	10/27/20	J	A	
43.					Sold (part)	11/10/20	K	B	
44.					Buy (add'l)	11/27/20	J		
45. - JP Morgan TR II - JHYUX	A	Dividend			Buy	05/18/20	J		
46.					Sold	11/06/20	K	B	
47. - JP Morgan TR II - QCVEZ (formerly JP Morgan US Government - QVESQ)	A	Dividend	J	T					
48. - PIMCO FDS Pac Invt Mgmt - PIGIX	A	Dividend	K	T					
49. - PIMCO Total Return Fund - PTTRX	A	Dividend			Sold	10/21/20	J	A	
50. - Six Circles Tr - CIUEX	A	Dividend	L	T	Buy (add'l)	02/14/20	J		
51.					Buy (add'l)	11/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	12/16/20	J		
53. - Six Circles Tr - CGLBX	A	Dividend	L	T	Buy	08/18/20	K		
54.					Sold (part)	10/27/20	J		
55.					Buy (add'l)	11/10/20	K		
56.					Buy (add'l)	11/27/20	J		
57. - Six Circles Tr - CUSDX	A	Dividend	J	T	Sold (part)	04/27/20	J		
58. - Six Circles Tr - CUSUX	B	Dividend	L	T	Sold (part)	10/27/20	J	C	
59. - Six Circles Tr. - CRDOX	A	Dividend	J	T	Buy	11/27/20	J		
60. - T Rowe Price New Income - PRXEX	B	Dividend			Sold (part)	08/18/20	K	B	
61.					Sold	09/21/20	J	A	
62. - Vanguard Bond Index FD - VBTLX	A	Dividend	K	T	Buy (add'l)	03/13/20	J		
63. - Vanguard Index Tr - VFIAX	B	Dividend	K	T					
64. - Vanguard Charlotte Fd - VTABX	C	Dividend	L	T					
65. - Vanguard Intermediate - VCIT	A	Dividend	J	T	Buy	11/30/20	J		
66. - Vanguard Total Int'l Bond - BNDX	C	Dividend	L	T	Sold (part)	03/16/20	J	A	
67.					Sold (part)	11/12/20	J	A	
68.					Buy (add'l)	11/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Trust #1 (H)									
70. - Chase Bank Deposit Account - QCPCM	A	Dividend	K	T					
71. - Blackrock Event Driven - BILPX	A	Dividend	K	T	Buy	05/13/20	K		
72.					Buy (add'l)	12/21/20	J		
73. - Calvert World Values FD - CVMRX	A	Dividend	K	T	Buy	05/13/20	K		
74.					Sold (part)	12/21/20	J	C	
75. - Columbia FDS Ser TR I - CGTUX	A	Dividend	K	T	Buy	05/13/20	K		
76.					Sold (part)	12/21/20	J	B	
77. - Dodge & Cox Stock Fund - DODGX	A	Dividend	L	T	Buy	05/13/20	K		
78.					Sold (part)	12/21/20	J	B	
79. - Domini Social Invt TR - DOMOX	A	Dividend	K	T	Buy	05/13/20	K		
80.					Sold (part)	12/21/20	J	A	
81. - DWS Intermediate Tax Free - SZMIX	B	Dividend	L	T	Buy	05/13/20	L		
82.					Buy (add'l)	12/21/20	J		
83. - IShares - DSI	B	Dividend	M	T	Buy	05/14/20	L		
84.					Sold (part)	12/22/20	J	B	
85. - IShares Gold Trust - IAU		None	K	T	Buy	05/14/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	12/22/20	J		
87. - IShares TR - MTUM	A	Dividend	K	T	Buy	05/14/20	K		
88.					Sold (part)	12/22/20	J	A	
89. - IShares TR - VLUE	A	Dividend	K	T	Buy	05/14/20	K		
90.					Sold (part)	12/22/20	J	A	
91. - IShares Trust - CRBN	A	Dividend	K	T	Buy	05/14/20	K		
92.					Sold (part)	12/22/20	J	A	
93. - IShares Trust - MUB	A	Dividend	L	T	Buy	05/14/20	L		
94.					Buy (add'l)	12/22/20	J		
95. - JP Morgan TR I - JMSFX	A	Dividend	K	T	Buy	05/13/20	K		
96.					Buy (add'l)	12/21/20	J		
97. - JP Morgan TR I - JITZX	A	Dividend	L	T	Buy	05/12/20	L		
98.					Buy (add'l)	12/21/20	J		
99. - MFS SER TR III - MMHKX	A	Dividend	K	T	Buy	05/13/20	K		
100.					Buy (add'l)	12/21/20	J		
101. - Neuberger Berman Equity - NRGSX	A	Dividend	K	T	Buy	05/13/20	K		
102.					Sold (part)	12/21/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Parnassus FDS - PFPMX	A	Dividend	K	T	Buy	05/13/20	K		
104.					Sold (part)	12/21/20	J	A	
105. - Parnassus Income TR - PRILX	A	Dividend	L	T	Buy	05/13/20	L		
106.					Sold (part)	12/21/20	J	B	
107. - Pax MSCI EAFE ESG Leaders - PXNIX	A	Dividend	K	T	Buy	05/13/20	K		
108.					Sold (part)	12/21/20	J	A	
109. - Payden & Rygel Invt Group - PYEIX	A	Dividend	K	T	Buy	05/13/20	J		
110. - Select Sector SPDR Trust - XLV	A	Dividend	K	T	Buy	05/14/20	K		
111.					Buy (add'l)	12/22/20	J		
112. - Select Sector SPDR Trust - XLK	A	Dividend	K	T	Buy	05/14/20	K		
113.					Sold (part)	12/22/20	J	A	
114. - TIAA CREF FFDS - TSBIX	B	Dividend	L	T	Buy	05/13/20	K		
115.					Buy (add'l)	12/21/20	J		
116. - Vanguard Index Funds - VO	A	Dividend	K	T	Buy	05/14/20	K		
117.					Sold (part)	12/22/20	J	A	
118. - WCM Focused International - WCMIX	A	Dividend	K	T	Buy	05/13/20	K		
119.					Sold (part)	12/21/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IIIA: Line 2: JP Morgan Clearing Corp Retirement account removed as no distribution was made in 2020 due to the CARES Act.

Part VII: Line 47: JP Morgan Tr II - QCVEZ formerly JP Morgan US Government - QVESQ (line 30 , 2019 Report)

Part VII: Line 69: Trust #1 (H) added in 2020 filing year.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Neff, Janet T.

Date of Report

04/14/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Janet T. Neff

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544