

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Gershwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 04/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 707 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Wayne County, Michigan Pension Payments	\$14,192.04
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Drain, Gershwin A.	04/22/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IIRA #1 (H)									
2. - AMCAP Fund Class C Am. Funds	A	Dividend	L	T					
3. - American Balanced Fund/Am. Funds	A	Dividend	L	T					
4. - Cap. Income Builder Fd./ Class C - Am. Funds	B	Dividend	L	T					
5. - Cap. World Growth & Inc. Fd./ Am. Funds	A	Dividend	M	T					
6. - Franklin Dynatech Fund Advisor Class	A	Dividend	L	T	Buy	07/27/20	L		
7. - Growth Fund of America/Am. Funds	A	Dividend	L	T					
8. - Income Fund of Amer. Class C - Am. Funds	C	Dividend	M	T					
9. - New Economy Fund/Am. Funds	A	Dividend	L	T					
10. - New Perspective Fund Class C - Am. Funds	A	Dividend	M	T					
11. IRA #2 (H)									
12. - AMCAP Fund Class F2 - Amer. Funds	A	Dividend	K	T	Buy	12/13/20	K		
13. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	J	T					
14. - American Global Bal Fund Class F2 - Amer. Funds	A	Dividend	J	T					
15. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T					
16. - Cap. World Growth & Income - Class F2 - Amer. Funds	A	Dividend	J	T					
17. - Income Fund of America - Amer. Funds	A	Dividend	J	T	Buy	05/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	K	T					
19. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T					
20. IRA #3 (H)									
21. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	K	T					
22. - American Global Bal Fund Class F2 - Amer. Funds	A	Dividend	J	T					
23. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T					
24. - Capital World Growth & Income Fund Class F2 - Amer. Funds	A	Dividend	J	T					
25. - Income Fund of America - Amer. Funds	A	Dividend	J	T	Buy	05/05/20	J		
26. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	J	T					
27. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T					
28. IRA #4 (H)									
29. - American Balanced Fund Class F2 - Amer. Funds	A	Dividend	J	T					
30. - American Global Balanced Fund - Class F2 - Amer. Funds	A	Dividend	J	T					
31. - Bond Fund of America Class F2 - Amer. Funds	A	Dividend	J	T					
32. - Capital World Growth & Income Fund Class F2 - Amer. Funds	A	Dividend	J	T					
33. - Income Fund of America - Amer. Funds	A	Dividend	J	T	Buy	05/05/20	J		
34. - New Perspective Fund Class F2 - Amer. Funds	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Washington Mutual Investors Fund Class F2 - Amer. Funds	A	Dividend	J	T					
36. IRA #7 (H)									
37. - AT&T Incorporated	A	Dividend			Sold	03/23/20	J		
38. - Abbott Labs	A	Dividend	J	T					
39. - Adobe Systems Inc.	A	Dividend	J	T					
40. - Advanced Micro Devices Inc.	A	Dividend	J	T	Buy	07/28/20	J		
41. - Alibaba Group Hldg.	A	Dividend			Sold	12/24/20	J		
42. - Allstate Corp.	A	Dividend			Sold	05/21/20	J		
43. - Alphabet Incorporated	A	Dividend	K	T					
44. - Amazon Com Inc.	A	Dividend	K	T					
45. - Anthem Incorporated	A	Dividend	J	T	Buy	11/10/20	J		
46. - American Express Company	A	Dividend			Sold	03/18/20	J		
47. - Apple Inc.	A	Dividend	K	T					
48. - Bk of America Corporation	A	Dividend	J	T	Buy	04/08/20	J		
49. - Becton Dickinson & Co.	A	Dividend			Sold	02/10/20	J		
50. - Boeing Company	A	Dividend			Sold	10/19/20	K		
51. - Boston Scientific Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - CVS Health Corp.	A	Dividend			Sold	09/10/20	J		
53. - Capital One Finl. Corp.	A	Dividend			Sold	03/11/20	J		
54. - Charter Communications Incorporated	A	Dividend	J	T	Buy	03/23/20	J		
55. - Chevron Corp.	A	Dividend			Sold	09/24/20	J		
56. - Cisco Systems Inc.	A	Dividend			Sold	03/06/20	J		
57. - Citigroup Inc.	A	Dividend	J	T					
58. - Coca Cola Company	A	Dividend	J	T					
59. - Comcast Corp.	A	Dividend			Sold	04/01/20	J		
60. - Conocophillips	A	Dividend	J	T	Buy	04/29/20	J		
61. - Cummins Incorporated	A	Dividend	J	T	Buy	06/02/20	J		
62. - Deere & Company	A	Dividend	J	T					
63. - Delta Air Lines Incorporated	A	Dividend	J	T	Buy	11/24/20	J		
64. - Disney Walt Company	A	Dividend	K	T					
65. - Discover Finl Svcs	A	Dividend	J	T	Buy	09/30/20	J		
66. - Dollar General Corp.	A	Dividend	J	T					
67. - Eog Res Incorporated	A	Dividend	J	T	Buy	11/24/20	J		
68. - Exxon Mobil Corp.	A	Dividend			Sold	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Facebook Inc.	A	Dividend	K	T					
70. - Fiserv Inc.	A	Dividend			Sold	09/21/20	J		
71. - Home Depot Inc.	A	Dividend	J	T					
72. - Honeywell International Inc.	A	Dividend	J	T					
73. - JPMorgan Chase & Co.	A	Dividend	J	T					
74. - Johnson & Johnson	A	Dividend	J	T					
75. - Lilly Eli & Company	A	Dividend	J	T					
76. - Lululemon Athletica Incorporated	A	Dividend	J	T	Buy	09/09/20	J		
77. - McDonalds Corporation	A	Dividend			Sold	03/20/20	J		
78. - Merck & Company Inc.	A	Dividend			Sold	10/14/20	J		
79. - Microsoft Corp.	A	Dividend	K	T					
80. - Micron Technology Inc.	A	Dividend	J	T					
81. - Morgan Stanley Com New	A	Dividend	J	T	Buy	01/28/20	J		
82. - Nextera Energy Incorporated	A	Dividend	J	T	Buy	07/16/20	J		
83. - Nike Incorporated	A	Dividend	J	T					
84. - Norfolk Southern Corp.	A	Dividend	K	T					
85. - Nvidia Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. - PNC Fin Svcs Group Incorporated	A	Dividend	J	T	Buy	11/18/20	J		
87. - Palo Alto Networks Incorporated	A	Dividend	J	T					
88. - Paypal Hldgs Incorporated	A	Dividend	J	T					
89. - Pepsico Inc.	A	Dividend	J	T					
90. - Philip Morris Intl. Inc.	A	Dividend			Sold	10/20/20	J		
91. - Procter and Gamble Company	A	Dividend	J	T					
92. - Qualcomm Inc.	A	Dividend	J	T					
93. - Rockwell Automation Incorporated	A	Dividend	J	T	Buy	05/26/20	J		
94. - Salesforce Com Inc.	A	Dividend	J	T					
95. - Schlumberger Limited	A	Dividend	J	T					
96. - Stanley Black & Decker Incorporated	A	Dividend	J	T	Buy	10/09/20	J		
97. - TJX Companies Inc.	A	Dividend			Sold	07/16/20	J		
98. - Target Corporation	A	Dividend	J	T	Buy	02/11/20	J		
99. - Union Pac Corporation	A	Dividend	J	T	Buy	10/23/20	J		
100. - United Technologies Corp.	A	Dividend			Sold	04/02/20	J		
101. - Unitedhealth Group Inc.	A	Dividend	J	T					
102. - Valero Energy Corp.	A	Dividend			Sold	03/16/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - Visa Inc.	A	Dividend	K	T					
104. - Walmart Incorporated	A	Dividend	J	T					
105. - Zoetis Incorporated	A	Dividend	J	T	Buy	03/06/20	J		
106. - Linde Plc Shs (Ireland)	A	Dividend	J	T	Buy	09/04/20	J		
107. - Medtronic Plc Shs (Ireland)	A	Dividend	J	T	Buy	06/02/20	J		
108. - Alcon Inc.	A	Dividend			Sold	05/05/20	J		
109. - American Tower Corp.	A	Dividend	J	T					
110. IRA #8 (H)									
111. - AT&T Incorporated	A	Dividend	J	T					
112. - Abbott Labs	A	Dividend	J	T	Buy	10/12/20	J		
113. - Air Products & Chemicals Inc.	A	Dividend	J	T					
114. - Apple Incorporated	A	Dividend	K	T					
115. - Aptargroup Incorporated	A	Dividend	J	T	Buy	04/20/20	J		
116. - Assurant Incorporated	A	Dividend	J	T					
117. - Automatic Data Processing Inc.	A	Dividend	J	T					
118. - Becton Dickinson & Co.	A	Dividend	J	T					
119. - Broadridge Finl. Solutions Inc.	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Caseys General Stores Inc.	A	Dividend	J	T					
121. - Coca Cola Company	A	Dividend	J	T					
122. - Commerce Bancshares Inc.	A	Dividend	J	T					
123. - Costco Wholesale Corp.	A	Dividend	J	T					
124. - Dollar General Corporation	A	Dividend	J	T	Buy	09/02/20	J		
125. - Exxon Mobil Corporation	A	Dividend	J	T					
126. - Fidelity Natl Information Svcs	A	Dividend	J	T	Buy	01/16/20	J		
127. - Gallagher Arthur & Co.	A	Dividend	J	T					
128. - Gilead Sciences Inc.	A	Dividend	J	T					
129. - Glacier Bancorp Inc.	A	Dividend	J	T					
130. - Henry Jack & Assoc. Inc.	A	Dividend	J	T					
131. - Home Depot Inc.	A	Dividend	J	T					
132. - Illinois Tool Wks. Inc.	A	Dividend	J	T					
133. - International Business Machines	A	Dividend	J	T					
134. - Intuit	A	Dividend	J	T	Buy	09/22/20	J		
135. - Johnson & Johnson	A	Dividend	J	T					
136. - Kimberly Clark Corp.	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Leggett & Platt Inc.	A	Dividend			Sold	05/08/20	J		
138. - Mastercard Incorporated	A	Dividend	J	T					
139. - McCormick & Company Inc.	A	Dividend	J	T					
140. - McDonalds Corporation	A	Dividend	J	T					
141. - Merck & Company Inc.	A	Dividend	J	T					
142. - Nasdaq Inc.	A	Dividend	J	T					
143. - Nextera Energy	A	Dividend	J	T	Buy	05/07/20	J		
144. - Oneck Incorporated	A	Dividend			Sold	09/22/20	J		
145. - Prosperity Bancshares Inc.	A	Dividend	J	T					
146. - Qualcomm Inc.	A	Dividend	K	T					
147. - Republic Svcs. Inc.	A	Dividend	J	T					
148. - Ross Stores Inc.	A	Dividend			Sold	04/20/20	J		
149. - Sherwin Williams Co.	A	Dividend	J	T					
150. - Snap On Incorporated	A	Dividend	J	T					
151. - Stryker Corporation	A	Dividend	J	T					
152. - Tractor Supply Company	A	Dividend	J	T					
153. - Union Pacific Corporation	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - United Technologies Corp.	A	Dividend			Sold	01/16/20	J		
155. - V F Corporation	A	Dividend	J	T					
156. - Verizon Communications Inc.	A	Dividend	J	T					
157. - Wec Energy Group Inc.	A	Dividend	J	T					
158. - Walgreens Boots Alliance Inc.	A	Dividend			Sold	09/02/20	J		
159. - Watsco Incorporated	A	Dividend	J	T					
160. - Xcel Energy Inc.	A	Dividend	J	T					
161. - Xilinx Incorporated	A	Dividend			Sold	10/09/20	J		
162. - Accenture Plc Ireland	A	Dividend	J	T					
163. - Steris Plc. USD	A	Dividend	J	T					
164. - American Tower Corp.	A	Dividend	J	T					
165. - Realty Income Corp.	A	Dividend	J	T					
166. Brokerage Account #1 (H)									
167. - Targe Res Corp. f/k/a Targa Res Partners LP Com Unit (Y)									
168. - Realty Income Corporation Reit	B	Dividend	K	T					
169. - American High Income Municipal Bond	A	Dividend	K	T					
170. - Blackrock Equity Div. Fund Class C	A	Dividend			Sold	10/29/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Calamos Growth & Income Fund Class C	A	Dividend	K	T					
172. - Am Funds Cap World Growth & Inc Class C	A	Dividend	K	T					
173. - First Eagle Global Fund Class C	A	Dividend	K	T					
174. - Franklin Dynatech Fund	A	Dividend	K	T	Buy	10/29/20	K		
175. - Franklin Rising Dividends Fund	A	Dividend	K	T					
176. - Am Funds Growth Fund of Amer Class C	A	Dividend	K	T					
177. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
178. - Janus Henderson Global Eq. Inc. f/k/a Henderson European Focus Fund	A	Dividend			Sold	10/29/20	K		
179. - Western Asset Int. Term Municipals Class C	A	Dividend			Sold	10/29/20	L		
180. - MFS Municipal Income Fund	A	Dividend	K	T					
181. - MFS Municipal Limited Maturity Fund	A	Dividend	K	T	Buy	10/29/20	K		
182. - MFS Municipal High Income Fund	A	Dividend	K	T	Buy	10/29/20	K		
183. - Franklin Templeton Mutual Global Discovery	A	Dividend			Sold	10/29/20	K		
184. - Am Funds New World Class C	A	Dividend	K	T					
185. - Nuveen High Yield Mun. Bond Fund Class C	A	Dividend	K	T					
186. - Tax Exempt Bond Fund of America	A	Dividend	J	T					
187. - Raymond James Cash Account		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Brokerage Account #2 (H)									
189. - AT&T Incorporated	A	Dividend			Sold	03/23/20	J		
190. - Abbott Labs	A	Dividend	J	T					
191. - Adobe Systems Inc.	A	Dividend	J	T					
192. - Alibaba Group Hldg	A	Dividend			Sold	12/24/20	J		
193. - Allstate Corporation	A	Dividend			Sold	05/21/20	J		
194. - Advanced Micro Devices Incorporated	A	Dividend	J	T	Buy	07/28/20	J		
195. - Alphabet Incorporated f/k/a Google Incorporated	A	Dividend	J	T					
196. - Amazon Com Incorporated	A	Dividend	K	T					
197. - American Express Company	A	Dividend			Sold	03/18/20	J		
198. - Anthem Incorporated	A	Dividend	J	T	Buy	11/10/20	J		
199. - Apple Incorporated	A	Dividend	K	T					
200. - Bk of America Corporation	A	Dividend	J	T	Buy	04/08/20	J		
201. - Becton Dickison & Company	A	Dividend			Sold	02/10/20	J		
202. - Boeing Company	A	Dividend			Sold	10/19/20	J		
203. - Boston Scientific Corp.	A	Dividend	J	T					
204. - CVS Health Corp.	A	Dividend			Sold	09/10/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - Capital One Finl. Corp.	A	Dividend			Sold	03/11/20	J		
206. - Charter Communications Incorporated New	A	Dividend	J	T	Buy	03/23/20	J		
207. - Chevron Corporation New	A	Dividend			Sold	09/24/20	J		
208. - Cisco Systems Incorporated	A	Dividend			Sold	03/06/20	J		
209. - Citigroup Incorporated Com New	A	Dividend	J	T					
210. - Coca Cola Company	A	Dividend	J	T					
211. - Comcast Corporation New	A	Dividend			Sold	04/01/20	J		
212. - Conocophillips	A	Dividend	J	T	Buy	04/29/20	J		
213. - Cummins Incorporated	A	Dividend	J	T	Buy	06/02/20	J		
214. - Deere & Company	A	Dividend	J	T					
215. - Delta Airlines Incorporated	A	Dividend	J	T	Buy	11/24/20	J		
216. - Disney Walt Company	A	Dividend	J	T					
217. - Discover Finl Svcs	A	Dividend	J	T	Buy	09/30/20	J		
218. - Dollar General Corp.	A	Dividend	J	T					
219. - EOG Res Incorporated	A	Dividend	J	T	Buy	11/24/20	J		
220. - Exxon Mobil Corporation	A	Dividend			Sold	02/26/20	J		
221. - Facebook Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Fiserv Inc.	A	Dividend			Sold	09/21/20	J		
223. - Home Depot Incorporated	A	Dividend	J	T					
224. - Honeywell International Incorporated	A	Dividend	J	T					
225. - JPMorgan Chase & Company	A	Dividend	J	T					
226. - Johnson & Johnson	A	Dividend	J	T					
227. - Lilly Eli & Company	A	Dividend	J	T					
228. - Lululemon Athletica Incorporated	A	Dividend	J	T	Buy	09/09/20	J		
229. - McDonalds Corporation	A	Dividend			Sold	03/24/20	J		
230. - Merck & Company	A	Dividend			Sold	10/19/20	J		
231. - Microsoft Corporation	A	Dividend	K	T					
232. - Micron Technology Inc.	A	Dividend	J	T					
233. - Morgan Stanley Com New	A	Dividend	J	T	Buy	01/28/20	J		
234. - Nextera Energy Incorporated	A	Dividend	J	T	Buy	07/16/20	J		
235. - Nike Incorporated	A	Dividend	J	T					
236. - Norfolk Southern Corp.	A	Dividend	J	T					
237. - Nvidia Corp.	A	Dividend	J	T					
238. - PNC Finl Svcs Group Incorporated	A	Dividend	J	T	Buy	11/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Palo Alto Hldgs Incorporated	A	Dividend	J	T					
240. - Paypal Hldgs Incorporated	A	Dividend	J	T					
241. - Pepsico Inc.	A	Dividend	J	T					
242. - Philip Morris Intl. Inc.	A	Dividend			Sold	10/20/20	J		
243. - Proctor and Gamble Co.	A	Dividend	J	T					
244. - Qualcomm Inc.	A	Dividend	J	T					
245. - Rockwell Automation Incorporated	A	Dividend	J	T	Buy	05/26/20	J		
246. - Salesforce Com Incorporated	A	Dividend	J	T					
247. - Schlumberger Limited	A	Dividend	J	T					
248. - Stanley Black & Decker Incorporated	A	Dividend	J	T	Buy	10/09/20	J		
249. - TJX Companies Inc.	A	Dividend			Sold	07/16/20	J		
250. - Target Corporation	A	Dividend	J	T	Buy	02/11/20	J		
251. - Union Pac Corporation	A	Dividend	J	T	Buy	10/23/20	J		
252. - United Technologies corp.	A	Dividend			Sold	04/02/20	J		
253. - United Health Group Inc.	A	Dividend	J	T					
254. - Valero Energy Corp.	A	Dividend			Sold	03/16/20	J		
255. - Visa Incorporated	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Walmart Incorporated	A	Dividend	J	T					
257. - Zoetis Incorporated	A	Dividend	J	T	Buy	03/06/20	J		
258. - Linde Plc Shs (Ireland)	A	Dividend	J	T	Buy	09/04/20	J		
259. - Medtronic Plc Shs (Ireland)	A	Dividend	J	T	Buy	06/02/20	J		
260. - Alcon Incorporateed	A	Dividend			Sold	05/05/20	J		
261. - American Tower Corp.	A	Dividend	J	T					
262. Virginia 529 Plan, College Savings Plan, Moderate (H) Growth									
263. - AMCAP Fund - 529C	A	Dividend	J	T					
264. - Capital World Growth & Income - 529C	A	Dividend	J	T					
265. - The Growth Fund of America - 529C	A	Dividend	J	T					
266. - The Investment Company of America - 529C	A	Dividend	J	T					
267. Talcott Resolution Life Ins. f/k/a Hartford Life Ins. (H)									
268. - American Funds Income Fund	A	Dividend	J	T					
269. - American Funds Growth	A	Dividend	J	T					
270. - Franklin Templeton Mutual Shares Sec	A	Dividend	J	T					
271. PNC Bank Checking & Savings Accounts	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/22/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544