

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Catliota, Thomas J.	2. Court or Organization US Bankruptcy Court for Maryland	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address US Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	LPL, LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Catliota, Thomas J.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Meadows Foundation, Inc. - Salary
2. 2020	Talisman Therapeutic Riding - Independent Contractor
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Conry Development Corp	E	Distribution	N	W					
2. LPL, LLC		None	O	W					
3. Sun Trust	A	Int./Div.	J	T					
4. Self directed IRA (H)									
5. -Fundamental Investors	D	Int./Div.	M	T					
6. -AmCap Fund A	D	Int./Div.	M	T					
7. -New Economy Fund A	D	Int./Div.	M	T					
8. -Washinton Mutual Inv	D	Int./Div.	M	T					
9. -American Balanced A	D	Int./Div.	M	T					
10. -Amerian Inc Fund of Amer A	D	Int./Div.	M	T					
11. -American New World A	C	Int./Div.	L	T					
12. -American SMALLCAP World A	D	Int./Div.	M	T					
13. -Franklin IncomeA	D	Int./Div.	M	T					
14. -JPM Emerging Markets Equity A	C	Int./Div.	L	T					
15. -JPMorgan Equity Income A	B	Int./Div.	M	T					
16. -JPMorgan Global Allocation A	B	Int./Div.	M	T					
17. -JPMorgan Global Bond Opp A	B	Int./Div.	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -JPMorgan Growth Advantage A	C	Int./Div.	M	T					
19. -JPMorgan Income Builder A	D	Int./Div.	M	T					
20. -JPMorgan Income A	B	Int./Div.	L	T					
21. -JPMorgan Small Cap Blend A	C	Int./Div.	M	T					
22. -JPMorgan Value Advantage A	B	Int./Div.	L	T					
23. Hermes Federated Prime Cash	A	Int./Div.	K	T	Redeemed (part)	03/09/20	K		
24. Alphabet Inc Cap Stock CI A		None	K	T	Buy	03/09/20	J		
25. Pfizer, Inc Com	A	Dividend	J	T	Buy	03/09/20	J		
26. PayPal Holdings		None	K	T	Buy	03/09/20	K		
27. Hermes Federated Prime Cash	A	Int./Div.	K	T	Redeemed (part)	04/02/20	L		
28. JP Morgan High Yield Municipal	A	Interest	L	T	Buy (add'l)	04/02/20	J		
29. IShares TR MSCI EAFE Growth	A	Int./Div.	L	T	Buy (add'l)	04/02/20	J		
30. Vanguard Index Small Cap Growth ETF	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
31. Vanguard FTSE Emerging Market	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
32. Willard Ohio City Sch Dist	A	Interest	K	T	Buy	04/02/20	K		
33. IShares INTL Select Div ETF	B	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
34. Vanguard Mid Cap Value ETF	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		

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35. Vanguard Mid Cap Growth ETF	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
36. Vanguard Index FDS Small Cap Value ETF	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
37. Vanguard Intl EquiyInd All-world FTSE	A	Int./Div.	K	T	Buy (add'l)	04/02/20	J		
38. Hermes Federated Prime Cash	A	Int./Div.	K	T	Redeemed (part)	11/20/20	J		
39. General Electric Co Com	A	Dividend	K	T	Buy (add'l)	11/20/20	J		
40. Broadcom Inc Com	A	Dividend	J	T	Buy	11/20/20	J		
41. Ally Bk Sandy Utah CD	A	Int./Div.	J	T					
42. Chicago IL O Hare INTL Rev C MUNI	A	Int./Div.	J	T					
43. Metropolitan Transn Auth N Y MUNI	A	Int./Div.	K	T					
44. Erie PA Wtr Auth Wtr Rev 2018 A MUNI	A	Int./Div.	J	T					
45. Accenture PLC Ireland	A	Dividend	J	T					
46. Amazon.Com Inc		None	K	T					
47. CVS Health Corporation	A	Dividend	J	T					
48. Enbridge Inc	A	Dividend	J	T					
49. JPMorgan Chase & Co	A	Dividend	K	T					
50. Philip Morris INTL Inc		None	J	T					
51. Walt Disney Co	A	Dividend	K	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. 3M Co	A	Dividend	J	T					
53. Goldman Sachs Bk USA New York CD	A	Int./Div.	J	T					
54. Wells Fargo Bk N A Sioux Falls CD - 3 yr.	A	Int./Div.	J	T					
55. Wells Fargo Bk N A Sioux Falls CD- 1 yr.	A	Int./Div.			Redeemed	11/18/20	J		
56. Evanston IL MUNI	A	Int./Div.	K	T					
57. West Virginia St MUNI	B	Int./Div.	J	T					
58. New York N Y MUNI	A	Int./Div.	J	T					
59. Abbvie Inc	A	Dividend	J	T					
60. Berkshire Hathaway Inc Cl B		None	J	T					
61. Blackrock Inc Cl A	A	Dividend	J	T					
62. Linde PLC	A	Dividend	J	T					
63. Microsoft Corp	A	Dividend	J	T					
64. Pepsico Inc	A	Dividend	J	T					
65. Starbucks Corp	A	Dividend	J	T					
66. Viatris		None	J	T	Spinoff (from line 25)	11/20/20	J		
67. Custodial Account									
68. -Bond Fund of America	A	Interest	J	T					

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3 Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Capital World Growth and Inc Fund A	A	Int./Div.	K	T					
70. -EuroPacific Growth Fund A	A	Int./Div.	J	T					
71. -Fundamental Investors A	A	Int./Div.	K	T					
72. -Growth Fund od America	B	Int./Div.	K	T	Buy	03/09/20	J		
73. -Investment Company of America - A	A	Int./Div.	K	T					
74. -New Economy Fund	A	Int./Div.	K	T	Buy	03/19/20	J		
75. -New Perspective Fund - A	A	Int./Div.	K	T					
76. -Smallcap World Fund A	A	Int./Div.	K	T					
77.									
78.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas J. Catliota**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544