

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ross, Allyne R.	2. Court or Organization U.S. District Court, Eastern District New York	3. Date of Report 03/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Trustee of WisdomTree Trust (trustee fees)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Greenwich Friess Long/Short Fund		None	P1	T					
3. Oak Hill Capital Partners	G	Distribution	N	T					
4. Park Overlook Equities Fund		None	O	T					
5. WPX Partners LP	G	Distribution	L	U					
6. 34 Graham Road LLC (Y)									
7. Northwestern Mutual Whole Life Insurance	B	Interest	L	T					
8. Account #1 (H)									
9. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	A	Dividend	M	T					
10. Account #2 (H)									
11. Fidelity Extd Market Index Institutional Premium Class (FSMAX)	A	Dividend	N	T					
12. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	D	Dividend	N	T					
13. Fidelity Total Emerging Markets FD (FTEMX)	C	Dividend	N	T					
14. Fidelity Disruptive Medicine Fund (FMEDX)	A	Dividend	L	T	Buy	05/18/20	K		
15.					Buy (add'l)	07/08/20	J		
16. Wisdomtree TR US Qlt Shared YLD (QSY)	D	Dividend	N	T					
17. BlackRock Global Energy & Resources Trust (BGR)	A	Dividend			Sold	07/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. Tekla Healthcare (HQH)	A	Dividend			Sold	05/14/20	K	A	
19. Account #3 (H)									
20. Fidelity Extended Market Index Institutional Premium Class (FSMAX)	E	Dividend	P1	T					
21. Fidelity Small Cap Index Institutional Premium Class (FSSNX)	D	Dividend	O	T					
22. Account #4 (H)									
23. Fidelity 500 Index Institutional Premium Class (FXAIX)	C	Dividend	N	T					
24. Fidelity Int'l Enhanced Index Fund (FIENX)	C	Dividend	N	T	Buy (add'l)	01/07/20	L		
25. Account #5 (H)									
26. ML Bank Deposit Program (cash)	A	Interest	M	T					
27. Accor Sa Shs Adr (ACCYY)		None			Buy (add'l)	05/11/20	J		
28.					Sold	11/11/20	K	B	
29. ACS Actividades Cons-Uns (ACSAY)	A	Dividend			Buy (add'l)	03/09/20	J		
30.					Buy (add'l)	10/21/20	J		
31.					Sold	11/04/20	K		
32. Adecco Group Ag (AHEXY)		None	K	T	Buy (add'l)	05/11/20	J		
33.					Sold (part)	10/23/20	J		
34. Adobe Systems Inc (ADBE)		None	K	T	Sold (part)	03/18/20	J	A	

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35.					Sold (part)	04/16/20	J	B	
36.					Sold (part)	05/07/20	J	B	
37.					Sold (part)	05/28/20	J	B	
38.					Sold (part)	09/17/20	J	B	
39. Aercap Holdings N.V. SHS (AER)		None			Buy (add'l)	03/10/20	J		
40.					Sold (part)	03/23/20	J		
41.					Sold	03/24/20	J		
42. AIA Group LTD (AAGIY)	A	Dividend	K	T	Sold (part)	05/27/20	J	A	
43. Air Liquide (AIQUY)		None	K	T	Buy	11/03/20	J		
44.					Buy (add'l)	11/06/20	J		
45. Airbus SE (EADSY)		None	K	T	Buy (add'l)	03/31/20	J		
46.					Buy (add'l)	05/11/20	J		
47. Alcon Sa Act Nom (ALC)		None	K	T	Sold (part)	04/14/20	J	A	
48. Alibaba Group Holding LT (ALC)		None	J	T	Buy	05/04/20	J		
49.					Sold (part)	10/21/20	J	B	
50. Alexion Pharmaceuticals INC (ALXN)		None			Sold (part)	03/20/20	J		
51.					Sold	04/08/20	J	A	

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52. Align Tech Inc Del Com (ALGN)		None	K	T	Sold (part)	05/11/20	J	A	
53. Allstate Corp Del (ALL)	A	Dividend	J	T	Buy	04/16/20	J		
54.					Buy (add'l)	11/23/20	J		
55. Alphabet Inc CL C (GOOG)		None	K	T	Sold (part)	03/20/20	J	B	
56.					Sold (part)	05/07/20	J	A	
57.					Sold (part)	05/28/20	J	B	
58.					Sold (part)	09/17/20	J	A	
59. Altria Group Inc (MO)	A	Dividend	K	T	Buy (add'l)	04/16/20	J		
60.					Buy (add'l)	04/24/20	J		
61. Amazon.com INC (AMZN)		None	L	T	Sold (part)	03/20/20	J	C	
62.					Sold (part)	05/07/20	J	B	
63.					Sold (part)	05/28/20	J	B	
64.					Sold (part)	09/09/20	J	C	
65. American Express Company (AXP)	A	Dividend	K	T	Buy	01/30/20	J		
66.					Buy (add'l)	05/19/20	J		
67.					Buy (add'l)	06/18/20	J		
68.					Sold (part)	11/19/20	J	A	

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69. American International Group Com New (AIG)	A	Dividend	K	T	Buy (add'l)	03/31/20	J		
70.					Buy (add'l)	04/24/20	J		
71.					Buy (add'l)	04/27/20	J		
72. American Tower Corp. REIT (AMT)	A	Dividend	K	T	Sold (part)	03/20/20	J	C	
73.					Buy (add'l)	05/11/20	J		
74.					Sold (part)	05/28/20	J	B	
75. Anglo American Plc Shs ADR (NGLOY)	A	Dividend	K	T	Buy (add'l)	05/11/20	J		
76.					Buy (add'l)	06/12/20	J		
77.					Sold (part)	12/04/20	J	B	
78. Anthem Inc. (ANTM)	A	Dividend	K	T	Buy (add'l)	05/11/20	J		
79. Applied Material Inc (AMAT)	A	Dividend	J	T	Buy	06/17/20	J		
80.					Buy (add'l)	06/18/20	J		
81.					Buy (add'l)	10/14/20	J		
82. ASML Holding NV NY Reg Shs (ASML)	A	Dividend	K	T	Sold (part)	04/30/20	J	B	
83.					Sold (part)	05/28/20	J	B	
84.					Sold (part)	09/17/20	J	A	
85. AstraZeneca PLC Spon ADR (AZN)	A	Dividend	J	T	Sold (part)	09/02/20	J	A	

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86. AXA Spons ADR (AXAHY)		None			Sold	03/03/20	K	A	
87. BAE Systems PLC Sponsored ADR (BAESY)	A	Dividend	K	T	Buy (add'l)	10/21/20	J		
88. Baker Hughes Inc Reg Shs (BHGE)	A	Dividend			Sold (part)	03/31/20	J		
89.					Sold	04/01/20	J		
90. Berkshire Hathaway Inc Del Cl B New (BRKB)		None	K	T	Buy	03/05/20	J		
91.					Buy (add'l)	06/17/20	J		
92. BASF SE Sponsored ADR (BASFY)		None			Sold	03/13/20	K		
93. Biomarin Pharmaceutical Inc (BMRN)		None	J	T	Sold (part)	03/20/20	J	A	
94.					Sold (part)	09/17/20	J	A	
95. Boston Scientific Corp (BSX)		None	J	T	Buy (add'l)	01/16/20	J		
96.					Buy (add'l)	02/27/20	J		
97.					Buy (add'l)	03/03/20	J		
98.					Sold (part)	09/11/20	J		
99. BP PLC Spon ADR (BP)	B	Dividend	J	T	Buy (add'l)	01/21/20	J		
100.					Sold (part)	04/16/20	J		
101.					Sold (part)	06/15/20	J		
102.					Sold (part)	06/16/20	J		

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103. Brambles Ltd SHS ADR (BXBLY)		None	K	T	Buy	06/29/20	J		
104.					Buy (add'l)	06/30/20	J		
105. Bristol-Myers Squibb Co (BMY)	A	Dividend			Sold (part)	01/16/20	J	B	
106.					Sold (part)	02/21/20	J	B	
107.					Sold	02/24/20	J	B	
108. Capegemini Se Shs ADR (CGEMY)	A	Dividend	K	T					
109. Carlsberg AS Sponsored ADR (CABGY)	A	Dividend	K	T					
110. Chubb Ltd CHF (CB)	A	Dividend			Sold (part)	04/13/20	J	A	
111.					Sold (part)	07/16/20	J	A	
112.					Sold (part)	11/03/20	J	A	
113.					Sold	11/09/20	J	B	
114. Cisco Systems Inc Com (CSCO)	A	Dividend	K	T	Buy (add'l)	01/21/20	J		
115.					Sold (part)	05/19/20	J		
116.					Buy (add'l)	10/14/20	J		
117. Citigroup Inc (C)	B	Dividend	L	T	Buy (add'l)	05/19/20	J		
118.					Buy (add'l)	11/23/20	J		
119. CME Group Inc (CME)	A	Dividend	J	T	Buy	04/16/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Ross, Allyne R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	04/29/20	J		
121.					Buy (add'l)	04/30/20	J		
122. Coca Cola Com (KO)		None	K	T	Buy	07/28/20	J		
123.					Buy (add'l)	09/04/20	J		
124.					Buy (add'l)	11/23/20	J		
125. Cognizant Tech Solutions A (CTSH)	A	Dividend	K	T	Buy (add'l)	04/24/20	J		
126.					Buy (add'l)	04/27/20	J		
127. Comcast Corp New Cl A (CMCSA)	A	Dividend	K	T					
128. Compagnie de Saint-Unsp Adr (CODYY)		None	K	T	Buy (add'l)	05/11/20	J		
129.					Sold (part)	10/23/20	J		
130. Conagra Brands INC (CAG)	A	Dividend			Sold (part)	10/13/20	J	B	
131.					Sold (part)	11/20/20	J	B	
132.					Sold	11/23/20	J	A	
133. Conocophillips (COP)	A	Dividend	J	T	Buy (add'l)	04/16/20	J		
134.					Buy (add'l)	06/17/20	J		
135.					Buy (add'l)	06/18/20	J		
136. Constellation Brands INC (STZ)	A	Dividend	K	T	Buy (add'l)	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/16/20	J		
138.					Buy (add'l)	04/24/20	J		
139.					Buy (add'l)	05/19/20	J		
140. Corteva Inc Reg Shs (CTVA)	A	Dividend	K	T	Buy (add'l)	06/18/20	J		
141.					Buy (add'l)	06/18/20	J		
142. Costco Wholesale Corp (COST)	A	Dividend	K	T	Sold (part)	03/20/20	J	B	
143.					Sold (part)	09/17/20	J	B	
144. CRH Plc Adr (CRH)	A	Dividend	J	T	Sold (part)	04/16/20	J		
145. CVS Health Corp (CVS)	A	Dividend	K	T	Buy (add'l)	04/16/20	J		
146.					Buy (add'l)	04/24/20	J		
147. Danone Sponsored ADR (DANOY)		None	K	T	Buy (add'l)	05/11/20	J		
148.					Buy (add'l)	06/10/20	J		
149.					Sold (part)	08/06/20	J		
150. DBS Group Holdings LTD SPON ADR (DBSDY)	B	Dividend	K	T	Buy (add'l)	05/11/20	J		
151.					Buy (add'l)	06/12/20	J		
152.					Sold (part)	07/27/20	J	A	
153. Deutsche Boerse AG SHS (DBOEY)	A	Dividend	K	T	Sold (part)	05/07/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	07/10/20	J	A	
155.					Buy (add'l)	10/21/20	J		
156. Deutsche Post AG SHS (DPSGY)	A	Dividend	K	T	Buy (add'l)	05/11/20	J		
157.					Sold (part)	10/21/20	J	B	
158.					Buy (add'l)	12/03/20	J		
159. DNB ASA Sponsored ADR (DNHBY)		None	K	T	Buy (add'l)	05/11/20	J		
160.					Sold (part)	10/23/20	J		
161. Dollar General Corp New (DG)	A	Dividend	J	T	Sold (part)	04/14/20	J	B	
162.					Sold (part)	04/22/20	J	B	
163.					Sold (part)	05/15/20	J	B	
164.					Sold (part)	09/02/20	J	B	
165.					Sold (part)	11/19/20	J	A	
166. Dupont De Nemours Inc (DD)	A	Dividend	K	T	Buy (add'l)	01/21/20	J		
167. E.On SE ADR (EONGY)	A	Dividend			Sold	10/30/20	K	B	
168. Engie SHS (ENGIY)		None			Sold (part)	04/02/20	J		
169.					Sold	04/03/20	J		
170. Edison Intl Calif (EIX)	A	Dividend	J	T	Buy	04/16/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/17/20	J		
172.					Buy (add'l)	04/27/20	J		
173.					Buy (add'l)	06/17/20	J		
174.					Buy (add'l)	06/18/20	J		
175. Enel Societa Per Azioni (ENLAY)	A	Dividend	K	T	Buy	04/01/20	J		
176.					Buy (add'l)	05/11/20	J		
177.					Buy (add'l)	04/02/20	J		
178.					Sold (part)	10/21/20	J	A	
179. Equinix Inc. REIT (EQIX)	A	Dividend	K	T	Sold (part)	03/20/20	J	B	
180.					Sold (part)	09/17/20	J	B	
181. Equinor Asa (EQNR)	A	Dividend	J	T	Buy (add'l)	02/28/20	K		
182.					Sold (part)	03/17/20	J		
183.					Sold (part)	03/18/20	J		
184.					Buy (add'l)	06/18/20	J		
185.					Buy (add'l)	09/08/20	J		
186.					Buy (add'l)	09/09/20	J		
187. Equitable Holdings Inc (EQH)	A	Dividend	J	T	Sold (part)	06/18/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Essilorluxottica (formerly Essilor International) SA ADR (ESLOY)		None			Sold (part)	01/30/20	J	B	
189.					Sold	01/31/20	J	B	
190. Exelon Corporation (EXC)		None	J	T	Buy	11/23/20	J		
191. Facebook Inc CL A (FB)		None	K	T	Sold (part)	03/20/20	J	A	
192.					Sold (part)	05/28/20	J	B	
193. Fanuc LTD Unsponsored (FANUY)	A	Dividend	K	T	Sold (part)	12/04/20	J	B	
194. Fedex Corp Delaware Com (FDX)	A	Dividend	J	T	Buy (add'l)	01/21/20	J		
195.					Sold (part)	04/24/20	J		
196.					Sold (part)	11/19/20	J	C	
197. FID National Finl Inc (FNF)	A	Dividend	J	T	Buy	06/18/20	J		
198. First American Finl Corp (FAF)	A	Dividend	J	T	Buy	11/24/20	J		
199.					Buy (add'l)	11/25/20	J		
200. Firstenergy Corp (FE)	A	Dividend			Buy (add'l)	04/24/20	J		
201.					Buy (add'l)	04/27/20	J		
202.					Buy (add'l)	05/19/20	J		
203.					Sold	07/27/20	K		
204. Fortive Corp (FTV)	A	Dividend			Buy	01/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	01/24/20	J		
206.					Buy (add'l)	01/27/20	J		
207.					Sold (part)	10/13/20	J	A	
208.					Sold	10/14/20	J	A	
209. Fox Corp Reg Shs CL A (FOXA)		None	J	T	Buy (add'l)	03/31/20	J		
210. Gallagher Arthur J & Co (AJG)	A	Dividend	K	T	Buy (add'l)	06/17/20	J		
211.					Buy (add'l)	06/18/20	J		
212.					Buy (add'l)	10/15/20	J		
213. General Electric (GE)	A	Dividend	J	T					
214. General Motors Co (GM)	A	Dividend	K	T	Buy (add'l)	04/24/20	J		
215.					Buy (add'l)	04/27/20	J		
216. Hitachi LTD 10 New Adr (HTHIY)	A	Dividend	K	T	Buy (add'l)	10/21/20	J		
217. Home Depot Inc (HD)	A	Dividend	K	T	Sold (part)	05/07/20	J	A	
218.					Sold (part)	09/17/20	J	A	
219. HSBC Holding PLC (HSBC)		None			Buy (add'l)	05/11/20	J		
220.					Sold	06/05/20	J	A	
221. Humana Inc (HUM)	A	Dividend	J	T	Sold (part)	03/16/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	03/23/20	J		
223.					Sold	03/27/20	J	A	
224.					Buy	11/24/20	J		
225.					Buy (add'l)	11/25/20	J		
226. IHS Markit LTD SHS (INFO)	A	Dividend	K	T	Sold (part)	03/20/20	J	A	
227.					Sold (part)	09/17/20	J	A	
228. Illumina Inc Com (ILMN)		None	K	T	Sold (part)	09/09/20	J	B	
229.					Sold (part)	09/29/20	J	A	
230.					Sold (part)	10/05/20	J	A	
231.					Sold (part)	10/08/20	J	B	
232. Industria De Diseno (IDEXY)	A	Dividend	K	T	Sold (part)	03/20/20	J		
233.					Buy (add'l)	10/26/20	J		
234. Iqvia Holdings Inc (IQV)		None	J	T	Buy	09/29/20	J		
235.					Buy (add'l)	09/30/20	J		
236.					Buy (add'l)	10/29/20	J		
237.					Buy (add'l)	11/04/20	J		
238. Japan airlines Co Ltd Shs Adr (JAPSY)		None			Buy (add'l)	01/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	01/08/20	J		
240.					Buy (add'l)	05/11/20	J		
241.					Sold	06/18/20	K	A	
242. Johnson & Johnson Com (JNJ)	A	Dividend			Buy	01/14/20	J		
243.					Buy (add'l)	01/15/20	J		
244.					Buy (add'l)	02/20/20	J		
245.					Sold (part)	04/16/20	J	A	
246.					Sold (part)	05/15/20	J	A	
247.					Sold	06/17/20	J		
248. Johnson Controls International (JCI)	A	Dividend			Sold	01/16/20	J	A	
249. JPMorgan Chase & Co (JPM)	B	Dividend	K	T	Sold (part)	04/14/20	J	B	
250.					Sold (part)	05/15/20	J	B	
251.					Sold (part)	06/15/20	J	B	
252.					Sold (part)	07/16/20	J	B	
253. Julius Baer Group ADR (JBAXY)	A	Dividend	K	T	Sold (part)	12/07/20	J	B	
254. KBC Groupe SA SHS (KBCSY)		None	K	T	Buy	03/11/20	J		
255.					Buy (add'l)	03/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	05/11/20	J		
257.					Buy (add'l)	10/21/20	J		
258. Kellogg CO (K)	A	Dividend			Sold (part)	04/14/20	J	A	
259.					Sold (part)	05/15/20	J	A	
260.					Sold	05/18/20	J		
261. Kinder Morgan Inc Del (KMI)	A	Dividend	J	T	Buy	03/10/20	J		
262. Koninkluke Philips NV Spon ADR (PHG)	A	Dividend	K	T	Sold (part)	06/16/20	J	B	
263.					Buy (add'l)	10/21/20	J		
264.					Buy (add'l)	10/22/20	J		
265.					Buy (add'l)	10/26/20	J		
266.					Buy (add'l)	10/27/20	J		
267. Koninklijke Ahold Delhaize NV SP ADR (ADRY)	A	Dividend	K	T	Buy	03/26/20	J		
268.					Buy (add'l)	05/11/20	J		
269. Leidos Holdings Inc Shs (LDOS)	A	Dividend	J	T	Buy	11/24/20	J		
270.					Buy (add'l)	11/25/20	J		
271. Lloyds Banking Group PLC (LYG)		None			Sold	03/23/20	J		
272. Lockheed Martin Corp (LMT)	A	Dividend			Sold (part)	09/02/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold	11/19/20	J	C	
274. London Stk Exchange (LNSTY)	A	Dividend			Buy	03/31/20	J		
275.					Sold	12/03/20	K	C	
276. Lowe's Companies Inc (LOW)	A	Dividend	J	T	Buy (add'l)	04/16/20	J		
277.					Sold (part)	06/15/20	J	B	
278.					Sold (part)	09/02/20	J	B	
279.					Sold (part)	10/12/20	J	B	
280.					Sold (part)	11/19/20	J	B	
281. Marathon Oil Corp (MRO)	A	Dividend			Sold	07/10/20	J		
282. Marathon Petroleum Co (MPC)	B	Dividend	K	T	Buy (add'l)	06/17/20	J		
283.					Buy (add'l)	06/18/20	J		
284.					Buy (add'l)	07/14/20	J		
285.					Buy (add'l)	11/23/20	J		
286. Marsh & McLennan Cos Inc (MMC)	A	Dividend			Sold	03/27/20	J	A	
287. Mastercard Inc (MA)	A	Dividend	K	T	Buy (add'l)	03/11/20	J		
288.					Sold (part)	05/07/20	J	B	
289.					Sold (part)	09/09/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. McKesson Corp Com (MCK)	A	Dividend	J	T	Buy (add'l)	04/24/20	J		
291.					Buy (add'l)	04/27/20	J		
292. Medtronic PLC SHS (MDT)	A	Dividend	K	T	Buy (add'l)	10/21/20	J		
293.					Sold (part)	11/19/20	J	A	
294. Merck KGAA ADR (MKKGY)	A	Dividend	K	T	Buy	03/04/20	J		
295.					Buy (add'l)	03/05/20	J		
296.					Sold (part)	10/21/20	J	A	
297.					Buy (add'l)	11/03/20	J		
298. MetLife Inc (MET)	A	Dividend	K	T	Buy (add'l)	02/25/20	J		
299. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	04/14/20	J	B	
300.					Sold (part)	04/22/20	J	C	
301.					Sold (part)	04/27/20	J	D	
302.					Sold (part)	09/11/20	J		
303. Mondelez International (MDLZ)	A	Dividend	J	T	Sold (part)	09/02/20	J	A	
304. Monster Beverage Corp New Com (MNST)		None			Sold (part)	01/06/20	J	A	
305.					Sold (part)	01/07/20	J	A	
306.					Sold	01/10/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Morgan Stanley (MS)	A	Dividend	K	T	Buy (add'l)	10/21/20	J		
308. Motorola Solutions Inc (MSI)	A	Dividend	K	T	Buy (add'l)	07/27/20	J		
309.					Buy (add'l)	07/28/20	J		
310. Murata Manufacturing Co (MRAAY)	A	Dividend	K	T	Sold (part)	05/07/20	J	A	
311.					Buy (add'l)	10/26/20	J		
312.					Sold (part)	11/24/20	J	B	
313.					Sold (part)	12/03/20	J	D	
314. Newell Brands Inc (NWL)	A	Dividend	J	T	Buy (add'l)	01/21/20	J		
315.					Sold (part)	11/23/20	J	A	
316.					Sold (part)	11/24/20	J	A	
317. Nisource Inc (NI)	A	Dividend	J	T	Buy	10/21/20	J		
318. Nextera Energy Inc Com (NEE)	A	Dividend			Sold	04/16/20	J		
319. Nordea Bank ABP SHS ADR (NRDBY)		None	K	T					
320. Northrop Grumman Corp (NOC)	A	Dividend			Sold (part)	09/03/20	J	B	
321.					Sold	09/04/20	J	B	
322. Novozymes A/S ADR (NVZMY)		None	K	T	Buy	03/30/20	J		
323.					Buy (add'l)	03/31/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Nutrien Ltd Reg Shs (NTR)	A	Dividend			Buy (add'l)	03/31/20	J		
325.					Sold	07/28/20	K	A	
326. Nvidia (NVDA)	A	Dividend	K	T	Sold (part)	01/14/20	J	A	
327.					Sold (part)	05/07/20	J	B	
328.					Sold (part)	09/09/20	J	C	
329. NXP Semiconductors N.V (NXPI)	A	Dividend	J	T	Sold (part)	04/17/20	J	B	
330.					Sold (part)	04/28/20	J	B	
331. Oneok Inc (Oklahoma) (OKE)	A	Dividend			Sold	03/10/20	J		
332. Oracle Corp. (ORCL)	A	Dividend			Sold (part)	04/14/20	J	A	
333.					Sold (part)	05/15/20	J	A	
334.					Sold	06/15/20	J	B	
335. Otsuka Holdings Co LTD (OTSKY)	A	Dividend			Sold (part)	03/18/20	J		
336.					Sold	03/19/20	J		
337. Paypal Holdings Inc (PYPL)		None	K	T	Sold (part)	03/20/20	J	A	
338.					Sold (part)	05/07/20	J	C	
339. Pentair Plc Shs (PNR)	A	Dividend			Sold (part)	06/16/20	J	A	
340.					Sold	06/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. Pepsico Inc (PEP)	A	Dividend			Sold (part)	06/15/20	J	A	
342.					Sold	06/16/20	J	B	
343. Pernod-Ricard Sa-Unspon (PDRDY)	A	Dividend	K	T	Buy	03/20/20	J		
344.					Buy (add'l)	10/26/20	J		
345. Pfizer Inc Common Stock (PFE)	A	Dividend	J	T	Sold (part)	01/14/20	J	B	
346.					Sold (part)	09/02/20	J	A	
347. Philip Morris Intl Inc (PM)	A	Dividend			Sold	01/14/20	J	A	
348. Pioneer Natural Res Co (PXD)	A	Dividend	K	T	Buy	03/31/20	J		
349.					Buy (add'l)	04/01/20	J		
350.					Buy (add'l)	04/16/20	J		
351.					Sold (part)	06/15/20	J	A	
352.					Buy (add'l)	09/04/20	J		
353. PPG Industries Inc SHS (PPG)	A	Dividend	J	T	Buy	09/08/20	J		
354.					Buy (add'l)	09/09/20	J		
355.					Buy (add'l)	10/14/20	J		
356. PPL Corporation (PPL)	A	Dividend	J	T	Buy	06/17/20	J		
357.					Buy (add'l)	06/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. Prosus N V (PROSY)	A	Dividend	K	T	Sold (part)	05/11/20	K	B	
359.					Buy (add'l)	06/01/20	K		
360.					Buy (add'l)	11/03/20	J		
361. Public Service Enterprise Group Inc Common Stock (PEG)	A	Dividend	K	T	Buy (add'l)	04/16/20	J		
362.					Buy (add'l)	05/19/20	J		
363.					Buy (add'l)	06/17/20	J		
364.					Buy (add'l)	06/18/20	J		
365. Qualcomm Inc Common Stock (QCOM)		None			Sold	01/14/20	J	B	
366. Quest Diagnostic Inc (DGX)	A	Dividend			Sold (part)	05/15/20	J	A	
367.					Sold	11/20/20	J	B	
368. Raymond James FINL Inc (RJF)	A	Dividend	J	T	Buy	01/23/20	J		
369.					Buy (add'l)	01/24/20	J		
370.					Buy (add'l)	11/24/20	J		
371.					Buy (add'l)	11/25/20	J		
372. Raytheon Technologies (RTX)	A	Dividend	K	T	Buy	09/04/20	J		
373.					Buy (add'l)	11/23/20	J		
374. Reckitt Benckiser Group (RBGLY)	A	Dividend	K	T	Buy (add'l)	05/04/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	06/10/20	J		
376.					Sold (part)	10/22/20	J	B	
377. Repsol YPF S A SPND ADR (RDSMY)	A	Dividend			Sold (part)	01/23/20	J		
378.					Sold (part)	01/24/20	J		
379.					Sold (part)	02/07/20	J		
380.					Sold (part)	02/10/20	J		
381.					Sold (part)	02/11/20	J		
382.					Sold (part)	02/12/20	J		
383.					Sold	02/14/20	J		
384. Roche Holding LTD Spons ADR Switzerland ADR (RHHBY)	A	Dividend	K	T	Buy (add'l)	05/11/20	J		
385.					Buy (add'l)	06/10/20	J		
386.					Sold (part)	07/29/20	J	C	
387. Rolls Royce Grp Spn ADR (RYCEY)	A	Dividend			Buy (add'l)	03/31/20	J		
388.					Sold	04/13/20	J		
389. Royal DSM N V Sponsored ADR (RDSMY)		None			Sold (part)	01/17/20	J	B	
390.					Sold (part)	02/25/20	J	C	
391.					Sold (part)	02/26/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold	02/27/20	J	A	
393. Royal Dutch Shell PLC Cl A (RDSA)	A	Dividend			Sold (part)	02/24/20	J		
394.					Sold	02/25/20	J		
395. RWE Ag Sponsored Adr (RWEQY)	A	Dividend	K	T	Sold (part)	02/04/20	J	A	
396.					Sold (part)	08/12/20	J	A	
397. S&P Global Inc (SPGI)	A	Dividend	J	T	Sold (part)	05/28/20	J	B	
398. Salesforce.com Inc (CRM)		None	K	T	Sold (part)	05/07/20	J	B	
399.					Sold (part)	09/09/20	J	C	
400. Sands China Ltd Unsp Adr (SCHYY)	A	Dividend	K	T	Buy (add'l)	06/10/20	J		
401.					Buy (add'l)	10/21/20	J		
402.					Buy (add'l)	12/07/20	J		
403. Sanofi Adr (SNY)	B	Dividend	K	T	Buy (add'l)	01/21/20	J		
404.					Buy (add'l)	01/22/20	J		
405.					Buy (add'l)	02/26/20	J		
406.					Buy (add'l)	03/20/20	J		
407.					Buy (add'l)	04/16/20	J		
408.					Buy (add'l)	06/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. SAP SE SHS (SAP)	A	Dividend	K	T	Sold (part)	07/13/20	J	B	
410. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Sold (part)	01/16/20	J	B	
411.					Buy (add'l)	03/10/20	J		
412.					Buy (add'l)	06/17/20	J		
413.					Buy (add'l)	06/18/20	J		
414.					Sold (part)	09/17/20	J	A	
415.					Buy (add'l)	10/21/20	J		
416. ServiceNow Inc (NOW)		None	K	T	Sold (part)	05/07/20	J	D	
417.					Sold (part)	09/09/20	J	C	
418. Shiseido Ltd Sponsrd Adr (SSDOY)	A	Dividend			Buy	03/12/20	K		
419.					Buy (add'l)	06/10/20	J		
420.					Sold	08/17/20	K		
421. Siemens Healthineers ADR (SMMNY)	A	Dividend			Buy (add'l)	06/10/20	J		
422.					Sold (part)	06/02/20	J	A	
423.					Sold (part)	08/21/20	J	A	
424.					Sold (part)	08/24/20	J	A	
425.					Sold	08/25/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Ross, Allyne R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. Siemens AG ADR (SIEGY)		None	K	T	Buy	09/09/20	J		
427.					Buy (add'l)	09/08/20	J		
428.					Buy (add'l)	11/30/20	J		
429. Smith & Nephew PLC Sponsored ADR New (SNN)	A	Dividend	K	T	Buy (add'l)	06/10/20	J		
430.					Buy (add'l)	10/21/20	J		
431. Snowflake Inc Reg SHS (SNOW)		None	J	T	Buy	09/21/20	J		
432. Sony Corp Adr New (SNE)	A	Dividend	J	T	Sold (part)	04/14/20	J	A	
433.					Sold (part)	04/15/20	J	A	
434.					Sold (part)	04/22/20	J	A	
435.					Sold (part)	01/23/20	J	A	
436.					Sold (part)	11/19/20	J	B	
437. Splunk Inc.(SPLK)		None	K	T	Buy (add'l)	03/11/20	J		
438.					Sold (part)	05/07/20	J	B	
439.					Sold (part)	09/17/20	J	A	
440. Stanley Black & Decker (SWK)		None	J	T	Buy	10/15/20	J		
441.					Buy (add'l)	10/16/20	J		
442.					Buy (add'l)	11/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

Ross, Allyne R.

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. State Street Corp (STT)	A	Dividend			Sold (part)	01/16/20	J	B	
444.					Sold (part)	05/15/20	J		
445.					Sold	05/18/20	J		
446. Taiwan S Manufacturing ADR (TSM)	A	Dividend	K	T	Buy	04/21/20	J		
447.					Buy (add'l)	06/10/20	J		
448.					Sold (part)	07/24/20	J	B	
449.					Sold (part)	10/21/20	J	B	
450. Temenos AG (TMSNY)		None	K	T	Buy	09/10/20	J		
451.					Buy (add'l)	10/21/20	J		
452. TJX Cos Inc New (TJX)		None	K	T	Buy	06/17/20	J		
453.					Buy (add'l)	06/18/20	K		
454. Total S.E. France Spon ADR (TOT)	A	Dividend			Buy (add'l)	07/29/20	K		
455.					Sold (part)	09/09/20	J		
456.					Buy (add'l)	10/21/20	J		
457.					Sold (part)	11/02/20	J		
458.					Sold	11/09/20	J		
459. Trade (the) Desk Inc Shs Cl A (TTD)		None	K	T	Buy (add'l)	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting	Date of Report
Ross, Allyne R.	03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	05/07/20	J	B	
461.					Sold (part)	09/09/20	J	B	
462.					Sold (part)	10/06/20	J	B	
463.					Sold (part)	11/19/20	J	B	
464. Transunion (TRU)	A	Dividend	K	T	Sold (part)	05/07/20	J	B	
465.					Sold (part)	09/17/20	J	B	
466. Travelers Cos. Inc (TRV)	A	Dividend			Sold (part)	04/14/20	J	A	
467.					Sold (part)	06/15/20	J	A	
468.					Sold	06/16/20	J	A	
469. UCB Unspnd ADR (UCBJY)		None	K	T	Buy	07/16/20	J		
470.					Buy (add'l)	07/31/20	J		
471.					Buy (add'l)	10/21/20	J		
472. Ulta Beauty Inc (ULTA)		None	J	T	Sold (part)	05/07/20	J	A	
473.					Sold (part)	09/17/20	J	A	
474. Unilever PLC New ADR (UL)	B	Dividend	K	T	Buy (add'l)	01/23/20	J		
475.					Buy (add'l)	01/24/20	J		
476.					Buy (add'l)	04/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	04/27/20	J		
478.					Buy (add'l)	05/19/20	J		
479.					Sold (part)	10/13/20	J	A	
480.					Sold (part)	10/14/20	J	A	
481. Union Pacific Corp (UNP)	A	Dividend	J	T	Buy (add'l)	04/16/20	J		
482.					Buy (add'l)	07/29/20	J		
483. United Health Group Inc (UNH)	A	Dividend	K	T	Sold	02/03/20	J	C	
484.					Buy	03/18/20	J		
485.					Buy (add'l)	03/31/20	J		
486. US Bancorp (USB)	A	Dividend			Buy	05/19/20	J		
487.					Sold	11/19/20	J	C	
488. Verizon Communciations Inc (VZ)	B	Dividend	L	T	Sold (part)	04/14/20	J	A	
489.					Buy (add'l)	05/11/20	J		
490.					Sold (part)	11/23/20	J	A	
491. Visa Inc. CL A (V)	A	Dividend	L	T	Buy (add'l)	03/25/20	J		
492.					Sold (part)	03/18/20	J	B	
493.					Buy (add'l)	04/24/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	04/29/20	J		
495.					Sold (part)	04/24/20	J	C	
496.					Buy (add'l)	05/20/20	J		
497.					Sold (part)	05/07/20	J	B	
498. Vontier Corp (VNT) (X)		None			Sold	10/20/20	J		
499. Waste Connections Inc (WCN)	A	Dividend	J	T	Sold (part)	03/18/20	J	A	
500.					Sold (part)	09/17/20	J	A	
501. Wells Fargo & Co New (WFC)	B	Dividend	K	T	Sold (part)	03/06/20	J	A	
502.					Buy (add'l)	07/20/20	J		
503.					Buy (add'l)	09/04/20	J		
504.					Buy (add'l)	11/23/20	J		
505. Williams Companies Del (WMB)	B	Dividend	J	T	Sold (part)	06/17/20	J		
506.					Sold (part)	06/18/20	J		
507.					Sold (part)	11/23/20	J		
508. Willis Toweres Watson PLC (WLTW)	A	Dividend	J	T	Sold (part)	06/15/20	J	A	
509.					Sold (part)	06/16/20	J	B	
510.					Sold (part)	10/12/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Xylem Inc SHS (XYL)	A	Dividend	J	T	Sold (part)	05/07/20	J	A	
512.					Sold (part)	09/17/20	J	A	
513. Yum China Holdings Inc (YUMC)	A	Dividend	K	T	Buy	11/09/20	K		
514. Zimmer Biomet Hold (ZBH)		None	J	T	Buy	11/24/20	J		
515. Zoetis Inc (ZTS)	A	Dividend	K	T	Sold (part)	03/18/20	J	A	
516.					Sold (part)	05/28/20	J	B	
517. Zurich Insurance Group (ZURVY)		None	K	T	Buy	07/29/20	K		
518.					Buy (add'l)	10/21/20	J		
519. 3M Co. (MMM)		None			Sold	01/16/20	J		
520. Account #6 (H)									
521. Merrill Lynch Bank Deposit (cash) (X)	A	Interest	J	T					
522. NY State Dorm Auth St (64990FMX9)	B	Interest			Redeemed	02/18/20	L		
523. Metropolitan Transit Authority Bond (59259ND83)	C	Interest			Redeemed	11/16/20	L		
524. Dormitory Auth of NY Bond (649906DJ0)	B	Interest	K	T	Sold (part)	12/02/20	K		
525. Sachem Cent Sch Dist NY (785721VG3)	A	Interest			Sold	07/06/20	K	A	
526. NY State Environmental Bond (64985HKC0)	B	Interest	L	T					
527. Pelham NY UN Free Sch Dist (705795PZ9)		None	K	T	Buy	11/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. 34th Street Partnership Bond (884286BX2)	C	Interest	L	T					
529. Bay Shore NY Union Free School District (072581JX0)	B	Interest	L	T					
530. Hudson Yd Infra Corp NY (44420RAD2)	B	Interest	K	T					
531. Erie NY Indl Dev Agy Sch FC RV Ref (29509PJC4)	C	Interest	L	T					
532. University California Revs (91412G2U8)	B	Interest	L	T					
533. Tribbborough Brdg & Tunl (89602NK37)	B	Interest	L	T	Buy	02/21/20	L		
534. Erie County NY Bond (295084LGO)	C	Interest	L	T					
535. Sales Tax Asset (794665FM6)	C	Interest	L	T					
536. Shenendehowa NY Bond (823194F75)	B	Interest	K	T					
537. New York N Y Go BDS 2018 (64966MRT8)	B	Interest	K	T					
538. Matanuska-Susitna Boro (576544S68)	B	Interest	L	T					
539. NY St Dorm Auth Sales Tax (64990AEY7)	B	Interest	K	T					
540. NYC Transit Bond (64972HVG4)	B	Interest	L	T					
541. Tulsa CO Ok Indl At Edl (89952PFE0)	B	Interest	L	T					
542. Port Auth N Y & N J Cons (73358WRU0)	B	Interest	L	T					
543. Chicago O'Hare Airport Bond (167593RF1)	B	Interest	K	T					
544. New York NY Mun WFA (64972GPL2)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. NY St Dorm Auth RE (64990CDS7)	B	Interest	L	T					
546. Cook Co Il Cmnty Cons SD (213291RF6)		None	L	T	Buy	08/19/20	K		
547. Hudson Yd Infra Corp NY (44420RAT7)	A	Interest			Sold	07/29/20	K	C	
548. New York NY City TFA Rev (64971XCN6)	C	Interest	K	T					
549. New York St Twy Auth Gen (650009S95)	A	Interest	K	T	Buy	02/20/20	K		
550. New York St Dorm Auth St (64990FKQ6)	A	Interest	K	T					
551. Illinois Fin Auth Rev (45204EFA1)	A	Interest	K	T	Buy	07/22/20	K		
552. New York St Urban Dev (650036CY0)		None	L	T	Buy	12/23/20	L		
553. Port Auth N Y & N J (73358W4K7)	A	Interest	K	T					
554. Account #7 (H)									
555. ML Bank Deposit Program (cash) (Y)									
556. Account #8 (H)									
557. Vanguard Commodity Strategy Admiral CL (VCM DX)	A	Dividend	M	T	Buy	03/23/20	K		
558.					Buy (add'l)	05/08/20	K		
559. Vanguard Explorer Value Investor CL (VEVFX)	A	Dividend	M	T	Buy	06/09/20	L		
560. Vanguard Explorer Admiral CL (VEXRX)	D	Dividend	M	T	Buy	03/23/20	M		
561. Invesco Oppenheimer Developing Markets CL Y (ODVYX)	A	Dividend	M	T	Buy	03/23/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Vanguard FTSE Emerging Markets ETF (VWO)	D	Dividend	N	T	Buy	03/23/20	N		
563. Vanguard FTSE Europe ETF (VGK) Vanguard FTSE Europe ETF (VGK)	D	Dividend	N	T	Buy	03/23/20	N		
564. Vanguard Global Real Estate Fund (VNQI)		None			Buy	03/23/20	L		
565.					Sold	06/08/20	L		
566. Vanguard Mid Cap ETF (VO)	E	Dividend	P1	T	Buy	03/23/20	P1		
567. iShares Core S&P Small Cap ETF (IJR)	C	Dividend	N	T	Buy	03/23/20	M		
568. Wisdomtree US Small Cap Div Grwth (DGRS)	C	Dividend	M	T	Buy	03/23/20	M		
569. Wisdomtree Global Ex US Qlty Div Growth ETF (DNL)	C	Dividend	M	T	Buy	03/23/20	M		
570. BBX Capital Inc Cl A (BBXIA) (X)		None	K	T					
571. Bluegreen Vacations Holdings Corp Cl A (BVH)		None	L	T	Buy	03/23/20	L		
572. General Electric Co (GE)	A	Dividend	J	T	Buy	03/23/20	J		
573. Account #9 (H)									
574. Vanguard Commodity Strategy Admiral CL (VCMDX)		None			Sold	03/23/20	L		
575. Vanguard Explorer Admiral CL (VEXRX)		None			Sold	03/23/20	M		
576. Vanguard Ltd-Term Tax-Exempt Fund (VMLUX)	D	Dividend	N	T					
577. Vanguard High-Yield Tax-Exempt Fund (VWALX)	D	Dividend	N	T					
578. Vanguard Intermediate Term Tax Exempt Adm (VWIUX)	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Invesco Oppenheimer Developing Markets CL Y (ODVYX)		None			Sold	03/23/20	M		
580. Vanguard Emerging Markets Index Fund (VWO)		None			Sold	03/23/20	N		
581. Vanguard Europe ETF (VGK)		None			Sold	03/23/20	N		
582. Vanguard Global Real Estate Fund (VNQI)		None			Sold	03/23/20	M		
583. Vanguard Mid Cap ETF (VO)		None			Sold	03/23/20	P1		
584. IShares Core S&P Small Cap ETF (IJR)		None			Sold	03/23/20	M		
585. Wisdom Tree U.S. Small Cap. Div. Growth ETF (DGRS)	A	Dividend			Sold	03/23/20	M		
586. Wisdom Tree Global ex-US Quality Dividend (DNL)		None			Sold	03/23/20	M		
587. BBX Capital Corp New Cl A (BBX)	A	Dividend			Sold	03/23/20	M		
588. General Electic Co (GE)	A	Dividend			Sold	03/23/20	J		
589. Account #10 (H)									
590. Fidelity Int'l Small Cap (FISMIX)	C	Dividend			Sold	01/21/20	M		
591. Fidelity Zero International Index (FZILX)	B	Dividend			Sold	01/21/20	K		
592. Fidelity Zero Total Market Index (FZROX)	A	Dividend			Sold	01/21/20	K		
593. Dodge & Cox Stock (DODGX)	E	Dividend			Sold	01/21/20	N		
594. Wisdomtree TR US Midcap Dividend (DON)	C	Dividend			Sold	01/21/20	M		
595. Account #11 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. Fidelity Int'l Small Cap (FISMX)	B	Dividend	M	T	Buy	01/21/20	M		
597. Fidelity Zero International Index (FZILX)	A	Dividend	L	T	Buy	01/21/20	K		
598.					Buy (add'l)	08/31/20	J		
599. Fidelity Zero Total Market Index (FZROX)	A	Dividend	L	T	Buy	01/21/20	K		
600. Dodge & Cox Stock (DODGX)	E	Dividend	O	T	Buy	01/21/20	N		
601. Wisdomtree TR US Midcap Dividend (DON)	C	Dividend	M	T	Buy	01/21/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: No underlying investment options.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Equitable Holdings Inc (EQH) was AXA Equitable Holdings Inc (EQH).

Unilever PLC New ADR (UL) was Unilever NV NY SHS New (UN).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allyne R. Ross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544