

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Pregerson, Dean D.	2. Court or Organization U.S. District Court, Central District of California	3. Date of Report 04/26/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 312 N. Spring Street Los Angeles, CA 90012		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisory Board Member	Salvation Army Los Angeles Metropolitan
2.	Trustee	Trust #1 (reportable assets are included in Part VII)
3.	Co-Trustee	Trust #2 (reportable assets are included in Part VII)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Pregerson, Dean D.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting Pregerson, Dean D.	Date of Report 04/26/2021
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Bank of America (cash) (X)	A	Interest	K	T					
3. Real Estate, Pacific Palisades, CA	F	Rent	P1	W					
4. Account #1 (H)									
5. Charles Schwab Bank Sweep (cash) (X)	A	Interest	N	T					
6. Schwab Money Market (SWGXX) (Y)									
7. Crossingbridge Low Dur High Yield I (CBLDX)	A	Dividend			Sold	04/28/20	J		
8. DoubleLine Total Return Bond I (DBLTX)	B	Dividend			Sold (part)	04/17/20	J		
9.					Sold (part)	04/28/20	K		
10.					Sold	06/12/20	L		
11. Eaton Vance Floating Rat E Advantage I (EIFAX)	B	Dividend	L	T	Buy	06/12/20	L		
12. Osterweis Strategic Inco Me (OSTIX)	B	Dividend	L	T	Buy	06/12/20	L		
13. Riverpark Floating Rate CMBS Fd I (RCRIX)	A	Dividend			Sold (part)	04/28/20	J		
14.					Sold	06/12/20	L		
15. Riverpark Short Term Hi Yield (RPHIX)	A	Dividend			Sold (part)	04/28/20	J		
16.					Sold	06/12/20	L		
17. Robinson Tax Advantaged (ROBNX)	A	Dividend			Sold	01/27/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Stone Ridge ALT Lending (LENDX)	A	Dividend	J	T	Sold (part)	03/13/20	J		
19.					Sold (part)	09/11/20	J		
20.					Sold (part)	12/04/20	J		
21. VOYA Securitized Credit (VCFIX)	B	Dividend			Buy (add'l)	04/28/20	K		
22.					Sold	06/12/20	L		
23. Cohen & Steers Inst Realty Shares (CSRIX)	A	Dividend			Buy	03/19/20	L		
24.					Sold	06/12/20	M	E	
25. Doubleline Strategic Commodity Fd I (DBCMX)		None			Sold	03/19/20	L		
26. Elements Emerging Markets Port (ELMMX)		None			Sold	04/22/20	K		
27. Elements International Port (ELINX)		None			Sold	04/22/20	L		
28. Elements International Small Cap Port (ELISX)		None			Sold	04/22/20	K		
29. Elements US Port (ELUSX)		None			Buy (add'l)	03/04/20	J		
30.					Buy (add'l)	03/18/20	J		
31.					Sold	04/22/20	O		
32. Elements US Small Cap Port (ELSMX)		None			Sold	04/22/20	L		
33. Goldman Sachs MLP Energy (GMLPX)	B	Dividend			Sold	03/19/20	K		
34. Akre Focus Instl (AKRIX)		None	M	T	Buy	06/12/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Ariel International Inst Itutional (AINIX)	A	Dividend	L	T	Buy	06/15/20	L		
36. Clarkston Partners Insti Tuional (CISMx)	B	Dividend	L	T	Buy	06/12/20	L		
37. Matthews Asia Divident I Nstl (MIPIX)	A	Dividend	L	T	Buy	06/12/20	L		
38. Spyglass Growth Institutional (SPYGX)	B	Dividend	L	T	Buy	06/12/20	L		
39. StoneRidge Reinsurance Risk Premium Fd (SRRIX)	A	Dividend	J	T	Sold (part)	02/28/20	J		
40.					Sold (part)	08/21/20	J		
41. Vanguard Developing Mkts Index Adm (VTMGX)		None			Buy	04/22/20	L		
42.					Sold	06/12/20	L		
43. Vanguard Emerging Mkts Stk Index Fd Adm (VEMAX)		None			Buy	04/22/20	K		
44.					Sold	06/12/20	K		
45. Vanguard FTSE All World Ex US Sm Cap Index Fd Ad M Shs (VFSAX)		None			Buy	04/22/20	K		
46.					Sold	06/12/20	K		
47. Vanguard Small Cap Index Admiral Share (VSMAX)		None			Buy	04/22/20	L		
48.					Sold	06/12/20	L		
49. Vanguard 500 Index Fd Admiral Shrs (VFIAX)	C	Dividend	N	T	Buy	04/22/20	O		
50.					Sold (part)	06/12/20	M		
51. Variant Alt Incm Fd Inst (NICHX)	C	Dividend			Buy	01/28/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold	09/15/20	L		
53. WCM Focused International Growth Instl (WCMIX)	B	Dividend	M	T	Buy	06/12/20	L		
54. Oca Orcc III LLC (X)		None	K	U					
55. Account #2 (H)									
56. Charles Schwab Bank Sweep (cash) (X)	A	Interest	J	T					
57. Double Line Total Return Bond (DBLTX)	A	Dividend			Sold	06/12/20	J		
58. Robinson Tax Advantaged (ROBNX)	A	Dividend			Sold	01/27/20	J		
59. Eaton Vance Floating Rat E Advantage I (EIFAX)	A	Dividend	J	T	Buy	06/12/20	J		
60. Osterweis Strategic Inco Me (OSTIX)	A	Dividend	J	T	Buy	06/12/20	J		
61. Stone Ridge Alt Lending (LENDX) (Y)									
62. Akre Focus Instl (AKRIX)		None	J	T	Buy	06/12/20	J		
63. Ariel International Inst Itutional (AINIX)	A	Dividend	J	T	Buy	06/12/20	J		
64. Clarkston Partners Insti Tutional (CISMIX)	A	Dividend	J	T	Buy	06/12/20	J		
65. Matthews Asia Divident I Nstl (MIPIX)	A	Dividend	J	T	Buy	06/12/20	J		
66. Spyglass Growth Institutional (SPYGX)	A	Dividend	J	T	Buy	06/12/20	J		
67. Voya Securitized Credit (VCFIX)	A	Dividend			Buy (add'l)	01/29/20	J		
68.					Sold	06/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. Cohen & Steers Inst Realty Shares (CSRIX)	A	Dividend			Buy	03/19/20	J		
70.					Sold	06/12/20	J		
71. Doubleline Strategic Commodity Fd I (DBCMX)		None			Buy (add'l)	01/29/20	J		
72.					Sold	03/19/20	J		
73. Elements International Port (ELINX)		None			Sold	04/22/20	J		
74. Elements US Port (ELUSX)		None			Sold	04/22/20	J		
75. Elements US Small Cap Port (ELSMX)		None			Sold	04/22/20	J		
76. Goldman Sachs MLP Energy (GMLPX) (Y)									
77. Oakmark International Sm Cap Fd (OAKEX) (Y)									
78. Vanguard Developed Mkts Index Adm (VTMGX)		None	J	T	Buy	04/22/20	J		
79.					Sold (part)	06/12/20	J		
80. Vanguard 500 Index Fund (VFIAX)	A	Dividend			Buy	04/22/20	J		
81.					Sold	06/12/20	J		
82. Variant Alt Incm Fd Inst (NICHX)	A	Dividend			Buy	01/28/20	J		
83.					Sold	09/15/20	J		
84. WCM Focused International Growth Instl (WCMIX)	A	Dividend	J	T	Buy	06/12/20	J		
85. Account #3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86. Charles Schwab Bank Sweep (cash) (X)	A	Interest	O	T					
87. Schwab Govt money Market (SNVXX) (cash) (Y)									
88. IShares Russell 2000 (IWM)	A	Dividend			Sold	04/08/20	L	D	
89. Vanguard Growth (VUG)	B	Dividend	N	T					
90. Xtracters Msci Europe Hedged Equity (DBEU)		None			Sold	06/12/20	M		
91. Angel Oak Multi Strategy Incm Fd Instl (ANGIX)	C	Dividend			Sold (part)	06/12/20	K		
92.					Sold	07/15/20	M		
93. Doubleline Total Return Bd Fd I (DBLTX)	A	Dividend			Sold	03/19/20	L		
94. Eaton Vance Floating Rate & High Inc I (EIFHX)	C	Dividend	M	T					
95. Osterweis Strategic Income Fund (OSTIX)	C	Dividend	M	T					
96. Vanguard Short Term Tax Exempt Admiral Share (VWSUX)	B	Dividend			Sold	03/20/20	N		
97. Ariel Intl Fd Inst (AINIX)	B	Dividend	M	T					
98. Clarkston Partners Insti (CISMX)	D	Dividend	M	T	Buy	04/08/20	L		
99. Matthews Asia Dividend (MIPIX)	B	Dividend	M	T					
100. Spyglass Growth Institutional (SPYGX)	C	Dividend	M	T	Buy	04/08/20	L		
101. Vanguard Mid Cap Value Index (VMVAX)	B	Dividend			Sold	04/08/20	N		
102. Vanguard 500 Index Fund (VFIAX)	D	Dividend	O	T	Buy (add'l)	04/08/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

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103. WCM Focused Int'l Growth Fund (WCMIX)	C	Dividend	M	T					
104. Account #4 (H)									
105. Charles Schwab Bank Sweep (cash) (X)	A	Interest	M	T					
106. Vanguard Growth (VUG) (X)		None	L	T					
107. Eaton Vance Floating Rate & High Inc I (EIFHX) (X)	A	Dividend	L	T					
108. Osterweis Strategic Income Fund (OSTIX) (X)	A	Dividend	K	T					
109. Akre Focus Instl (AKRIX) (X)		None	L	T					
110. Ariel Intl Fd Inst (AINIX) (X)	A	Dividend	K	T					
111. Clarkston Partners Insti Tutional (CISMX) (X)	B	Dividend	K	T					
112. Matthews Asia Dividend (MIPIX) (X)		None	K	T					
113. Spyglass Growth Institutional (SPYGX) (X)	B	Dividend	L	T					
114. Vanguard 500 Index Fund (VFIAX) (X)	B	Dividend	N	T					
115. WCM Focused Int'l Growth Fund (WCMIX) (X)	B	Dividend	L	T					
116. Account #5 (H)									
117. Charles Schwab Bank Sweep (cash)	A	Int./Div.	P1	T	Open	04/07/20	P1		
118. Akre Focus Instl (AKRIX)		None	L	T	Buy	10/29/20	K		
119. Ariel Intl Fd Inst (AINIX)	A	Dividend	K	T	Buy	10/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Clarkston Partners Insti Tutional (CISMXX)	A	Dividend	K	T	Buy	10/29/20	J		
121. Edgewood Growth Instl (EGFIX)	A	Dividend	K	T	Buy	10/29/20	K		
122. Matthews Asia Dividend (MIPIX)		None	K	T	Buy	10/29/20	J		
123. Spyglass Growth Institutional (SPYGX)	A	Dividend	K	T	Buy	10/29/20	J		
124. Vanguard 500 Index Fund (VFIAX)	A	Dividend	L	T	Buy	10/29/20	L		
125. WCM Focused Int'l Growth Fund (WCMIX)	A	Dividend	K	T	Buy	10/29/20	K		
126. Account #6 (H)									
127. Charles Schwab Bank Sweep (cash) (X)	A	Interest	M	T					
128. Schwab Money Market (SWFXX) (cash) (Y)									
129. Astrazeneca Plc Sponsored Adr (AZN)		None			Buy	04/15/20	K		
130.					Sold	06/08/20	K		
131. Microsoft Corp (MSFT)	E	Dividend	P1	T					
132. Sorrento Therapeutcs (SRNE)		None			Buy	06/08/20	K		
133.					Sold	08/10/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pregerson, Dean D.

Date of Report

04/26/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Pregerson, Dean D.

Date of Report

04/26/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dean D. Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544