

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bush, John K.	2. Court or Organization 6th Circuit	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 208 Gene Snyder U.S. Courthouse 601 W. Broadway Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Brandeis School of Law, University of Louisville, teaching income	\$2,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Landrum & Shouse LLP
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federalist Society	01/24/2020 - 01/26/2020	Simi Valley, CA	Seminar Speaker	transportation, lodging, food
2.	Law & Economic Center, George Mason University Scalia School of Law	02/01/2020 - 02/09/2020	Key West, FL	Seminar Attendee	transportation, lodging, food
3.	Law & Economic Center, George Mason University, Scalia School of Law	09/26/2020 - 10/02/2020	Pray, MT	Seminar Attendee	transportation, lodging, food
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Fifth Third Bank	Line of Credit	K
2. Navient	Student Loans	L
3. Capital One	Credit Card	J
4. Synchrony Bank	Credit Card	J
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Vanguard Explorer Fund	A	Int./Div.	J	T					
3. --Wellington Fund Investment	A	Int./Div.	L	T					
4. --Vanguard Total Bond Market Index Fund	A	Int./Div.	K	T					
5. --Windsor Fund Amiliral	A	Int./Div.	M	T					
6. IRA #2									
7. --Federated Kaufman Fund	A	Dividend	J	T					
8. IRA #3									
9. --Allergen PLC Stock	A	Dividend			Sold (part)	02/26/20	J	B	
10. --Alphabet Stock		None	K	T	Sold (part)	03/07/20	J	B	
11. --Apple Inc. Stock	A	Int./Div.	K	T	Sold (part)	01/09/20	J	A	
12. --Apple Inc. Stock					Sold (part)	05/04/20	J	A	
13. --Apple Inc. Stock					Sold (part)	07/07/20	J	B	
14. --Apple Inc. Stock					Sold (part)	08/26/20	J	D	
15. --Berkshire Hathaway Series B Stock		None	K	T	Buy (add'l)	03/26/20	J		
16. --CarMax Inc.Stock		None	J	T					
17. --Charles Schwab Corp. Stock	A	Dividend	J	T	Buy	08/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Cisco Systems Inc. Stock	A	Dividend	J	T					
19. --Expeditors Int'l Washington Stock	A	Dividend	J	T					
20. --Facebook Inc. Class A Stock	A	Dividend	J	T	Buy	07/07/20	J		
21. --Fastenal Co. Stock	A	Dividend	K	T					
22. --Harley Davidson Stock	A	Dividend			Sold (part)	05/04/20	J	A	
23. --Home Depot Stock	A	Dividend	K	T	Sold (part)	07/07/20	J	A	
24. --Johnson & Johnson Stock	A	Dividend	K	T					
25. --JP Morgan Chase & Co. Stock	A	Dividend	K	T					
26. --Microsoft Corp. Stock	A	Dividend	K	T	Sold (part)	03/26/20	J	B	
27. --Microsoft Corp. Stock					Sold (part)	07/07/20	J	B	
28. --Northern Trust Corp. Stock	A	Dividend	J	T					
29. --Omnicom Group Stock	A	Dividend	J	T					
30. --O'Reilly Automotive Inc. Stock		None	J	T	Sold (part)	07/07/20	J	A	
31. --Pfizer Int'l Stock	A	Dividend	J	T	Buy (add'l)	01/09/20	J		
32. --Progressive Corp OH Stock	A	Dividend	K	T	Buy (add'l)	01/09/20	J		
33. --TE Connectivity Ltd. Stock	A	Dividend	K	T					
34. --TJX Cos. Inc. Stock		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --Union Pacific Corp. Stock	A	Dividend	J	T					
36. --US Bancorp DE Stock	A	Dividend	J	T					
37. --Walt Disney Co. Stock	A	Dividend	K	T					
38. --Wells Fargo & Co, Stock	A	Dividend	J	T					
39. --Alphabet Inc. Sr. Unsecured Bonds	A	Interest	K	T					
40. --Apple, Inc. Sr. Unsecured Bonds	A	Interest	K	T					
41. --Berkshire Hathaway Inc. Sr. Unsecured Bonds	A	Interest	K	T					
42. --Home Depot Inc. Sr. Unsecured Callable Bonds	A	Interest	K	T					
43. --JPMorgan Chase & Co. Unsecured Callable Bonds	A	Interest	K	T					
44. --Microsoft Corp. Senior Note Bonds	A	Interest			Sold	10/01/20	J	A	
45. --Northern Trust Corp. Senior Unsecured Bonds	A	Interest	K	T					
46. --O'Reilly Automotive Inc. Sr. Unsecured Note Bonds	A	Interest	K	T					
47. --Pfizer Inc. Sr. Unsecured Bonds	A	Interest	K	T					
48. --TJX Cos. Inc. Sr. Unsecured Callable Bonds	A	Interest	K	T					
49. --Artison Int'l Fund	A	Int./Div.	K	T	Sold (part)	01/02/20	J	A	
50. --Janus Henderson Funds Small Cap Value Fund	A	Int./Div.	K	T	Sold (part)	08/10/20	J	A	
51. --Oakmark Int'l Fund Advisor	A	Int./Div.	K	T	Sold (part)	01/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. --Virtus Vontobel Emerging Mkts	A	Int./Div.	L	T	Sold (part)	01/02/20	J	A	
53. Massachusetts Mutual (whole life)	A	Dividend	J	T					
54. Northwestern Mutual (whole life)	A	Dividend	J	T					
55. Fifth Third Bank	A	Interest	J	T					
56. Commonwealth Bank & Trust	A	Interest	J	T					
57. PNC Bank	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John K. Bush**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544