

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PHILLIPS, KEITH L.	2. Court or Organization RICHMOND DIVISION - USBANKRUPTCY COURT - EASTERNDIVISION VA	3. Date of Report 07/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 701 EAST BROAD STREET, 5TH FLOOR RICHMOND, VA 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. LLC MEMBER	BLVD LLC
2. GENERAL PARTNER	EKP ASSOCIATES, L.C.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	ORAL AGREEMENT WITH FORMER LAW FIRM TO RECEIVE COMPENSATION FOR LEGAL SERVICES RENDERED PRIOR TO BECOMING A JUDGE. NO CONTROL IN FORMER LAW FIRM.
2. 2013	ORAL AGREEMENT WITH SUCCESSOR CHAPTER 7 TRUSTEES TO RECEIVE COMMISSIONS EARNED FOR SERVICES PERFORMED PRIOR TO BECOMING A JUDGE. NO CONTROL OVER TRUSTEE CASES.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMORGAN CHASE BANK	MORTGAGE - RENTAL PROPERTY #1/RICHMOND, VA (PT VII, LINE 1)	J
2.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #1/RICHMOND, VA (PT VII, LINE 2)	J
3.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #2/RICHMOND, VA (PT VII, LINE 2)	J
4.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #3/RICHMOND, VA (PT VII, LINE 2)	J
5.	NATIONSTAR MORTGAGE	MORTGAGE - EKP ASSOCIATES - RENTAL PROPERTY #4/RICHMOND, VA (PT VII, LINE 2)	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. RENTAL PROPERTY #1/RICHMOND, VA 2002 - \$36,750 (50%)	D	Rent	L	R					
2. EKP ASSOCIATES LC - RENTAL PROPERTIES/RICHMOND, VA (50%)	E	Rent	N	U					
3. BLVD, LLC (50% OWNERSHIP)	B	Distribution	M	U					
4. WELLS FARGO - CHECKING ACCOUNT	A	Int./Div.	J	T					
5. BB&T #1 - CHECKING ACCOUNT		None	K	T					
6. BB&T #2 - CHECKING ACCOUNT		None	J	T					
7. (H) FIDELITY INVESTMENTS									
8. FIDELITY INVESTMENTS - CASH ACCOUNT	A	Interest	J	T					
9. FIDELITY MUNICIPAL MONEY MARKET	A	Interest	J	T					
10. FIDELITY MONEY MARKET	A	Interest	J	T					
11. FIDELITY TREASURY ONLY MONEY MARKET FD	A	Interest	J	T					
12. FIDELITY TREASURY MONEY MARKET FUND	A	Interest	J	T					
13. (H) FIDELITY INVESTMENTS									
14. SYNCHRONY BANK RET 2.30% 03/30/20 FORMERLLY GE CAP RETAIL BK DRAPER UT	A	Interest			Redeemed	03/30/20	J	A	
15. GOLDMAN SACHS BANK USA CD FORMERLY GE CAP BK RETAIL CD 2.85% 09/16/21	A	Interest	J	T					
16. BANK INTERNET USA SAN DIEGO CA 3.550% 03/31/20	A	Interest			Redeemed	03/31/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. GOLDMAN SACHS BANK USA CD FORMERLY GE CAP BK INC CD 2.90% 11/16/21	A	Interest	J	T					
18. SYNCHRONY BANK RET 2.90% 12/02/21	A	Interest	J	T					
19. GOLDMAN SACHS BK USA NY 2.15% 10/07/2020	A	Interest			Redeemed	10/07/20	J	A	
20. GOLDMAN SACHS BK USA NY CD 2.35% 11/28/22	A	Interest	J	T					
21. GOLDMAN SACHS BK USA NY CD 2.60% 11/25/2022	A	Interest	J	T					
22. DISCOVER BK GREENWOOD DEL 2.65% 11/22/2020	A	Interest			Redeemed	11/06/20	J	A	
23. DISCOVER BK CD 1.95% 09/14/2020	A	Interest			Redeemed	09/14/20	J	A	
24. GOLDMAN SACHS BK USA CD 2.85% 10/14/2021	A	Interest	J	T					
25. CAPITAL ONE BANK USA NATL ASSN CD 2.40% 03/29/2022	A	Interest	J	T					
26. HSBC BK USA N A MCLEAN VA CD 2.15% 07/26/21	A	Interest			Redeemed	01/27/20	J	A	
27. STATE BK INDIA NEW YORK 3.25% 10/17/24	A	Interest	J	T					
28. WELLS FARGO BANK NATL ASSN CD 2.50% 12/08/2022	A	Interest	J	T					
29. HSBC BK USA N A MCLEAN VA CD 3.40% 02/11/2032	A	Interest			Redeemed	02/11/20	J	A	
30. STATE BANK INDIA NY NY 3.35% 01/31/2024	A	Interest			Redeemed	04/30/20	J	A	
31. SILVERGATE BK LA JOLLA CALIF CD 2.70% 05/31/2023	A	Interest	J	T					
32. WELLS FARGO BANK NATL ASSN CD 2.00% 12/18/2023	A	Interest			Redeemed	09/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
33. WELLS FARGO BANK NATL ASSN CD 2.10% 07/30/2024	A	Interest	J	T	Buy	01/30/20	J		
34. WELLS FARGO BANK NATL ASSN CD 2.10% 07/30/2024	A	Interest			Redeemed	07/30/20	J	A	
35. WELLS FARGO BANK NATL ASSN CD 2.15% 07/30/2025	A	Interest			Buy	01/30/20	J		
36. WELLS FARGO BANK NATL ASSN CD 2.15% 07/30/2025	A	Interest			Redeemed	07/30/20	J	A	
37. MORGAN STANLEY BK N A UTAH CD 3..30% 09/20/23	A	Interest	K	T	Buy	01/22/20	K		
38. JPMORGAN CHASE BK N A CD 1.15% 08/28/2030	A	Interest	K	T	Buy	09/17/20	K		
39. JPMORGAN CHASE BK N A CD 1.00% 02/18/2029	A	Interest	J	T	Buy	09/29/20	J		
40. MEDALLION BK UTAH CD 1.85% 09/23/2024	A	Interest	J	T	Buy	09/29/20	J		
41. TEXAS EXCHANGE BK CROWLEY CD 0.90% 10/01/2027	A	Interest	J	T	Buy	10/02/20	J		
42. CITIBANK N A CD 3.20% 02/17/2026	A	Interest	J	T	Buy	11/19/20	J		
43. DISCOVER BK CD 2.15% 04/13/26	A	Interest	J	T	Buy	11/19/20	J		
44. GOLDMAN SACHS BK USA CD 2.90% 01/13/26	A	Interest	J	T	Buy	11/19/20	J		
45. GOLDMAN SACHS BK USA CD 2.05% 07/20/26	A	Interest	J	T	Buy	11/19/20	J		
46. FIDELITY INVESTMENTS CASH ACCOUNT	A	Interest	K	T					
47. (H) JANNEY MONTGOMERY SCOTT LLC #1									
48. CLEARBRIDGE VALUE	A	Dividend			Sold	12/01/20	K	D	
49. JANNEY INSURED SWP	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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50. VA ST RES AUTH - TAX EXEMPT OID	A	Int./Div.	K	T					
51. VIRGINIA 529 PREPAID PLAN #1 - 8 SEMESTER TIER I		None	K	V					
52. VIRGINIA 529 PREPAID PLAN #2 - 8 SEMESTER TIER I		None	K	V					
53. VIRGINIA 529 PREPAID PLAN #3 - 8 SEMESTER TIER		None	J	V					
54. (H) IRA #1, JANNEY MONTGOMERY SCOTT LLC									
55. - CASH ACCOUNT, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
56. - CLEARBRIDGE SPECIAL SM CAP INVESTMENT FUND	A	Dividend	K	T	Sold (part)	07/21/20	J	A	
57. - CLEARBRIDGE SPECIAL SM CAP INVESTMENT FUND	A	Dividend	K	T	Sold (part)	07/21/20	J	A	
58. - MFS GROWTH FUND	A	Dividend	J	T					
59. - MFS INVESTORS GROWTH FUND	A	Dividend	J	T					
60. - MFS TOTAL RETURN	A	Dividend	J	T					
61. -MILLER OPPPTY CL I	A	Dividend	K	T	Sold (part)	11/16/20	J	A	
62. - PIMCO REAL RETURN FUND	A	Dividend	J	T	Sold (part)	02/11/20	J	A	
63. - PIMCO INCOME FUND	B	Dividend	K	T					
64. - WESTERN ASSET CORE BOND FUND	A	Dividend	K	T					
65. (H) IRA #2, CHARLES SCHWAB									
66. - CASH DEPOSIT ACCOUNTS, CHARLES SCHWAB	A	Int./Div.	J	T					

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67. - SCHWAB VALUE ADVANTAGE	A	Int./Div.	M	T					
68. -AMERICAN CENTURY ONE	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
69. -AMERICAN CENTURY ONE	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
70. -AMERICAN CENTURY ONE	A	Int./Div.	J	T	Sold (part)	07/13/20	J	A	
71. -AMERICAN FD TAX AWARE - CONSRV GWTH & INCM	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
72. -AMERICAN FD TAX AWARE - CONSRV GWTH & INCM	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
73. -AMERICAN FD TAX AWARE - CONSRV GWTH & INCM	A	Int./Div.	J	T	Sold (part)	06/05/20	J	A	
74. -JPMORGAN INCM BUILDER	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
75. -JPMORGAN INCM BUILDER	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
76. -SCHWAB 1000 INDEX FUND	A	Int./Div.			Buy	03/09/20	J		
77. -SCHWAB 1000 INDEX FUND					Sold	06/08/20	J	A	
78. -SCHWAB 1000 INDEX FUND	A	Int./Div.			Buy	03/09/20	J		
79. -SCHWAB 1000 INDEX FUND					Sold	08/27/20	J	A	
80. -SCHWAB 1000 INDEX FUND	A	Int./Div.			Buy	03/09/20	J		
81. -SCHWAB 1000 INDEX FUND					Sold	11/09/20	J	A	
82. -SCHWAB MONTHLY INCOME FD EN PAYOUT	A	Int./Div.	J	T					
83. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	07/13/20	J	A	

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84. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
85. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
86. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
87. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	07/13/20	J	A	
88. -SCHWAB MONTHLY INCOME FD MAX PAYOUT	A	Int./Div.	J	T	Sold (part)	11/09/20	J	A	
89. -SCHWAB MONTHLY INCOME FD ENHANCED PAYOUT	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
90. -SCHWAB MONTHLY INCOME FD ENHANCED PAYOUT	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
91. -SCHWAB MONTHLY INCOME FD ENHANCED PAYOUT	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
92. -SCHWAB MONTHLY INCOME FD ENHANCED PAYOUT	A	Int./Div.	J	T	Sold (part)	07/13/20	J	A	
93. - SCHWAB DIVIDEND EQUITY FUND	A	Int./Div.	J	T	Sold (part)	05/28/20	J	A	
94. - SCHWAB DIVIDEND EQUITY FUND	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
95. - SCHWAB DIVIDEND EQUITY FUND					Buy	03/12/20	J		
96. - SCHWAB DIVIDEND EQUITY FUND					Sold	05/26/20	J	A	
97. - SCHWAB DIVIDEND EQUITY FUND					Buy	03/12/20	J		
98. - SCHWAB DIVIDEND EQUITY FUND					Sold	09/02/20	J	A	
99. - SCHWAB DIVIDEND EQUITY FUND					Buy	03/16/20	J		
100. - SCHWAB DIVIDEND EQUITY FUND					Sold	09/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
101. - SCHWAB DIVIDEND EQUITY FUND					Buy	03/16/20	J		
102. - SCHWAB DIVIDEND EQUITY FUND					Sold	11/09/20	J	A	
103. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
104. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	06/05/20	J	A	
105. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
106. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	08/27/20	J	A	
107. - SCHWAB FUNDAMENTAL US LARGE CO INDEX	A	Int./Div.	J	T	Sold (part)	11/09/20	J	A	
108. - SCHWAB MARKETTRACK GROWTH	A	Int./Div.	J	T	Sold (part)	05/26/20	J	A	
109. - SCHWAB MARKETTRACK GROWTH	A	Int./Div.	J	T	Sold (part)	06/01/20	J	A	
110. - SCHWAB MARKETTRACK GROWTH	A	Int./Div.	J	T	Sold (part)	06/05/20	J	A	
111. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
112. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.	J	T	Sold (part)	06/05/20	J	A	
113. - SCHWAB S&P 500 INDEX FUND	A	Int./Div.			Sold (part)	06/08/20	J	A	
114. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.	J	T	Sold (part)	05/26/20	J	A	
115. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.			Sold (part)	05/27/20	J	A	
116. - SCHWAB TOTAL STOCK MKT INDEX	A	Int./Div.			Sold	06/03/20	J	A	
117. - SCHWAB TOTAL STOCK MKT INDEX					Buy	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118. - SCHWAB TOTAL STOCK MKT INDEX					Sold	06/05/20	J	A	
119. - SCHWAB TOTAL STOCK MKT INDEX					Buy	03/06/20	J		
120. - SCHWAB TOTAL STOCK MKT INDEX					Sold	06/08/20	J	A	
121. - SCHWAB TOTAL STOCK MKT INDEX					Buy	03/06/20	J		
122. - SCHWAB TOTAL STOCK MKT INDEX					Sold	08/27/20	J	A	
123. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Sold (part)	05/26/20	J	A	
124. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Sold (part)	06/03/20	J	A	
125. - SCHWAB 1000 INDEX FUND	A	Int./Div.			Sold (part)	06/05/20	J	A	
126. - SCHWAB 1000 INDEX FUND	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
127. -AMERICAN FUNDS GROWTH AND INC PORT					Buy	03/12/20	J		
128. -AMERICAN FUNDS GROWTH AND INC					Sold	08/27/20	J	A	
129. -BITCOIN SERVCIES, INC.					Buy	06/25/20	J		
130. -BITCOIN SERVCIES, INC.					Sold	12/16/20	J	A	
131. -BITCOIN SERVCIES, INC.					Buy	06/26/20	J		
132. -BITCOIN SERVCIES, INC.					Sold	12/16/20	J	A	
133. -BITCOIN SERVCIES, INC.					Buy	06/29/20	J		
134. -BITCOIN SERVCIES, INC.					Sold	12/16/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
135. -BITCOIN SERVCIES, INC.					Buy	07/02/20	J		
136. -BITCOIN SERVCIES, INC.					Sold	12/16/20	J	A	
137. -BITCOIN SERVCIES, INC.					Buy	07/07/20	J		
138. -BITCOIN SERVCIES, INC.					Sold	12/16/20	J	A	
139. -BITCOIN SERVCIES, INC.					Buy	07/07/20	J		
140. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
141. -BITCOIN SERVCIES, INC.					Buy	07/09/20	J		
142. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
143. -BITCOIN SERVCIES, INC.					Buy	07/10/20	J		
144. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
145. -BITCOIN SERVCIES, INC.					Buy	07/13/20	J		
146. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
147. -BITCOIN SERVCIES, INC.					Buy	07/16/20	J		
148. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
149. -BITCOIN SERVCIES, INC.					Buy	07/17/20	J		
150. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
151. -BITCOIN SERVCIES, INC.					Buy	07/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
152. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
153. -BITCOIN SERVCIES, INC.					Buy	07/21/20	J		
154. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
155. -BITCOIN SERVCIES, INC.					Buy	09/08/20	J		
156. -BITCOIN SERVCIES, INC.					Sold	12/17/20	J	A	
157. -BOEINC CO					Buy	03/18/20	J		
158. -BOEINC CO					Sold	03/24/20	J	A	
159. -CITZENS FINL GROUP INC					Buy	02/28/20	J		
160. -CITZENS FINL GROUP INC					Sold	05/18/20	J	A	
161. -CITZENS FINL GROUP INC					Buy	03/18/20	J		
162. -CITZENS FINL GROUP INC					Sold	05/18/20	J	A	
163. -CITZENS FINL GROUP INC					Buy	06/16/20	J		
164. -ENERGY SELECT SECTOR SPDR ETF					Sold	05/18/20	J	A	
165. -ENERGY SELECT SECTOR SPDR ETF					Buy	05/13/20	J		
166. -ENERGY SELECT SECTOR SPDR ETF					Sold	05/18/20	J	A	
167. -ENERGY SELECT SECTOR SPDR ETF					Buy	02/28/20	J		
168. -ENERGY SELECT SECTOR SPDR ETF					Sold	05/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/09/20	J		
170. -ENERGY SELECT SECTOR SPDR ETF					Sold	05/18/20	J	A	
171. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/09/20	J		
172. -ENERGY SELECT SECTOR SPDR ETF					Sold	05/18/20	J	A	
173. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/09/20	J		
174. -ENERGY SELECT SECTOR SPDR ETF					Sold	06/02/20	J	A	
175. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/09/20	J		
176. -ENERGY SELECT SECTOR SPDR ETF					Sold	06/05/20	J	A	
177. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/11/20	J		
178. -ENERGY SELECT SECTOR SPDR ETF EFT					Sold	06/05/20	J	A	
179. -ENERGY SELECT SECTOR SPDR ETF					Buy	03/12/20	J		
180. -ENERGY SELECT SECTOR SPDR ETF					Sold	06/05/20	J	A	
181. -FIDELITY MSCI INDUSTRIALS INDX ETF					Buy	03/16/20	J		
182. -FIDELITY MSCI INDUSTRIALS INDX ETF					Sold	06/05/20	J	A	
183. -FIDELITY MSCI INDUSTRIALS INDX ETF					Buy	03/16/20	J		
184. -FIDELITY MSCI INDUSTRIALS INDX ETF					Sold	11/09/20	J	A	
185. -FIDELITY MSCI INDUSTRIALS INDX ETF					Buy	03/17/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. -FIDELITY MSCI INDUSTRIALS INDX ETF					Sold	11/09/20	J	A	
187. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	02/28/20	J		
188. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	05/26/20	J	A	
189. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/09/20	J		
190. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	05/26/20	J	A	
191. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/11/20	J		
192. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	05/27/20	J	A	
193. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/12/20	J		
194. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	05/27/20	J	A	
195. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/12/20	J		
196. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/03/20	J	A	
197. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/16/20	J		
198. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/03/20	J	A	
199. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/16/20	J		
200. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/05/20	J	A	
201. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/17/20	J		
202. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/05/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
203. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/17/20	J		
204. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/05/20	J	A	
205. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/17/20	J		
206. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/08/20	J	A	
207. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/18/20	J		
208. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	06/08/20	J	A	
209. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/18/20	J		
210. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	11/09/20	J	A	
211. -FIDELITY MSCI FINANCIALS INDX ETF					Buy	03/23/20	J		
212. -FIDELITY MSCI FINANCIALS INDX ETF					Sold	11/09/20	J		
213. -LUMBER LIQUIDATORS					Buy	03/13/20	J		
214. -LUMBER LIQUIDATORS					Sold	06/13/20	J	A	
215. -SCHWAB FUNDMENTAL US LARGE CO					Buy	03/16/20	J		
216. -SCHWAB FUNDMENTAL US LARGE CO					Sold	11/09/20	J	A	
217. -SCHWAB S&P 500 INDEX FD					Buy	03/06/20	J		
218. -SCHWAB S&P 500 INDEX FD					Sold	06/08/20	J	A	
219. -SCHWAB S&P 500 INDEX FD					Buy	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
220. -SCHWAB S&P 500 INDEX FD					Sold	08/27/20	J		
221. -SCHWAB S&P 500 INDEX FD					Buy	03/06/20	J		
222. -SCHWAB S&P 500 INDEX FD					Sold	09/08/20	J	A	
223. -SCHWAB S&P 500 INDEX FD					Buy	03/06/20	J		
224. -SCHWAB S&P 500 INDEX FD					Sold	09/16/20	J	A	
225. -SCHWAB US LARGE CAP ETF					Buy	03/12/20	J		
226. -SCHWAB US LARGE CAP ETF					Sold	05/18/20	J	A	
227. -SCHWAB US LARGE CAP ETF					Buy	03/16/20	J		
228. -SCHWAB US LARGE CAP ETF					Sold	05/18/20	J	A	
229. -SCHWAB US LARGE CAP ETF					Buy	03/17/20	J		
230. -SCHWAB US LARGE CAP ETF					Sold	05/18/20	J	A	
231. -SCHWAB US LARGE CAP ETF					Buy	03/18/20	J		
232. -SCHWAB US LARGE CAP ETF					Sold	05/18/20	J	A	
233. -SCHWAB US LARGE CAP ETF					Buy	03/18/20	J		
234. -SCHWAB US LARGE CAP ETF					Sold	05/26/20	J	A	
235. -SCHWAB US LARGE CAP ETF					Buy	03/20/20	J		
236. -SCHWAB US LARGE CAP ETF					Sold	05/26/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
237. -SCHWAB US LARGE CAP ETF					Buy	03/23/20	J		
238. -SCHWAB US LARGE CAP ETF					Sold	06/05/20	J	A	
239. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T	Sold (part)	06/05/20	J	A	
240. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T	Sold (part)	06/08/20	J	A	
241. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T	Sold (part)	07/13/20	J	A	
242. - T ROWE PRICE RETIREMENT 2030 FUND	A	Int./Div.	J	T	Sold (part)	08/27/20	J	A	
243. - VANGUARD WELLESLEY INCOME	A	Int./Div.	J	T	Sold (part)	06/04/20	J	A	
244. - VANGUARD WELLESLEY INCOME FD INVESTOR SHARE	A	Int./Div.	K	T	Sold (part)	06/08/20	J	A	
245. - SCHWAB VALUE ADVANTAGE	A	Int./Div.	J	T					
246. -VANGUARD ENERGY ETF	A	Int./Div.	J	T	Buy	03/11/20	J		
247. -VANGUARD ENERGY ETF	A	Int./Div.			Sold	05/18/20	J	A	
248. -VANGUARD ENERGY ETF	A	Int./Div.	J	T	Buy	03/12/20	J		
249. -VANGUARD ENERGY ETF	A	Int./Div.			Sold	05/18/20	J	A	
250. -VANGUARD ENERGY ETF	A	Int./Div.	J	T	Buy	03/16/20	J		
251. -VANGUARD ENERGY ETF	A	Int./Div.			Sold	05/26/20	J	A	
252. -VANGUARD ENERGY ETF	A	Int./Div.	J	T	Buy	03/16/20	J		
253. -VANGUARD ENERGY ETF	A	Int./Div.			Sold	06/05/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
254. -VANGUARD ENERGY ETF	A	Int./Div.	J	T	Buy	03/17/20	J		
255. -VANGUARD ENERGY ETF	A	Int./Div.			Sold	06/05/20	J	A	
256. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/16/20	J		
257. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	05/18/20	J	A	
258. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/17/20	J		
259. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	05/26/20	J	A	
260. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/17/20	J	A	
261. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	05/27/20	J	A	
262. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/18/20	J		
263. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	05/27/20	J	A	
264. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/18/20	J		
265. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	06/05/20	J	A	
266. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/23/20	J		
267. -VANGUARD INDUSTRIALS	A	Int./Div.			Sold	09/02/20	J	A	
268. -VANGUARD INDUSTRIALS	A	Int./Div.	J	T	Buy	03/23/20	J		
269. -VANGUARD INDUSTRIALS - VANGUARD INDUSTRIALS	A	Int./Div.			Sold	11/09/20	J	A	
270. (H) IRA #3, JANNEY MONTGOMERY SCOTT LLC									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271. - CASH DEPOSIT ACCOUNTS, JANNEY MONTGOMERY SCOTT LLC	A	Dividend	J	T					
272. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (43)	A	Dividend	J	T	Buy (add'l)	01/28/20	J		
273. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (32)		Dividend	J	T	Sold (part)	03/16/20	J	A	
274. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (48)	A	Dividend	J	T	Buy (add'l)	12/07/20	J		
275. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (44)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
276. -CONSUMER DISCRETIONARY SELECT SECTOR SPDR (38)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	
277. -ISHARES CORE MSCI EUROPE (20)		None			Sold	03/27/20	J	A	
278. -ISHARES CORE MSCI EUROPE (31)		None			Sold	03/27/20	J	A	
279. -ISHARES CORE MSCI EUROPE (6)		None			Sold	03/27/20	J	A	
280. -ISHARES CORE MSCI EUROPE (1)		None			Sold	03/27/20	J	A	
281. -ISHARES CORE MSCI EUROPE (1)		None			Sold	03/27/20	J	A	
282. -ISHARES CORE MSCI EUROPE (3)		None			Sold	03/27/20	J	A	
283. -ISHARES CORE MSCI EUROPE (4)		None			Sold	03/27/20	J	A	
284. -ISHARES CORE MSCI EUROPE (3)	A	Dividend	J	T	Buy	03/20/20	J		
285. -ISHARES CORE MSCI EUROPE (3)		None			Sold	03/27/20	J	A	
286. -ISHARES CORE MSCI EUROPE (12)	A	Dividend	J	T	Buy	03/20/20	J		
287. -ISHARES CORE MSCI EUROPE (12)		None			Sold	03/27/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
288. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (1)	A	Dividend	J		Sold	01/28/20	J	A	
289. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (45)	A	Dividend			Sold (part)	01/28/20	J	A	
290. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (42)	A	Dividend			Sold	03/20/20	J	A	
291. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend			Sold	03/20/20	J	A	
292. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (4)	A	Dividend			Sold	03/20/20	J	A	
293. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (8)	A	Dividend			Sold	03/20/20	J	A	
294. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (44)	A	Dividend	J	T	Sold (part)	03/20/20	J	A	
295. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (33)	A	Dividend	J	T	Sold (part)	03/27/20	J	A	
296. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (21)	A	Dividend			Sold	09/01/20	J	A	
297. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (32)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	
298. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (9)	A	Dividend			Sold	12/07/20	J	A	
299. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (16)	A	Dividend	J	T	Buy	03/02/20	J		
300. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (16)	A	Dividend			Sold	12/07/20	J	A	
301. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (5)	A	Dividend	J	T	Buy	03/16/20	J		
302. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (5)	A	Dividend			Sold	12/07/20	J	A	
303. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (6)	A	Dividend	J	T	Buy	06/12/20	J		
304. - SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (6)	A	Dividend			Sold	12/07/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less (See Columns B1 and D4)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes	F =\$50,001 - \$100,000 (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000
3 Value Method Codes	Q =Appraisal (See Column C2)	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
305. - SECTOR HEALTHCARE SELECT SECTOR SPDR (7)	A	Dividend			Sold	01/28/20	J	A	
306. - SECTOR HEALTHCARE SELECT SECTOR SPDR (57)(56)	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
307. - SECTOR HEALTHCARE SELECT SECTOR SPDR (56)(38)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
308. - SECTOR HEALTHCARE SELECT SECTOR SPDR (56)(38)(32)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	
309. - SECTOR HEALTHCARE SELECT SECTOR SPDR (11)	A	Dividend	J	T	Buy	03/02/20	J		
310. - SECTOR HEALTHCARE SELECT SECTOR SPDR (4)	A	Dividend	J	T	Buy	03/16/20	J		
311. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (60)	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
312. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (18)	A	Dividend			Sold	03/16/20	J	A	
313. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (13)	A	Dividend	J	T	Sold (part)	03/16/20	J	A	
314. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (31)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
315. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (13)	A	Dividend	J	T	Sold (part)	09/01/20	J	B	
316. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (13)	A	Dividend	J	T					
317. - SECTOR TECHNOLOGY SELECT SPECTOR SPDR (14)	A	Dividend	J	T	Buy	03/16/20	J		
318. - SECTOR COMMUNICATION SERVICES SELECT (44)	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
319. - SECTOR COMMUNICATION SERVICES SELECT (34)	A	Dividend	J	T	Sold (part)	03/20/20	J	A	
320. - SECTOR COMMUNICATION SERVICES SELECT (32)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
321. - SECTOR COMMUNICATION SERVICES SELECT (11)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

PHILLIPS, KEITH L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
322. - SECTOR COMMUNICATION SERVICES SELECT (37)	A	Dividend	J	T					
323. - SECTOR COMMUNICATION SERVICES SELECT (21)	A	Dividend	J	T					
324. - SECTOR COMMUNICATION SERVICES SELECT (21)	A	Dividend	J	T					
325. - SECTOR COMMUNICATION SERVICES SELECT (5)	A	Dividend	J	T					
326. - SECTOR COMMUNICATION SERVICES SELECT (14)	A	Dividend	J	T	Buy	03/02/20	J		
327. - SECTOR COMMUNICATION SERVICES SELECT (2)	A	Dividend	J	T	Buy	03/16/20	J		
328. - SECTOR UTILITIES SELECT SECTOR (32)	A	Dividend	J	T	Sold (part)	01/28/20	J	A	
329. - SECTOR UTILITIES SELECT SECTOR (32)	A	Dividend			Sold	03/27/20	J	B	
330. - SECTOR UTILITIES SELECT SECTOR (3)	A	Dividend	J	T	Buy	03/20/20	J		
331. - SECTOR UTILITIES SELECT SECTOR (3)	A				Sold	03/27/20	J	A	
332. - SECTOR UTILITIES SELECT SECTOR (36)	A	Dividend	J	T	Buy	03/16/20	J		
333. - SECTOR UTILITIES SELECT SECTOR (36)	A				Sold	06/12/20	J	B	
334. - VANGUARD ALL WORLD (81)	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
335. - VANGUARD ALL WORLD (83)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
336. - VANGUARD ALL WORLD (16)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	
337. - VANGUARD ALL WORLD (4)	A	Dividend	J	T					
338. - VANGUARD ALL WORLD (3)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
339. - VANGUARD ALL WORLD (15)	A	Dividend	J	T					
340. - VANGUARD ALL WORLD (9)	A	Dividend	J	T					
341. - VANGUARD ALL WORLD (6)	A	Dividend	J	T	Buy	01/28/20	J		
342. - VANGUARD ALL WORLD (28)	A	Dividend	J	T	Buy	03/20/20	J		
343. - VANGUARD PACIFIC (12)	A	Dividend	J	T					
344. - VANGUARD PACIFIC (7)	A	Dividend	J	T					
345. - VANGUARD PACIFIC (3)	A	Dividend	J	T	Buy (add'l)	01/28/20	J		
346. - VANGUARD PACIFIC (26)	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
347. - VANGUARD PACIFIC (3)	A	Dividend	J	T	Buy	03/20/20	J		
348. - VANGUARD PACIFIC (1)	A	Dividend			Buy	09/01/20	J		
349. - ISHARES, 20+ YR TREASURY BOND ETF (35)	A	Dividend			Sold	01/28/20	J	A	
350. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (42)	A	Dividend	J	T	Buy (add'l)	01/28/20	J		
351. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (35)					Sold	03/11/20	J	A	
352. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (25)	A	Dividend	J	T	Buy (add'l)	03/20/20	J		
353. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (17)	A	Dividend			Sold (part)	03/11/20	J	A	
354. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (6)	A	Dividend	J	T	Buy (add'l)	09/01/20	J		
355. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (5)	A	Dividend			Sold	03/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
356. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (1)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
357. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (6)	A	Dividend	J	T					
358. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (3)	A	Dividend	J	T					
359. - ISHARES, 1BOXX, 4INVESTMENT GRADE CORP BOND (1)	A	Dividend	J	T					
360. -ISHARES, 0-5 YEAR TIPS BOND (43)	A	Dividend			Sold	12/07/20	J	A	
361. -ISHARES, 0-5 YEAR TIPS BOND (1)	A	Dividend			Sold	03/02/20	J	A	
362. -ISHARES, 0-5 YEAR TIPS BOND (1)	A	Dividend			Sold	12/07/20	J	A	
363. -ISHARES, 0-5 YEAR TIPS BOND (9)					Buy	01/28/20	J		
364. -ISHARES, 0-5 YEAR TIPS BOND (7)					Buy	06/12/20	J		
365. -ISHARES, 0-5 YEAR TIPS BOND (7)					Sold	12/07/20	J	A	
366. -ISHARES, 0-5 YEAR TIPS BOND (4)					Buy	09/01/20	J		
367. -ISHARES, 0-5 YEAR TIPS BOND (4)					Sold	12/07/20	J	A	
368. -ISHARES, 0-5 YEAR TIPS BOND (9)					Sold	03/20/20	J	A	
369. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (124)	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
370. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (124)	A	Dividend	J	T	Sold (part)	03/11/20	J	A	
371. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (124)	A	Dividend	J	T	Sold (part)	03/20/20	J	A	
372. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (33)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
373. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (16)	A	Dividend	J	T					
374. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (7)	A	Dividend	J	T					
375. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (7)	A	Dividend	J	T					
376. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (10)	A	Dividend	J	T					
377. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (49)	A	Dividend	J	T	Buy	01/28/20	J		
378. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (20)	A	Dividend	J	T	Buy	06/12/20	J		
379. -SPDR BLOOMBERG BARCLAYS CAPITAL ST CORP BOND (29)	A	Dividend	J	T	Buy	09/01/20	J		
380. -ISHARES MSCI ALL COUNTRY ASIA EX JAPAN (24)	A	Dividend	J	T	Buy	03/16/20	J		
381. -ISHARES MSCI ALL COUNTRY ASIA EX JAPAN (45)	A	Dividend	J	T	Buy	09/01/20	J		
382. -ISHARES RUSSELL 2000 (23)	A	Dividend	J	T	Buy	03/27/20	J		
383. -ISHARES RUSSELL 2000 (23)					Sold (part)	06/12/20	J	A	
384. -ISHARES RUSSELL 2000 (23)					Sold (part)	09/01/20	J	A	
385. -SECTOR ENERGY SELECT SECTOR SPDR ETF (30)	A	Dividend	J	T	Buy	03/27/20	J		
386. -SECTOR ENERGY SELECT SECTOR SPDR ETF (30)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
387. -SECTOR ENERGY SELECT SECTOR SPDR ETF (30)	A	Dividend	J	T	Buy	09/01/20	J		
388. -SECTOR ENERGY SELECT SECTOR SPDR ETF (30)	A	Dividend	J	T	Buy	12/07/20	J		
389. -SECTOR FINANCIAL SELECT SECTOR SPDR ETF (40)	A	Dividend	J	T	Buy	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
390. -SECTOR FINANCIAL SELECT SECTOR SPDR ETF (40)	A	Dividend	J	T	Sold (part)	09/01/20	J	A	
391. -SECTOR FINANCIAL SELECT SECTOR SPDR ETF (39)	A	Dividend	J	T	Buy	03/20/20	J		
392. -SECTOR FINANCIAL SELECT SECTOR SPDR ETF (47)	A	Dividend	J	T	Buy	03/27/20	J		
393. -SECTOR FINANCIAL SELECT SECTOR SPDR ETF (156)	A	Dividend	J	T	Buy	06/12/20	J		
394. -ISHARES 3-7 YEAR TREASURY BOND ETF (35)	A	Dividend	J	T	Buy	03/11/20	J		
395. -ISHARES 3-7 YEAR TREASURY BOND ETF (35)	A	Dividend	J	T	Sold (part)	03/20/20	J	A	
396. -ISHARES 3-7 YEAR TREASURY BOND ETF (35)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
397. -ISHARES 3-7 YEAR TREASURY BOND ETF (3)	A	Dividend	J	T	Buy	03/11/20	J		
398. -ISHARES 3-7 YEAR TREASURY BOND ETF (4)	A	Dividend	J	T	Buy	09/01/20	J		
399. -SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF (34)	A	Dividend	J	T	Buy	03/20/20	J		
400. -SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF (16)	A	Dividend	J	T	Buy	03/27/20	J		
401. -SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF (18)	A	Dividend	J	T	Buy	06/12/20	J		
402. -SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF (22)	A	Dividend	J	T	Buy	09/01/20	J		
403. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J	T	Sold (part)	03/02/20	J	A	
404. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J	T	Sold (part)	03/11/20	J	A	
405. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J		Buy	01/28/20	J		
406. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J		Buy	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
407. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J		Buy	06/20/20	J		
408. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (36)	A	Dividend	J		Buy	09/01/20	J		
409. -VANGUARD INTERMEDIATE TERM CORP BOND ETF (55)	A	Dividend	J		Buy	12/07/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

07/04/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 40 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN

PART VII, LINE 41 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN

PART VII, LINE 42 - VALUE IS BASED ON REFUNDABLE VALUE PROVIDED BY THE VIRGINIA529 COLLEGE SAVINGS PLAN

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PHILLIPS, KEITH L.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **KEITH L. PHILLIPS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544