

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kronstadt, John A.	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 4/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 350 West 1st Street Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director and Member of Executive Committee	Constitutional Rights Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Los Angeles County Superior Court Salary and Benefits
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kronstadt, John A.	4/27/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Horizons Large Cap Equity Fund	A	Int./Div.	J	T					
2. Horizons Stable [Formerly Income] Value Fund	D	Int./Div.	N	T					
3. Los Angeles County Stable Balanced Fund	D	Int./Div.	M	T					
4. Los Angeles County Stable Value Fund	D	Int./Div.	N	T					
5. CGM Focus Fund CGMFX	B	Int./Div.	K	T					
6. CGM Focus Fund CGMFX	B	Int./Div.	K	T					
7. CGM Realty CGMRX	A	Int./Div.	K	T					
8. Los Angeles County Horizons Small Cap Equity	E	Int./Div.	L	T					
9. Los Angeles County Horizons Midcap Equity	E	Int./Div.	M	T					
10. Los Angeles County Horizons Non US Equity	D	Int./Div.	L	T					
11. Los Angeles County Savings Large Cap Equity	F	Int./Div.	N	T					
12. Los Angeles County Horizons Stable [Formerly Income] Value Fund	E	Int./Div.	P1	T					
13. Cal. Judges Retirement System Pension Plan		None	O	T					
14. University of California Savings Fund	A	Int./Div.	J	T					
15. University of California Equity Fund	A	Int./Div.	K	T					
16. TIAA CREF Stock	A	Int./Div.	J	T					
17. TIAA CREF Global Equities	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TIAA CREF Growth	A	Int./Div.	J	T					
19. TIAA CREF Equity Index	A	Int./Div.	J	T					
20. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
21. State of California SMBIA Bonds	A	Int./Div.	K	T					
22. State of California Public Works Board Bond	A	Int./Div.	K	T					
23. Franklin California High Yield Municipal Fund	D	Int./Div.	N	T					
24. Oppenheimer International Bond Fund OIBCX	A	Int./Div.			Sold	07/23/20	K	A	
25. Vanguard Windsor II VWNFX Fund Investor Share	A	Int./Div.	K	T					
26. INVECO QQQ ETF [Formerly PWRSH - PowerShares QQQ Trust]	C	Int./Div.	O	T					
27. ABT - Abbott Lab	A	Int./Div.	K	T					
28. AXP - American Express	A	Int./Div.	K	T	Donated (part)				
29. CVX - Chevron	A	Int./Div.	J	T					
30. Cigna Corp, Formerly Express Scripts	A	Int./Div.	K	T					
31. MSFT - Microsoft	A	Int./Div.	K	T					
32. PG - Proctor Gamble	A	Int./Div.	J	T					
33. SJM - JM Smucker	A	Int./Div.	J	T					
34. UPS	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. WMT - Wal Mart	A	Int./Div.	K	T	Donated (part)				
36. Vanguard 529 College Savings Plan Agressive Age-Based Option	A	Int./Div.			Distributed	05/31/20	J	A	
37. QCOM - Qualcomm	A	Int./Div.	K	T					
38. Vanguard Health Care VGHCX	A	Int./Div.	K	T					
39. Vanguard [Formerly Windsor II VWNFX] Wellington VWELX	A	Int./Div.	J	T					
40. Vanguard Money Market VMMXX	A	Int./Div.	K	T					
41. Union Bank Investment Services Sweep Account	A	Int./Div.	J	T					
42. American Funds Money Market Fund 529-B	A	Int./Div.			Distributed	05/31/20	J	A	
43. American Funds Balanced Fund 529-E	A	Int./Div.			Distributed	05/31/20	J	A	
44. San Francisco City and County Redevelopment Bonds	A	Int./Div.			Redeemed	01/01/20	J	A	
45. Bruce Fund Mutual Fund	A	Int./Div.	K	T					
46. Northwest Mutual Whole Life Insurance	C	Int./Div.	M	T					
47. Lincoln Financial Group American Legacy II Variable Annuity		None	M	T					
48. Putnam Hartford Capital Manager Variable Annuity		None	L	T					
49. Round Hill Partnership (Real Property)	D	Distribution	L	W					
50. Union Bank of California Cash Account	A	Int./Div.	K	T					
51. Wellsley Income Fund VWINX	A	Int./Div.	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard 500 Index Fund VFIAX	D	Int./Div.	N	T					
53. - Round Hill Partnership (Real Estate) Appraisal 12/30/2009	D	Distribution	M	Q					
54. - Country Club Offices Part. (Reat Est.) Appraisal 12/30/09	A	Distribution			Sold	01/01/20	J	A	
55. - Penn Center Limited Part. (Real Est.) Appraisal 12/30/09	D	Distribution	M	Q					
56. - Potomac Place Assoc. Part. (Real Est.) Appraisal 12/30/09	D	Distribution	L	Q					
57. MetLife Insurance (H)		None	J	T					
58. _Brighthouse/Aberdeen Emerging Markets Equity Portfolio		None	J	T					
59. _MetLife Russell 2000		None	J	T					
60. _Deutsche CROCI Int'l VIP		None	J	T					
61. _Deutsche Gov't Money Market VIP		None	J	T					
62. _Fidelity Contrafund Portfolio		None	J	T					
63. _Fidelity Equity-Income Portfolio		None	J	T					
64. _Fidelity Freedom 2010 Portfolio		None	J	T					
65. _Fidelity Freedom 2020 Portfolio		None	J	T					
66. _Fidelity Freedom 2030 Portfolio		None	J	T					
67. _Fidelity Freedom 2040 Portfolio		None	J	T					
68. _Fidelity Freedom 2050 Portfolio		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. _Fidelity Growth Portfolio		None	J	T					
70. _Fidelity Index 500 Portfolio		None	J	T					
71. _Fidelity Mid Cap Portfolio		None	J	T					
72. _MFS Global Equity Series		None	J	T					
73. _MFS Growth Series		None	J	T					
74. _Putnam VT Equity Income Fund		None	J	T					
75. _Putnam VT Growth Opportunities Fund		None	J	T					
76. _Putnam VT High Yield Fund		None	J	T					
77. _Putnam VT Income Fund		None	J	T					
78. _Putnam VT Sustainable Leaders Fund		None	J	T					
79. _T. Rowe Price New America Growth Portfolio		None	J	T					
80. _T. Rowe Price [Personal Strategy Balanced] Moderate Allocate Portf.		None	J	T					
81. _T. Rowe Price Limited-Term Bond Portfolio		None	J	T					
82. Brighthouse[Formerly Met Life] Variable Annuity Balance Plus Portfolio		None	O	T					
83. One America Asset Care Long Term Care	C	Distribution	M	T	Distributed (part)	08/29/20	J	D	
84. Trust #2 (H) *					Closed	04/07/20			
85. _Davenport & Co. Prime Cash Trust	A	Int./Div.			Closed	04/07/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. ASPCX - Alger Spectra	E	Dividend	M	T	Sold (part)	07/23/20	K	A	
87. TEGBX - Templeton Global Bond	A	Dividend	L	T					
88. ODVCX - INVESCO [Formerly Oppenheimer] Developing Markets	B	Dividend	K	T					
89. AFJCX - Allianz GI NFL	C	Dividend	L	T					
90. MCLVX - Black Rock Large Cap	C	Dividend	M	T					
91. HFOCX - Henderson Int'l Opportunity	D	Dividend	L	T	Sold (part)	07/23/20	K	A	
92. FCAHX - Franklin CA High Yield	D	Dividend	N	T	Buy (add'l)	07/24/20	K		
93. RDSA - Royal Dutch Shell	A	Int./Div.	J	T					
94. Pacific Life Annuity * American Funds Asset Allocation		None	P1	T					
95. FKINX Franklin Income Fund	C	Int./Div.	L	T					
96. Intel	A	Int./Div.	K	T	Donated (part)				
97. Abbvie	B	Int./Div.	K	T					
98. Kopernik GLB Allcap Institutional	C	Int./Div.	M	T					
99. Pimco Income P	A	Int./Div.	L	T					
100. AQR Managed Futures Strategy 1	B	Int./Div.			Sold	07/23/20	L	C	
101. Los Angeles County Bank Depository Fund	A	Dividend	J	T					
102. VCTXX CA Muni Money Market Fund	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. LAC Bank Depository Fund	C	Int./Div.	N	T					
104. American Cent Emerg Mkts	D	Int./Div.	K	T	Buy (add'l)	02/13/20	J		
105. John Hancock Intl Growth	C	Int./Div.	L	T	Buy (add'l)	02/11/20	K		
106. AIP Alt Lending Placement	B	Int./Div.	L	T					
107. Loomis Sayles Bond Inst	A	Int./Div.	K	T					
108. Blackstone Reit	D	Int./Div.	M	T	Buy	07/29/20	L		
109. LAC Savings Balanced Fund	A	Int./Div.	J	T	Buy	04/01/20	J		
110. Ameren Corporation (X)	A	Int./Div.	J	T					
111. AT&T Inc. (X)	A	Int./Div.	L	T					
112. Adams Diversified Equity FD (X)	A	Int./Div.	J	T					
113. Adams Natural Resources FD (X)	A	Int./Div.	J	T					
114. American Electric Power (X)	A	Int./Div.	K	T					
115. Chevron Corporation (X)	A	Int./Div.	K	T					
116. Exxon Mobil Corporation (X)	A	Int./Div.	M	T					
117. Glaxosmithkline PLC ADR (X)	A	Int./Div.	K	T					
118. IBM (X)	A	Int./Div.	J	T					
119. Nextera Energy Inc. (X)	A	Int./Div.	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. National Fuel Gas Company (X)	A	Int./Div.	K	T					
121. Pepsico Inc Common Stock (X)	A	Int./Div.	J	T					
122. *** Schlumberger Ltd (X)	A	Int./Div.	J	T					
123. 3M Company (X)	A	Int./Div.	K	T					
124. Texas Instruments (X)	A	Int./Div.	L	T					
125. Union Pacific Corporation (X)	A	Int./Div.	N	T					
126. Verizon Communications (X)	A	Int./Div.	K	T					
127. Pfizer (X)	A	Int./Div.	K	T					
128. Vodofone (X)	A	Int./Div.	J	T					
129. Proctor and Gamble (X)	A	Int./Div.	N	T					
130. Dupont (X)	A	Int./Div.	K	T					
131. Dow (X)	A	Int./Div.	K	T					
132. Cortiva (X)	A	Int./Div.	K	T					
133. Chemours (X)	A	Int./Div.	J	T					
134. Blackrock Debt Strategies Fund (X)	A	Int./Div.	J	T					
135. T. Rowe Price (X)	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 54: This property was sold in 2018 and should have been reported as sold in that report. Hence, it should not have appeared on the 2019 report.

Lines 57-81: MetLife is not able to provide individual values for these assets; the value is listed as a percentage of the total.

Line 84 and 85 and Positions: The Trust #2 previously referred to in Positions and Investments and Trusts was a reference to a trust that was established for the benefit of others and of which I was the trustee. The trust has been dissolved due to death.

Line 88: Oppenheimer Developing Markets is now INVESCO Developing Markets ODVYX.

Line 91: Henderson Int'l Opportunity is now JANUS Henderson Int'l Opportunity.

Lines 110-135 were acquired through an EXEMPT transaction.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

4/27/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John A. Kronstadt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544