

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 06/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address US COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	THE BENEFITS PRACTICE (ATTORNEY/PARTNER)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. SEE PART VIII	SEE PART VIII	\$0.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH: (H)									
2. BANCORPSOUTH ACCOUNT #1	A	Interest	M	T					
3. BANCORPSOUTH ACCOUNT #3		None	J	T					
4. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
5. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
6. STEPHENS INC BANKSWEEP PROGRAM #1	C	Interest	P1	T					
7. STEPHENS INC BANKSWEEP PROGRAM #2	A	Interest	M	T					
8.									
9. MUTUAL FUNDS: (H)									
10. AB GLOBAL BOND FUND	D	Dividend	N	T					
11. BLACKROCK CREDIT STRATEGIES INCOME FUND	D	Dividend	M	T					
12. PIMCO ENHANCED SHORT MATURITY ACTIVE ETF		None			Sold	07/06/20	M	A	
13. PIMCO INCOME FUND	D	Dividend	M	T					
14. PIMCO SHORT TERM FUND	B	Dividend			Buy	01/27/20	M		
15.					Sold	12/03/20	M	B	
16. PIMCO TOTAL RETURN FUND	E	Dividend	N	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. STOCKS: (H)									
19. CHEVRON CORP NEW	B	Dividend	K	T					
20. EXXON MOBIL CORP	C	Dividend	K	T					
21. 3M COMPANY	B	Dividend	M	T	Buy (add'l)	12/07/20	K		
22. AFLAC INC	B	Dividend	L	T					
23. AIR PRODUCTS & CHEMICALS INC	B	Dividend			Sold	07/23/20	M	E	
24. AUTOMATIC DATA PROCESSING INC	B	Dividend	L	T					
25. BROWN-FORMAN CORP	A	Dividend			Sold	01/08/20	L	E	
26. CARDINAL HEALTH INC	C	Dividend	M	T	Buy (add'l)	12/04/20	L		
27. CHEVRON CORP	C	Dividend	L	T					
28. COMMERCE BANCSHARES INC	B	Dividend	L	T					
29. DONALDSON COMPANY	A	Dividend			Sold	01/06/20	L	D	
30. EMERSON ELECTRIC CO	B	Dividend	L	T					
31. GENERAL DYNAMICS CORP	A	Dividend			Sold	03/20/20	L		
32. ILLINOIS TOOL WORKS INC	A	Dividend			Sold	01/06/20	L	D	
33. INVESCO EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO ETF	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. ISHARES EDGE MSCI MINIMUM VOLATILITY EMERGING MARKETS ETF	B	Dividend	L	T					
35. ISHARES TR S&P 500 GROWTH ETF	A	Dividend	M	T	Buy	07/06/20	M		
36. LOWES COMPANIES INC	B	Dividend	M	T					
37. MCDONALDS CORP	B	Dividend			Sold	08/31/20	L	D	
38. MEDTRONIC PLC	B	Dividend	L	T					
39. PEPSICO INC	B	Dividend	L	T					
40. PRUDENTIAL FINL INC COM	D	Dividend	M	T	Buy	03/11/20	L		
41. RAYTHEON TECHNOLOGIES CORP	B	Dividend	L	T	Buy	03/20/20	L		
42.					Sold (part)	04/03/20	J		
43. SPDR S&P 500 ETF TRUST	D	Dividend	O	T					
44. STANLEY BLACK & DECKER INC		None			Sold	01/08/20	L	D	
45. SYSCO CORPORATION	B	Dividend	L	T					
46. T ROWE PRICE GROUP INC	B	Dividend			Sold	08/28/20	L	E	
47. VANGUARD FTSE EMERGING MARKETS ETF	B	Dividend	L	T					
48. VANGUARD REAL ESTATE ETF	D	Dividend	M	T					
49. VANGUARD S&P SMALL-CAP 600 GROWTH ETF	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
50. VANGUARD SMALL-CAP VALUE ETF	B	Dividend	L	T					
51. WALMART INC	B	Dividend	M	T					
52.									
53. STOCKS: UNLISTED (H)									
54. COASTAL CLUB		None	M	W					
55.									
56. INVESTMENTS/PARTNERSHIPS: (H)									
57. WW RURAL PROPERTIES LLC	C	Distribution	K	W					
58. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
59. LAMA LIMITED PARTNERSHIP		None	J	W					
60. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
61. RENREW MINERALS, LTD	G	Distribution	K	W					
62. TEE ENERGY, CO., LLC		None	N	W					
63. JADOBE INVESTMENTS 2000, LLC		None	K	W					
64. JASAN, LLC	E	Distribution	L	W					
65. PROVEN LEGACY, LLC		None	M	W					
66. TENFOLD, INC.	C	Distribution	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
67. NEXT IGEN LLC-SERIES A		None	K	W					
68. NEXT IGEN LLC-SERIES B		None	K	W					
69. NEXT IGEN LLC-SERIES C	A	Distribution			Distributed	08/26/20	J		
70. NEXT IGEN LLC-SERIES D		None	J	W	Buy (add'l)	12/29/20	J		
71. NEXT IGEN LLC-SERIES F		None	K	W	Buy (add'l)	12/29/20	J		
72. NEXT IGEN LLC-SERIES H		None			Distributed	12/31/20	J		
73. YETA, LLC	F	Distribution	J	W					
74. DEANSAN, LLC		None	M	W	Buy (add'l)	02/18/20	J		
75. LOUIS ROSEN WW, LLC	E	Distribution			Distributed	12/14/20	K		
76. WW URBAN PROPERTIES TWO LLC		None	J	W					
77. BLACK STONE MINERALS LP	E	Distribution	M	T					
78.									
79. NOTE RECEIVABLES (H)									
80. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE		None	J	U					
81. SPRINGMAN PERSONAL LOAN-NOTE RECEIVABLE		None	K	U					
82.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FRIENDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

06/30/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACQUES L. WIENER, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544