

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jernigan, Stacey G.	2. Court or Organization U.S. Bankruptcy Court, NDTX	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 1100 Commerce St., Room 1254 Dallas, TX 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|--|
| 1. Trustee | Trust #1 (trust created by settlor/ on 5/28/2015). |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|---------|--|
| 1. 1998 | Haynes and Boone, LLP Partners' Retirement Plan - Defined Benefit Pension Plan (vested pension benefit earned at former law firm; common fund - no control). |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Amazon Publishing, book royalties	\$68.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Dallas Police Dept.-salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Center for American and Internatioal Law	February 5 through February 7	New Orleans, Louisiana	Program Co-Chair Fifth Circuit Bankruptcy Bench Bar	Transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Capital Mortgage	Mortgage loan on condominium acquired for [REDACTED] in Dallas, TX (Pt. VII, line 12)	K
2. DLUNST	College Tuition (Guaranty [REDACTED] Student Loan)	J
3. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
4. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
5. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
6. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America Checking Accts	A	Interest	J	T					
2. Bank of America Savings Acct	A	Interest	J	T					
3. IRA - BOSCO, Inc. a/k/a BOK Financial Securities									
4. - Growth Fund of America	A	Int./Div.	M	T					
5. - New Perspective Fund	A	Int./Div.	M	T					
6. - Capital World Growth & Income Fund	B	Int./Div.	L	T					
7. - Fundamental Investors Fund	B	Int./Div.	M	T					
8. - Income Fund of America	C	Int./Div.	M	T					
9. -Griffin Institutional Real Estate Fund	A	Int./Div.	K	T					
10. -Pershing Gov't Acct	A	Interest	J	T	Distributed (part)	01/30/20	L		
11. [REDACTED] #1 (funds invested in Merrill Lynch accts)									
12. -Cash		None	L	T					
13. -CD	A	Interest	K	T					
14. -Invesco	A	Int./Div.	J	T					
15. -Pimco	A	Int./Div.	K	T					
16. -Blackrock Eqty Dividend	A	Int./Div.	J	T					
17. -Clearbridge Large Cap	A	Int./Div.	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -ISH USD High Yield	A	Int./Div.	J	T					
19. -iShares Russell 1000	A	Int./Div.	K	T					
20. -iShares US Treasury Bonds	A	Int./Div.	J	T					
21. -iShares Inc Core MSCI	A	Int./Div.	J	T	Buy	01/16/20	J		
22. -iShares TR Core MSCI EAF	A	Int./Div.	K	T					
23. -MFS Value FD CL I	A	Int./Div.	J	T					
24. -Neuberger Berman Intl ???		None			Sold	01/16/20	J		
25. -Schwab US Small-Cap ETF	A	Int./Div.	J	T					
26. -SPDR Bloomberg Barclays	A	Int./Div.	J	T	Buy	03/12/20	J		
27. -T Rowe Price Emerging		None			Sold	03/12/20	J		
28. -TCW Total Return	A	Int./Div.	J	T					
29. -Vanguard Intrmdiate-Term	A	Int./Div.	J	T					
30. -Vanguard Short-Term	A	Int./Div.	J	T					
31. -Edgewood Growth Fund CL	B	Int./Div.	J	T	Buy	01/16/20	J		
32. Condominium, Dallas, TX		None	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stacey G. Jernigan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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