

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) deGravelles, John W.	2. Court or Organization US District Court, Louisiana, Middle District	3. Date of Report 04/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address John W. deGravelles 777 Florida Street, Suite 355 Baton Rouge, LA 70801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	deGravelles Enterprises, LLC
2. Member	deGravelles Family II, LLC
3. Member	deGravelles Family III, LLC
4. Adjunct Faculty	Louisiana State University - Paul M. Hebert Law Center - See Section VIII
5. Guest Lecturer	Tulane University Law School - See Section VIII
6. Partner	Due', Dodson and deGravelles d/b/a Sharlow Terrace II - See Section VIII
7. Past President & Counselor	Dean Henry George McMahon Inn of Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/23/2014	John Neale deGravelles, LLC - See Part VIII for terms
2. 12/20/2013	deGravelles, Palmintier, Holthaus & Fruge' - See Part VIII for terms
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	West Publishing Corporation - Book Royalties	\$2,450.77
2. 2020	deGravelles, Palmintier, Holthaus, Fruge' - Prior Fees Earned - See Part II Agreements	\$93,818.26
3. 2020	John Neale deGravelles Law, LLC - Prior Fees Earned - See Part II Agreements	\$3,173.66
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. deGravelles Enterprises, LLC - Wilkinson County, MS		None	O	U					
2. deGravelles Family II, LLC - Baton Rouge, LA	A	Rent	M	Q					
3. deGravelles Family III, LLC - Wilkinson County, MS		None	O	Q					
4. Chase Cash Accounts	A	Interest	K	T					
5. IRA #1 (H)									
6. -Morgan Stanley Cash Account	A	Interest	J	T					
7. -MS Pathway Emrg Market Equity Invest (TEMUX)	A	Dividend	K	T					
8. -MS Pathway International Equity Inv (TIEUX)	A	Dividend	K	T					
9. -MS Pathway Large Cap Eq Inv (TLGUX)	D	Dividend	M	T					
10. -MS Pathway Small MD Cap Eq Inv (TSGUX)	C	Dividend	M	T					
11. Allianz Life Valuemark III Annuity (H)									
12. -AZL Emg Mkts Eq Index		None	L	T					
13. -Franklin Mutual Shares		None	M	T					
14. -Franklin Rising Dividends Secs		None	N	T					
15. -AZL MS Global Real Estate C1		None			Sold	08/21/20	M	A	
16. -AZL Russell 1000 Growth IDX		None	L	T					
17. -AZL International Index		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. -AZL Mid Cap Index Fund		None	N	T					
19. -AZL S&P 500 Index Fund		None	M	T	Buy	08/21/20	M		
20. Lincoln American Legacy Design 2 Annuity (H)									
21. -American Fd International		None	N	T					
22. -American Fd Growth - Income		None	M	T					
23. -American Fd High Inc Bond		None	M	T					
24. -American Fd Growth		None	N	T					
25. Prudential Annuity (H)									
26. -AST Loomis Sayles Large-Cap Growth		None	M	T					
27. -AST MFS Growth		None	M	T					
28. -AST T. Rowe Price Natural Resources		None	K	T					
29. -AST JP Morgan International Equity		None	K	T					
30. -AST Templeton Global Bond		None			Sold	11/13/20	J	A	
31. -NVIT Emerging Markets Fund		None	K	T					
32. -AST Goldman Sachs Mid Cap Growth		None	M	T					
33. -AST Global Bond		None	J	T	Buy	11/13/20	J		
34. Eaton Vance Tax Managed Growth Fund	A	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Morgan Stanley Managed Bond Account #1 (H)									
36. -Morgan Stanley Money Market Account	A	Interest	L	T					
37. -California St Pub Wks Brd	D	Interest	M	T					
38. -New Hampshire St Tpk Sys Rev	C	Interest	M	T					
39. -Washington St for Issues	D	Interest	M	T					
40. -Boston Mass Wtr & Swr Comm	C	Interest	M	T					
41. -California St Genl Oblig Various	D	Interest	M	T					
42. -City of Chicago Chicago O'Hare Intl Airp	C	Interest	L	T					
43. -Delaware St General Oblig Ref-A	D	Interest	M	T					
44. -Minnesota St Var Purp Genl Oblig	D	Interest	M	T					
45. -North Tex Twy Auth Rev	C	Interest	L	T					
46. -Michigan Fin Auth Hosp Rev Ref	C	Interest	L	T					
47. -Indiana Fin Auth Health Sys	B	Interest	L	T					
48. -Clark Cnty Nev Highway Impt Rev	C	Interest	M	T					
49. -Iowa Fin Auth Health Facs Rev-E	C	Interest	L	T					
50. -Orlando Fla Utils Commn	C	Interest	L	T	Sold (part)	11/09/20	J	A	
51. -Harris Cnty Tex Rev	D	Interest	M	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Houston Tex Arpt Sys	C	Interest	L	T					
53. -District Columbia Genl	D	Interest	M	T	Sold (part)	01/15/20	L	D	
54. -Utah Transit Auth Sales Tax	D	Interest	M	T					
55. -Wisconsin St Genl	D	Interest	M	T					
56. -Energy Northwest Wash Elec	D	Interest	M	T					
57. -Harris Cnty Tex Cultural Ed FAC	C	Interest	L	T					
58. -Ohio St Wtr Dev Auth Pollution Cnto	B	Interest			Sold	03/03/20	M	F	
59. -Louisiana St Grant Antic	B	Interest	L	T					
60. -East Baton Rouge LA SEW Comm	C	Interest	M	T					
61. -New York St Dorm Auth Sales Tax	D	Interest	M	T					
62. -Metro Transp NY Auth Dedicated	C	Interest	M	T					
63. -New York N Variable Monthly Genl	B	Interest	M	T					
64. -New Jersey St		None	M	T	Buy	11/19/20	M		
65. -Michigan St Trunk Line	A	Interest	M	T	Buy	08/27/20	M		
66. -University Tex Be	A	Interest			Buy	03/04/20	M		
67.					Sold	11/18/20	M	A	
68. Morgan Stanley Managed Bond Account #2 (H)									

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69. -Morgan Stanley Cash Account	A	Interest	J	T					
70. -Atlanta GA ARPT Passenger FAC	A	Interest	K	T					
71. -Missouri Hghwys & Transpt Commfirst Lien	A	Interest	J	T					
72. -Garland Tex Indpt Sch Dist	A	Interest	J	T					
73. -State of Oregon Genl Oblig	A	Interest	J	T					
74. -Sales Tax Asset Receivable	A	Interest	J	T					
75. -New Jersey St Tpk Auth	A	Interest	J	T					
76. -Utility Debt Secur Auth	A	Interest			Sold	10/28/20	J	A	
77. -Oklahoma City Okla Wtr Utils	A	Interest			Sold	02/14/20	J	A	
78. -Tarrant Regl Wtr Dis Tex	A	Interest	J	T					
79. -California St Genl Obl	A	Interest	J	T					
80. -Massachusetts Wtr Res Auth	A	Interest	J	T					
81. -Metropolitan Transn Auth NY	A	Interest	J	T					
82. -Virginia College Bldg Auth VA EDL	A	Interest	J	T					
83. -Massachusetts St Transn Fd Rev	A	Interest	J	T					
84. -North Texas Twy Auth Rev	A	Interest	J	T					
85. -Texas A&M Univ Fin Sys	A	Interest	J	T					

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86. -Florida St Board Ed Pub Ed Cap Outlay	A	Interest	J	T					
87. -Clark Cnty Nev Highway Impt	A	Interest	J	T					
88. -Ohio State Parks & Rec Cap	A	Interest	J	T					
89. -Triborough Bridge NY Tunnel Auth	A	Interest	J	T					
90. -Delaware Riv Port	A	Interest	J	T					
91. -Mississippi St Genl	A	Interest	J	T					
92. -Texas St Tax Antic	A	Interest			Sold	07/29/20	K	A	
93. -Hawaii St	A	Interest			Sold	02/28/20	J	B	
94. -East Baton Rouge LA Sew	A	Interest	J	T					
95. -Iowa St Spl Oblig	A	Interest	J	T					
96. -Colorado St Ed Ln Prog		None	K	T	Buy	07/28/20	K		
97. -Mass Sch Bldg Auth	A	Interest	K	T	Buy	02/25/20	K		
98. -New York NY City Transitional		None	J	T	Buy	10/29/20	J		
99. -Curators Univ Mo Sys	A	Interest	J	T	Buy	04/29/20	J		
100. Morgan Stanley Managed Stock Account (H)									
101. -Morgan Stanley Cash Mgmt Account	A	Interest	N	T					
102. -MS PATHWAY EMRG MKT EQ INV (TEMUX)	D	Dividend	O	T	Sold (part)	01/07/20	J	A	

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103.					Sold (part)	12/01/20	K	C	
104. -MS PATHWAY INTL EQ INVEST (TIEUX)	D	Dividend	O	T	Sold (part)	12/01/20	K	C	
105. -MS PATHWAY LG CP EQ INVEST (TLGUX)	F	Dividend	P1	T	Sold (part)	02/05/20	J	A	
106.					Sold (part)	03/04/20	J	A	
107.					Sold (part)	04/03/20	J	A	
108.					Sold (part)	05/05/20	J	A	
109.					Sold (part)	06/03/20	J	A	
110.					Sold (part)	06/08/20	L	A	
111.					Sold (part)	06/11/20	K	A	
112.					Sold (part)	09/03/20	J	A	
113.					Sold (part)	10/05/20	J	A	
114.					Sold (part)	12/01/20	M	E	
115. -MS PATHWAY SM CP EQ INVEST (TSGUX)	F	Dividend	P1	T	Sold (part)	06/11/20	K	A	
116.					Sold (part)	07/06/20	J	A	
117.					Sold (part)	08/05/20	J	A	
118.					Sold (part)	11/04/20	J	A	
119.					Sold (part)	12/01/20	M	A	

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Morgan Stanley Cash Account #1	A	Interest	J	T					
121. Morgan Stanley Cash Account #2	A	Interest	K	T					
122. Jackson National Life Perspective Annuity (H)									
123. -JNL/MELLON CAPITAL S&P 500 IND		None	P1	T					
124. -JNL/MELLON CAPITAL S&P 400 MC		None	N	T					
125. -JNL/MELLON CAPITAL INTL INDEX		None	N	T					
126. -JNL/MC EMG MKTS INDEX		None	M	T					
127. -JNL/MC S&P 1500 GRTH INDEX		None			Merged (with line 130)	04/24/20	M	A	
128. -JNL/MC S&P 1500 VAL INDEX		None			Merged (with line 129)	04/24/20	L	A	
129. -JNL/MC Dow Index		None	M	T					
130. -JNL/MC Nasdaq 100 Index		None	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

deGravelles, John W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Positions - Due, Dodson and deGravelles d/b/a Sharlow Terrace II was formed in 1982 and has been dissolved for 30+ years; however, the entity continues to reflect an active status with the Louisiana Secretary of State's Office. The necessary steps are currently being taken to formally dissolve the Partnership.

Adjunct Faculty - John deGravelles continues his position as adjunct faculty with the Louisiana State University Paul M. Hebert Law Center; however, since the start of the Covid-19 pandemic, no classes have been taught and as of the filing date, no future classes have been scheduled; therefore, no corresponding agreement has been listed for the 2020 reporting period.

Guest Lecturer - John deGravelles continues his position as guest lecturer for the Tulane University Law School; however, since the start of the Covid-19 pandemic, no classes have been taught and as of the filing date, no future classes have been scheduled; therefore, no corresponding agreement has been listed for the 2020 reporting period.

Agreements - Additional Information:

John Neale deGravelles Law, LLC - The terms of the agreement are for a fixed and determinable amount (subject to showing the amount received is rationally based upon the services rendered by John W. deGravelles or, if said amount is not so rationally based, then the maximum amount which is so rationally based) to be paid to John W. deGravelles for fees related to specific cases for which legal services were rendered prior to appointment to the bench should fees and/or advanced costs payments related to these cases be received by the firm of John Neale deGravelles Law, LLC.

deGravelles, Palmintier, Holthaus & Fruge' - The terms of the agreement are for a fixed calculation of fees (subject to showing the amount received is rationally based upon the services rendered by John W. deGravelles or, if said amount is not so rationally based, then the maximum amount which is so rationally based) to be paid to John W. deGravelles for legal services rendered in specific cases prior to his appointment to the bench should fees related to these cases be received by deGravelles, Palmintier, Holthaus & Fruge'. The portion of fees that would be received by deGravelles, Palmintier, Holthaus & Fruge' and result in payment to John W. deGravelles is based upon an agreement dated April 20, 2010 between deGravelles, Palmintier, Holthaus & Fruge', Dodson, Hooks & Frederick, APLC and Walters, Papillion, Thomas, Cullens, LLC for the same specific cases for which legal services were rendered prior to appointment to the bench and contains a fixed calculation of fees to be received by each group listed should fees be collected related to those cases. Any prior fees earned for cases handled directly by Michael C. Palmintier under this agreement are paid directly from Michael C. Palmintier, APLC.

Part VII -

Line 2 - Appraisal Dated - 9/3/2012

Line 3 - Appraisal Dated - 1/10/2014

The value of the annuities listed on lines 11 - 33 and lines 122 - 130 increase and decrease based on changes in market value.

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Name of Person Reporting

deGravelles, John W.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John W. deGravelles

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544