

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 04/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Buchwald, Naomi R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Solo Law Practice
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Buchwald, Naomi R.	04/15/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citibank Accounts	D	Interest	O	T					
2. Vanguard (IRA)	A	Dividend	K	T					
3. -- Prime Money Market Fund		Dividend	K	T					
4. Schwab (IRA)	A	Interest	P1	T					
5. -- Schwab Deposit Account	A	Interest	J	T					
6. -- Abbott Laboratory	A	Dividend			Buy	03/30/20	K		
7.					Sold (part)	04/08/20	K	B	
8.					Sold	04/15/20	K	C	
9. -- Alphabet Common Stock A Class A [GOOGL]		None			Buy	03/19/20	M		
10.					Sold	03/19/20	M	B	
11.					Buy	03/20/20	M		
12.					Sold	03/25/20	M	B	
13.					Buy	08/18/20	M		
14.					Sold	09/02/20	M	D	
15. -- Alphabet Common Stock Class C [GOOG]		None			Buy	03/17/20	M		
16.					Sold	03/19/20	M	D	
17.					Buy	04/07/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Sold (part)	04/16/20	M	D	
19.					Sold	05/01/20	M	D	
20.					Buy	05/01/20	M		
21.					Sold	07/07/20	M	E	
22. -- Amazon Common Stock		None	O	T	Sold (part)	02/28/20	O	E	
23.					Buy (add'l)	03/24/20	O		
24.					Buy (add'l)	03/27/20	O		
25.					Sold (part)	04/03/20	O		
26.					Sold	04/06/20	M	C	
27.					Buy	04/08/20	N		
28.					Buy (add'l)	04/24/20	N		
29.					Buy (add'l)	04/27/20	N		
30.					Sold (part)	04/27/20	M	E	
31.					Sold (part)	04/29/20	M	E	
32.					Buy (add'l)	04/30/20	N		
33.					Sold (part)	07/20/20	O	G	
34.					Buy (add'l)	07/14/20	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35.					Sold (part)	09/04/20	O	E	
36.					Buy (add'l)	09/10/20	N		
37.					Buy (add'l)	09/16/20	N		
38.					Sold (part)	09/18/20	O		
39. -- Apple Common Stock		None			Buy	03/19/20	M		
40.					Sold	03/25/20	N	B	
41.					Buy	07/28/20	N		
42.					Sold	07/30/20	N	E	
43.					Buy	08/12/20	M		
44.					Sold	08/14/20	M	B	
45.					Buy	09/15/20	K		
46.					Sold	09/18/20	K		
47. -- Applied Materials Common Stock	A	Dividend			Buy	04/02/20	L		
48.					Sold	04/16/20	L	E	
49.					Buy	06/29/20	L		
50.					Buy (add'l)	07/10/20	L		
51.					Sold (part)	08/13/20	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52.					Sold (part)	08/14/20	L	D	
53.					Sold	09/04/20	L		
54. -- Cisco Common Stock	A	Dividend	K	T	Sold (part)	02/28/20	M		
55. -- Facebook Common Stock		None			Buy	07/30/20	M		
56.					Sold	08/13/20	M	E	
57. -- Home Depot Common Stock	B	Dividend	M	T	Buy	08/18/20	M		
58.					Sold (part)	09/04/20	M		
59. -- Intel Common Stock	B	Dividend			Buy (add'l)	01/16/20	L		
60.					Sold (part)	01/23/20	L	D	
61.					Buy (add'l)	01/08/20	L		
62.					Buy (add'l)	02/10/20	L		
63.					Buy (add'l)	02/12/20	M		
64.					Buy (add'l)	02/19/20	M		
65.					Sold (part)	02/19/20	M	D	
66.					Sold	02/28/20	M		
67.					Buy	04/08/20	N		
68.					Sold (part)	04/16/20	M	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69.					Sold (part)	04/21/20	L		
70.					Sold	05/01/20	M	B	
71.					Buy	05/01/20	M		
72.					Sold	02/28/20	M		
73.					Buy	06/17/20	N		
74.					Sold	07/06/20	N		
75.					Buy	07/22/20	M		
76.					Sold	07/28/20	L		
77. -- Johnson & Johnson Common Stock	C	Dividend	L	T	Buy	03/30/20	L		
78.					Sold (part)	04/08/20	K	B	
79.					Buy (add'l)	04/09/20	L		
80.					Sold (part)	04/15/20	M	D	
81.					Buy (add'l)	05/20/20	L		
82.					Sold (part)	09/01/20	L	B	
83. -- Lowes Common Stock	A	Dividend	L	T	Sold (part)	01/23/20	L	A	
84.					Buy (add'l)	02/10/20	M		
85.					Sold (part)	03/19/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86. -- Microsoft Common Stock	A	Dividend			Sold	01/23/20	M	E	
87.					Buy	02/21/20	M		
88.					Sold	02/28/20	M		
89.					Buy	07/13/20	M		
90.					Sold (part)	08/27/20	M	D	
91.					Buy (add'l)	09/02/20	M		
92.					Sold	09/04/20	M		
93. -- Netflix Common Stock		None	M	T	Buy	07/13/20	O		
94.					Sold	07/24/20	N		
95.					Buy	09/04/20	N		
96.					Sold	09/15/20	N		
97.					Buy	09/16/20	N		
98.					Sold	09/18/20	N		
99.					Buy	10/12/20	N		
100.					Sold	09/21/20	M		
101. -- Nikola Corp Common Stock		None			Buy	08/04/20	K		
102.					Sold	08/13/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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103.					Buy	08/18/20	K		
104.					Sold	09/11/20	K		
105. -- Pfizer Common Stock	A	Dividend	K	T	Buy	09/09/20	K		
106.					Buy (add'l)	09/14/20	K		
107. -- Regeneron Common Stock		None	M	T	Buy	10/08/20	M		
108.					Sold (part)	12/04/20	M		
109. -- Roku Common Stock		None	M	T	Buy	10/12/20	M		
110. -- Slack Technologies Class A		None			Buy	06/05/20	K		
111.					Sold	08/28/20	K	A	
112. -- Stanley Black & Decker Common Stock	A	Dividend			Buy	05/21/20	M		
113.					Sold	06/04/20	M	E	
114. -- Tesla Common Stock		None			Buy	08/04/20	M		
115.					Sold	08/13/20	M	E	
116. -- Viatrix Common Stock		None	J	T	Spinoff (from line 118)	09/09/20	J		
117.					Buy (add'l)	12/09/20	J		
118. -- Walmart Common Stock		None	M	T	Buy	08/19/20	L		
119.					Sold (part)	08/27/20	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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120.					Sold (part)	11/17/20	K	C	
121. JPMorgan Chase Accounts	C	Interest	N	T					
122. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	N	T					
123. Merrill Lynch Cash Acct	D	Int./Div.	O	T					
124. Abbott Laboratories Common Stock	A	Dividend	K	T	Buy	03/30/20	K		
125. Advanced Micro Devices Common Stock		None			Buy	07/10/20	L		
126.					Sold	07/24/20	L	D	
127. Alphabet Class A [GOOGL]		None			Buy	03/20/20	M		
128.					Buy (add'l)	03/23/20	K		
129.					Buy (add'l)	03/25/20	M		
130.					Sold (part)	03/25/20	M		
131.					Buy (add'l)	03/27/20	O		
132.					Sold (part)	03/27/20	N	B	
133.					Buy (add'l)	03/30/20	M		
134.					Sold	03/30/20	N	A	
135.					Buy	03/31/20	M		
136.					Sold	03/31/20	M	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	04/02/20	M		
138.					Buy (add'l)	04/07/20	M		
139.					Sold (part)	04/08/20	M	C	
140.					Buy (add'l)	04/09/20	M		
141.					Sold	04/09/20	N		
142.					Buy	04/16/20	O		
143.					Sold	04/16/20	O	D	
144.					Buy	06/30/20	N		
145.					Sold	07/02/20	N	D	
146.					Buy	08/26/20	N		
147.					Sold (part)	08/26/20	M	C	
148.					Buy (add'l)	08/27/20	M		
149.					Sold (part)	08/27/20	N	C	
150.					Buy (add'l)	08/28/20	N		
151.					Sold	08/28/20	N	B	
152.					Buy	08/31/20	M		
153.					Sold	09/01/20	M	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy	09/04/20	O		
155.					Sold	09/04/20	O	D	
156.					Buy	09/11/20	N		
157.					Sold	09/11/20	N		
158.					Buy	09/22/20	N		
159.					Sold	09/22/20	N		
160.					Buy	09/23/20	M		
161.					Buy (add'l)	09/25/20	M		
162.					Sold	09/25/20	N		
163. Alphabet Class C [GOOG]		None			Buy	03/20/20	M		
164.					Sold	03/25/20	M	A	
165.					Buy	03/27/20	N		
166.					Sold (part)	03/27/20	M	C	
167.					Sold	03/30/20	M	C	
168.					Buy	03/31/20	M		
169.					Sold	04/01/20	M	C	
170.					Buy	04/07/20	N		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold	04/08/20	N	B	
172.					Buy	04/16/20	N		
173.					Sold	04/16/20	N	B	
174.					Buy	07/01/20	N		
175.					Sold (part)	07/01/20	M	A	
176.					Sold	07/02/20	M	B	
177.					Buy	08/26/20	N		
178.					Buy (add'l)	08/27/20	N		
179.					Sold	08/27/20	O	D	
180.					Buy	09/09/20	M		
181.					Sold (part)	09/09/20	M	A	
182.					Buy (add'l)	09/25/20	N		
183.					Sold	09/25/20	N		
184. Amazon Common Stock		None	P1	T	Buy (add'l)	01/08/20	P1		
185.					Sold	01/08/20	P1		
186.					Buy	01/10/20	M		
187.					Buy (add'l)	02/13/20	P1		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	02/13/20	M	E	
189.					Sold (part)	02/14/20	N		
190.					Sold (part)	02/20/20	M	B	
191.					Sold (part)	02/21/20	M		
192.					Sold (part)	02/28/20	O		
193.					Buy (add'l)	03/17/20	P1		
194.					Sold (part)	03/26/20	N		
195.					Sold (part)	03/30/20	M		
196.					Sold (part)	04/03/20	P1		
197.					Sold (part)	04/08/20	N		
198.					Buy (add'l)	04/09/20	P1		
199.					Sold (part)	04/09/20	O		
200.					Sold (part)	04/15/20	N		
201.					Sold (part)	04/16/20	N		
202.					Sold (part)	04/17/20	M		
203.					Sold (part)	04/27/20	N		
204.					Sold (part)	04/29/20	N	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	04/30/20	M	D	
206.					Sold (part)	05/06/20	M		
207.					Sold (part)	05/07/20	P1	D	
208.					Sold (part)	05/20/20	M	D	
209.					Buy (add'l)	05/26/20	O		
210.					Sold (part)	06/01/20	M	D	
211.					Sold (part)	06/04/20	N		
212.					Sold (part)	06/05/20	N		
213.					Sold (part)	06/09/20	N	E	
214.					Buy (add'l)	06/10/20	P1		
215.					Sold (part)	06/10/20	O	E	
216.					Buy (add'l)	06/11/20	N		
217.					Sold (part)	06/11/20	O	A	
218.					Buy (add'l)	06/12/20	N		
219.					Buy (add'l)	06/16/20	N		
220.					Sold (part)	06/16/20	O	E	
221.					Buy (add'l)	06/17/20	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold (part)	06/17/20	N	C	
223.					Buy (add'l)	06/19/20	O		
224.					Sold (part)	06/19/20	O	D	
225.					Sold (part)	06/22/20	O	D	
226.					Buy	06/24/20	N		
227.					Buy (add'l)	06/25/20	P1		
228.					Sold (part)	06/25/20	O	B	
229.					Sold (part)	06/26/20	O	D	
230.					Buy (add'l)	06/29/20	O		
231.					Sold (part)	06/29/20	P1		
232.					Buy (add'l)	07/02/20	N		
233.					Sold (part)	07/06/20	P1	D	
234.					Buy (add'l)	07/07/20	O		
235.					Sold (part)	07/07/20	O	D	
236.					Buy (add'l)	07/09/20	O		
237.					Sold (part)	07/09/20	O	D	
238.					Buy (add'l)	07/10/20	P1		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold (part)	07/10/20	P1		
240.					Buy (add'l)	07/13/20	P1		
241.					Sold (part)	07/13/20	P1		
242.					Buy (add'l)	07/14/20	P1		
243.					Sold (part)	07/14/20	P1		
244.					Buy (add'l)	07/15/20	O		
245.					Sold (part)	07/15/20	O		
246.					Sold (part)	07/17/20	P1		
247.					Buy (add'l)	07/20/20	O		
248.					Buy (add'l)	07/21/20	O		
249.					Sold (part)	07/21/20	O		
250.					Sold (part)	07/23/20	P1		
251.					Buy	07/24/20	M		
252.					Sold (part)	07/27/20	O		
253.					Buy (add'l)	07/29/20	P1		
254.					Sold (part)	07/29/20	P1		
255.					Sold (part)	08/03/20	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	08/04/20	P1		
257.					Sold (part)	08/04/20	P1		
258.					Sold (part)	08/05/20	N		
259.					Sold (part)	08/07/20	O		
260.					Sold (part)	08/19/20	N		
261.					Sold (part)	08/20/20	N		
262.					Sold (part)	08/26/20	P1		
263.					Sold (part)	09/01/20	M	D	
264.					Buy (add'l)	09/02/20	P1		
265.					Sold (part)	09/04/20	P1		
266.					Sold (part)	09/15/20	P1		
267.					Sold (part)	09/17/20	P1		
268.					Buy (add'l)	09/21/20	P1		
269.					Buy (add'l)	09/23/20	P1		
270.					Buy (add'l)	09/24/20	P1		
271.					Sold (part)	10/09/20	N		
272.					Buy (add'l)	10/26/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold (part)	10/26/20	P1		
274.					Sold (part)	10/27/20	N		
275.					Sold (part)	10/30/20	O		
276.					Buy (add'l)	10/30/20	N		
277.					Buy (add'l)	11/03/20	O		
278.					Sold (part)	11/03/20	N		
279.					Sold (part)	11/04/20	O		
280.					Sold (part)	11/05/20	N	D	
281.					Sold (part)	11/17/20	P1		
282.					Sold (part)	12/04/20	O		
283.					Buy (add'l)	12/14/20	M		
284.					Sold (part)	12/14/20	O		
285.					Sold (part)	12/16/20	P1		
286.					Sold (part)	12/29/20	L		
287. AT&T Common Stock	C	Dividend	L	T					
288. Apple Common Stock	A	Dividend			Buy	04/09/20	N		
289.					Sold (part)	04/09/20	M	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	04/15/20	M		
291.					Sold (part)	04/15/20	M	D	
292.					Buy (add'l)	04/16/20	O		
293.					Sold	04/16/20	O	D	
294.					Buy	07/01/20	N		
295.					Buy (add'l)	07/02/20	O		
296.					Sold (part)	07/02/20	N	A	
297.					Sold	07/06/20	O	D	
298.					Buy	07/09/20	N		
299.					Sold	07/09/20	N	A	
300.					Buy	07/10/20	M		
301.					Sold	07/10/20	M	B	
302.					Buy	08/18/20	M		
303.					Sold	08/19/20	M	B	
304.					Buy	08/24/20	N		
305.					Sold	08/31/20	N	D	
306.					Buy	09/10/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold	09/17/20	L		
308.					Buy	11/05/20	O		
309.					Sold	12/04/20	O	E	
310.					Buy	12/09/20	O		
311.					Buy (add'l)	12/10/20	O		
312.					Sold (part)	12/10/20	O	D	
313.					Sold	12/16/20	O	E	
314.					Buy	12/17/20	O		
315.					Sold (part)	12/17/20	N	A	
316.					Sold	12/21/20	N		
317. Applied Materials Common Stock	A	Dividend			Buy	04/02/20	M		
318.					Sold	04/02/20	M	A	
319.					Buy	04/03/20	K		
320.					Sold	04/03/20	K		
321.					Buy	04/27/20	K		
322.					Sold	04/29/20	K	A	
323.					Buy	05/14/20	N		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Sold (part)	05/14/20	N	D	
325.					Buy (add'l)	05/20/20	N		
326.					Sold (part)	05/20/20	M	C	
327.					Buy (add'l)	06/04/20	O		
328.					Sold (part)	06/05/20	N	E	
329.					Sold	06/09/20	N	D	
330.					Buy	07/01/20	L		
331.					Sold	07/02/20	L	A	
332.					Buy	07/10/20	L		
333.					Sold	07/13/20	L	A	
334. Cisco Common Stock	A	Dividend			Sold	02/24/20	L		
335.					Buy	06/08/20	M		
336.					Sold	06/09/20	M	B	
337.					Buy	06/12/20	L		
338.					Buy (add'l)	06/16/20	L		
339.					Sold	06/16/20	M	C	
340.					Buy	06/17/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	06/22/20	M		
342.					Sold (part)	06/22/20	M		
343.					Sold	06/25/20	M		
344.					Buy	06/26/20	N		
345.					Sold (part)	06/30/20	K	A	
346.					Sold	07/02/20	M		
347. Ebay Common Stock		None			Buy	07/13/20	L		
348.					Sold	07/23/20	L		
349. Facebook Common Stock		None			Buy	04/09/20	M		
350.					Sold	04/09/20	M	B	
351.					Buy	07/09/20	M		
352.					Sold	07/09/20	M	B	
353.					Buy	07/10/20	M		
354.					Sold	07/10/20	M	B	
355. Home Depot Common Stock		None			Buy	02/19/20	M		
356.					Sold	02/20/20	M	B	
357.					Buy	05/20/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Sold	05/20/20	N	C	
359.					Buy	05/21/20	N		
360.					Sold	05/21/20	N	B	
361.					Buy	05/26/20	N		
362.					Sold (part)	05/27/20	M	B	
363.					Sold	05/28/20	M	C	
364.					Buy	05/28/20	M		
365.					Sold	06/02/20	N	C	
366.					Buy	06/26/20	M		
367.					Sold	06/29/20	M	C	
368.					Buy	07/01/20	M		
369.					Sold	07/02/20	M	A	
370.					Buy	07/13/20	M		
371.					Sold	07/13/20	M	B	
372.					Buy	09/01/20	O		
373.					Sold	09/01/20	O	B	
374.					Buy	10/09/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Sold	10/09/20	M	A	
376.					Buy	12/14/20	N		
377.					Buy (add'l)	12/15/20	M		
378.					Sold	12/15/20	N	C	
379.					Buy	12/16/20	N		
380.					Sold	12/16/20	N	A	
381. IBM Common Stock	A	Dividend			Buy	03/19/20	N		
382.					Sold (part)	03/25/20	K	C	
383.					Sold (part)	05/14/20	M	B	
384.					Sold (part)	05/20/20	M	A	
385.					Sold	06/30/20	M	B	
386.					Buy	07/01/20	L		
387.					Buy (add'l)	07/02/20	M		
388.					Sold (part)	07/02/20	M	A	
389.					Sold (part)	07/09/20	M		
390.					Buy (add'l)	09/01/20	M		
391.					Sold (part)	09/04/20	L	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold (part)	09/08/20	L		
393.					Sold	12/18/20	J	A	
394. Intel Common Stock	A	Dividend			Buy (add'l)	01/03/20	M		
395.					Sold (part)	01/03/20	M		
396.					Buy (add'l)	01/08/20	L		
397.					Sold (part)	01/08/20	L	A	
398.					Buy (add'l)	01/10/20	L		
399.					Buy (add'l)	01/16/20	L		
400.					Sold (part)	01/23/20	M	D	
401.					Buy (add'l)	02/13/20	N		
402.					Sold (part)	02/13/20	N	D	
403.					Buy (add'l)	02/14/20	N		
404.					Sold (part)	02/14/20	N	A	
405.					Buy (add'l)	02/18/20	M		
406.					Sold (part)	02/19/20	M		
407.					Buy (add'l)	02/24/20	M		
408.					Sold (part)	01/27/20	M		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Sold (part)	02/27/20	L	D	
410.					Sold	02/28/20	M		
411.					Buy	03/10/20	L		
412.					Sold	03/19/20	K		
413.					Buy	03/30/20	M		
414.					Buy (add'l)	04/08/20	M		
415.					Sold (part)	04/08/20	L		
416.					Sold (part)	04/15/20	N		
417.					Buy (add'l)	04/16/20	N		
418.					Sold	04/16/20	O		
419.					Buy	04/21/20	M		
420.					Sold (part)	04/21/20	M		
421.					Buy (add'l)	04/28/20	K		
422.					Buy (add'l)	05/14/20	O		
423.					Sold (part)	05/14/20	O		
424.					Buy (add'l)	05/20/20	O		
425.					Sold (part)	05/20/20	O	C	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	06/04/20	N		
427.					Sold (part)	06/04/20	N		
428.					Buy (add'l)	06/05/20	N		
429.					Sold (part)	06/05/20	N		
430.					Buy (add'l)	06/08/20	O		
431.					Sold (part)	06/10/20	O	B	
432.					Buy (add'l)	06/17/20	O		
433.					Sold (part)	06/17/20	N	B	
434.					Buy (add'l)	06/19/20	O		
435.					Sold (part)	06/19/20	L		
436.					Sold (part)	06/22/20	O		
437.					Sold (part)	07/06/20	L		
438.					Sold	07/07/20	K		
439.					Buy	07/09/20	M		
440.					Sold	07/09/20	M	A	
441.					Buy	07/17/20	N		
442.					Sold (part)	07/22/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Sold	07/23/20	L		
444.					Buy	10/12/20	L		
445.					Sold	10/16/20	L	A	
446.					Buy	11/06/20	M		
447.					Sold	11/06/20	M		
448. Johnson & Johnson Common Stock	C	Dividend			Buy	03/30/20	L		
449.					Buy (add'l)	04/15/20	M		
450.					Buy (add'l)	04/16/20	M		
451.					Sold (part)	04/16/20	M	C	
452.					Buy (add'l)	05/01/20	M		
453.					Sold (part)	05/01/20	L	D	
454.					Sold (part)	05/05/20	K	A	
455.					Buy (add'l)	05/14/20	L		
456.					Buy (add'l)	06/30/20	M		
457.					Sold (part)	06/30/20	L	B	
458.					Sold (part)	07/01/20	L	B	
459.					Buy (add'l)	07/09/20	O		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	07/09/20	O	C	
461.					Buy (add'l)	07/10/20	L		
462.					Sold (part)	07/10/20	L	A	
463.					Sold (part)	08/04/20	M		
464.					Sold (part)	08/31/20	M	D	
465.					Sold	09/01/20	L	A	
466. Lowes Common Stock	A	Dividend			Buy (add'l)	01/10/20	L		
467.					Sold	01/23/20	M	B	
468.					Buy	02/13/20	M		
469.					Sold	02/13/20	M	A	
470.					Buy	02/19/20	M		
471.					Sold	02/19/20	M	A	
472.					Buy	05/14/20	M		
473.					Sold	05/14/20	M	B	
474.					Buy	05/20/20	M		
475.					Sold (part)	05/20/20	M	A	
476.					Sold	05/21/20	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy	06/19/20	M		
478.					Buy (add'l)	06/22/20	N		
479.					Sold (part)	06/29/20	M		
480.					Sold (part)	07/01/20	M	A	
481.					Buy (add'l)	07/13/20	L		
482.					Sold (part)	07/13/20	L	A	
483.					Sold	07/15/20	L	B	
484.					Buy	09/14/20	M		
485.					Sold	09/15/20	M	B	
486.					Buy	10/13/20	M		
487.					Sold (part)	10/15/20	L	A	
488.					Buy (add'l)	11/09/20	M		
489.					Sold (part)	11/18/20	L		
490.					Sold	12/21/20	M		
491. Match Group Inc. Common Stock		None			Buy	12/17/20	L		
492.					Sold	12/18/20	L	A	
493. Micron Technology Common Stock		None			Buy	07/01/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Sold (part)	07/06/20	M		
495.					Buy (add'l)	07/06/20	M		
496.					Sold	07/09/20	M	B	
497.					Buy	07/10/20	N		
498.					Sold	07/10/20	N		
499. Microsoft Common Stock	B	Dividend			Buy	01/03/20	N		
500.					Sold (part)	01/03/20	M		
501.					Sold (part)	01/08/20	M	A	
502.					Buy (add'l)	01/30/20	N		
503.					Sold (part)	02/14/20	M	D	
504.					Sold (part)	02/19/20	N	D	
505.					Buy (add'l)	02/20/20	N		
506.					Sold (part)	02/20/20	N	A	
507.					Sold	02/27/20	M	D	
508.					Buy	03/20/20	M		
509.					Sold	03/25/20	M	D	
510.					Buy	06/24/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	06/25/20	O		
512.					Sold (part)	06/25/20	O	B	
513.					Buy (add'l)	06/26/20	N		
514.					Sold (part)	06/29/20	N		
515.					Sold (part)	07/01/20	M	A	
516.					Buy (add'l)	07/06/20	L		
517.					Sold (part)	07/09/20	M	A	
518.					Sold (part)	07/10/20	M		
519.					Sold	07/27/20	M	A	
520.					Buy	08/28/20	N		
521.					Sold (part)	08/28/20	M	B	
522.					Sold	09/02/20	M	B	
523.					Buy	09/04/20	O		
524.					Sold (part)	09/04/20	O	D	
525.					Sold	09/11/20	M		
526.					Buy	10/28/20	M		
527.					Sold (part)	10/29/20	M	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528.					Buy (add'l)	10/29/20	M		
529.					Sold	11/04/20	M	D	
530. Netflix Common Stock		None			Buy	07/13/20	O		
531.					Buy (add'l)	07/17/20	N		
532.					Sold (part)	07/17/20	N		
533.					Buy (add'l)	07/20/20	O		
534.					Sold (part)	07/21/20	M		
535.					Sold (part)	07/24/20	M		
536.					Sold (part)	07/27/20	M		
537.					Buy (add'l)	07/30/20	M		
538.					Sold (part)	07/30/20	M		
539.					Sold (part)	08/03/20	N		
540.					Buy (add'l)	08/10/20	P1		
541.					Buy (add'l)	08/12/20	M		
542.					Sold (part)	08/13/20	K		
543.					Sold (part)	08/14/20	N		
544.					Sold (part)	08/17/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545.					Sold (part)	08/18/20	N		
546.					Sold (part)	08/19/20	M		
547.					Sold (part)	08/20/20	M	C	
548.					Buy (add'l)	09/01/20	N		
549.					Sold (part)	09/01/20	M		
550.					Sold (part)	09/02/20	N		
551.					Sold (part)	09/04/20	N		
552.					Sold (part)	09/08/20	M		
553.					Sold (part)	09/15/20	M		
554.					Sold (part)	09/17/20	M		
555.					Sold (part)	09/18/20	M		
556.					Sold (part)	10/09/20	M		
557.					Sold (part)	10/21/20	O		
558.					Sold (part)	10/29/20	M		
559.					Sold	11/03/20	O		
560. Nvidia Corp. Common Stock		None			Buy	08/21/20	L		
561.					Sold	08/21/20	L	A	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. Peloton Interactive Common Stock		None			Buy	12/17/20	L		
563.					Buy (add'l)	12/18/20	M		
564.					Sold	12/18/20	N	C	
565. Pfizer Common Stock		None	M	T	Buy	07/01/20	K		
566.					Sold	07/02/20	K	A	
567.					Buy	07/09/20	L		
568.					Sold	07/09/20	L	A	
569.					Buy	11/06/20	L		
570.					Sold	11/06/20	L	A	
571.					Buy	11/20/20	N		
572.					Sold (part)	12/04/20	M	D	
573.					Sold (part)	12/10/20	M	E	
574. Regeneron Pharms Common Stock		None			Buy	07/10/20	O		
575.					Sold (part)	07/13/20	M	B	
576.					Sold (part)	07/14/20	N	D	
577.					Sold	08/27/20	N	B	
578.					Buy	08/28/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579.					Sold	08/31/20	M	B	
580.					Buy	09/01/20	N		
581.					Sold	09/02/20	N	C	
582.					Buy	09/09/20	M		
583.					Sold	09/15/20	M	A	
584.					Buy	10/05/20	O		
585.					Buy (add'l)	10/07/20	N		
586.					Sold (part)	10/16/20	N		
587.					Buy (add'l)	10/26/20	N		
588.					Sold (part)	11/03/20	N		
589.					Sold (part)	11/09/20	M		
590.					Sold (part)	12/04/20	M		
591.					Sold	12/23/20	N		
592. Roku Common Stock		None			Buy	11/04/20	M		
593.					Sold	11/04/20	M	C	
594.					Buy	12/14/20	M		
595.					Buy (add'l)	12/17/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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A =\$1,000 or less

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R =Cost (Real Estate Only)

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H1 =\$1,000,001 - \$5,000,000

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P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596.					Sold (part)	12/18/20	M	C	
597.					Buy (add'l)	12/21/20	M		
598.					Sold (part)	12/21/20	N	E	
599.					Buy (add'l)	12/22/20	M		
600.					Sold	12/22/20	M	B	
601. Stanley Black & Decker Common Stock	A	Dividend			Buy	02/20/20	K		
602.					Buy (add'l)	02/24/20	L		
603.					Sold (part)	03/25/20	K		
604.					Sold	03/27/20	K		
605.					Buy	05/28/20	L		
606.					Sold	06/02/20	L	A	
607.					Buy	06/23/20	L		
608.					Sold	06/30/20	L	B	
609.					Buy	07/01/20	L		
610.					Sold	07/02/20	L	B	
611.					Buy	09/15/20	K		
612.					Sold	09/15/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613.					Buy	12/16/20	L		
614.					Sold	12/16/20	L	A	
615. Tesla Inc. Common Stock		None			Buy	07/21/20	N		
616.					Sold	07/21/20	N	C	
617.					Buy	08/12/20	O		
618.					Sold	08/13/20	O	E	
619.					Buy	11/04/20	M		
620.					Buy (add'l)	11/17/20	M		
621.					Sold (part)	11/17/20	N	D	
622.					Sold	11/18/20	O	D	
623.					Buy	12/18/20	N		
624.					Sold	12/18/20	N	D	
625. Walt Disney Co. Common Stock		None			Buy	07/13/20	M		
626.					Sold	07/13/20	M	A	
627. Zoom Video Communication Common Stock		None	M	T	Buy	11/05/20	M		
628.					Sold (part)	11/12/20	K		
629.					Buy (add'l)	11/16/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	11/20/20	M		
631.					Buy (add'l)	11/23/20	M		
632.					Sold (part)	12/04/20	M		
633.					Sold (part)	12/14/20	M		
634.					Sold (part)	12/21/20	N		
635.					Sold (part)	12/22/20	M		
636. Capital One Account	C	Interest	M	T					
637. Bank America Account	A	Int./Div.	J	T					
638.									
639.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

04/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544