

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pollak, Cheryl L.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 05/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse, E.D.N.Y. 225 Cadman Plaza East Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Pollak, Cheryl L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Morgan Lewis & Bockius - partnership
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Pollak, Cheryl L.	05/06/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard 500 Index Fund (no control)	E	Interest	O	T					
2. Rental Property (Kiawah Island, SC 2010 \$1.8 million)	F	Rent	P1	R					
3. AB Discovery Growth Fund	A	Dividend	M	T	Sold (part)	03/02/20	J	A	
4.					Buy (add'l)	04/16/20	J		
5.					Sold (part)	04/23/20	J	A	
6.					Buy (add'l)	12/10/20	J		
7. AB Discovery Value Fund	A	Dividend	L	T	Buy (add'l)	01/08/20	J		
8.					Buy (add'l)	01/21/20	J		
9.					Buy (add'l)	01/22/20	J		
10.					Buy (add'l)	03/02/20	J		
11.					Buy (add'l)	04/16/20	J		
12.					Sold (part)	04/23/20	J	A	
13.					Buy (add'l)	09/18/20	J		
14.					Buy (add'l)	12/14/20	J		
15. AB Emerging Markets Portfolio	A	Dividend	M	T	Buy (add'l)	12/09/20	J		
16. AB High Income	A	Dividend	L	T	Buy	06/01/20	L		
17.					Buy (add'l)	07/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	08/04/20	J		
19.					Buy (add'l)	09/02/20	J		
20.					Buy (add'l)	10/02/20	J		
21.					Buy (add'l)	11/03/20	J		
22.					Buy (add'l)	12/02/20	J		
23. AB International Small Cap Portfolio	B	Dividend	N	T	Buy (add'l)	04/23/20	K		
24.					Buy (add'l)	12/11/20	J		
25. AB International Stratetic Equities Portfolio	D	Dividend	P1	T	Sold (part)	01/21/20	J	A	
26.					Sold (part)	04/20/20	J	A	
27.					Buy (add'l)	04/23/20	K		
28.					Sold (part)	07/20/20	J	A	
29.					Sold (part)	10/20/20	J	A	
30.					Buy (add'l)	12/01/20	J		
31. AB Small Cap Core Portfolio	A	Dividend	L	T	Buy (add'l)	01/27/20	J		
32.					Buy (add'l)	03/02/20	J		
33.					Buy (add'l)	04/16/20	J		
34.					Sold (part)	04/23/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	09/18/20	K	A	
36.					Sold (part)	09/22/20	J	A	
37.					Buy (add'l)	12/11/20	J		
38. AB Wealth Appreciation Strategy - Advisor Class	B	Dividend	M	T	Sold (part)	01/21/20	J	A	
39.					Sold (part)	04/20/20	J	A	
40.					Sold (part)	07/20/20	J	A	
41.					Sold (part)	10/20/20	J	A	
42.					Buy (add'l)	12/16/20	J		
43. Adobe Inc.	A	Dividend	J	T	Sold (part)	10/01/20	J	A	
44. AECOM	A	Dividend	J	T	Buy	04/27/20	J		
45.					Buy (add'l)	04/30/20	J		
46.					Buy (add'l)	05/04/20	J		
47.					Buy (add'l)	05/11/20	J		
48.					Buy (add'l)	06/25/20	J		
49.					Buy (add'l)	08/13/20	J		
50. Align Technology Inc.	A	Dividend	J	T	Buy	03/05/20	J		
51.					Buy (add'l)	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	03/09/20	J		
53. Alphabet Inc. Class A	A	Dividend	J	T					
54. Alphabet Inc. Class C	A	Dividend	L	T	Buy (add'l)	01/06/20	J		
55.					Buy (add'l)	01/08/20	J		
56.					Sold (part)	04/23/20	J	A	
57.					Sold (part)	06/08/20	J	A	
58.					Sold (part)	06/29/20	J	A	
59. Amazon.com Inc.	A	Dividend	L	T	Buy (add'l)	01/03/20	J		
60.					Buy (add'l)	01/08/20	J		
61.					Buy (add'l)	03/11/20	J		
62.					Buy (add'l)	03/18/20	J		
63.					Buy (add'l)	04/06/20	J		
64.					Buy (add'l)	04/21/20	J		
65. American Beacon	A	Dividend	L	T	Sold (part)	03/11/20	M	A	
66.					Buy (add'l)	12/22/20	M		
67. American Campus Communities	A	Dividend	J	T	Buy	02/26/20	J		
68.					Buy (add'l)	03/12/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	04/07/20	J		
70.					Buy (add'l)	04/27/20	J		
71.					Sold (part)	06/08/20	J	A	
72.					Buy (add'l)	08/17/20	J		
73.					Buy (add'l)	11/02/20	J		
74. American Electric Power	B	Dividend	K	T	Sold (part)	05/08/20	J	A	
75.					Sold (part)	06/26/20	J	A	
76.					Sold (part)	10/28/20	J	A	
77. Americold Realty Trust	A	Dividend	J	T	Buy	02/27/20	J		
78.					Sold (part)	04/23/20	J	A	
79. AMG GW&K Small Cap	A	Dividend	O	T	Sold (part)	03/20/20	O	A	
80.					Buy (add'l)	04/23/20	N		
81. Anthem Inc.	A	Dividend	K	T	Buy (add'l)	07/20/20	J		
82. Apple Inc.	A	Dividend	L	T	Sold (part)	01/07/20	J	A	
83.					Sold (part)	03/24/20	J	B	
84.					Sold (part)	04/23/20	J	C	
85.					Sold (part)	07/07/20	J	B	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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P3 =\$25,000,001 - \$50,000,000
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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	07/17/20	J	C	
87.					Sold (part)	08/03/20	J	A	
88.					Sold (part)	08/24/20	J	A	
89.					Sold (part)	09/08/20	J	D	
90.					Sold (part)	10/01/20	J	A	
91. Automatic Data Processing	A	Dividend	J	T	Buy (add'l)	04/03/20	J		
92.					Sold (part)	04/27/20	J	A	
93.					Sold (part)	08/12/20	J	A	
94. Autozone	A	Dividend	K	T	Buy (add'l)	11/11/20	J		
95.					Sold (part)	12/03/20	J	A	
96. Bank of America	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
97.					Sold (part)	01/21/20	J	A	
98.					Sold (part)	04/06/20	J	A	
99.					Buy (add'l)	10/02/20	J		
100.					Buy (add'l)	10/15/20	J		
101.					Buy (add'l)	11/02/20	J		
102. Berkshire Hathaway Inc.	D	Dividend	K	T	Sold (part)	02/03/20	J	A	

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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103.					Sold (part)	04/09/20	J	A	
104.					Sold (part)	04/23/20	J	A	
105.					Sold (part)	06/03/20	J	A	
106.					Sold (part)	06/24/20	J	A	
107.					Sold (part)	06/25/20	J	A	
108.					Sold (part)	06/26/20	J	A	
109.					Sold (part)	07/31/20	J	A	
110.					Sold (part)	09/17/20	J	A	
111. Bernstein New York Municipal Portfolio	F	Dividend	P1	T	Buy (add'l)	12/31/19	J		
112.					Buy (add'l)	01/17/20	J		
113.					Sold (part)	01/21/20	J	A	
114.					Buy (add'l)	02/20/20	J		
115.					Buy (add'l)	03/20/20	J		
116.					Sold (part)	04/01/20	L	A	
117.					Sold (part)	04/20/20	J	A	
118.					Buy (add'l)	04/20/20	J		
119.					Buy (add'l)	05/20/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	6/19/20	J		
121.					Sold (part)	07/20/20	J	A	
122.					Buy (add'l)	07/20/20	J		
123.					Buy (add'l)	08/20/20	J		
124.					Buy (add'l)	09/18/20	J		
125.					Sold (part)	10/20/20	J	A	
126.					Buy (add'l)	10/20/20	J		
127.					Buy (add'l)	11/20/20	J		
128.					Buy (add'l)	11/27/20	J		
129.					Buy (add'l)	12/18/20	J		
130.					Buy (add'l)	12/22/20	N		
131. Bernstein Tax Managed International Portfolio	C	Dividend	N	T	Buy (add'l)	04/23/20	K		
132.					Sold (part)	06/01/20	M	C	
133.					Sold (part)	06/17/20	J	B	
134.					Sold (part)	09/29/20	J	B	
135.					Buy (add'l)	11/27/20	J		
136. Bernstein Tax-Aware Overlay A Portfolio Class A - (no control)	B	Dividend	N	T	Buy (add'l)	06/22/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	09/18/20	J		
138.					Buy (add'l)	12/16/20	K		
139.					Buy (add'l)	12/22/20	M		
140. Bernstein Tax-Aware Overlay N Portfolio Class 1 ((no control)	D	Dividend	P1	T	Buy (add'l)	12/16/20	M		
141.					Buy (add'l)	12/22/20	K		
142. Biogen Inc.	A	Dividend			Sold	01/08/20	J	B	
143. Blackrock High Yield	A	Dividend	O	T	Buy (add'l)	01/21/20	M		
144.					Sold (part)	03/20/20	N	A	
145.					Buy (add'l)	12/22/20	K		
146. Blackrock Multi Asset INCM Port Inst.	A	Dividend	J	T	Buy (add'l)	01/21/20	N		
147.					Buy (add'l)	12/22/20	M		
148. Boeing Co.	A	Dividend			Sold (part)	01/17/20	J	A	
149.					Sold (part)	01/27/20	J	A	
150.					Sold (part)	02/07/20	J	B	
151.					Sold	02/28/20	J	A	
152. Booking Holdings Inc.	A	Dividend	J	T	Sold (part)	01/17/20	J	A	
153.					Sold (part)	01/31/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	04/23/20	J	A	
155.					Sold (part)	08/06/20	J	A	
156.					Sold (part)	08/11/20	J	A	
157. Booz Allen Hamilton Holding	A	Dividend	J	T	Buy	07/22/20	J		
158.					Buy (add'l)	09/03/20	J		
159. Broadcom Inc.	A	Dividend			Buy (add'l)	01/02/20	J		
160.					Sold (part)	03/24/20	J	A	
161.					Sold (part)	04/03/20	J	A	
162.					Sold	04/21/20	J	A	
163. Capital One Financial Corp.	A	Dividend			Sold	03/17/20	J	B	
164. CBRE Group Inc.	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
165.					Sold (part)	04/28/20	J	A	
166.					Sold (part)	11/02/20	J	A	
167. CDW Corp.	A	Dividend	J	T	Buy (add'l)	04/03/20	J		
168. Check Point Software Tech	A	Dividend			Sold (part)	01/07/20	J	A	
169.					Sold (part)	04/03/20	J	A	
170.					Sold	04/09/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Chevron Corp.	A	Dividend	J	T	Sold (part)	04/01/20	J	C	
172.					Sold (part)	04/07/20	J	A	
173.					Sold (part)	05/14/20	J	A	
174.					Sold (part)	08/12/20	J	B	
175.					Sold (part)	09/30/20	J	B	
176.					Buy (add'l)	11/18/20	J		
177. Cintas Corp.	F	Dividend	M	T					
178. Cisco Systems Inc.	A	Dividend			Sold (part)	07/17/20	J	A	
179.					Sold (part)	08/06/20	J	A	
180.					Sold (part)	08/11/20	J	A	
181.					Sold (part)	08/14/20	J	A	
182.					Sold (part)	08/28/20	J	B	
183.					Sold	09/22/20	J	A	
184. Citibank-Checking	B	Interest	L	T					
185. Citibank Insured Money Mkt Acct. (IRA - CD)	A	Interest	J	T					
186. Citibank Insured Money Mkt. Acct. (IRA - Solomon)	A	Interest	K	T					
187. Citibank Insured Money Mkt Acct. (IRA)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Citibank-Insured Money Market	C	Interest	J	T					
189. Citibank-Insured Money Market	C	Interest	J	T					
190. Citigroup, Inc.	A	Dividend	J	T	Buy (add'l)	03/05/20	J		
191.					Buy (add'l)	03/06/20	J		
192.					Buy (add'l)	03/23/20	J		
193.					Sold (part)	06/26/20	J	A	
194.					Sold (part)	09/30/20	J	A	
195. Citrix Systems Inc.	A	Dividend	J	T	Sold (part)	06/26/20	J	A	
196.					Buy (add'l)	10/28/20	J		
197. Comcast Corp.	A	Dividend	K	T					
198. Conocophillips	A	Dividend	J	T	Buy	04/02/20	J		
199.					Sold (part)	04/23/20	J	A	
200.					Sold (part)	09/09/20	J	A	
201.					Buy (add'l)	10/01/20	J		
202. Constellation Brands Inc.	A	Dividend			Sold	01/13/20	J	A	
203. Costco Wholesale Corp.	A	Dividend	K	T	Sold (part)	01/03/20	J	B	
204.					Sold (part)	01/23/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	03/02/20	J	B	
206.					Sold (part)	03/23/20	J	B	
207.					Sold (part)	04/23/20	J	A	
208. CSX Corp.	A	Dividend	K	T	Buy	09/23/20	J		
209.					Buy (add'l)	09/29/20	J		
210.					Buy (add'l)	10/01/20	J		
211. Cubesmart	A	Dividend	J	T	Buy (add'l)	01/09/20	J		
212.					Buy (add'l)	02/19/20	J		
213.					Sold (part)	04/23/20	J	A	
214.					Sold (part)	10/13/20	J	A	
215.					Sold (part)	10/27/20	J	A	
216. Deckers Outdoors Corpl.	A	Dividend	J	T	Buy	05/18/20	J		
217.					Buy (add'l)	08/25/20	J		
218. Delta Air Lines, Inc.	A	Dividend			Sold (part)	04/24/20	J	A	
219.					Sold	04/27/20	J	A	
220. DFA US Small Cap Port Inst.	A	Dividend	L	T	Sold (part)	02/25/20	J	A	
221.					Sold (part)	12/07/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	12/22/20	K		
223. Discovery Inc.	A	Dividend			Sold	01/08/20	J	A	
224. Dodge & Cox Intl. Stock Fund	A	Dividend	N	T	Sold (part)	03/11/20	N	A	
225.					Buy (add'l)	03/23/20	O		
226. Dollar General Corp.	A	Dividend	J	T	Sold (part)	01/02/20	J	B	
227.					Sold (part)	03/13/20	J	A	
228.					Sold (part)	04/09/20	J	A	
229.					Sold (part)	05/01/20	J	A	
230.					Sold (part)	06/15/20	J	A	
231.					Sold (part)	06/26/20	J	A	
232.					Sold (part)	10/26/20	J	A	
233. Eaton Corp.	A	Dividend	J	T	Buy (add'l)	01/21/20	J		
234.					Buy (add'l)	02/28/20	J		
235.					Buy (add'l)	03/26/20	J		
236.					Buy (add'l)	04/27/20	J		
237.					Buy (add'l)	05/18/20	J		
238. Ebay Inc.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Edwards Lifesciences	A	Dividend	K	T					
240. Electronic Arts Inc.	A	Dividend	K	T	Buy (add'l)	01/08/20	J		
241.					Buy (add'l)	02/12/20	J		
242.					Buy (add'l)	04/29/20	J		
243.					Buy (add'l)	05/20/20	J		
244.					Sold (part)	09/09/20	J	A	
245.					Buy (add'l)	09/30/20	J		
246.					Buy (add'l)	10/30/20	J		
247.					Buy (add'l)	11/11/20	J		
248. Eli Lilly & Co.	A	Dividend			Sold	07/24/20	J	A	
249. EOG RES Inc.	A	Dividend	J	T	Sold (part)	01/17/20	J	A	
250.					Sold (part)	02/05/20	J	A	
251.					Sold (part)	04/02/20	J	A	
252.					Buy (add'l)	05/04/20	J		
253.					Buy (add'l)	08/12/20	J		
254.					Sold (part)	09/30/20	J	A	
255. Everest Re Group Ltd.	A	Dividend			Sold (part)	01/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	01/29/20	J	A	
257.					Sold (part)	01/31/20	J	A	
258.					Sold (part)	02/25/20	J	A	
259.					Sold	03/24/20	J	A	
260. Exelon	A	Dividend	J	T					
261. Exxon Mobil Corp.	A	Dividend			Buy	04/01/20	J		
262.					Sold	09/10/20	J	A	
263. F5 Networks Inc.bb	A	Dividend			Sold (part)	01/07/20	J	A	
264.					Sold (part)	04/27/20	J	A	
265.					Sold	09/18/20	J	A	
266. Facebook Inc.	A	Dividend	L	T	Buy (add'l)	01/09/20	J		
267.					Buy (add'l)	03/04/20	J		
268.					Buy (add'l)	03/10/20	J		
269.					Buy (add'l)	03/23/20	J		
270.					Sold (part)	06/04/20	J	A	
271.					Sold (part)	06/09/20	J	B	
272.					Sold (part)	06/15/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Sold (part)	06/16/20	J	A	
274.					Sold (part)	09/22/20	J	A	
275. Fiserv Inc.	A	Dividend	J	T	Sold (part)	07/27/20	J	A	
276. FNF Group	A	Dividend			Sold (part)	01/03/20	J	A	
277.					Sold (part)	02/12/20	J	A	
278.					Sold (part)	03/03/20	J	A	
279.					Sold (part)	03/26/20	J	A	
280.					Sold	03/27/20	J	A	
281. Fidelity National Information	A	Dividend			Sold (part)	07/27/20	J	A	
282.					Sold (part)	07/28/20	J	A	
283.					Sold	07/29/20	J	B	
284. Genpact Ltd.	A	Dividend	J	T	Buy	03/25/20	J		
285.					Buy (add'l)	03/27/20	J		
286.					Buy (add'l)	04/06/20	J		
287.					Buy (add'l)	11/03/20	J		
288. Gilead Sciences Inc.	A	Dividend			Sold (part)	01/17/20	J	A	
289.					Sold (part)	03/13/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold (part)	04/24/20	J	A	
291.					Sold	09/16/20	J	A	
292. Goldman Sachs Group Inc.	A	Dividend	K	T	Buy (add'l)	01/08/20	J		
293.					Buy (add'l)	03/04/20	J		
294.					Sold (part)	04/07/20	J	A	
295.					Buy (add'l)	07/27/20	J		
296.					Buy (add'l)	09/08/20	J		
297. GP Strategies Corp.		None	J	T					
298. Harding Loevner Int'l Eqty Port Inst.	A	Dividend	J	T	Sold (part)	03/20/20	N	A	
299.					Buy (add'l)	04/23/20	N		
300. Harding Loevner Emerging Markets	A	Dividend	J	T	Buy (add'l)	12/22/20	K		
301. Honeywell International Inc.	A	Dividend	K	T	Sold (part)	04/23/20	J		
302. HP Inc.	A	Dividend			Sold	01/08/20	J	B	
303. Ingersoll-Rand Inc.	A	Dividend	J	T	Buy	06/24/20	J		
304. Intel Corp.	A	Dividend	J	T	Buy (add'l)	01/03/20	J		
305.					Buy (add'l)	01/21/20	J		
306.					Buy (add'l)	02/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	04/23/20	J	A	
308.					Buy (add'l)	07/28/20	J		
309.					Buy (add'l)	07/29/20	J		
310.					Buy (add'l)	08/12/20	J		
311.					Sold (part)	10/27/20	J	A	
312.					Sold (part)	11/02/20	J	A	
313. Interferon Sciences, Inc.		None	J	T					
314. International Research Insight Series DBT	A	Dividend	M	T	Sold (part)	01/17/20	J	A	
315.					Sold (part)	04/17/20	J	A	
316.					Sold (part)	07/24/20	J	A	
317.					Sold (part)	10/16/20	J	A	
318. Intuitive Surgical Inc.	A	Dividend	J	T	Sold (part)	08/31/20	J	B	
319.					Sold (part)	10/23/20	J	B	
320. Invesco Oppenheimer Dev. Mkts	D	Dividend	N	T	Sold (part)	08/06/20	N	A	
321.					Buy (add'l)	08/08/20	J		
322. Investment Company of America - Mutual Fund (American Fund)	E	Dividend	M	T	Sold (part)	03/20/20	J	A	
323. IRA-Citibank-CD	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. IRA-Citibank-Money Market	A	Interest	J	T					
325. IRA-Soloman - Treasury Bond	A	Interest	J	T					
326. IShares MSCI EAFE Growth ETF	E	Dividend	O	T	Buy (add'l)	03/23/20	N		
327.					Sold (part)	04/23/20	N	E	
328. IShares MSCI EAFE Emerging ETF Markets	A	Dividend			Sold	01/23/19	M	A	
329. Ishares MSCI EAFE Value ETF	A	Dividend			Sold	01/24/19	M	A	
330. Ishares Russell 1000 (X)	A	Dividend	N	T	Buy (add'l)	03/23/20	O		
331.					Sold (part)	10/08/20	O	A	
332. Ishares Russell 2000 ETF	A	Dividend	J	T	Buy	03/23/20	O		
333.					Sold (part)	04/27/20	O	A	
334. Johnson & Johnson	A	Dividend	K	T	Sold (part)	04/06/20	J	A	
335.					Buy (add'l)	05/15/20	J		
336.					Sold (part)	10/05/20	J	A	
337. JP Morgan Chase & Co.	B	Dividend			Sold (part)	04/06/20	J	A	
338.					Sold (part)	04/23/20	J	A	
339.					Sold (part)	04/30/20	J	A	
340.					Sold (part)	05/07/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold (part)	05/08/20	J	A	
342.					Sold (part)	05/15/20	J	A	
343.					Sold (part)	05/18/20	J	A	
344.					Buy (add'l)	08/12/20	J		
345.					Sold	09/15/20	J	A	
346. KLA Corp.	A	Dividend	J	T	Buy	01/28/20	J		
347.					Buy (add'l)	02/03/20	J		
348.					Buy (add'l)	02/05/20	J		
349.					Buy (add'l)	02/10/20	J		
350.					Buy (add'l)	03/10/20	J		
351.					Sold (part)	04/23/20	J	A	
352.					Sold (part)	05/07/20	J	A	
353. Knight-Swift Transportation	A	Dividend	J	T	Buy	12/04/20	J		
354.					Buy (add'l)	12/11/20	J		
355. L3 Harris Technologies Inc.	A	Dividend	J	T	Buy (add'l)	02/03/20	J		
356.					Buy (add'l)	02/11/20	J		
357.					Buy (add'l)	03/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	04/27/20	J		
359.					Buy (add'l)	06/25/20	J		
360.					Sold (part)	11/04/20	J	A	
361. LPL Investment Holding Inc.	A	Dividend	J	T	Buy	05/28/20	J		
362.					Buy (add'l)	05/29/20	J		
363.					Buy (add'l)	07/27/20	J		
364.					Buy (add'l)	08/25/20	J		
365.					Buy (add'l)	09/08/20	J		
366.					Sold (part)	11/02/20	J	A	
367. Lyondellbasell Indu - CL A	A	Dividend	K	T	Buy (add'l)	10/07/20	J		
368.					Buy (add'l)	10/12/20	J		
369.					Buy (add'l)	10/21/20	J		
370.					Buy (add'l)	10/30/20	J		
371.					Sold (part)	11/17/20	J	A	
372. Magna International Inc.	A	Dividend	K	T	Buy (add'l)	08/13/20	J		
373.					Sold (part)	10/26/20	J	A	
374.					Sold (part)	11/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Pollak, Cheryl L.

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05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. Masco Corp.	A	Dividend	J	T	Buy	05/18/20	J		
376. McDonald's Corp.	A	Dividend			Sold (part)	03/13/20	J	A	
377.					Sold	03/17/20	J	B	
378. Medtronic	A	Dividend	K	T	Buy (add'l)	01/07/20	J		
379.					Buy (add'l)	01/24/20	J		
380.					Buy (add'l)	03/04/20	J		
381.					Buy (add'l)	09/08/20	J		
382.					Sold (part)	11/03/20	J	A	
383.					Sold (part)	12/10/20	J	A	
384. Merck & Co. Inc.	A	Dividend	J	T	Sold (part)	01/24/20	J	A	
385.					Sold (part)	02/14/20	J	A	
386.					Sold (part)	02/26/20	J	A	
387.					Sold (part)	03/04/20	J	A	
388.					Sold (part)	03/09/20	J	A	
389.					Sold (part)	06/25/20	J	A	
390.					Sold (part)	07/17/20	J	A	
391.					Sold (part)	09/16/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	09/17/20	J	A	
393. Microsoft Corp.	A	Dividend	M	T	Buy (add'l)	01/06/20	J		
394.					Buy (add'l)	01/17/20	J		
395.					Buy (add'l)	01/21/20	J		
396.					Buy (add'l)	04/03/20	J		
397.					Sold (part)	04/23/20	J	A	
398.					Sold (part)	07/17/20	J	A	
399.					Sold (part)	07/27/20	J	A	
400. Mid-America Apartment	A	Dividend	J	T	Sold (part)	04/06/20	J	A	
401.					Sold (part)	04/23/20	J	A	
402. Morgan Lewis & Bockius LLP, Firm Capital	E	Interest	O	T					
403. Morgan Stanley	A	Dividend			Sold (part)	01/02/20	J	A	
404.					Sold (part)	01/24/20	J	A	
405.					Sold	03/04/20	J	A	
406. Nextera Energy Inc.	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
407.					Buy (add'l)	04/06/20	J		
408.					Buy (add'l)	06/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	06/26/20	J		
410.					Buy (add'l)	09/17/20	J		
411. Nike Inc.	A	Dividend	K	T	Sold (part)	04/06/20	J	A	
412. Nisource Inc.	A	Dividend			Sold (part)	01/02/20	J	A	
413.					Sold	03/16/20	J	A	
414. Norfolk Southern Corp.	A	Dividend	J	T	Sold (part)	02/04/20	J	A	
415.					Sold (part)	03/23/20	J	A	
416. Northrop Grumman Corp.	A	Dividend	J	T	Sold (part)	03/19/20	J	B	
417. Novo Nordisk A S ADR	A	Dividend			Sold (part)	04/14/20	J	A	
418.					Sold (part)	04/23/20	J	A	
419.					Sold	07/02/20	J	A	
420. Nuveen New York Quality	A	Interest	J	T					
421. NVIDIA	A	Dividend	K	T	Buy	03/24/20	J		
422.					Buy (add'l)	04/03/20	J		
423. NXP Semiconductors NV	A	Dividend	K	T	Buy	03/13/20	J		
424.					Buy (add'l)	03/23/20	J		
425.					Buy (add'l)	05/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Sold (part)	06/01/20	J	A	
427.					Buy (add'l)	08/07/20	J		
428.					Buy (add'l)	08/28/20	J		
429.					Buy (add'l)	10/30/20	J		
430.					Buy (add'l)	11/02/20	J		
431. Oakmark Int'l Fd Inv.	A	Dividend			Buy	03/12/20	N		
432.					Sold	03/20/20	N	A	
433. Oracle Corp.	A	Dividend	K	T	Sold (part)	04/06/20	J	A	
434.					Sold (part)	04/23/20	J	A	
435. Paypal Holdings Inc.	A	Dividend	J	T	Sold (part)	10/01/20	J	B	
436.					Sold (part)	10/02/20	J	A	
437.					Sold (part)	10/29/20	J	A	
438. Pepsico Inc.	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
439.					Sold (part)	04/06/20	J	A	
440.					Sold (part)	04/23/20	J	A	
441.					Sold (part)	10/12/20	J	B	
442.					Sold (part)	10/27/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	01/09/20	J		
444.					Sold (part)	04/06/20	J	A	
445.					Sold (part)	04/23/20	J	A	
446.					Sold (part)	07/23/20	J	A	
447.					Sold (part)	07/28/20	J	A	
448.					Sold (part)	10/12/20	J	A	
449.					Sold (part)	10/20/20	J	A	
450.					Sold (part)	10/27/20	J	A	
451. Phillips 66	A	Dividend			Sold (part)	01/23/20	J	A	
452.					Sold	03/10/20	J	B	
453. Pimco Enhanced SHRT Maturity Actv ETF	A	Dividend	J	T	Buy	10/09/20	O		
454. Pimco Foreign Bond Fund Institutional	D	Dividend	P1	T					
455. Pimco High Yield Fund	A	Dividend	N	T	Buy (add'l)	03/23/20	O		
456. Pimco Int'l. Bond US Dollar Hedge Inst.	A	Dividend	J	T	Buy (add'l)	12/22/20	K		
457. Pinnacle West Capital	A	Dividend	J	T	Buy	05/29/20	J		
458.					Buy (add'l)	06/01/20	J		
459.					Buy (add'l)	07/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. PNC Financial Services Group	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
461.					Buy (add'l)	03/02/20	J		
462.					Buy (add'l)	03/06/20	J		
463.					Buy (add'l)	03/23/20	J		
464.					Sold (part)	08/12/20	J	A	
465.					Buy (add'l)	09/15/20	J		
466.					Buy (add'l)	10/30/20	J		
467.					Buy (add'l)	11/02/20	J		
468. Procter & Gamble	A	Dividend	K	T	Sold (part)	04/23/20	J	A	
469.					Buy (add'l)	05/15/20	J		
470.					Buy (add'l)	06/15/20	J		
471.					Buy (add'l)	07/01/20	J		
472. Progressive Corp.	A	Dividend	K	T	Buy (add'l)	01/07/20	J		
473.					Sold (part)	05/11/20	J	A	
474. Prologis Inc.	A	Dividend	J	T	Buy	03/24/20	J		
475. Qualcomm Inc.	A	Dividend	K	T	Buy	06/03/20	J		
476.					Buy (add'l)	06/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy (add'l)	06/15/20	J		
478.					Buy (add'l)	07/17/20	J		
479.					Buy (add'l)	07/27/20	J		
480. Raytheon Technologies (formerly Raytheon Company)	A	Dividend	J	T	Buy (add'l)	01/23/20	J		
481.					Buy (add'l)	01/28/20	J		
482.					Buy (add'l)	02/07/20	J		
483.					Buy (add'l)	03/23/20	J		
484.					Sold (part)	06/26/20	J	A	
485.					Sold (part)	08/05/20	J	A	
486.					Sold (part)	11/05/20	J	A	
487. REF Partners LP	A	Dividend	N	T					
488. Regency Centers Corp.	A	Dividend			Sold (part)	03/05/20	J	A	
489.					Sold	03/23/20	J	B	
490. Regeneron Pharmaceuticals	A	Dividend	J	T					
491. Reinsurance Group of America	A	Dividend	J	T	Buy (add'l)	04/28/20	J		
492.					Buy (add'l)	08/11/20	J		
493.					Buy (add'l)	08/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

05/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Sold (part)	11/02/20	J	B	
495. Roche Hldg. Ltd. Sponsored ADR	A	Dividend	K	T	Buy (add'l)	01/09/20	J		
496.					Sold (part)	04/06/20	J	A	
497.					Buy (add'l)	04/30/20	J		
498.					Buy (add'l)	07/23/20	J		
499.					Buy (add'l)	11/09/20	J		
500.					Buy (add'l)	12/24/20	J		
501. Ross Stores Inc.	A	Dividend	J	T	Sold (part)	01/06/20	J	A	
502.					Sold (part)	04/06/20	J	A	
503.					Sold (part)	04/23/20	J	A	
504.					Sold (part)	05/18/20	J	B	
505.					Sold (part)	06/12/20	J	A	
506.					Sold (part)	10/26/20	J	A	
507. Royal Dutch Shell	A	Dividend			Buy (add'l)	01/31/20	J		
508.					Sold (part)	03/06/20	J	B	
509.					Sold (part)	04/06/20	J	A	
510.					Sold (part)	08/12/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	09/22/20	J		
512.					Sold	09/30/20	J	A	
513. Southwest Airlines Co.	A	Dividend	J	T	Buy	10/23/20	J		
514.					Buy (add'l)	10/26/20	J		
515.					Buy (add'l)	10/30/20	J		
516.					Buy (add'l)	11/02/20	J		
517. Schwab Breckinridge (no control)	F	Interest	P1	T					
518. Schwab Gov't Money Fund	A	Dividend	M	T					
519. Schwab S&P 500 Index	C	Dividend	O	T	Sold (part)	03/20/20	O	A	
520.					Buy (add'l)	12/22/20	M		
521. Schwab US Treasury Money Inv.	A	Dividend	J	T	Buy	03/19/20	J		
522. Schwab Value Advantage Money Fund	A	Dividend	L	T	Sold (part)	03/19/20	J	A	
523. Starbucks Corp.	A	Dividend	J	T	Sold (part)	04/23/20	J	A	
524. Sun Communities Inc.	A	Dividend			Sold	03/24/20	J	A	
525. Synchrony Financial	A	Dividend			Sold (part)	01/27/20	J	A	
526.					Sold (part)	02/11/20	J	A	
527.					Sold (part)	02/12/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Pollak, Cheryl L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528.					Sold (part)	02/25/20	J	A	
529.					Sold (part)	02/26/20	J	A	
530.					Sold	03/04/20	J	A	
531. Take-Two Interactive Software	A	Dividend	J	T	Buy	06/08/20	J		
532.					Buy (add'l)	06/10/20	J		
533. T-Mobile US Inc.	A	Dividend	J	T	Sold (part)	02/19/20	J	A	
534.					Sold (part)	07/02/20	J	A	
535.					Sold (part)	10/13/20	J	A	
536. Texas Instruments Inc.	A	Dividend	K	T	Buy (add'l)	03/25/20	J		
537. TJX Companies Inc.	A	Dividend	K	T	Sold (part)	04/06/20	J	A	
538.					Sold (part)	04/23/20	J	A	
539.					Buy (add'l)	05/04/20	J		
540. U.S. Savings Bonds	A	Interest	J	T					
541. U.S. Treasury Bills	A	Interest	P1	T					
542. US Bancorp.	A	Dividend			Sold	03/06/20	J	A	
543. US Foods Holding Corp.	A	Dividend			Buy (add'l)	04/28/20	J		
544.					Sold	05/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. Unitedhealth Group Inc.	A	Dividend	K	T	Buy (add'l)	01/08/20	J		
546.					Sold (part)	04/23/20	J	A	
547.					Buy (add'l)	08/28/20	J		
548.					Sold (part)	10/28/20	J	A	
549. United Rentals Inc.	A	Dividend	J	T	Buy	04/03/20	J		
550.					Buy (add'l)	06/29/20	J		
551.					Buy (add'l)	09/16/20	J		
552.					Buy (add'l)	09/17/20	J		
553. Valero Energy Corp.	A	Dividend	J	T	Buy	08/12/20	J		
554.					Buy (add'l)	10/01/20	J		
555.					Sold (part)	11/23/20	J	A	
556. Vanguard Interm Term Tax Exempt Fd Admiral Share	A	Dividend	J	T	Sold (part)	01/17/20	N	A	
557.					Sold (part)	03/20/20	O	A	
558.					Buy (add'l)	12/22/20	M		
559. Vanguard US Growth Fd Admiral Share	A	Dividend	P1	T					
560. Vanguard Windsor Fund Admiral Share	A	Dividend	N	T	Sold (part)	02/25/20	J	A	
561.					Sold (part)	12/07/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Vanguard Windsor II Fd Admiral Share	A	Dividend	J	T	Buy	03/23/20	N		
563. Vertex Pharmaceuticals Inc.	A	Dividend	K	T	Buy (add'l)	01/08/20	J		
564.					Buy (add'l)	09/16/20	J		
565. Viatris Inc.	A	Dividend	J	T	Sold (part)	11/25/20	J	A	
566. Visa Inc.	A	Dividend	K	T					
567. VMWARE Inc.	A	Dividend	J	T	Buy	02/04/20	J		
568.					Buy (add'l)	02/14/20	J		
569.					Buy (add'l)	02/25/20	J		
570.					Sold (part)	05/01/20	J	A	
571. Wal-mart Stores Inc.	A	Dividend	K	T	Sold (part)	04/23/20	J	A	
572.					Buy (add'l)	06/30/20	J		
573. Walt Disney Co.	A	Dividend	J	T	Sold (part)	02/07/20	J	D	
574.					Sold (part)	03/24/20	J	A	
575.					Sold (part)	04/23/20	J	A	
576. Westlake Chemical Corp.	A	Dividend	J	T	Buy (add'l)	01/08/20	J		
577.					Buy (add'l)	03/23/20	J		
578.					Sold (part)	10/29/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579.					Sold (part)	11/02/20	J	A	
580. Xerox Holdings Corp.(formally Xerox Corp.)	A	Dividend			Sold (part)	01/23/20	J	A	
581.					Sold	03/05/20	J	A	
582. Xilinx Inc.	A	Dividend	J	T	Sold (part)	09/17/20	J	A	
583.					Sold (part)	10/28/20	J	B	
584. Zoetis Inc.	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Page 10 of 40 - Line 111; Bernstein New York Municipal Portfolio - The accounting firm made this transaction at the end of the calendar year and it didn't get reflected until this year.

Page 23 of 40 - Line 328 - Ishares MSCI EAFE Emerging ETF Markets and Page 23 of 40 - Line 329 - Ishares MSCI EAFE Value ETF were reported as a partial sale on the 2019 Financial Disclosure Report when in fact they were a complete sale.

Page 36 of 40 - Line 559; Vanguard Morgan Growth Fund Admiral Share was merged into Vanguard U.S. Growth Fund Admiral Share.

Page 37 of 40 - Line 565; On 11/6/2020 Pfizer Inc. had a spin-off called Viatrix Inc.

In the 2019 Financial Disclosure Report, page 20 of 33 - line 286 Morgan Stanley and Line 287 Morgan Stanley NY Municipal -- these holdings were listed separately when they should have been listed under one entry. Morgan Stanley has been corrected in this report.

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Pollak, Cheryl L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cheryl L. Pollak**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544