

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 03/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Trustee	No. 1 Family Trust (asset listed in Part VII, lines 181-285)
6.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional seminar	\$900.00
2.	University of Southern California	four nights lodging, parking, lunches, and 1 dinner at Jurist in Residence program	\$1,481.49
3.	Duke University Law School	three nights lodging, lunches and dinners at Jurist in Residence program	\$900.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America Sarasota FL	A	Interest	L	T					
3. Wells Fargo expanded bank account	A	Interest			Closed	10/17/20	N		
4. Raymond James Bank Deposit Program	A	Interest	N	T	Open	10/17/20	N		
5. Brokerage Account No. 1 (H)									
6. CDs (H):									
7. Medallion Bank, Salt Lake City, UT 2.4 5/6/20	A	Interest			Redeemed	05/06/20	K		
8. Citizens Bank Providence, RI 2.0 2/7/20	A	Interest			Redeemed	02/07/20	K		
9. Goldman Sachs BK 1.6 2/18/21	A	Interest	L	T	Buy	02/01/20	L		
10. Great Southern Bank MO 1.1 01/27/21	A	Interest	L	T	Buy	03/19/20	K		
11. State Bank of India NY 1.7 11/17/20	A	Interest			Redeemed	03/18/20	K		
12. Stocks (H):									
13. Air Products	C	Dividend	N	T					
14. Cigna Corp new (see note Part VIII)		None			Closed	03/27/20	K		
15. Cisco	B	Dividend	M	T					
16. Cummins	C	Dividend	N	T					
17. Johnson and Johnson	C	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Merck	D	Dividend	N	T					
19. Microsoft	B	Dividend	O	T					
20. Minnesota Mining and Manufacturing	C	Dividend	M	T					
21. Total Fina	D	Dividend	M	T					
22. Mutual funds and exchange-traded funds (H):									
23. 2BNY Mellon Technology Growth Fund (see note Part VIII)		None			Closed	03/27/20	J		
24. Calamos Growth & Income C Fund (see note Part VIII)		None			Closed	03/27/20	M		
25. Janus Henderson Intl Fund (see note part VIII)		None			Closed	03/27/20	K		
26. Invesco TR II S&P Mid Cap Revenue Fund (see note Part VIII)		None			Closed	03/27/20	L		
27. Invesco Oppenheimer Roch AMT Free Mu C Fund		None	K	T					
28. Invesco TR II S&P Small Cap Revenue Fund (see pa VIII)		None			Closed	03/27/20	L		
29. Schwab 1000 Fund	B	Distribution	M	T					
30. Bonds (H):									
31. Bound Brook NJ '21 4.0	B	Interest	L	T					
32. Colorado Springs CO Utils '22 5.0	A	Interest	K	T	Buy	01/15/20	K		
33. Davenport Iowa '21 2.0	A	Interest	L	T					
34. Des Moines IA Stormwater '23 5.0	A	Interest	K	T	Buy	03/02/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Duncanville TX '23 5.0	C	Interest	L	T					
36. Elkhorn Minn '23 2.0	A	Interest	L	T					
37. Florida St Brd Ed '23 5.0	A	Interest	K	T	Buy	03/02/20	K		
38. Georgia ST GO '23 5.0	B	Interest	L	T	Buy	01/15/20	L		
39. Hamilton Cnty TN '23 5.0	B	Interest	K	T	Buy	03/02/20	L		
40. Harris Cnty TX '22 30	C	Int./Div.	L	T					
41. Hartford CT '23 5.0 (see note Part VIII)	B	Interest	L	T					
42. Holdingford Minn School '23 5.0	B	Interest	L	T					
43. Kenton Cnty KY '20 2.0	A	Interest			Redeemed	03/02/20	K		
44. Lancaster PA GO '22 4.0	B	Interest	L	T	Buy	01/15/20	L		
45. LaPorte Ind	A	Interest			Redeemed	01/15/20	K		
46. Louisiana GO A '23 5.0	B	Interest	L	T	Buy	03/02/20	L		
47. Maryland '23 5.0	B	Interest	L	T					
48. Maryland '22 5.0 (note Part VIII)	B	Interest	K	T					
49. Maryland RFDG '22 5.0	A	Interest	K	T					
50. Massachusetts rdfg ser A '23 5.0	B	Interest	L	T	Buy	05/07/20	K		
51. Mich St Strat. '20 5.0	B	Interest			Redeemed	03/02/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. North Car. RFDG '23 5.0	A	Interest	K	T	Buy	03/02/20	K		
53. Ohio St. job ready '22 4.0	B	Interest	L	T	Buy	01/15/20	L		
54. Ohio St RFDG High Ed '22 5.0	B	Interest	K	T					
55. Oklahoma City '23 5.0	B	Interest	K	T	Buy	01/17/20	K		
56. Oregon St Housing 2.65 '24	B	Interest	L	T					
57. Rhode Island Comm Corp '22 5.0	B	Interest	L	T					
58. South Amboy NJ '22 4.0	B	Interest	L	T					
59. Univ. CT '22 5.0	B	Interest	L	T					
60. Upper Trinity Wtr TX '22 5.0	A	Interest	K	T					
61. Washuington Cnty 01/17/20 5.0	B	Interest	K	T	Buy	01/17/20	K		
62. West Wildwood, NJ -21 3.0	B	Interest	L	T					
63. Brokerage Account No. 2. (H):									
64. Wells Fargo expanded bank deposit	A	Interest			Closed	10/17/20	M		
65. Raymond James Bank Deposit Program	A	Interest	M	T	Open	10/17/20	M		
66. Stocks (H):									
67. Abbvie	C	Distribution	L	T	Buy (add'l)	01/30/20	K		
68.					Buy (add'l)	04/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Aflac	A	Dividend	J	T					
70.					Buy	03/30/20	K		
71. Amazon		None	L	T	Buy	04/07/20	J		
72.					Buy (add'l)	04/14/20	J		
73. American Electric Power	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
74.					Buy (add'l)	04/07/20	J		
75. AT&T	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
76.					Buy (add'l)	05/08/20	J		
77. Amgen	A	Dividend	K	T					
78. Apple	A	Dividend	M	T	Buy (add'l)	04/07/20	J		
79.					Buy (add'l)	04/14/20	J		
80. Barrick Gold		None			Buy	09/29/20	K		
81.					Sold	12/03/20	K		
82. Berkshire Hathaway		None	K	T					
83. Bristol Myers Squibb	A	Dividend	K	T	Buy	03/30/20	J		
84.					Buy (add'l)	04/03/20	J		
85.					Buy (add'l)	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 9 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	04/14/20	J		
87. Broadcom	B	Dividend	L	T	Buy (add'l)	03/30/20	J		
88.					Buy (add'l)	04/07/20	J		
89.					Buy (add'l)	04/14/20	J		
90. Chevron	B	Dividend	L	T	Buy	01/30/20	K		
91.					Buy (add'l)	04/01/20	J		
92.					Buy (add'l)	04/07/20	K		
93.					Buy (add'l)	06/08/20	J		
94.					Buy (add'l)	12/29/20	J		
95. Cigna new (see note Part VIII)		None			Open	03/27/20	L		
96.					Sold (part)	03/27/20	K		
97.					Sold	04/07/20	K	E	
98. Cisco		None			Sold	01/17/20	J	A	
99. Digital Realty Trust	A	Dividend	K	T					
100. Dow Inc.	C	Dividend	L	T	Buy (add'l)	01/30/20	J		
101.					Buy (add'l)	04/01/20	J		
102.					Buy (add'l)	04/07/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Gilead Sciences	A	Dividend	K	T	Buy	04/01/20	J		
104.					Buy (add'l)	04/03/20	J		
105.					Buy (add'l)	04/07/20	J		
106.					Buy (add'l)	04/14/20	J		
107.					Buy (add'l)	04/29/20	J		
108. Home Depot	A	Dividend	L	T					
109. IBM	A	Dividend	K	T	Buy	04/07/20	J		
110.					Buy (add'l)	04/14/20	J		
111.					Buy (add'l)	07/07/20	J		
112. Intel	A	Dividend	K	T	Buy (add'l)	07/24/20	J		
113. Iron Mountain, Inc.	A	Dividend	L	T	Buy	01/30/20	K		
114.					Buy (add'l)	03/30/20	J		
115.					Buy (add'l)	04/07/20	J		
116. Johnson & Johnson	A	Dividend	L	T	Buy (add'l)	03/30/20	J		
117.					Buy (add'l)	12/29/20	J		
118. JP Morgan Chase	A	Dividend	L	T	Buy (add'l)	03/30/20	J		
119.					Buy (add'l)	12/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Kimberly-Clark	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
121. Merck	A	Dividend	K	T	Buy (add'l)	03/30/20	J		
122. Minnesota Mining & Manufacturing		None			Sold	01/19/20	K	B	
123. Nextera Energy	A	Dividend			Sold	10/28/20	J		
124. Nextera Energy		None	L	T	Buy	12/29/20	L		
125. Pfizer	A	Dividend			Sold	01/19/20	J	B	
126. Phillips 66	B	Dividend	K	T	Buy (add'l)	04/14/20	J		
127.					Buy (add'l)	07/07/20	J		
128. Procter & Gamble	A	Dividend	L	T					
129. Qualcomm	A	Dividend	K	T	Buy	04/01/20	J		
130.					Buy (add'l)	04/03/20	J		
131.					Buy (add'l)	04/07/20	J		
132. Realty Income Corp..	B	Dividend	L	T	Buy (add'l)	04/01/20	J		
133.					Buy (add'l)	04/07/20	J		
134.					Buy (add'l)	04/14/20	J		
135. Southern Company	B	Dividend	K	T	Buy (add'l)	04/01/20	J		
136. US Bancorp	A	Dividend	L	T	Buy (add'l)	03/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	04/07/20	J		
138.					Buy (add'l)	07/07/20	J		
139. Verizon	A	Dividend	L	T	Buy (add'l)	04/01/20	J		
140. Waste Mgmt	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
141. Mutual Funds (H)									
142. American Tax-Exempt Bond Fund		None	M	T	Buy (add'l)	04/01/20	J		
143. BNY Mellon Technology Growth Fund (see note Part VIII)		None			Open	03/27/20	J		
144.					Sold	03/27/20	K	C	
145. Calamos Growth & Income C Fund (see note Part VIII)		None			Open	03/27/20	M		
146.					Sold (part)	03/27/20	K		
147.					Sold	04/14/20	L	D	
148. Goldman Sachs Dynamic Mun. Inc. Fund	A	Dividend	M	T					
149. Exchange Traded Funds (H)									
150. Invesco TR II S&P Mid Cap Revenue Fund (see note Part VIII)		None			Open	03/27/20	K		
151.					Sold	03/27/20	K		
152. Invesco TR II S&P Small Cap Revenue Fund (see note Part VIII)		None			Open	03/27/20	K		
153.					Sold	03/27/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. Janus Henderson Intl Fund (see note part VIII)		None			Open	03/27/20	L		
155.					Sold	03/27/20	L		
156. SPDR S&P dividend ETF Fund	B	Dividend	L	T	Buy (add'l)	03/30/20	J		
157. Vanguard Intermediate Term ETF Bond Fund	B	Dividend	L	T	Buy	03/30/20	L		
158. Vanguard Short Term ETF bond Fund	B	Dividend	L	T					
159. Merrill Edge Account (H):									
160. Merrill Lynch direct deposit program	A	Interest	L	T					
161. ETF and Mutual Funds (H)									
162. AB Large Capital Growth Fund	A	Dividend	K	T					
163. Invesco QQQ Trust Units Ser. 1 Fund (see note Part VIII)	A	Dividend	L	T					
164. Ishares core S&P small cap etf fund	A	Dividend	K	T					
165. Ishares S&P small cap 600 growth fund	A	Dividend	K	T					
166. Janus Henderson Enterprise Fund	C	Dividend			Sold	03/23/20	K		
167. Vanguard Mid-Cap Value Index Fund	A	Dividend	K	T					
168. Bonds (H)									
169. Manatee County Recreational District 3.5 '50	A	Interest	K	T	Buy	01/17/20	K		
170. Miami-Dade Cty. 5.0 '20	A	Interest			Matured	04/01/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. N.Y. St. Dorm. '21 5.0	A	Interest	K	T					
172. Wisc. Environmental 5.0 '20	A	Interest			Matured	06/01/20	K		
173. Stocks (H)									
174. Apple Inc.	A	Dividend	M	T					
175. Other (H):									
176. Thames & Hudson Royalty Contract	A	Royalty	J	W					
177. AIG fixed income Annuity (IRA)	B	Interest	K	T					
178. AIG fixed income Annuity (IRA)	A	Interest	J	T					
179. - Trust No. 1: (H)					Distributed	12/29/20	P1		
180. - Brokerage Account No. 1 (H)					Distributed	12/29/20	P1		
181. - Wells Fargo expanded bank deposit account	A	Int./Div.			Closed	10/17/20	L		
182. - Raymond James Bank Deposit Program	A	Interest	L	T	Open	10/17/20	L		
183. - Stocks: (H)					Distributed	12/29/20	P1		
184. - Corning	B	Dividend			Distributed	12/29/20	L		
185. - Exxon Mobil (see note Part VIII)	C	Dividend			Closed	03/26/20	M		
186. - Honeywell	B	Dividend			Distributed	12/29/20	M		
187. - Insteel	A	Dividend			Sold	03/27/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Koninklijke Phillips	A	Dividend			Distributed	12/29/20	L		
189. - M & T Bank	C	Dividend			Distributed	12/29/20	M		
190. - Norfolk Southern	C	Dividend			Distributed	12/29/20	M		
191. - Pfizer	B	Dividend			Distributed	12/29/20	K		
192. - Mutual Funds (H):									
193. - Bonds (H):									
194. - CT St Spl Tax '20 3.9	A	Interest			Matured	02/03/20	L		
195. - Cullman AL Util Elec 5.0 '23	C	Interest			Distributed	12/29/20	L		
196. - Everett PA Sch Dist 5.0 '23	B	Interest			Distributed	12/29/20	K		
197. - Florida Keys Aqueduct 5.0 '23					Distributed	12/29/20	K		
198. - Hillsborough FL 5.0 '23	B	Interest			Distributed	12/29/20	L		
199. - JEA Florida Wtr & Swr 5.0 '22	B	Interest			Distributed	12/29/20	K		
200. - Martin Cnty Florida 5.0 '20	B	Interest			Redeemed	10/01/20	K		
201. - Mass. St Cons 03/01/23 5.0	B	Interest			Buy	02/10/20	L		
202.					Distributed	12/29/20	L		
203. - Miami Dade Cnty 5.0 '22	B	Interest			Distributed	12/29/20	K		
204. - Municipal Elec auth GA 5.0 '20	A	Interest			Matured	01/02/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 16 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - New Britain CT 5.0 '23	B	Interest			Distributed	12/29/20	K		
206. - Northern Palm Sch Cnty FL 5.0 '23	B	Interest			Distributed	12/29/20	L		
207. - Northern TX Mun. Wrt. 5.0 '22	A	Interest			Distributed	12/29/20	J		
208. - Philadelphia PA Gas wks 5.0 '23	C	Interest			Distributed	12/29/20	L		
209. - Philadelphia PA Ser B GO 5.0 '23	B	Interest			Distributed	12/29/20	L		
210. - Port St. Lucie FL Util. 5.25 '23	B	Interest			Distributed	12/29/20	K		
211. - Snohomish Cnty WA Pub. Util. 5.0 '22	A	Interest			Distributed	12/29/20	K		
212. - Brokerage Account No. 2 (H)					Distributed	12/29/20	L		
213. - Wells Fargo expanded bank deposit account	A	Interest			Closed	10/17/20	K		
214. - Stocks: (H)					Distributed	12/29/20	L		
215. - Abbvie	A	Dividend			Buy	03/30/20	J		
216.					Distributed	12/29/20	J		
217. - Amazon		None			Buy	03/30/20	J		
218.					Distributed	12/29/20	J		
219. - AT&T	A	Dividend			Buy (add'l)	03/30/20	J		
220.					Buy (add'l)	04/07/20	J		
221.					Distributed	12/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Apple	A	Dividend			Buy	03/30/20	J		
223.					Distributed	12/29/20	K		
224. - Bristol Myers Squibb	A	Dividend			Buy	03/30/20	J		
225.					Buy (add'l)	04/07/20	J		
226.					Distributed	12/29/20	J		
227. - Broadcom	A	Dividend			Buy (add'l)	03/30/20	J		
228.					Buy (add'l)	04/07/20	J		
229.					Distributed	12/29/20	J		
230. - Chevron	A	Dividend			Buy (add'l)	03/30/20	J		
231.					Buy (add'l)	04/07/20	J		
232.					Distributed	12/29/20	J		
233. - Coca-cola	A	Dividend			Buy	03/30/20	J		
234.					Distributed	12/29/20	J		
235. - Digital Realty Trust, Inc.	A	Dividend			Buy (add'l)	04/14/20	J		
236.					Distributed	12/29/20	J		
237. - Exxon Mobil (see note Part VIII)	A	Dividend			Open	03/26/20	L		
238.					Sold (part)	03/30/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	07/07/20	J		
240.					Sold	09/08/20	J		
241. - General Mills	A	Dividend			Buy (add'l)	03/30/20	J		
242.					Distributed	12/29/20	J		
243. - General Motors	A	Dividend			Sold	03/30/20	J		
244. - Gilead Sciences	A	Dividend			Buy	04/07/20	J		
245.					Buy (add'l)	04/14/20	J		
246.					Distributed	12/22/20	J		
247. - Home Depot	A	Dividend			Buy	03/30/20	J		
248.					Distributed	12/29/20	J		
249. - IBM	A	Dividend			Buy (add'l)	03/30/20	J		
250.					Buy (add'l)	04/07/20	J		
251.					Distributed	12/29/20	J		
252. - Intel	A	Dividend			Buy	03/30/20	K		
253.					Buy (add'l)	07/24/20	J		
254.					Distributed	12/29/20	J		
255. - Johnson & Johnson	A	Dividend			Buy (add'l)	03/30/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Distributed (part)	12/29/20	J		
257. - JP Morgan Chase	A	Dividend			Buy (add'l)	03/30/20	J		
258.					Buy (add'l)	04/07/20	J		
259.					Distributed	12/29/20	J		
260. - Kimberly Clark	A	Dividend			Buy	03/30/20	J		
261.					Distributed	12/29/20	J		
262. - LTC Properties Inc.	A	Dividend			Buy (add'l)	03/30/20	J		
263.					Buy (add'l)	04/07/20	J		
264.					Sold	07/31/20	J		
265. - Lockheed Martin	A	Dividend			Buy (add'l)	03/30/20	J		
266.					Distributed	12/29/20	J		
267. - Nextera	A	Dividend			Buy	03/30/20	J		
268.					Sold	10/29/20	J		
269.					Distributed	12/29/20	J		
270. - Pfizer	A	Dividend			Buy (add'l)	03/30/20	J		
271.					Buy (add'l)	04/07/20	J		
272.					Distributed	12/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Procter & Gamble	A	Dividend			Buy (add'l)	03/30/20	J		
274.					Distributed	12/29/20	J		
275. - Qualcomm	A	Dividend			Buy	03/30/20	J		
276.					Distributed	12/29/20	J		
277. - Realty Income Corp.	A	Dividend			Buy (add'l)	03/30/20	J		
278.					Buy (add'l)	04/07/20	J		
279.					Distributed	12/29/20	J		
280. - Southern Company	A	Dividend			Buy (add'l)	03/30/20	J		
281.					Distributed	12/29/20	J		
282. - Verizon	A	Dividend			Buy (add'l)	03/30/20	J		
283.					Distributed	12/29/20	J		
284. - Waste Mgmt	A	Dividend			Buy	03/30/20	J		
285.					Distributed	12/29/20	J		
286. - Trust No. 2 (H):									
287. - Brokerage Account No. 1 (H):									
288. - Well Fargo expanded bank deposit account	A	Interest			Closed	10/17/20	N		
289. - Raymond James Bank Deposit Pprogram	A	Interest	M	T	Open	10/17/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. - CDs (H)									
291. Great Southern Bank MO 1.1 01/27/21	A	Interest	L	T	Buy	05/15/20	K		
292. - JP Morgan Chase Bank 1.65 11/15/20	A	Interest			Redeemed	05/15/20	K		
293. - JPMorgan Chase Bank 1.7 11/29/20	A	Interest			Redeemed	05/29/20	L		
294. - Medallion Bank, Salt Lake City, UT 2.4 5/6/20	A	Interest			Redeemed	05/06/20	K		
295. - State Bank of India NY 1.7 11/17/20	A	Interest			Redeemed	03/18/20	K		
296. - First Financial Bank Cincinnati 1.0 3/15/21		None	L	T	Buy	08/18/20	K		
297. - Stocks (H):									
298. - 3M	B	Dividend	M	T					
299. - Adobe Systems		None	N	T					
300. - Agilent	A	Dividend	L	T					
301. - American Express	B	Dividend	M	T					
302. - Automatic Data Processing	D	Dividend	N	T					
303. - Baxter Int'l	C	Dividend	N	T					
304. - Becton Dickinson	C	Dividend	N	T					
305. - CDK Global	A	Dividend	K	T					
306. - Cigna	A	Dividend	N	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 22 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. - Dominion Resources	D	Dividend	M	T					
308. - Edwards Life Resources		None	O	T					
309. - Emerson Electric	B	Dividend	L	T					
310. - General Mills	D	Dividend	M	T					
311. - Home Depot	D	Dividend	O	T					
312. - Intel	C	Dividend	M	T					
313. - IBM	A	Dividend	K	T					
314. - Keysight Technologies		None	L	T					
315. - McDonalds	C	Dividend	N	T					
316. - Microsoft	C	Dividend	O	T					
317. - Procter & Gamble	C	Dividend	M	T					
318. - RPM Int'l	D	Dividend	O	T					
319. - Travelers	B	Dividend	L	T					
320. - T Rowe Price	B	Dividend	L	T					
321. - Weyerhaeuser		None	K	T					
322. - Bonds (H):									
323. - Auburn FL Rev '23 3.0	A	Interest	K	T	Buy	05/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - Baltimore MD '22 5.0	B	Interest	L	T					
325. - Brainard Minn '21 1.75	A	Interest	K	T					
326. - Burlington VT '22 5.0	A	Interest	K	T					
327. - Cape Coral FL '40 6.0	D	Interest	M	T					
328. - Carbon Cnty PA '20 5.0	B	Interest			Redeemed	03/02/20	L		
329. Columbus OH '23 5.0	B	Interest	L	T	Buy	03/02/20	K		
330. - Cromwell CT '20 4.0	A	Interest			Redeemed	06/15/20	K		
331. - Delton Kellogg MY Sch '22 4.0	B	Interest	L	T					
332. - Denton TX '22 5.0	A	Interest	J	T					
333. - Des Moines IA G.O. '23 5.0	A	Interest	K	T	Buy	05/07/20	K		
334. - Harrison NJ '20 3.0	B	Interest			Redeemed	08/03/20	K		
335. - Louisiana St Energy & Pwr '23 5.0	B	Interest	L	T	Buy	05/07/20	L		
336. - New York City Trust for Cultural Reserve '21 5.0	B	Interest	K	T					
337. - Orange Cnty FL '24 5.0	C	Interest	L	T					
338. - Oyster Bay NY '23 3.0	B	Interest	L	T					
339. Philadelphia PA Wtr '23 3.0	A	Interest	K	T	Buy	05/18/20	K		
340. - Sauk Centre Minn Sch '24 3.0	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. - Vancouver Wash. '22 5.0	B	Interest	L	T					
342. - West Orange NY '22 2.0	A	Interest	L	T					
343. - West VA RFDG '23 5.0	A	Interest	K	T	Buy	05/07/20	J		
344. - Williamson Cnty TX '22 4.5	A	Interest	K	T					
345. - Brokerage Account No. 2 (H):									
346. - Wells Fargo expanded bank deposit	A	Interest			Closed	10/17/20	M		
347. - Raymond James Bank Deposit Program	A	Interest	M	T	Open	10/17/20	M		
348. - Stocks (H):									
349. - Abbott Labs	A	Dividend	J	T					
350. - Abbvie	B	Dividend	K	T					
351. - Air Products	A	Dividend			Sold	04/07/20	J	A	
352. - Alphabet Inc.		None	J	T					
353. - Amazon		None	K	T	Buy	04/07/20	K		
354. - American Electric Power	A	Dividend	J	T					
355. - American Express	A	Dividend	J	T					
356. - Apple	A	Dividend	L	T					
357. - ASML Holding		None			Sold	04/07/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 25 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. - AT&T	B	Dividend	K	T	Buy (add'l)	04/13/20	J		
359. - Automatic Data Processing	A	Dividend			Sold	04/07/20	J	A	
360. - Blackrock	A	Dividend	J	T					
361. - Bristol Myers Squibb	A	Dividend	K	T	Buy	04/07/20	J		
362.					Buy (add'l)	04/13/20	J		
363. - Chevron	A	Dividend	K	T	Buy (add'l)	07/07/20	J		
364. - Chubb	A	Dividend			Sold	04/07/20	J	A	
365. - Coca-cola	A	Dividend	K	T					
366. - Comcast	A	Dividend	J	T					
367. - Conoco		None			Sold	01/20/20	J	A	
368. - Estee Lauder	A	Dividend	J	T					
369. - Facebook		None	K	T					
370. - Gilead Sciences	A	Dividend	J	T	Buy	04/29/20	J		
371. - Intercontinental Exchange Group	A	Dividend			Sold	04/07/20	J	A	
372. - Intuitive Surgical		None	J	T					
373. - Iron Mountain	A	Dividend	K	T					
374. - Johnson & Johnson	A	Dividend	K	T	Buy	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. - JP Morgan Chase	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
376. - Mastercard	A	Dividend	J	T					
377. - McDonalds	A	Dividend	J	T					
378. - Microsoft	A	Dividend	K	T					
379. - Nestle	A	Dividend	J	T					
380. - Nextera Energy	A	Dividend	K	T	Buy	04/07/20	K		
381.					Buy (add'l)	04/13/20	J		
382. - Nike	A	Dividend	J	T					
383. - Novo-Nordisk	A	Dividend	J	T					
384. Otis Worldwide		None			Open	04/03/20	J		
385.					Sold	04/07/20	J		
386. - Pepsico	A	Dividend	J	T					
387. - Phillip Morris	A	Dividend	J	T					
388. - Procter & Gamble	A	Dividend	J	T	Buy	04/07/20	J		
389. - Roche Holding	A	Dividend	J	T					
390. - Texas Instruments	A	Dividend	J	T					
391. - Union Pacific	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 27 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. - United Health	A	Dividend	J	T					
393. - United Technologies L&N (see note Part VIII)	A	Dividend			Sold	04/13/20	J	A	
394. - Verisk Analytics	A	Dividend			Sold	04/13/20	J		
395. - Verizon	A	Dividend	K	T					
396. - Visa	A	Dividend	K	T					
397. - Waste Management	A	Dividend	K	T					
398. - Exchange Traded and Closed End Funds (H):									
399. - Invesco S&P Midcap ETF Value With Momentum Fund	A	Dividend			Sold	04/07/20	K	C	
400. - Invesco S&P Midcap ETF Momentum Fund	A	Dividend			Sold	04/07/20	K	D	
401. - SPDR Dow Jones Wilshire Int'l Real Est. Fund	A	Dividend			Sold	04/07/20	J	A	
402. - SPDR Nuveen Barclays Mun. Fund	A	Int./Div.	K	T					
403. - SPDR S&P Div ETF Fund	A	Dividend	K	T	Buy (add'l)	07/07/20	J		
404. - Vanguard Intermediate ETF Term Bd Fund	A	Distribution	K	T					
405. - Vanguard short term ETF Bd Fund	A	Dividend	K	T					
406. -Vanguard TTL World Stk Index Fund	B	Dividend	M	T					
407. - Mutual Funds (H):									
408. - American Funds Tax-Exempt Bond Fund	B	Int./Div.	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Cohen & Steers Realty Fund	A	Dividend	K	T					
410. - Franklin Conv. Sec. Adv. Fund		Dividend	L	T					
411. - Goldman Sachs Dynamic Mun. Inc. Fund (see note Part VIII)	B	Dividend	L	T					
412. - JP Morgan Dynamic Sm Cp Gr Sel Fund		None			Sold	04/07/20	K		
413. - Mainstay Large Cap Grw I Fund		None			Sold	04/07/20	K	C	
414. - Nuveen limited term muni bond I Fund	B	Dividend	L	T					
415. - Trust No. 3: (H)									
416. - Brokerage Account No. 1 (H):									
417. - Wells Fargo expanded bank deposit	A	Interest			Closed	10/17/20	L		
418. - Raymond James Bank Deposit Program	A	Interest	L	T	Open	10/17/20	L		
419. - CDs (H)									
420. - Goldman Sachs Bank 1.9 2/14/20	A	Interest			Redeemed	02/14/20	L		
421. - Great Southern Bank MO 1.1 01/27/21	B	Interest	M	T	Buy	03/19/20	M		
422. - Medallion Bank, Salt Lake City UT 2.4 5/6/20	B	Interest			Redeemed	05/06/20	K		
423. - State Bank of India NY 1.7 11/17/20	B	Interest			Redeemed	03/18/20	M		
424. - City National Bank 2/25/21 1.65		None	M	T	Buy	02/14/20	L		
425. - Stocks (H):									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - 3M Co.	D	Dividend	M	T					
427. - Air Products	C	Dividend	M	T					
428. - Apple	B	Dividend	N	T					
429. - Danaher	A	Dividend	M	T					
430. - Fortive	A	Dividend	K	T					
431. - Johnson & Johnson	B	Dividend	M	T					
432. - Vontier		None	J	T	Spinoff (from line 430)	10/09/20	J		
433. - Wells Fargo	A	Dividend	J	T					
434. - Bonds (H):									
435. - Florida Dpt Enviromental Protection Everglades '23 5.0 '23 5.0	B	Interest	L	T	Buy	05/07/20	K		
436. - Florida Dpt Environmental Protection RFDG '23 5.0	A	Interest	K	T	Buy	05/07/20	K		
437. - Maryland St G.O. '23 5.0	A	Interest	K	T	Buy	05/07/20	J		
438. - Ohio St Wtr Pollution '23 5.0	A	Interest	J	T	Buy	05/07/20	J		
439. - Pennsylvania RFDG 1st Ser. '23 5.0	B	Interest	L	T	Buy	05/07/20	L		
440. - Brokerage Account No. 2 (H):									
441. - Wells Fargo Bank expanded bank deposit	A	Interest			Closed	10/17/20	M		
442. - Raymond James Bank Deposit Program	A	Interest	M	T	Open	10/17/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 30 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Stocks (H):									
444. - Amazon		None	K	T	Buy	04/07/20	K		
445. - American Electric Power	A	Dividend	K	T					
446. - American Express	A	Dividend	K	T					
447. - Apple	A	Dividend	M	T	Buy (add'l)	04/07/20	J		
448. - AT&T	C	Dividend	K	T					
449. - Chevron	B	Dividend	K	T	Buy (add'l)	07/07/20	J		
450. - Conoco Phillips	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
451.					Buy (add'l)	07/07/20	J		
452.					Buy (add'l)	07/24/20	J		
453. - Digital Realty Trust Inc	A	Dividend	K	T					
454. - Ebay	A	Dividend	K	T					
455. - Exelon	A	Dividend	J	T					
456. - Facebook		None	K	T					
457. - Gilead Science	A	Dividend	J	T	Buy	04/29/20	J		
458. - Globe Life	A	Dividend			Sold	03/26/20	J	C	
459. - Hartford Financial Services	A	Dividend			Sold	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 31 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460. - Intel	A	Dividend	K	T	Buy (add'l)	07/24/20	J		
461. - Iron Mountain, Inc.	B	Dividend	K	T	Buy (add'l)	04/07/20	J		
462. - Johnson & Johnson	A	Dividend	K	T					
463. - JP Morgan Chase	B	Dividend	L	T					
464. - Lowes	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
465. - LTC Properties Inc	A	Dividend			Buy (add'l)	04/07/20	J		
466.					Sold	07/31/20	K		
467. - Marathon Petroleum	A	Dividend			Sold	07/24/20	K		
468. - Medtronic	A	Dividend	K	T					
469. - Microsoft	A	Dividend	L	T					
470. - National Grid	A	Dividend			Sold	04/07/20	J	A	
471. - National Retail Properties	A	Dividend	K	T					
472. - Nextera Energy	B	Dividend	M	T					
473. - Pepsico	A	Dividend	K	T					
474. - Phillips 66	B	Dividend	K	T	Buy (add'l)	07/07/20	J		
475. - PNC Financial	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
476. - PPG	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 32 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477. - Procter & Gamble	B	Dividend	M	T					
478. - Qualcomm	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
479.					Buy (add'l)	07/07/20	J		
480. - Realty Income Corp	B	Dividend	L	T	Buy (add'l)	04/07/20	J		
481. - Southern Company	B	Dividend	K	T					
482. - Target	A	Dividend	K	T					
483. - Travelers	A	Dividend	M	T					
484. - Truist Finl Corp.	A	Dividend	J	T					
485. - US Bancorp	B	Dividend	K	T	Buy (add'l)	07/24/20	J		
486. - Verizon	B	Dividend	L	T					
487. - Waste Management	A	Dividend	K	T					
488. - Exchange Traded & Closed End Funds:(H)									
489. - IShares MSCI Small Cap Fund	A	Dividend	L	T					
490. - IShares Short Treasury Bond Fund	A	Dividend	K	T					
491. - SPDR S&P div ETF Fund	B	Dividend	L	T					
492. - Vanguard Intermediate Term ETF Bd Fund	A	Dividend	K	T					
493. - Vanguard short teerm ETF Bd Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 33 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. - Xtrackers MSCI EAFE Equity Fund	B	Dividend	M	T					
495. - Mutual Funds (H):									
496. - American tax-exempt bond Fund	A	Int./Div.	L	T					
497. - Franklin Fed. Intm. Trm. Tx. Fr. Adv. Fund	C	Int./Div.	M	T					
498. - Goldman Sachs Dynamic Mun. Inc. Fund (see note Part VIII)	A	Int./Div.	L	T					
499. - Nuveen Ltd. Term Mun. Bond Fund	B	Int./Div.	L	T					
500. -Tweedy Browne Fund		None			Sold	03/26/20	L		
501. - Trust No. 4: (H)									
502. - Brokerage Account No. 1 (H):									
503. - Wells Fargo expanded bank depoasit	A	Interest			Closed	10/17/20	L		
504. - Raymond James Bank Deposit Program	A	Interest	L	T	Open	10/27/20	L		
505. - CDs (H)									
506. - JP Morgan Chase Bank, OH 1.65 11/15/20	B	Interest			Redeemed	05/15/20	M		
507. - Suntrust Bank Atlanta GA 1.9 2/14/20	A	Interest			Redeemed	02/14/20	L		
508. - City National Bank 02/25/20 1.65		None	M	T	Buy	02/14/20	L		
509. - bonds: (H)									
510. - Audubon NJ G.O. '23 2.0	A	Interest	L	T	Buy	05/18/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 34 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. - Bucks Cnty PA '22 2.5	A	Interest	K	T	Buy	06/04/20	K		
512. - CT Ser B G/O '23 2.0	A	Interest	K	T	Buy	06/04/20	K		
513. - Del Rio TX '22 2.250	A	Interest	K	T	Buy	06/04/20	K		
514. -Mass ST WTR '22 5.0	B	Interest	L	T	Buy	01/15/20	L		
515. - New York NY GO '22 3.0		None	K	T	Buy	05/21/20	K		
516. - Venice FL Util '22 2.0	A	Interest	K	T	Buy	05/19/20	K		
517. - Stocks (H):									
518. - Apple	A	Dividend	M	T					
519. - Automatic Data Processing		None	L	T					
520. - Caarrier Global	A	Dividend	K	T	Spinoff (from line 527)	04/15/20	K		
521. - CDK Global	A	Dividend			Sold	03/27/20	J	B	
522. - Danaher	A	Dividend	L	T					
523. - Ecolab	C	Dividend	N	T					
524. - Fortive	A	Dividend			Sold	03/27/20	J	D	
525. - Nike Class B	A	Distribution	M	T					
526. - Otis	A	Dividend	K	T	Spinoff (from line 527)	04/15/20	K		
527. - Raytheon Technologies (note Part VIII)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 35 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. - Brokerage Account No. 2 (H):									
529. - Wells Fargo expanded bank deposit	A	Interest			Closed	10/17/20	L		
530. - Raymond James Bank Deposit Program	A	Interest	L	T	Open	10/17/20	L		
531. - Stocks (H):									
532. - Abbvie	B	Dividend	K	T	Buy (add'l)	01/30/20	J		
533.					Buy (add'l)	03/27/20	J		
534. - Allergan	A	Dividend			Sold	05/11/20	J	C	
535. - Allianz		None			Sold	03/26/20	J	B	
536. - Amazon		None	K	T	Buy	03/30/20	J		
537.					Buy (add'l)	04/14/20	J		
538. - Ameerican Electric Power	A	Dividend	J	T					
539. - Anasys		None	J	T					
540. - Apple	A	Dividend	L	T	Buy (add'l)	03/30/20	J		
541. - AspenTechnology		None	J	T					
542. - AT&T	B	Dividend	K	T	Buy (add'l)	03/27/20	J		
543.					Buy (add'l)	04/14/20	J		
544. - Autodesk		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 36 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. - Berkley W.R.	A	Dividend			Sold	03/27/20	J	B	
546. - Biogen		None	J	T					
547. - Boeing		None			Sold	01/17/20	K		
548. - Broadcom	A	Dividend	K	T					
549. - CDW	A	Dividend	J	T					
550. - Check Point		None			Sold	03/27/20	J	A	
551. - Chevron	A	Dividend	K	T	Buy (add'l)	01/30/20	J		
552.					Buy (add'l)	03/27/20	J		
553.					Buy (add'l)	07/07/20	J		
554. - Cisco					Sold	01/17/20	K		
555. - Citrix	A	Dividend	J	T	Buy (add'l)	03/27/20	J		
556. - Comcast	A	Dividend	K	T					
557. - ConocoPhillips	A	Dividend	K	T	Buy	01/17/20	K		
558.					Buy (add'l)	03/27/20	J		
559.					Buy (add'l)	03/30/20	J		
560.					Buy (add'l)	07/07/20	J		
561. - Cooper Co.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 37 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. - Copart		None	J	T					
563. - Cree		None			Sold	03/30/20	J	A	
564. - Dassault		None			Sold	03/30/20	J	D	
565. - DBS		None			Sold	03/26/20	J	B	
566. - Digital Realty Trust	A	Dividend	K	T					
567. - Docusign		None	J	T					
568. - Dolby	A	Dividend	J	T					
569. - Expeditors Int'l	A	Dividend	J	T					
570. - ExxonMobil		None			Sold	01/17/20	J		
571. - General Mills	A	Dividend	K	T					
572. - Gilead Sciences	A	Dividend	J	T	Buy	03/30/20	J		
573.					Buy (add'l)	04/07/20	J		
574. - Home Depot	A	Dividend	K	T	Buy (add'l)	03/27/20	J		
575. - IBM	A	Dividend			Sold	01/17/20	K		
576. - IBM	A	Dividend	J	T	Buy	03/30/20	J		
577.					Buy (add'l)	04/07/20	J		
578. - Intel	A	Dividend	K	T	Buy (add'l)	07/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
---	---	--	--	--	------------------------

FINANCIAL DISCLOSURE REPORT

Page 38 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. - Ionis Pharmaceuticals		None	J	T					
580. - Iron Mountain, Inc.	A	Dividend	K	T	Buy	01/17/20	K		
581.					Buy (add'l)	03/27/20	J		
582. - JPMorgan Chase	A	Dividend	K	T	Buy	03/27/20	J		
583. - Jack Henry		None	J	T					
584. - Johnson & Johnson		None			Sold	01/17/20	K		
585. - Johnson Controls Intl	A	Dividend			Sold	03/30/20	J		
586. - L'Oreal		None			Sold	04/07/20	J	B	
587. - L3 Harris Technologies	A	Dividend	K	T					
588. - Lennox Int'l	A	Dividend			Sold	04/07/20	J	A	
589. - Lockheed Martin	A	Dividend	K	T					
590. - Medtronic	A	Dividend	J	T					
591. - Merck	A	Dividend	K	T					
592. - Microoft	A	Dividend	K	T					
593. - MSCI Inc Com		None	K	T					
594. - Nestle	A	Dividend	J	T					
595. - Nextera Energy	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 39 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596. - Nordson	A	Dividend			Sold	04/07/20	J	C	
597. - Nucor	A	Dividend			Sold	04/07/20	J		
598. - Occidental Pete Corp.	A	Dividend			Sold	04/07/20	J		
599. - Pepsico	A	Dividend	K	T					
600. - Phillips 66	A	Dividend	K	T					
601. - Pool Corp.	A	Dividend	J	T					
602. - Procter & Gamble	A	Dividend	K	T					
603. - Primerica	A	Dividend			Sold	04/07/20	J	B	
604. - RBC Bearngs		None			Sold	04/07/20	J	C	
605. - Qualcom	A	Dividend	K	T	Buy	03/30/20	K		
606. - Realty Income Corp.	A	Dividend	K	T					
607. - Royal Dutch Shell	A	Dividend			Sold	04/07/20	J		
608. - SAP AG		None			Sold	04/07/20	J	B	
609. - Scott's Miracle-Glo	A	Dividend	J	T					
610. - Seagate Tech.	A	Dividend	J	T					
611. - Snap-on	A	Dividend			Sold	03/26/20	J	A	
612. - Sonova		None			Sold	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 40 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Southern Company	A	Dividend	K	T					
614. - Symrise		None			Sold	03/26/20	J	A	
615. - Sysmex		None			Sold	03/26/20	J	A	
616. - Taiwan Semiconductor	A	Dividend	J	T					
617. - TE Connectivity	A	Dividend	J	T					
618. - Tencent Holdings		None			Sold	01/17/20	N		
619. - Teradyne	A	Dividend	K	T					
620. - Twitter		None	J	T					
621. - Unilever	A	Dividend			Sold	03/26/20	J	A	
622. - United Health	A	Dividend	K	T					
623. - Verizon	A	Dividend	K	T					
624. - Vertex		None	J	T					
625. - Wabco Holdings		None			Sold	06/01/20	J	B	
626. - Waste Management	A	Dividend	K	T					
627. - Exchange Traded Closed End Funds (H):									
628. - Ishares Russell 3000 Fund	A	Dividend	K	T					
629. - SPDR S&P Div ETF Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 41 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630. - Vanguard Intermediate ETF term bond Fund	A	Dividend	K	T					
631. - Vanguard short term Corp Bond Fund	A	Dividend	K	T					
632. - Mutual funds (H):									
633. - American Tax-Exempt Bd Fund	B	Int./Div.	L	T					
634. - E V Atlanta Cap Sel Eq Fund		None	K	T					
635. - Goldman Sachs Dynamic Mun. Inc. Fund (see note Part VIII)	B	Int./Div.	M	T					
636. - IRA consisting of Stocks and Corporate Bonds: (H)									
637. - Brokerage Account No. 1 (H):									
638. - Wells Fargo expanded bank deposit	A	Interest			Closed	10/17/20	J		
639. - Raymond James Bank Deposit Program	A	Interest	J	T	Open	10/17/20	J		
640. - Brokerage Account No. 2 (H):									
641. - Wells Fargo standad bank deposit	A	Interest			Closed	10/17/20	L		
642. - Raymond James Bank Deposit Program	A	Interest	L	T	Open	10/17/20	L		
643. - Stocks (H):									
644. - Amazon		None	L	T	Buy	03/26/20	K		
645.					Buy (add'l)	04/14/20	J		
646. - American Electric Power	A	Dividend	K	T	Buy (add'l)	04/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
647. - Ameriprise Financial		None			Sold	03/26/20	J		
648. - Amgen	A	Dividend	K	T					
649. - Apple	A	Dividend	L	T	Buy (add'l)	04/14/20	J		
650. - AT&T	A	Dividend	K	T	Buy (add'l)	04/14/20	J		
651. - Barrick Gold	A	Dividend			Buy	09/29/20	K		
652.					Sold	12/03/20	J		
653. - Berkshire Hathaway		None	L	T					
654. - Boeing	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
655.					Buy (add'l)	04/14/20	J		
656. - Chevron	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
657.					Buy (add'l)	07/07/20	J		
658. - Cisco	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
659. - Digital Realty Trust	A	Dividend	K	T					
660. - Exxon Mobil	B	Dividend			Buy (add'l)	03/26/20	J		
661.					Buy (add'l)	04/14/20	J		
662.					Buy (add'l)	04/14/20	J		
663.					Buy (add'l)	07/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 43 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
664.					Sold	09/08/20	K		
665. - General Mills	A	Dividend	K	T					
666. - Home Depot	A	Dividend	K	T					
667. - IBM	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
668.					Buy (add'l)	04/14/20	J		
669. - Intel	A	Dividend	K	T	Buy (add'l)	07/24/20	J		
670. - Johnson & Johnson	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
671.					Buy (add'l)	04/14/20	J		
672. - JP Morgan Chase	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
673. - Lockheed Martin	A	Dividend	K	T					
674. - Merck	A	Dividend	K	T					
675. - Microsoft	A	Dividend	K	T					
676. - Nextera Energy	A	Dividend	K	T					
677. - Pepsico	A	Dividend	K	T					
678. - Pfizer	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
679. - Phillips 66	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
680.					Buy (add'l)	04/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 44 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
681. - Procter & Gamble	A	Dividend	K	T					
682. - Realty Income Corp.	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
683. - Southern Company	A	Dividend	J	T					
684. - U.S. Bancorp	A	Dividend			Buy (add'l)	03/26/20	J		
685.					Buy (add'l)	04/14/20	J		
686.					Sold	09/29/20	K		
687. - Verizon		Dividend	K	T					
688. - Viatris		None	J	T	Spinoff (from line 678)	11/20/20	J		
689. - Waste Management	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
690. - Exchange traded and closed End funds (H):									
691. - Invesco Dynamic Large Cap Fund		None			Sold	03/16/20	L		
692. - Ishares Russell 1000 value Fund		None			Sold (part)	03/30/20	L	B	
693.					Sold	04/14/20	L	E	
694. - Vanguard Intermediate ETF Term Bd Fund	B	Dividend	M	T					
695. - Vanguard short term ETF Fund	A	Dividend	K	T					
696. - Vanguard Utilities Fund	B	Dividend	M	T					
697. - Mutual funds (H):									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
698. - Cohen & Steers Pref. Sec. & Inc. I Fund	A	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 46 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

4Line 14: This stock was transferred to Brokerage Account No. 2 and appears on line 95.

Line 23: This fund was transferred to Brokerage Account No. 2 and appears on line 143.

Line 24: This fund was transferred to Brokerage Account No. 2 and appears on line 145.

Line 25: This fund was transferred to Brokerage Account No. 2 and appears on line 154.

Line 26: This fund was transferred to Brokerage Account No. 2 and appears on line 150.

line 28: This fund was transferred to Brokerage Account No. 2 and appears on line 152.

Line 41: The name of this bond has been amplified to show the interest rate and due date.

Line 48: The maturity date of this bond was listed as '26, and is now correctly listed as '22.

Line 95: This stock was transferred from Brokerage Account No. 1 on line 14.

Line 141: This fund was transferred from Brokerage Account No. 1 on line 27.

Line 143: This fund was transferred from Brokerage Account No. 1 on line 23.

Line 145: This fund was transferred from Brokerage Account No. 1 on line 24.

Line 150: This fund was transferred from Brokerage Account No. 1 on line 26.

Line 152: This fund was transferred to Brokerage Account No. 1 on line 28.

Line 154: This fund was transferred from Brokerage Account No. 1 on line 25.

Line 185: This stock was transferred to Brokerage Account No. 2 and appears on line 237.

Line 237: This stock, was transferred from Brokerage Account No. 1 on line 185.

Line 393: "United Technologies" changed its name to "United Technologies L&N."

Line 527: United Technologies changed its name to Raytheon Technologies.

Line 635: This fund changed its name from "Goldman Sachs Strategic Mun. Inc. Fund" to "Goldman Sachs Dynamic Mun. Inc. Fund."

FINANCIAL DISCLOSURE REPORT

Page 47 of 47

Name of Person Reporting

Newman, Jon O.

Date of Report

03/08/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544