

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Bashant, Cynthia A.	2. Court or Organization U.S. District Court, Southern District of California	3. Date of Report 5/4/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 221 W. Broadway San Diego, CA 92101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union (cash accounts)	A	Interest	K	T					
2. Pimco Income Fund (PONDIX) (IRA)	D	Dividend	M	T					
3. Fidelity Government Money Market (SPAXX) (IRA)	A	Dividend	J	T	Buy (add'l)	04/02/20	J		
4. Fidelity Real Estate Fund (FSRNK)	A	Dividend	J	T					
5. Fidelity Emerging Market Index Fund (FPADX)	D	Dividend	L	T					
6. Fidelity Four in One Index (FFNOX) (IRA)	F	Dividend	N	T					
7. Fidelity Extended Market Index Fund (FSMAX)	E	Dividend	M	T					
8. Fidelity Int'l Enhanced Index Fund (FIENX) (IRA)	D	Dividend	L	T					
9. Fed Large Cap Growth Enhanced Index (FLGEX) (IRA)	G	Dividend	N	T					
10. Morgan Stanley CD	A	Interest	K	T					
11. Fidelity Small Cap Enhanced Index Fund (FCPEX) (IRA)	D	Dividend	L	T					
12. Goldman Sachs Bk USA NY CD	A	Interest	K	T	Buy	02/05/20	K		
13. Capital One CD	A	Interest	K	T					
14. Midfirst Bk Okla City CD	A	Interest	K	T	Buy	08/19/20	K		
15. Invesco Stable Value Fund (IRA)	A	Dividend	K	T					
16. Vanguard Global Minimal Volatility Fund	A	Dividend	J	T					
17. Vanguard GNMA Bond Fund	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard High Dividend Yield Index Fund	A	Dividend	K	T					
19. Vanguard Real Estate Index Fund	A	Dividend	J	T					
20. Vanguard Select Value Fund	A	Dividend	K	T					
21. Vanguard Small Cap Index Fund	C	Dividend	K	T					
22. Vanguard Total Bond Market Index Fund	B	Dividend	K	T					
23. Vangaurd 500 Index	E	Dividend	L	T	Buy	03/09/20	K		
24. Vanguard 500 Index	E	Dividend	L	T	Buy (add'l)	03/13/20	K		
25. Wells Fargo Bank Nat'l Assn CD (24 mo maturity)	A	Interest			Matured	08/17/20	K	A	
26. Wells Fargo Bank CD	A	Interest	K	T					
27. Fidelity Asset Manager (FASIX)	B	Dividend	J	T	Buy	02/25/20	J		
28. Fidlelity Floating Rate High Income (FFRHX)	A	Dividend	K	T	Buy	02/25/20	J		
29. Vanguard Mun. Bond Tax Exempt Fund (VTEB)	A	Dividend	K	T	Buy	02/25/20	K		
30. Vanguard Federal Money Market	A	Dividend	L	T	Sold (part)	03/09/20	K	A	
31. Vangaurd Federal Money Market	A	Dividend	L	T	Sold (part)	03/13/20	K	A	
32. Wells Fargo Bank CD	A	Interest			Matured	01/31/20	K	A	
33. Fidelity Government Money Market (SPAXX)	A	Dividend	J	T	Sold (part)	02/05/20	K	A	
34. Fidelity Government Money Market (SPAXX)	A	Dividend	J	T	Sold (part)	02/25/20	K	A	

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35.									
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cynthia A. Bashant**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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