

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Junell, Robert A.	2. Court or Organization U.S. District Court, WDTX	3. Date of Report 5/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address George H. W. Bush, George W. Bush U.S. Courthouse and George Mahon Federal Building 200 E. Wall Street, Suite 301 Midland, TX 79701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	State of Texas Retirement Plan
2. _____	_____
3. _____	_____

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Name of Person Reporting

Junell, Robert A.

Date of Report

5/12/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Employees Retirement System of Texas (ERS)	\$46,692.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Concho Resources Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Junell, Robert A.	5/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Brokerage Account, Wells Fargo Advisors									
2. - Cash Sweep	A	Interest	J	T					
3. - Apple, Inc.	A	Dividend	K	T					
4. - Atmos Energy Corporation	A	Dividend	K	T					
5. - AT&T Inc.	B	Dividend	K	T					
6. - NextEra 5% pfd	B	Interest	K	T					
7. - Wells Fargo 5.125% pfd	B	Dividend	K	T					
8. - Wells Fargo Bank (Accounts) CD/SAV	A	Interest	N	T					
9. First National Bank of Mertzon (Accounts) CD	E	Interest	O	T					
10. SEP IRA, Wells Fargo Advisors									
11. - Cash Sweep	A	Interest	J	T					
12. - Exxon	C	Dividend	L	T					
13. (H) Hilltop Securities									
14. - Cash/Money Market (X) Plains Capital Bank CASIT	C	Interest	M	T					
15. - SL Green Realty Corp (X)	B	Dividend	K	T					
16. - Block Financial LLC (X) SR. Note 5.125 % Due 1-20-23	B	Dividend	K	T					
17. - Jefferies Group Inc. (X)	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. - Kinross Gold Corp (X) GLDGAL-Note 5.125% Due 9-1-21	B	Interest	K	T					
19. - CAIBX (X)	A	Dividend	J	T					
20. - CWGIX (X)	A	Dividend	J	T					
21. - AGTHX (X)	A	Dividend	K	T					
22. - Hines Global REIT (X)	C	Dividend	J	U					
23. - BP (X)	A	Dividend	J	T					
24. - Flower Mound Certs (X)	A	Interest	J	T					
25. - Schertz-Cibolo (X)	A	Interest	J	T					
26. - Harris County Cultural (X)	A	Interest	K	T					
27. - Tarrant Count (X)	A	Interest	K	T					
28. - Chevron Corporation (X)	B	Dividend	K	T					
29. - Continental Resources Inc. (X)		Distribution			Sold	01/22/20	J	A	
30. - Exelon Corporation (X)	A	Dividend			Sold	01/22/20	K	A	
31. - Interdigital Inc. (X)	A	Dividend	J	T					
32. - Lightstream Resources (X)	A	Dividend	J	T					
33. - Newfield Exploration (X)	A	Dividend			Sold	01/22/20	J	A	
34. - Bank of America Corp (X)	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - SHRAX (X)	A	Dividend			Sold	01/22/20	K	A	
36. - VAPAX (X)	A	Dividend							
37. - ETFAX (X)	A	Dividend			Sold	01/22/20	K	A	
38. - GDV (X)	A	Dividend	J	T					
39. - Irving TX Water & Sewer (X)	B	Interest	L	T					
40. - Albuquerque Cnty WT REF BDS (X)	B	Interest	L	T					
41. - West Travis Cnty Pub Util BDS (X)	A	Interest	K	T					
42. - Santa Fe Mex Gross Rec. Tax Rev Bonds (X)	B	Interest	K	T					
43. - Crowley TX ISD BDS (X)	B	Interest	L	T					
44. - Lonestar College System Lmted Tax BDS (X)	C	Interest	L	T					
45. - PXSGX (X)	A	Dividend	J	T					
46. - Arlington TX SPL Tax Rev. (X)	B	Interest	K	T					
47. - Broken Arrow OK Go (X)	A	Interest	K	T					
48. - BBH (X)	A	Interest	J	T					
49. - XLF (X)	A	Dividend	J	T					
50. - XLRE (X)	A	Dividend	J	T					
51. - NKE (X)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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52. - Jackson Variable Anunity (X)		None	N	T					
53. -- JNL Multi-Manager Small Cap Growth (X)		None	K	T					
54. -- JNL Mellon capital Oil & Gas Sector (X)		None	K	T					
55. -- JNL FPA+DL Flex Allocation (X)		None	L	T					
56. -- JNL Franklin Templeton Global Growth (X)		None	L	T					
57. -- JNL Mellon Capital Healthcare Sector (X)		None	J	T					
58. -- JNL S&P Intrinsic Value (X)		None	L	T					
59. -- JNL American Blue Chip Inc & Growth (X)		None	L	T					
60. CPODX	A	Interest	M	T	Buy	02/12/20	M		
61. HCMAX	A	Interest	L	T	Buy	01/22/20	L		
62. PRILX	A	Interest	L	T	Buy	01/22/20	L		
63. RYOXC	A	Interest	L	T	Buy	01/22/20	L		
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert A. Junell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544