

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Boom, Claria H.	2. Court or Organization U.S. District Court for the ED and WD of Kentucky	3. Date of Report 05/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 101 Barr Street Lexington, KY 40507		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Transylvania University Board of Regents
2.	Member	Jasper H. Properties, LLC
3.	Trustee	Claria Horn Boom Revocable Trust
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 12/31/2020

Denny Boom, Buzz Oates Construction, Consulting Fees

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 31

Name of Person Reporting

Boom, Claria H.

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05/07/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Republic Bank and Trust	Mortgage on Investment Property, Lexington, KY	M
2. Old National Bank	Mortgage of DKBB, Properties 2	L
3. Field & Main Bank	Mortgage of SP RRTAI	N
4. Limestone Bank	TAFL, LLC	O
5. Sterling Bank	Cosign on Brother's Condo Mortgage	L

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Charles Schwab Bank Deposit Account	A	Interest	O	T					
2. Campbell & Kenton Cnty KY Rev	B	Interest	K	T					
3. Fayette Cnty KY Sch Dist Fin Corp Rev	A	Interest	K	T					
4. Greenville KY GO	A	Interest	K	T					
5. Hardin Cnty KY Wtr Dist #002	A	Interest	K	T					
6. Jefferson Cnty KY Sch Dist Ser A Rev	B	Interest	K	T					
7. KY Infrastructure Auth R	A	Interest	K	T					
8. KY St Turnpike Ref Rev preref @100 orig 7-1-26	A	Interest	K	T					
9. Lexington Fayette Urban Cnty KY Ref GO	B	Interest	K	T					
10. Louisville & Jefferson Cnty Ky GO	B	Interest	K	T					
11. Louisville & Jefferson Cnty KY Met Swr Dist Rev	B	Interest	K	T					
12. Louisville & Jefferson Cnty KY Rev	B	Interest	K	T					
13. Marshall Cnty KY Sch Dist Fin	B	Interest	K	T					
14. Morehead St Univ Ky Gen Rcpts	A	Interest	K	T					
15. Murray KY St Univ Gen Recpts Rev	B	Interest	K	T					
16. Northern KY Univ Revs	A	Interest			Sold	8/5/2020	K	B	
17. Paducah KY GO	B	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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FINANCIAL DISCLOSURE REPORT

Page 5 of 31

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Russell Cnty Public Library Dist KY GO	A	Interest	K	T					
19. Scott Cnty KY Sch Dist Fin Corp Rev	B	Interest	K	T					
20. U S Treasury Bills	A	Interest			Buy	09/23/20	N		
21.					Sold	12/17/20	N	A	
22. Univ Of KY Gen Recpts Rev	B	Interest	K	T					
23. Warren Cnty KY GO	B	Interest	K	T					
24. Winchester KY Util Sys Rev	A	Interest	L	T					
25. Apple Inc	B	Dividend	N	T	Buy (add'l)	04/24/20	J		
26.					Buy (add'l)	05/08/20	J		
27.					Buy (add'l)	05/14/20	J		
28.					Sold (part)	8/6/2020	K	E	
29.					Sold (part)	8/21/20	J	D	
30.					Buy (add'l)	11/30/20	J		
31. AbbVie Inc	C	Dividend	M	T	Buy (add'l)	03/06/20	J		
32.					Buy (add'l)	9/3/20	J		
33.					Buy (add'l)	11/30/20	J		
34. Adobe Systems	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35. Analog Devices	B	Dividend	L	T	Buy (add'l)	11/30/20	J		
36. Ameren	A	Dividend			Sold (part)	3/17/20	J	A	
37.					Sold	6/3/20	K	A	
38. iShares Core Total U.S. Bond Mkt ETF	C	Dividend	M	T	Sold (part)	4/16/20	K	C	
39. Arthur J. Gallagher & Co	A	Dividend	J	T	Buy	3/17/20	J		
40. Allstate	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
41. Ametek	A	Dividend	K	T	Buy	1/14/20	J		
42.					Buy (add'l)	6/30/20	J		
43.					Buy (add'l)	11/30/20	J		
44. Amgen	B	Dividend	L	T					
45. Ameriprise Financial	B	Dividend	L	T					
46. Amazon.com	A	Dividend	M	T	Buy (add'l)	3/17/20	J		
47.					Buy (add'l)	4/30/20	J		
48.					Buy (add'l)	5/7/20	K		
49.					Buy (add'l)	8/3/20	K		
50.					Buy (add'l)	11/30/20	K		
51. Aon Corp	A	Dividend	J	T	Buy	4/16/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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(See Column C2)

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52. Broadcom LTD	B	Dividend	L	T	Buy (add'l)	2/11/20	J		
53.					Buy (add'l)	5/21/20	J		
54.					Buy (add'l)	9/23/20	K		
55.					Buy (add'l)	11/30/20	J		
56.					Sold (part)	12/1/20	J	C	
57. Avery Dennison	B	Dividend	L	T	Buy (add'l)	2/26/20	J		
58.					Buy (add'l)	3/2/20	K		
59.					Buy (add'l)	9/28/20	J		
60.					Buy (add'l)	9/30/20	J		
61.					Buy (add'l)	11/30/20	J		
62. Boeing	A	Dividend	K	T	Sold (part)	1/23/20	K	D	
63. Alibaba Group Holdings Ltd. Spon ADR	A	Dividend	J	T					
64. Best Buy	A	Dividend	K	T	Buy	8/13/20	J		
65.					Buy (add'l)	8/21/20	K		
66.					Buy (add'l)	8/28/20	J		
67.					Buy (add'l)	11/30/20	J		
68.					Sold (part)	12/22/20	K	A	

1 Income Gain Codes:
(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 8 of 31

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Boom, Claria H.

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69. Brighthouse Financial Inc	A	Dividend	J	T					
70. BHP Billiton Ltd-Sponsored ADR	A	Dividend	J	T					
71. BlackRock	A	Dividend	K	T					
72. Bristol-Myers Squibb	A	Dividend	K	T					
73. BNP Paribas - ADR	A	Dividend			Sold	4/28/20	J	A	
74. Boston Properties	A	Dividend	J	T	Buy	6/9/20	J		
75. Citigroup	A	Dividend			Sold (part)	9/28/20	K	A	
76.					Sold	9/30/20	J	B	
77. CACI International	A	Dividend	J	T	Sold (part)	7/1/20	J	B	
78. Carrier Global Corp Ordinary Shares	A	Dividend	K	T	Buy	4/23/20	J		
79. Chubb Corp	A	Dividend	J	T					
80. Celanese Corp Series A	A	Dividend	J	T	Sold (part)	3/13/20	J	A	
81. Citizens Financial Group Inc	B	Dividend	K	T	Sold (part)	3/13/20	K	A	
82.					Buy (add'l)	4/15/20	J		
83. Checkpoint Software	A	Dividend	J	T					
84. Comcast Cl A	A	Dividend	K	T					
85. CMS Energy	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 31

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86. Columbia Banking	B	Dividend	L	T					
87. Credit Suisse Group	A	Dividend	J	T	Buy	4/28/20	J		
88.					Buy (add'l)	5/29/20	J		
89. Cisco Systems	C	Dividend	L	T	Buy (add'l)	5/14/20	J		
90.					Buy (add'l)	6/10/20	J		
91.					Sold (part)	8/28/20	J	A	
92.					Sold (part)	9/23/20	K	E	
93. Corteva Inc	A	Dividend	J	T					
94. Central Valley Community Bancorp	B	Dividend	K	T					
95. Chevron Corp	C	Dividend	K	T	Buy (add'l)	1/30/20	J		
96.					Sold (part)	3/13/20	J	A	
97. Dana Incorporated	A	Dividend	K	T	Buy	12/21/20	J		
98.					Buy (add'l)	12/22/20	J		
99.					Buy (add'l)	12/23/20	J		
100. Danone ADR	A	Dividend			Sold	11/23/20	J	A	
101. DuPont de Nemours Inc	A	Dividend			Sold	3/17/20	J	A	
102. Dell Technologies Inc Class C	A	Dividend	J	T	Buy	7/1/20	J		

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Page 10 of 31

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103. Diageo	A	Dividend	K	T					
104. Walt Disney	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
105. Digital Realty Trust Inc.	B	Dividend	K	T	Buy (add'l)	3/17/20	J		
106.					Sold (part)	6/5/20	J	A	
107.					Sold (part)	6/9/20	J	C	
108.					Buy (add'l)	11/30/20	J		
109. Dow Inc	A	Dividend			Sold	3/17/20	J	A	
110. WisdomTree DEFA ETF	A	Dividend			Sold	8/5/20	K	A	
111. Electronic Arts	A	Dividend	K	T	Buy	3/11/20	J		
112.					Sold (part)	6/5/20	J	A	
113.					Sold (part)	11/11/20	J	B	
114.					Buy (add'l)	11/30/20	J		
115. Airbus Group NV ADR	A	Dividend			Sold	4/7/20	J	A	
116. Emerson Electric	A	Dividend			Sold	4/23/20	J	A	
117. iShares MSCI Pacific ex Japan	A	Dividend	J	T					
118. Eaton Corp PLC	B	Dividend	L	T	Buy (add'l)	8/7/20	J		
119. Entergy	B	Dividend	L	T	Buy (add'l)	11/30/20	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares MSCI Japan ETF	A	Dividend	J	T					
121. iShares MSCI United Kingdom Index	A	Dividend	K	T					
122. iShares MSCI EMU ETF	A	Dividend	K	T					
123. Facebook, Inc.	A	Dividend	L	T	Buy (add'l)	1/14/20	J		
124.					Buy (add'l)	2/26/20	J		
125.					Sold (part)	11/11/20	J	C	
126.					Buy (add'l)	11/30/20	J		
127.					Sold (part)	12/9/20	J	B	
128. FedEx Corp	B	Dividend	M	T					
129. Ferguson Plc	A	Dividend	J	T	Buy	7/23/20	K		
130.					Buy (add'l)	9/21/20	J		
131. Fidelity National Information	A	Dividend			Sold (part)	5/8/20	J	A	
132.					Sold	5/14/20	J	A	
133. Fifth Third Bancorp	A	Dividend			Sold	3/17/20	J	A	
134. Fortive Corp	A	Dividend	J	T	Buy	10/29/20	J		
135.					Buy (add'l)	11/30/20	J		
136. Gilead Sciences	A	Dividend			Sold (part)	1/13/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 12 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold	1/14/20	J	A	
138. Gaming & Leisure Pptys Com	A	Dividend			Sold	3/17/20	J	A	
139. Alphabet Inc Class C	A	Dividend	K	T					
140. Alphabet Inc Class A	A	Dividend	M	T	Buy (add'l)	3/11/20	J		
141.					Buy (add'l)	11/30/20	J		
142. GlaxoSmithKline plc ADR	A	Dividend			Sold	5/29/20	J	A	
143. Huntington Bancshares Inc	C	Dividend	K	T					
144. Home Depot	C	Dividend	M	T	Buy (add'l)	1/24/20	J		
145.					Buy (add'l)	3/4/20	K		
146.					Buy (add'l)	3/17/20	K		
147.					Buy (add'l)	11/30/20	J		
148. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T					
149. Harding Loevner Inst Emerging Markets	A	Dividend	M	T	Buy (add'l)	3/6/20	J		
150.					Buy (add'l)	11/30/20	K		
151. Hoya Corp SA	A	Dividend	J	T	Sold (part)	11/30/20	J	C	
152. Honeywell International Inc	B	Dividend	M	T	Buy (add'l)	1/23/20	J		
153.					Sold (part)	4/23/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/30/20	J		
155. Humana	A	Dividend	L	T	Buy (add'l)	1/13/20	J		
156.					Sold (part)	11/19/20	K	B	
157. iShares Nasdaq Biotech Index	A	Dividend			Sold	3/17/20	K	B	
158. iShares U.S. Oil Equipment & Services ETF	A	Dividend			Sold	1/27/20	K	A	
159. Intel	A	Dividend	J	T					
160. Illinois Tool Works	A	Dividend			Sold	1/14/20	J	B	
161. iShares Russell 2000 ETF	B	Dividend	M	T	Buy	3/31/20	K		
162.					Buy (add'l)	5/5/20	K		
163.					Buy (add'l)	5/18/20	K		
164.					Buy (add'l)	6/1/20	J		
165.					Buy (add'l)	6/5/20	J		
166.					Buy (add'l)	11/30/20	J		
167. Orix ADR	A	Dividend	J	T	Buy	12/21/20	J		
168. Johnson Controls	A	Dividend	J	T	Buy	8/5/20	J		
169.					Buy (add'l)	10/30/20	J		
170. Johnson & Johnson	B	Dividend	L	T	Buy (add'l)	3/6/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	3/13/20	K		
172.					Buy (add'l)	3/17/20	J		
173.					Buy (add'l)	11/30/20	J		
174. JPMorgan Chase and Company	C	Dividend	M	T	Sold (part)	8/28/20	J	A	
175.					Buy (add'l)	11/30/20	J		
176. JPMorgan U.S. Small Company Fund Inst	D	Dividend	M	T	Buy (add'l)	11/30/20	J		
177.					Buy (add'l)	12/11/20	J		
178. Keycorp	A	Dividend			Sold	3/6/20	J	A	
179. Kingfisher PLC SA	A	Dividend	J	T	Buy	7/29/20	J		
180. Kimberly Clark	B	Dividend	K	T					
181. Kinder Morgan Inc	B	Dividend	K	T	Buy	1/30/20	K		
182.					Buy (add'l)	2/4/20	K		
183.					Sold (part)	9/22/20	K	A	
184.					Buy (add'l)	10/29/20	K		
185.					Buy (add'l)	11/30/20	J		
186.					Buy (add'l)	3/13/20	K		
187.					Sold (part)	4/15/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Leggett & Platt	B	Dividend	L	T	Buy	7/30/20	K		
189.					Buy (add'l)	8/21/20	J		
190.					Buy (add'l)	11/30/20	J		
191. Legrand SA Unsponsored ADR	A	Dividend	J	T	Buy	4/7/20	J		
192.					Buy (add'l)	6/1/20	J		
193.					Buy (add'l)	4/23/20	J		
194.					Buy (add'l)	6/3/20	J		
195.					Sold (part)	12/3/20	K	A	
196. Lockheed Martin	A	Dividend	J	T	Buy	10/30/20	J		
197. Las Vegas Sands	A	Dividend			Sold	8/13/20	J	A	
198. Lloyds Banking Group PLC	A	Dividend			Sold	7/27/20	J	B	
199. Mastercard Cl A	A	Dividend	L	T	Buy (add'l)	8/28/20	J		
200.					Buy (add'l)	11/30/20	J		
201. Matthews Pacific Tiger Fund Inv Class	A	Dividend	J	T	Buy (add'l)	12/16/20	J		
202. Marriott International CL A	A	Dividend			Sold	3/4/20	K	A	
203. MASCO	A	Dividend	L	T	Buy	8/4/20	J		
204.					Buy (add'l)	8/5/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	9/28/20	K		
206.					Buy (add'l)	9/30/20	J		
207.					Buy (add'l)	10/29/20	J		
208.					Buy (add'l)	11/30/20	J		
209. McDonalds	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
210.					Sold (part)	12/9/20	J	A	
211.					Sold (part)	12/18/20	J	A	
212. Mondelez International	A	Dividend	K	T	Sold (part)	6/5/20	J	A	
213.					Buy (add'l)	11/30/20	J		
214.					Sold (part)	12/9/20	J	B	
215. Medtronic PLC	B	Dividend	K	T					
216. MetLife	A	Dividend	K	T					
217. Matthews Pacific Tiger Fund Inst Class	C	Dividend	L	T	Buy (add'l)	11/30/20	J		
218.					Buy (add'l)	12/16/20	J		
219. McCormick & Co	A	Dividend	K	T					
220. Altria Group	A	Dividend	J	T					
221. Morrison Street Debt Opportunities Fund II	E	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 17 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Merck	B	Dividend	L	T	Buy (add'l)	3/17/20	J		
223.					Sold (part)	6/5/20	J	A	
224.					Buy (add'l)	11/30/20	J		
225. Morgan Stanley	A	Dividend	K	T	Buy	3/17/20	J		
226.					Buy (add'l)	6/5/20	K		
227.					Buy (add'l)	11/30/20	J		
228. Microsoft	C	Dividend	N	T	Sold (part)	2/11/20	J	C	
229.					Sold (part)	6/10/20	J	D	
230.					Buy (add'l)	11/30/20	J		
231. Motorola Solutions	A	Dividend	J	T					
232. Nextera Energy Inc	A	Dividend	J	T	Buy (add'l)	3/11/20	J		
233.					Sold (part)	12/21/20	J	D	
234.					Sold (part)	12/23/20	J	C	
235. NICE Systems Limited ADR	A	Dividend	J	T					
236. Nike	A	Dividend	L	T	Buy (add'l)	11/30/20	J		
237. Nutrien Ltd	A	Dividend			Sold	3/5/20	J	A	
238. Nuance Communications, Inc.	A	Dividend	K	T	Buy	2/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	11/30/20	J		
240.					Sold (part)	12/9/20	J	B	
241. Nucor Corp	A	Dividend	K	T	Buy	4/8/20	J		
242. Novo-Nordisk A/S - Spons ADR	A	Dividend	J	T	Sold (part)	11/30/20	J	B	
243. Otis Worldwide Corp Ordinary Shares	A	Dividend	J	T					
244. Petroleo Brasileiro SA ADR	A	Dividend	J	T	Buy	12/18/20	J		
245. Pepsico	A	Dividend	K	T					
246. Procter And Gamble	B	Dividend	L	T	Sold (part)	1/6/20	J	C	
247.					Buy (add'l)	3/17/20	K		
248.					Buy (add'l)	10/26/20	J		
249.					Buy (add'l)	11/30/20	J		
250. Progressive Corp	A	Int./Div.			Sold	6/5/20	K	C	
251. Parker Hannifin	A	Dividend	K	T	Buy	8/7/20	J		
252.					Buy (add'l)	11/30/20	J		
253. Philip Morris International Inc	C	Dividend	K	T	Buy (add'l)	1/6/20	J		
254.					Buy (add'l)	2/26/20	J		
255.					Sold	6/5/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 19 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold	10/26/20	J	A	
257.					Buy (add'l)	11/30/20	J		
258. Phillips 66	A	Dividend	J	T					
259. Qualcomm	A	Dividend	K	T	Buy	9/23/20	K		
260.					Buy (add'l)	12/1/20	J		
261. Quorum Health Corp	A	Dividend			Buy (add'l)	3/13/20	J		
262.					Sold	7/15/20	J	A	
263. Roche Holdings Ltd Spon ADR	A	Dividend	J	T					
264. Rockwell Automation	A	Dividend	K	T	Buy	12/3/20	K		
265. Raytheon Technologies Corp	C	Dividend			Buy (add'l)	1/23/20	J		
266.					Sold (part)	4/6/20	J	A	
267.					Sold (part)	10/29/20	K	A	
268.					Sold	10/30/20	K	A	
269. RWE Aktiengesellschaft SA	A	Dividend	J	T					
270. Safran SA	A	Dividend			Sold (part)	6/1/20	J	A	
271.					Sold	10/9/20	J	A	
272. SAP AG-Sponsored ADR	A	Dividend			Sold	11/18/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 31

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Date of Report

05/07/2021

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273. iShares MSCI EAFE Small Cap index ETF	A	Dividend	K	T	Buy (add'l)	3/6/20	J		
274.					Sold (part)	3/16/20	J	A	
275.					Buy (add'l)	11/30/20	J		
276. Shin-Etsu Chemical Co Ltd Unsp ADR	A	Dividend	J	T	Buy	3/5/20	J		
277.					Buy (add'l)	4/16/20	J		
278. Six Flags Entertainment Corp	A	Dividend			Sold	1/24/20	J	A	
279. Schlumberger	A	Dividend	J	T	Buy (add'l)	1/27/20	K		
280.					Sold (part)	3/11/20	K	A	
281.					Buy (add'l)	12/9/20	K		
282. Sumitomo Mitsui Financial Group	A	Dividend			Sold	12/21/20	J	B	
283. Sony Corp ADR	A	Dividend	K	T	Sold (part)	10/9/20	J	C	
284. S&P Global Inc	A	Dividend	K	T	Buy	3/26/20	J		
285. Suncor Energy	A	Dividend			Sold (part)	7/29/20	J	A	
286.					Sold	9/21/20	J	A	
287. Schwab International Index Fund	D	Dividend	N	T	Buy (add'l)	3/6/20	J		
288.					Sold (part)	5/18/20	J	A	
289.					Buy (add'l)	11/30/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 21 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

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290. Schwab S&P 500 Index Fund	D	Dividend	O	T	Sold (part)	3/13/20	J	B	
291.					Buy (add'l)	4/8/20	J		
292.					Sold (part)	5/18/20	J	C	
293.					Sold (part)	6/1/20	J	A	
294.					Sold (part)	6/30/20	J	A	
295. Schwab Small Cap Index Select	A	Dividend	K	T	Buy (add'l)	3/6/20	J		
296.					Buy (add'l)	3/31/20	J		
297.					Buy (add'l)	4/8/20	J		
298.					Buy (add'l)	12/18/20	J		
299. Stryker	A	Dividend	K	T	Buy (add'l)	11/30/20	J		
300. AT & T	B	Dividend	K	T	Sold (part)	2/26/20	K	C	
301.					Sold (part)	3/2/20	K	D	
302.					Sold (part)	11/27/20	J	A	
303.					Buy (add'l)	12/30/20	J		
304. Toronto Dominion Bank	A	Dividend	J	T					
305. Truist Financial Corporation	B	Dividend	L	T	Buy (add'l)	6/5/20	J		
306.					Buy (add'l)	10/16/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 22 of 31

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Boom, Claria H.

Date of Report

05/07/2021

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307.					Buy (add'l)	11/11/20	J		
308.					Buy (add'l)	11/30/20	J		
309. TJX Companies	A	Dividend	K	T	Buy (add'l)	3/4/20	K		
310.					Sold (part)	8/21/20	L	A	
311.					Buy (add'l)	12/9/20	K		
312.					Buy (add'l)	12/18/20	J		
313. Telekomunikasi Indonesia (Persero)	A	Dividend			Sold	7/23/20	J	A	
314. Thermo Fisher Scientific	A	Dividend	L	T	Buy (add'l)	3/4/20	J		
315.					Sold (part)	5/21/20	J	D	
316.					Sold (part)	6/5/20	J	C	
317.					Buy (add'l)	11/30/20	J		
318. Travelers Companies	B	Dividend	K	T					
319. Tyson Foods, Inc Cl A	A	Dividend	K	T					
320. Texas Instruments	A	Dividend	K	T					
321. Unilever PLC ADR	A	Dividend	J	T	Sold (part)	12/18/20	J	A	
322. UniCredit S.p.A. Un-sponsored ADR	A	Dividend			Sold	4/28/20	J	A	
323. UnitedHealth Group	B	Dividend	M	T	Buy (add'l)	11/30/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 23 of 31

Name of Person Reporting

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324. Union Pacific	A	Dividend	K	T	Buy (add'l)	6/3/20	J		
325.					Buy (add'l)	11/30/20	J		
326. Visa Inc	A	Dividend	M	T	Sold (part)	2/10/20	J	C	
327.					Sold (part)	4/24/20	J	C	
328.					Buy (add'l)	8/21/20	J		
329.					Buy (add'l)	11/30/20	J		
330. Versus Capital Multi-Manager RE Fund Inst	C	Dividend	L	T					
331. Versus Capital Real Assets Fund, LLC	A	Dividend	K	T					
332. Vanguard FTSE Developed Market ETF	A	Dividend	J	T					
333. Voya Financial Inc	A	Dividend	K	T	Buy (add'l)	11/19/20	J		
334.					Buy (add'l)	11/30/20	J		
335. Vanguard FTSE All World ex-US SC ETF	A	Dividend	J	T	Buy	3/16/20	J		
336. Vanguard Inter Tax Exempt Fund ADM	A	Dividend	J	T	Sold (part)	4/17/20	J	A	
337. Vanguard FTSE Emerging Market ETF	A	Dividend	K	T					
338. Verizon Communications	C	Dividend	L	T	Buy (add'l)	3/17/20	K		
339.					Sold (part)	3/26/20	J	A	
340.					Sold (part)	6/5/20	J	B	

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 31

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341.					Buy (add'l)	11/27/20	J		
342.					Sold (part)	12/30/20	J	A	
343. Waste Connections	A	Dividend	K	T					
344. Wells Fargo	A	Dividend			Sold	10/16/20	J	B	
345. Wal Mart Stores	A	Dividend	K	T	Buy	4/13/20	J		
346.					Buy (add'l)	10/26/20	J		
347.					Buy (add'l)	11/30/20	J		
348. Materials Select Sector SPDR	A	Dividend	K	T	Buy	3/13/20	J		
349. Energy Select Sector SPDR	B	Dividend	K	T	Sold (part)	1/9/20	K	B	
350.					Buy (add'l)	3/13/20	J		
351.					Buy (add'l)	9/22/20	K		
352.					Sold (part)	10/29/20	K	A	
353. Consumer Staples SPDR	A	Dividend			Sold (part)	2/26/20	J	A	
354.					Sold (part)	3/26/20	J	A	
355.					Sold	4/13/20	J	A	
356. Consumer Discretionary Selt	A	Dividend	K	T	Buy	12/22/20	K		
357. Exxon Mobil	A	Dividend			Buy (add'l)	1/9/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 25 of 31

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358.					Sold (part)	1/30/20	K	A	
359.					Sold	2/4/20	K	A	
360. XPO Logistics Inc	A	Dividend	K	T					
361. Zoetis	A	Dividend	K	T	Sold (part)	3/4/20	J	B	
362.					Sold (part)	9/3/20	J	C	
363.					Buy (add'l)	11/30/20	J		
364. Jasper H. Properties, Inez, KY, LLC	A	Rent	N	W					
365. Central Bank Account (Claria)	A	Interest	K	T					
366. American Funds Balanced Fund (College Fund)	B	Int./Div.	M	T					
367. American Funds Balanced Fund (College Fund)	B	Int./Div.	N	T					
368. American Funds Balanced Fund (College Fund)	A	Int./Div.	K	T					
369. 401k BCC	C	Dividend			Closed	11/15/20	M	A	
370. Guardian Life Insurance (Cash Value)		None	N	T					
371. Lincoln Financial Life Insurance (Cash Value)		None	J	T					
372. Placer Athens LLP, 1, 2 (3%) 400 acres/ indus Roseville, Ca.		None	N	U					
373. Cali AlII Prop/Maui Condo		None	M	W					
374. Yolo Partners,LLC (14.25%) 70 Acres, El Macero, Ca.		None	O	W					

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FINANCIAL DISCLOSURE REPORT

Page 26 of 31

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375. SP RRTAI,LLC Jeffersonville, In (14.25) Magnolia/ Toyota	D	Distribution	O	U					
376. SP CJE, LLC, Cookville,Tenn (12.5%) Fed Ex	D	Distribution	L	U					
377. SP LSX, LLC San Antonio (5.14%) XPO Freight July,2011	D	Distribution	L	U					
378. SP UPW,LLC (16.43%) Pasco, Wa. XPO Freight Dec. 2011	E	Distribution	M	U					
379. SP CLD,LLC (12.6%)Collierville, Tn Fed Ex Ground March 2011	D	Distribution	M	U					
380. TAFL,LLC (13.34%) Lex, Ky. Office Bld., March 2013	E	Distribution	L	U					
381. SP XSO,LLC (8.84%)Sioux Falls, SD XPO Freight April 8th, 2013	D	Distribution	M	U					
382. SP XFD,LLC (6.93%) Fond Du Lac, Wis XPO Freight June 21,2013	D	Distribution	M	U					
383. RPG,LLC (4.23%) (CHP/Grass Valley) Sept.2011	D	Distribution	L	U					
384. DKBB,LLC/Oak Cap 2 /Pac West R.E. Inv Fund March 2013	E	Distribution	N	U					
385. Green Hat LLC (25%) inv/fund	C	Distribution	L	U					
386. Oakland Condo (res/brother), Oakland, CA		None	M	W					
387. DKBB Properties, LLC Office Commercial office Bld		None	L	U					
388. DKBB Properties II,LLC –Capstone 15% approx value /hc \$54,840		None	M	U					
389. OCP III,LLC 2.59% R.E. Inv Fund Dec. 2015	E	Distribution	N	U					
390. Chimney Cove, LLC, H.H, S.C. 3.3% Multi Family Dec.2015		None	L	U					
391. SP LMA,LLC (16.6%) Lima, Ohio Fed Ex Freight Feb. 2016	E	Distribution	N	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. SP,SBN,LLC (3.23%) South Bend, In. Fed Ex Freight April 2016	D	Distribution	L	U					
393. Boom Cor LLC (50%) Truckee Land/ Grocery		None	L	U					
394. DKBB SBN,LLC Fed Ex Freight July 2016	A	Distribution	J	U					
395. SP MKE,LLC via Greenhat Fed Ex Fr. Aug.2017	B	Distribution	K	U					
396. SP WRN,LLC Pontiac, Mich 2.3% Fed Ex Freight Nov. 2018	D	Distribution	M	U					
397. BoomCor I,LLC /SCH BoomCor,LLC Sac Apart. Feb. 2019	D	Distribution	M	U					
398. SP RDU, LLC, Raleigh/Dur NC 1.37% Fed Ex Freight, Mar. 2019	D	Distribution	M	U					
399. SPXBN LLC, XPO Logistics, Normal, IL	A	Distribution	M	U					
400. SPGRB LLC, Fed Ex Freight, Little Chute, WI	A	Distribution	M	U					
401. Central Valley Bancorp 3,563 shares		None	K	T					
402. Apostles General Partnership 396 shares		None	M	U					
403. Apostles GP 2		None	L	U					
404. Aztec Mustang,LLC		None			Sold	1/31/20	J	A	
405. Aztec Mustang, LLC Dec. 2019		None			Sold	1/31/20	J	A	
406. Sycamore Farms, LLC (70%) basis \$310,60420 acres walnuts, purchased Ju	E	Distribution	N	U					
407. Chalk Group,LLC/Horseco, Inc May 2017		None	K	U					
408. Camden Partners 555, LLC Nov.2017		None	J	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. Brett Construction Co. Stock		None			Sold	1/1/20	M	A	
410.									
411.									
412.									
413.									
414.									
415.									
416.									
417.									
418.									
419.									
420.									
421.									
422.									
423.									
424.									
425.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.									
427.									
428.									

FINANCIAL DISCLOSURE REPORT

Page 30 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 31 of 31

Name of Person Reporting

Boom, Claria H.

Date of Report

05/07/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claria H. Boom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544