

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eskridge, Charles R. III	2. Court or Organization U.S. District Court, Southern District of Texas	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street Houston, TX 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Garland R. Walker American Inn of Court
2. Adjunct Professor of Law	University of Houston Law Center
3. Judicial Liaison	Federal Bar Association, Southern District of Texas Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	Quinn Emanuel Defined Benefit, Pension Benefit Guaranty Corporation
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Houston Law Center (teaching)	\$2,000.00
2. 2020	Quinn Emanuel Urquhart & Sullivan, LLP (adjustment)	\$2,727.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed (graphic design and creative consulting)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. American Express	Credit Card	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo Bank Accounts (cash)	A	Interest	M	T					
3. Account #1 (H)									
4. Fidelity Bank Sweep Account (cash)	A	Interest	J	T					
5. Amazon.com Inc. (AMZN)		None	K	T	Buy	03/23/20	J		
6. Apple Inc., stock (AAPL)	D	Dividend	P1	T	Buy (add'l)	01/24/20	J		
7.					Buy (add'l)	03/18/20	K		
8. Tesla Inc Com (TSLA)		None	K	T	Buy	09/08/20	K		
9. Account #2 (H)									
10. Schwab Premier Bank Sweep Account (cash)	A	Interest	J	T	Open	01/02/20	J		
11. Account #3 (H)									
12. Schwab Premier Bank Sweep Account (cash)	A	Interest	K	T	Open	06/17/20	K		
13. Account #4 (H)									
14. Schwab Premier Bank Sweep Account (cash)	A	Interest	M	T					
15. San Angelo TX ISD, bond [REDACTED]		None			Redeemed	02/15/20	J		
16. Leander TX ISD, bond [REDACTED]		None			Redeemed	08/15/20	J		
17. Lewisville TX ISD, bond [REDACTED]		None			Redeemed	08/15/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Houston TX Water & Sewer, bond ██████████		None			Redeemed	12/01/20	K		
19. Galena Park TX ISD, bond ██████████		None	J	T					
20. Mesquite TX ISD, bond ██████████		None	K	T					
21. Houston TX Water & Sewer, bond ██████████		None	K	T					
22. Coppell TX ISD, bond ██████████		None	J	T					
23. Royce City TX ISD, bond ██████████		None	K	T					
24. Sealy TX ISD, bond ██████████		None	K	T					
25. Sherman TX ISD, bond ██████████		None	K	T					
26. Crandall TX ISD, bond ██████████		None	K	T					
27. Leander TX ISD, bond ██████████		None	J	T					
28. Coppell TX ISD, bond ██████████		None	J	T					
29. Abbott Laboratories, stock (ABT)	A	Dividend	L	T					
30. AbbVie Inc., stock (ABBV)	C	Dividend	L	T	Sold (part)	03/24/20	J		
31. Air Products & Chemicals Inc., stock (APD)	A	Dividend	K	T					
32. Alphabet Inc. Cl C, stock (GOOG)		None	M	T	Buy (add'l)	09/03/20	K		
33. Altria Group Inc., stock (MO)	C	Dividend	K	T					
34. Amazon.com Inc. (AMZN)		None	L	T	Buy (add'l)	09/03/20	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Express Co., stock (AXP)	A	Dividend	K	T					
36. Anheuser-Busch InBev S.A. ADR, stock (BUD)		None			Sold	03/24/20	J		
37. Apple Inc., stock (AAPL)	C	Dividend	O	T	Buy (add'l)	09/03/20	K		
38. ASML Holding N.V., stock (ASML)	A	Dividend	L	T					
39. Automatic Data Processing Inc., stock (ADP)	B	Dividend	L	T					
40. BlackRock Inc., stock (BLK)	B	Dividend	L	T					
41. Canadian Pacific Railway Ltd., stock (CP)	A	Dividend	K	T					
42. Carrier Global Corp (CARR) (X)	A	Dividend	J	T					
43. Chevron Corp., stock (CVX)	C	Dividend	K	T					
44. Chubb Ltd., stock (CB)	B	Dividend	K	T	Sold (part)	03/24/20	K		
45. Comcast Corp. Cl A, stock (CMCSA)	B	Dividend	L	T					
46. ConocoPhillips, stock (COP)	A	Dividend	K	T					
47. Estee Lauder Cos. Cl A, stock (EL)	A	Dividend	L	T					
48. Exxon Mobil Corp., stock (XOM)	A	Dividend			Sold	03/24/20	K		
49. Facebook Inc. Cl A, stock (FB)		None	M	T					
50. Fox Corp. Cl A, stock (FOXA)	A	Dividend			Sold	03/24/20	J		
51. Home Depot Inc., stock (HD)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. Intercontinental Exchange Inc., stock (ICE)	A	Dividend	L	T					
53. Intuitive Surgical Inc., stock (ISRG)		None			Sold	03/24/20	K		
54. JPMorgan Chase & Co., stock (JPM)	C	Dividend	L	T					
55. Johnson & Johnson, stock (JNJ)	B	Dividend	K	T					
56. Linde PLC, stock (LIN)	A	Dividend			Sold	03/24/20	K		
57. Mastercard Inc., stock (MA)	A	Dividend	K	T	Sold (part)	03/24/20	J		
58. McDonald's Corp., stock (MCD)	B	Dividend	L	T					
59. Microsoft Corp., stock (MSFT)	B	Dividend	M	T					
60. Mondelez International Inc. Cl A, stock (MDLZ)	A	Dividend	K	T					
61. Nestle S.A. ADR, stock (NSRGY)	B	Dividend	L	T					
62. Nike Inc. Cl B, stock (NKE)	A	Dividend	L	T					
63. Novo Nordisk A/S ADR, stock (NVO)	B	Dividend	L	T					
64. Otis Worldwide Corp (OTIS) (X)	A	Dividend	J	T					
65. Palantir Technologies In Cl A (PLTR)		None	L	T	Buy	09/30/20	K		
66. PepsiCo Inc., stock (PEP)	B	Dividend	L	T					
67. Philip Morris International Inc., stock (PM)	C	Dividend	L	T					
68. Roche Holding AG ADR, stock (RHHBY)	B	Dividend	K	T					

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2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. S&P Global Inc., stock (SPGI)	A	Dividend	L	T					
70. Sherwin-Williams Co., stock (SHW)	A	Dividend	K	T					
71. Snowflake Inc Cl A (SNOW)		None	J	T	Buy	09/17/20	J		
72. State Street Corp., stock (STT)	A	Dividend			Sold	03/24/20	K		
73. Texas Instruments Inc., stock (TXN)	B	Dividend	M	T					
74. The Coca-Cola Co., stock (KO)	B	Dividend	L	T					
75. Total S.A. ADR, stock (TOT)	B	Dividend	J	T					
76. Union Pacific Corp., stock (UNP)	A	Dividend	K	T	Sold (part)	03/24/20	K		
77. Raytheon Technologies Co (RTX)	A	Dividend	K	T					
78. UnitedHealth Group Inc., stock (UNH)	A	Dividend	K	T					
79. Verisk Analytics Inc., stock (VRSK)	A	Dividend	K	T					
80. VISA Inc. Cl A, stock (V)	A	Dividend	M	T					
81. Walt Disney Co. (DIS)	A	Dividend	M	T	Sold (part)	03/24/20	J		
82. Zoom Video Communication (ZM)		None			Buy	03/24/20	K		
83.					Sold	11/09/20	L		
84. Schwab Short Term US, stock (SCHO)	A	Dividend			Sold	04/16/20	L		
85. Vanguard S&P 500 ETF (VOO)	C	Dividend	N	T	Buy	03/24/20	M		

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2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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86. Doubleline Total Return Bond I (DBLTX)	A	Dividend	M	T	Buy	10/23/20	L		
87.					Buy (add'l)	11/02/20	L		
88. Vanguard Short Term Corp (VSCSX)	A	Dividend			Buy	04/30/20	K		
89.					Sold	10/23/20	K		
90. Western Asset Corp Bond FD CL I (SIGYX)	A	Dividend	J	T	Buy	04/03/20	J		
91. Account #5 (H)									
92. Schwab Premier Bank Sweep (cash)	A	Interest	M	T					
93. Schwab Value Advantage (SWVXX)	B	Interest	N	T					
94. Merchants Bank O, bond [REDACTED]	A	Interest			Matured	01/21/20	M		
95. Merchants Bank O, bond [REDACTED]	B	Interest			Matured	04/22/20	M		
96. Bank Ozk, bond [REDACTED]	B	Interest			Matured	07/16/20	M		
97. US Treasu Nt 2.625% 7/15/21 [REDACTED]		None	M	T	Buy	09/17/20	M		
98. Wells Fargo & Co, bond [REDACTED]	C	Interest			Matured	11/18/20	M		
99. Synovus Bank, bond [REDACTED]		None	L	T	Buy	04/22/20	L		
100. Morgan Stanley Pr, bond [REDACTED]		None	L	T	Buy	04/22/20	L		
101. Wells Fargo & Co, bond [REDACTED]	A	Interest	L	T	Buy	04/22/20	L		
102. Goldman Sachs Ban, bond [REDACTED]		None	L	T	Buy	04/22/20	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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103. Account #6 (H)									
104. Iowa 529 Total International Stock Portfolio		None	K	T					
105. Iowa 529 Total Domestic Stock Index Portfolio		None	K	T					
106. Iowa 529 Conservative Growth Portfolio		None	K	T					
107. Account #7 (H)									
108. Iowa 529 Growth Age-Based Track: Blended Moderate Growth Portfolio		None	N	T					
109. Account #8 (H)									
110. Iowa 529 Growth Age-Based Track: Blended Growth Portfolio		None	N	T					
111. Account #9 (H)									
112. BNY Mellon Tax MGD (Growth A) (DTMGX) (Formerly Dreyfus Tax Managed)	A	Dividend	J	T					
113. BNY Mellon Tax MGD (Growth C) (DPTAX) (Formerly Dreyfus Tax Managed)	A	Dividend	J	T					
114. First Eagle Overseas A (SGOVX)	A	Dividend	J	T	Buy	11/06/20	J		
115. Account #10 (H)									
116. BNY Mellon Tax MGD (Growth A) (DTMGX)	A	Dividend	J	T					
117. BNY Mellon Tax MGD (Growth C) (DPTAX) (Formerly Dreyfus Tax Managed)	A	Dividend	K	T					
118. Account #11 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. Apple Inc (AAPL) (X)	A	Dividend	J	T					
120. Account #12 (H)									
121. Apple Inc (AAPL)	A	Dividend	J	T	Buy	11/12/20	J		
122. Account #13 (H)									
123. Bitcoin (BTC)		None	J	T	Buy	12/17/20	J		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Eskridge, Charles R. III

Date of Report

05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII: Raytheon Technologies Co (RTX) was United Technologies Corp (UTX).

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Eskridge, Charles R. III

Date of Report

05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles R. Eskridge III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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