

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hughes, Lynn N.	2. Court or Organization Southern District of Texas	3. Date of Report 5/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Suite 11122 515 Rusk Street Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Independent Executor	Estate (relative, no interest, no compensation)
2. Advisory Director	World Affairs Council of Houston
3. Advisory Director	Bilateral US-Arab Chamber of Commerce
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wells Fargo Bank, N.A.	Line of Credit	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. *****Land***** (H)									
2. Tract One, Colorado County, Texas; grazing, 12/30/91-\$185,500	D	Rent	M	R					
3. Tract Two, Colorado & Wharton Counties, Texas; rice, 12/30/91-\$332,112	E	Rent	N	R					
4.									
5. *****Minerals***** (H)									
6. San Juan County, New Mexico - Hillcorp (formerly Production Gathering)	A	Royalty	J	W					
7. San Juan County, New Mexico - Blue Door (Y)									See Note One
8. San Juan County, New Mexico - McLane Family (Y)									See Note One
9. Coleman County, Texas - Sunoco (Y)									See Note One
10. Nueces County, Texas - Urban (formerly Linn) (Y)									See Note One
11. San Juan County, New Mexico - CML Exploration (Y)									See Note One
12. Wharton County, Texas - Everest (Y)									See Note One
13. San Juan County, New Mexico - Flint Hills (Y)									See Note One
14. San Juan County, New Mexico - ConocoPhillips (Y)									See Note One
15. Wood County, Texas - Estate Southwest Operating (Y)									See Note One
16. Wharton County, Texas - NCEY (Y)									See Note One
17. Andrews County, Texas - Vanguard (Y)									See Note One

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. San Juan County, New Mexico - XTO Energy (Y)									See Note One
19. San Juan County, New Mexico - Simcoe (formerly BP) (Y)									See Note One
20. San Juan County, New Mexico - Southland (formerly Energen) (Y)									See Note One
21. San Juan County, New Mexico - SG Interests (Y)									See Note One
22. San Juan County, New Mexico - Turner (Y)									See Note One
23. Wharton County, Texas - Century Assets (Y)									See Note One
24. San Juan County, New Mexico - Cross Timbers									See Note One
25.									
26. *****Mutual Funds***** (H)									
27. *WF Command Asset Program -- GPR (H)									
28. Invesco International Growth	G	Dividend	M	T					
29. Invesco Asia Pacific	G	Dividend	N	T					
30. Invesco Developing Markets	D	Dividend	P1	T					
31. Columbia Contraraian Core Class A	A	Dividend	N	T					
32. Pioneer Fundamental Growth	A	Dividend	N	T					
33. Pioneer Mid-Cap Value	A	Dividend	N	T					
34. PGIM Jenn Mid Cap Growth	A	Dividend	O	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. PGIM Jenn Equity	A	Dividend	N	T					
36.									
37. *WF Command Asset Program -- LNH (H)									
38. Delaware Smid Cap Growth	D	Dividend	J	T					
39. Delaware Group Global & Intl Equity Fund	B	Dividend	N	T					
40. Delaware Group US Growth	C	Dividend			Sold	10/27/20	M		
41. Delaware Group Small Cap Value	B	Dividend			Sold	10/27/20	M		
42. Delaware Group Equity Funds II	D	Dividend			Sold	10/27/20	O		
43. Delaware Group Equity Funds II Cl DD	D	Dividend			Sold	10/27/20	P4		
44. Prudential Invt Port 12 Global Real Estate	B	Dividend			Sold	10/27/20	O		
45. Invesco Global Opportunities	G	Dividend	M	T	Buy	11/02/20	M		
46. Virtus Funds Midcap Core	G	Dividend	M	T	Buy	10/02/20	M		
47.									
48.									
49.									
50.									
51. *****Equities***** (H)									

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52. Prosperity Bancshares	B	Dividend	L	T					
53. Chevron	D	Dividend	M	T					
54. Wells Fargo & Co. (GPR)	G	Dividend	P1	T					
55. Wells Fargo & Co. New WFC (LNH)	E	Dividend	L	T					
56. Invesco QQQ (LNH)	G	Dividend	M	T	Buy	10/27/20	M		
57. iShares Gold Trust (GPR) ?????	G	Dividend	M	T	Buy	10/27/20	M		
58.									
59.									
60.									
61.									
62. ****Alternative Investments**** (H)									
63. ABS Global Long Short	E	Distribution	M	T					
64. Blue Trend ASP	B	Distribution	L	T					
65. Corbin Pinehurst Partners ASP	B	Distribution	N	T					
66. WNT Futures ASP	A	Distribution	M	T					
67. Blackrock Appreciation ASP	A	Distribution	M	T					
68. Graham Absolute return ASP	A	Distribution	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

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D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

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69.									
70.									
71.									
72. ****Money Market Funds **** (H)									
73. Wells Fargo Advisors	A	Interest	L	T					See Note Two
74.									
75. *****Bank Accounts***** (H)									
76. JP Morgan- Chase	A	Interest	K	T					
77. Wells Fargo Bank - 1	A	Interest	O	T					
78. Wells Fargo Bank - 2	A	Interest	N	T					
79.									
80. *****Estate***** (H)									
81. USB Cashfund Money Market	D	Interest	J	T					
82. RMA Money Market Portfolio	A	Interest	J	T					
83. FT Templeton Growth C	B	Interest	L	T					
84.									
85.									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									
87. *****End***** (H)									
88.									
89.									
90.									

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note One: Interest is still owned, however no income for reporting year.

Note Two: Sweep account for cash that accumulates in brokerage account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lynn N. Hughes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544