

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harrison, Marian F.	2. Court or Organization U.S. Bankruptcy Court-TN-M	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court 232 Customs House-701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Sixth Circuit Board Member	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Lallie Wallace	Gift from Life Partner's Mother	\$6,000.00
2.	Lallie Wallace	Birthday Gift from Life Partner's Mother	\$5,000.00
3.	Lallie Wallace	Holiday Gift from Life Partner's Mother	\$1,250.00
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual	Insurance Policy Loan	L
2.	American Express	Credit Card	J
3.	Bank of America	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Coca Cola Stock	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.		None	J	T					
3. John Hancock Policy - Flexible Premium Adjustable Life	B	Interest	K	T					
4. Northwestern Mutual Policy - Whole Life	C	Dividend	K	T					
5. Savings Account- U.S. Community Credit Union	A	Interest	K	T					
6. SunTrust Checking Account #1		None	J	T					
7. SunTrust Checking Account #2		None	K	T					
8. UBS Bank USA Dep. Acct. - IRA	A	Interest	K	T					
9. Fidelity Adv. Strateg. Inc. Fund Class A - IRA	B	Dividend	K	T					
10. UBS Pace Money Mkt. Fund Class P - IRA	A	Dividend	J	T					
11. Invesco Oppenheimer Int'l Bond Fd. Class A - IRA	B	Dividend	K	T					
12. Apple, Inc. - IRA	A	Dividend	M	T					
13. Touchstone Mid Cap Growth Fund Class A IRA	B	Dividend	K	T					
14. Alphabet, Inc. - IRA - Class A (formerly known as Google)		None	L	T					
15. Alphabet, Inc. - IRA - Class C (formerly known as Google)		None	L	T					
16. Johnson & Johnson - IRA	A	Dividend	J	T					
17. NY Times Co. - IRA	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Mainstay Funds Hi Yld Corp. Bond A - IRA	A	Dividend	J	T					
19. Alger Spectra Fund Class A - IRA	C	Dividend	L	T					
20. Parnassus Fund - IRA	B	Dividend	L	T					
21. Siemens A.G. Spon Adr - IRA	A	Dividend	K	T					
22. AIG Focused Div Strategy Class W - IRA	B	Dividend	K	T					
23. American Funds Dev Wrld Grwth & Inc.- IRA-Class F-2	A	Dividend	K	T					
24. Lomis Sayles Global Eq Inc.-IRA-Class Y	B	Dividend	K	T					
25. 3M Co. - IRA	A	Dividend			Sold	05/18/20	J		
26. Sprott Phy Gold Trust - IRA		None	J	T					
27. Oakmark Int'l Fund Class Investor	B	Dividend	L	T					
28. Farm Land, Parkin, AR	E	Rent	M	W					
29. Amazon - IRA		None	J	T	Buy	03/13/20	J		
30. Tesla - IRA		None	J	T	Buy	10/28/20	J		
31. Zoom - IRA		None	J	T	Buy	04/01/20	J		
32.					Sold (part)	12/02/20	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marian F. Harrison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544