

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) King, Leo L.	2. Court or Organization U.S. District Court, W.D. Ky.	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 501 Broadway Paducah, Ky, 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Sherman, Carter, Barnhart salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
King, Leo L.	05/14/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Paducah Bank and Trust, Checking Account	A	Interest	K	T					
2. BROKERAGE ACCOUNT #1									
3. -Wells Fargo Money Market Account	A	Interest	M	T					
4. -AT&T Stock	C	Dividend	L	T					
5. -Abbot Laboratories Stock	A	Dividend	L	T					
6. -Abbvie Inc Stock	B	Dividend	L	T					
7. -Archer Daniels Midland Stock	B	Dividend	L	T					
8. -Bristol Myers Squibb Stock	C	Dividend	N	T					
9. -Chevron Corp Stock	C	Dividend	L	T					
10. -Comcast Corp Stock	A	Dividend	L	T					
11. -Corning Inc Stock	B	Dividend	L	T					
12. -Disney Stock	C	Dividend	N	T					
13. -Exxon Stock	B	Dividend	K	T					
14. -GE Stock	A	Dividend			Donated				
15. -General Mills Stock	C	Dividend	L	T					
16. -Halliburton Co Stock	A	Dividend	J	T					
17. -Hasbro Inc Stock	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Intell Corp Stock	C	Dividend	M	T					
19. -IBM Stock	C	Dividend	L	T					
20. -McCormick & Co Inc Stock	B	Dividend	M	T					
21. -Merk & Co. Stock	C	Dividend	M	T					
22. -Microsoft Stock	C	Dividend	O	T	Donated (part)				
23. -Oracle Corp Stock	B	Dividend	M	T					
24. -PNC Financial Services Stock	B	Dividend	K	T					
25. -Nuveen Invst Fds Inc Real Estate Secs Fund CI A	C	Dividend			Sold	12/17/20	M	A	
26. -PIMCO FD PAC INVT MGMT, All Asst Fund Class C	C	Dividend	M	T					
27. -Principal Invs Fd Real Estate Secs Fd CI A	C	Dividend			Sold	05/28/20	M	A	
28. -Principal Global Div Income Fd	C	Dividend	M	T					
29. -Proctor & Gamble Stock	C	Dividend	M	T					
30. -Southern Company Stock	B	Dividend	K	T					
31. -Vodafone Group Stock	B	Dividend	J	T					
32. -WAB TEC stock	A	Dividend	J	T					
33. BROKERAGE ACCOUNT #1A									
34. -KY St Ppty & Bldgs Bond, 49151FLY4	A	Interest			Redeemed	02/03/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Western Ky Univ Rvs Bond, 9585193P3	A	Interest	J	T					
36. -Fayette Cnty Ky Sch Dist Bond, 312432UK1	A	Interest	J	T					
37. -Hardin Cnty Ky Sch Dist Bond, 411873QM7	A	Interest	J	T					
38. --Owensboro KY Pub Proj bond 690887GZ1	A	Interest	J	T	Buy	08/07/20	J		
39. -Fayette Cnty Ky Sch Dist Fin Corp Bond, 312432UR6	A	Interest	J	T					
40. -Miami Dade Cnty Fl W&S Bond, 59334DGQ1	A	Interest			Redeemed	10/01/20	J	A	
41. -Harris Cnty Tx Mun Util Bond, 41421LCT3	A	Interest			Redeemed	08/03/20	J	A	
42. -Brushy Creek Regl Util Auth Tx Bond, 117467EA4	A	Interest	J	T					
43. -Ky St Ppty & Bldgs Commn Revs Bond, 49151E8R7	A	Interest	J	T					
44. -Kentucky Rural wtr fin corp pub pj rev bond, 49140mun7	A	Interest	J	T					
45. --Kenton Cnty Ky sch Dist Fin Corp Energy bond 491085BR6	A	Interest	J	T	Buy	02/05/20	J		
46. -Louisville & Jeff Cnty swr dist bond, 546589rx2	A	Interest	J	T					
47. -Ky St Ppty & Bldgs Commn Revs Bds, 49151FYS3	A	Interest	J	T					
48. -Ky Rural WTR fin corp pub proj rev bds, 49140MN6N4	A	Interest	J	T					
49. -KY St Ppty & Bldgs Commn Revs Bds, 49151FHF0	A	Interest	K	T					
50. -Louisville & Jeff Cnty Ky Met Swr & Drain Bd, 546589RP9	A	Interest	J	T					
51. -Louisville & Jeff Cnty Ky Met Swr & Drain bd, 546589RS3	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Ky St TPK Auth Econ Dev Rd Rev bd, 491552YD1	A	Interest	J	T					
53. -Canyons Sch Dist Ut -Utah Sch Bd, 139078AU3	A	Interest	J	T					
54. -Ky St Tpk Auth Econ Dev Rd Rev bd, 4915522XT7	A	Interest	J	T					
55. -Ky St Tpk AuthEcon Dev Rd Rev bd, 491552ZA6	A	Interest	J	T					
56. -Dallas Tx Wtrwks & Swr rev bd, 2354164X9	A	Interest	J	T					
57. -Ky Assn of Cntys Fin Corp bd, 491196KB6	A	Interest	J	T					
58. -Fort Lupton Co wtr Sys Rev bond 347818BM7	A	Interest	J	T	Buy	10/22/20	J		
59. -Regional Trans Dist Co Sales Tax rev bd, 759136RK2	A	Interest	J	T					
60. BROKERAGE ACCOUNT #2									
61. -Goldman Sachs ETF TR ETF y	A	Dividend							
62. -Invesco TR Emerging Mkts Sov debt Y	A	Dividend							
63. -Ishares Russell 2000 fund Y	A	Dividend							
64. -Ishares Core MSCI emerging markets fund Y	A	Dividend							
65. -Ishares Core S&P Midcap fund Y	A	Dividend							
66. -Ishares Core S&P 500 S&P 500 Index fund Y	B	Dividend							
67. -Ishares Core US Aggregate Bond Fund	B	Dividend							
68. -Ishares Edge MSCI Min Vol USA ETF Y	A	Dividend							

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Ishares S&P Midcap value fund Y	A	Dividend							
70. -Ishares S&P midcap growth fund Y	A	Dividend							
71. Sector SPDR Technology Select fund Y	A	Dividend							
72. -Select Sector SPDR Financial fund Y	A	Dividend							
73. -Select Sector SPDR Consumer Discretionary fund Y	A	Dividend							
74. -Vanguard FTSE Developed Mrks fund Y	B	Dividend							
75. -Vanguard Intl Equity Index emerging mrkts fund Y	A	Dividend							
76. -Xtrackers USD High Yield Corp Bond Fund Y	B	Dividend							
77. -Wells Fargo Money Market account Y	A	Interest							
78. IRA #1									
79. -Europacific Growth Fd, cl A Y	A	Dividend							
80. -Growth Fund America, Cl A Y	A	Dividend							
81. -New Economy Fund SBI Cl A Y	A	Dividend							
82. -Smallcap World Fd Y	A	Dividend							
83. -Wash Mutl Invs Fd Inc, Cl A Y	A	Dividend							
84. IRA #2									
85. -Wells Fargo Money Market account X	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -Golman Sachs ETF TR ETF US Large Cap	A	Dividend	K	T					
87. -Invesco Emerging Mkts Sovereign debt fund	A	Dividend			Sold	10/01/20	J	A	
88. -Ishares Russell 2000 fund	A	Dividend	K	T	Buy (add'l)	07/21/20	J		
89. -Ishares core msci emerging markets fund	A	Dividend	K	T					
90. -Ishares Core S&P Midcap fund	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
91.					Buy (add'l)	10/01/20	J		
92. -Ishares Core S&P 500 fund	A	Dividend	K	T					
93. -Ishares Core US Aggregate Bond fund	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
94.					Sold (part)	04/01/20	J	A	
95.					Sold (part)	07/21/20	J	A	
96. -Ishares Edge MSCI ETF Min Vol EUS ETF	A	Dividend	K	T	Sold (part)	07/21/20	J	A	
97. -Ishares S&P Midcap 400 value fund	A	Dividend			Sold	01/02/20	J	A	
98. -Ishares S&P Midcap 400 growth fund	A	Dividend			Sold	01/02/20	J	A	
99. -SectorSPDR Tr Technology Select sector fund	A	Dividend	J	T					
100. -Select Sector SPDR TR Financial fund	A	Dividend			Sold	04/01/20	J	A	
101. -Select Sector SPDR Fd consumer discretionary fund	A	Dividend	J	T					
102. --Select Sector Communications Fund	A	Dividend	J	T	Buy	04/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Vanguard FTSE Deveoped Marktets fund	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
104. -Vanguard Health Care Fund	A	Dividend	K	T	Buy	07/21/20	J		
105.					Buy (add'l)	04/01/20	J		
106.					Buy (add'l)	07/21/20	J		
107. -Vanguard Mid Cap Fund	A	Distribution	J	T	Buy	01/02/20	J		
108. -Vanguard Intl Equity Index fds ftse emerging markets	A	Dividend	J	T	Sold (part)	04/01/20	J	A	
109.					Sold (part)	07/21/20	J	A	
110. -Xtrackers USD High Yield Corp Bond fund	A	Dividend	J	T	Buy (add'l)	07/21/20	J		
111.					Buy (add'l)	10/01/20	J		
112. TRUST #1									
113. -Wells Fargo Money Mrkt Account	A	Int./Div.	J	T					
114. -Goldman Sachs Active Beta US Large Cap Equity ETF	A	Dividend	K	T					
115. Invesco TR II Emerging MKTS Sovereign Debt (FKA Powershares Em Mar)	A	Dividend			Sold	10/01/20	J	A	
116. -Ishares Russell 2000 fund	A	Dividend	J	T	Buy (add'l)	07/21/20	J		
117.					Buy (add'l)	10/21/20	J		
118. -Ishares core S&P Midcap fund	A	Dividend	K	T	Sold (part)	01/02/20	J	A	
119.					Sold (part)	10/01/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	10/21/20	J		
121. -Ishares core S&P 500 fund	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
122.					Buy (add'l)	10/21/20	J		
123. -Ishares Core US Aggregate Bond fund	B	Dividend	L	T	Buy (add'l)	01/02/20	J		
124.					Sold (part)	04/01/20	J	A	
125.					Sold (part)	07/21/20	J	A	
126.					Buy (add'l)	10/01/20	J		
127. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	J	T	Buy (add'l)	10/21/20	J		
128.					Sold (part)	07/21/20	J	A	
129.					Buy (add'l)	10/21/20	J		
130. -Ishares Core MSCI Emerging Markets fund	A	Dividend	J	T	Sold (part)	07/21/20	J	A	
131. -Ishares IBOXX\$ High Yield Corp Bond	A	Dividend	J	T	Buy (add'l)	10/21/20	J		
132. -Ishares JP Morgan USD Emerging Markets Bond fund	A	Dividend	J	T					
133. -Ishares MBS Fund	A	Dividend	J	T	Sold (part)	04/01/20	J	A	
134. -Sector SPDR Technology Select Sector fund	A	Dividend	J	T	Buy (add'l)	10/21/20	J		
135. -Select Sector SPDR Financial fund	A	Dividend			Sold	04/01/20	J	A	
136. -Select Sector SPDR Consumer Discretionary fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Select Sector SPDR Communications Fund	A	Dividend	J	T	Buy	04/01/20	J		
138. -Vanguard FTSE Developed Markets fund	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
139.					Buy (add'l)	10/21/20	J		
140. -Vanguard Health Care Fund					Buy	07/21/20	J		
141. -Vanguard Intermediate Term Corp Bond fund	A	Dividend	J	T	Sold (part)	04/01/20	J	A	
142. -Vanguard Mid Cap fund	A	Dividend	J	T	Buy	01/02/20	J		
143.					Buy (add'l)	04/01/20	J		
144.					Buy (add'l)	07/21/20	J		
145.					Buy (add'l)	10/21/20	J		
146. -Vanguard INTL Equity Index Emerging Mrkts fund	A	Dividend			Sold (part)	04/01/20	J	A	
147.					Sold	07/02/20	J	A	
148. -Vanguard Short Term Corp Bd fund	A	Dividend			Sold	01/02/20	J	A	
149. -Xtrackers USD High Yield Corp Bond fund	A	Dividend	K	T	Buy	07/21/20	J		
150.					Buy (add'l)	10/01/20	J		
151.					Buy (add'l)	10/21/20	J		
152. TRUST #2									
153. -Well Fargo Money Mrkt Account	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Goldman Sachs ActiveBeta US Large Cap Equity ETF	A	Dividend	K	T	Buy (add'l)	10/21/20	J		
155. -Invesco TR II Emerging Mkts Sovereign Debt fund (FKA Powershares)	A	Dividend			Sold	10/20/20	J	A	
156. -Ishares Russell 2000	A	Dividend	K	T	Buy (add'l)	07/21/20	J		
157.					Buy (add'l)	10/21/20	J		
158. -Ishares Core S&P Midcap fund	A	Dividend	K	T	Sold (part)	01/02/20	J	A	
159.					Sold (part)	10/01/20	J	A	
160.					Buy (add'l)	10/21/20	J		
161. -Ishares core S&P 500 index fund	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
162.					Buy (add'l)	10/21/20	J		
163. -Ishares Core US Aggregate Bond fund	A	Dividend	L	T	Buy (add'l)	01/02/20	J		
164.					Buy (add'l)	10/01/20	J		
165.					Buy (add'l)	10/21/20	J		
166. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend	J	T	Sold (part)	07/01/20	J	A	
167. -Ishares IBOXX\$ High Yield Corp Bond fd	A	Dividend	J	T					
168. -Ishares core MSCI Emerging Markets fund*	A	Dividend	J	T	Sold (part)	07/10/20	J	A	
169. -Ishares JP Morgan USD Emerging Markets Bond fund	A	Dividend	J	T					
170. -Ishares MBS MBB fund	A	Dividend	J	T	Sold (part)	04/01/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Sector SPDR Tr Technology Select sector fund	A	Dividend	J	T	Buy (add'l)	10/21/20	J		
172. -Select Sector SPDR TR Financial fund	A	Dividend			Sold	04/01/20	J	A	
173. -Select Sector SPDR fd consumer discretionary	A	Dividend	J	T					
174. -Selecct Sector SPDR Communications fund	A	Dividend	J	T	Buy	04/01/20	J		
175. -Vanguard FTSE Developed Markets ETF fund	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
176.					Buy (add'l)	10/21/20	J		
177. -Vanguard Health Care Fund	A	Dividend	J	T	Buy	07/21/20	J		
178. -Vanguard Intermediate Term Corp bond fund	A	Dividend	J	T	Sold (part)	04/01/20	J	A	
179. -Vanguard mid cap fund	A	Dividend	J	T	Buy	01/20/20	J		
180.					Buy (add'l)	04/01/20	J		
181.					Buy (add'l)	07/21/20	J		
182.					Buy (add'l)	10/21/20	J		
183. -Vanguard Intl Equity Index fds ftse emerging mkts	A	Dividend			Sold	04/01/20	J	A	
184. -Vanguard Short Term Corp Bond fd	A	Dividend			Sold	01/02/20	J	A	
185. Xtrackers USD High Yield Corp Bond fund	A	Distribution	K	T	Buy (add'l)	07/21/20	J		
186.					Buy (add'l)	10/01/20	J		
187.					Buy (add'l)	10/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. 401(k) #1									
189. -American Funds Income Fnd R3 y	A	Dividend							
190. Royal Crown Bottling Corp (2011 Appraisal)	A	Distribution	P1	Q	Sold (part)	12/31/20	O	G	
191. RC Transportation Co. (2010 Appraisal)	G	Distribution			Sold	12/31/20	J	A	
192. Rental Agricultural Property, Henderson County, Ky (\$57,036)	E	Rent	K	S					
193. POP Investments, LLC (Aggregate Ownership Arrangement)									
194. -Federated Government Obligation Premier Fd	A	Interest	K	T					
195. -Federal Home Ln Bks DEB	A	Interest			Redeemed	03/30/20	J	A	
196. -Federal Farm CR BKS DEB	A	Interest	J	T	Buy	04/08/20	J		
197. -General Dynamics Corp Deb bond	A	Interest	J	T					
198. -Carmel In REDEV Auth Lease Rev bond	A	Interest	J	T					
199. -Columbus-Franklin Cnty Oh Fin Rev bond	A	Interest	J	T					
200. -Connecticut St Hlth & Ed Fac Rev Bond	A	Interest	J	T					
201. -Greene Cnty In Bldg Corp Rev bond	A	Interest	J	T					
202. -Missouri hlth & Ed Fac Rev bond	A	Interest			Sold	05/26/20	J	A	
203. -New Hope Tx Cult Ed FACS Fin Rev bond	A	Interest	J	T					
204. -San Francisco City & Cnty txbl bond	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -South Washington Cnty Mn Ind bond	A	Interest	J	T					
206. -University Central Arkansas Rev bond	A	Interest			Sold	09/01/20	J	A	
207. -West Fargo ND Publ Schl Dist bond	A	Interest	J	T					
208. -Apple Inc Bond	A	Interest	J	T					
209. -Bank of New York Mellon Corp bond	A	Interest	J	T					
210. -Chevron Corp bond	A	Interest	J	T					
211. -Cisco Systems Inc bond	A	Interest	J	T					
212. -Disney Walt company new med deb bond	A	Interest	J	T					
213. -Exxon Mobil Corp bond	A	Interest	J	T					
214. -Intel Corp DEB bond	A	Interest	J	T					
215. -JP Morgan Chase DEB bond	A	Interest			Sold	05/26/20	J	A	
216. -Merck & Co DEB bond	A	Interest	J	T					
217. -Oracle Corp DEB bond	A	Interest	J	T					
218. -Pepsico Inc DEB bond	A	Interest	J	T					
219. -Phillip Morris Intl Inc DEB bond	A	Interest	J	T					
220. -Qualcomm Inc DEB bond	A	Interest	J	T					
221. -Simon Property Group LB DEB bond	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Target Corp DEB bond	A	Interest	J	T					
223. -TJX Company inc DEB bond	A	Interest	J	T					
224. -Visa Inc DEB bond	A	Interest	J	T					
225. -Wells Fargo & Co DEB bond	A	Interest	J	T					
226. -Gabelli Dividend & Income PFD preferred stock	A	Int./Div.	J	T					
227. -Georgia Power Co PFD preferred stock	A	Int./Div.	J	T					
228. -Invesco Ex PFD ETF preferred stock	A	Int./Div.	J	T					
229. -Public Storage PFD preferred stock	A	Int./Div.	J	T					
230. -Fidelity New Markets Income fund	A	Dividend	J	T	Buy	09/02/20	J		
231. -Fidelity Overseas fund	A	Dividend			Buy (add'l)	04/08/20	J		
232.					Buy (add'l)	04/15/20	J		
233.					Sold (part)	05/22/20	J	B	
234. -Invesco Oppenheimer Developing Markets fund	A	Dividend			Sold	05/22/20	J	A	
235. -Vanguard Small Cap Value ETF Fund*	A	Dividend	J	T	Sold (part)	05/26/20	J	B	
236. -Fidelity Overseas Fund	A	Dividend	J	T	Buy	04/08/20	J		
237.					Buy (add'l)	04/15/20	J		
238. -Fidelity Small cap growth fund	A	Dividend	J	T	Buy	04/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 18 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. -Invesco Oppenheimer Developing Markets Fund	A	Dividend	J	T	Buy	04/08/20	J		
240.					Buy (add'l)	04/15/20	J		
241. -T Rowe Price Mid Cap Growth	A	Dividend	K	T	Buy (add'l)	04/08/20	J		
242.					Buy (add'l)	04/10/20	J		
243.					Sold (part)	05/22/20	J	A	
244.					Sold (part)	09/11/20	J	B	
245. -Vanguard small cap Index fund	A	Dividend	J	T	Buy (add'l)	04/17/20	J		
246. -Wells Fargo Special Mid Cap Value fund	A	Dividend	J	T	Buy	09/11/20	J		
247. -Vanguard Explorer Fund	A	Dividend			Sold	04/13/20	J	B	
248. -Abbot Labs stock	A	Dividend	J	T	Buy	10/01/20	J		
249. -Abbvie Inc stock	A	Dividend	J	T	Buy	10/01/20	J		
250. -Accenture stock	A	Dividend	J	T					
251. -Advanced Micro Devices stock	A	Dividend	J	T	Buy	05/14/20	J		
252. -Air Products & Chemicals stock	A	Dividend	J	T	Buy	04/08/20	J		
253. -Alibab Group Holding stock	A	Dividend	J	T	Buy (add'l)	04/21/20	J		
254.					Sold (part)	05/13/20	J		
255. -Alliant Corp Stock	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. -Alphabet Inc stock	A	Dividend	J	T					
257. -Amazon Stock	A	Dividend	K	T	Sold (part)	04/20/20	J	B	
258.					Sold (part)	05/26/20	J	A	
259. -American Tower Corp stock	A	Dividend	J	T	Buy	04/21/20	J		
260. -Apple Inc. Stock	A	Dividend	K	T					
261. -Bank Amer Corp stock	A	Dividend			Sold	05/26/20	J	A	
262. -Berkshire Hathaway inc stock	A	Dividend			Buy (add'l)	04/09/20	J		
263.					Sold	05/26/20	J	A	
264. -Blackrock inc stock	A	Dividend	J	T	Buy	04/09/20	J		
265. -Blackstone Group inc stock	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
266. -Booking Holdings Inc stock	A	Dividend	J	T	Buy	07/27/20	J		
267. -Bristol Myers Squibb stock	A	Dividend			Sold	10/01/20	J	A	
268. -Broadcom LTD stock	A	Dividend	J	T					
269. -Caterpillar Inc stock	A	Dividend	J	T					
270. -Chevron Corp Stock	A	Dividend	K	T	Sold (part)	05/27/20	J	C	
271. Citigroup Inc stock	A	Dividend	J	T	Buy	05/26/20	J		
272. -Costco Whsl Corp stock	A	Dividend	J	T	Buy (add'l)	04/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. -CSX Corp stock	A	Dividend			Sold	05/26/20	J	A	
274. -Disney Company stock	A	Dividend	J	T					
275. -East West Bancorp Inc Stock	A	Dividend			Sold	04/08/20	J	A	
276. -Enbridge Inc	A	Dividend	J	T					
277. -Exxon Mobil Corp Stock	A	Dividend	J	T					
278. -Facebook inc stock	A	Dividend	J	T	Sold (part)	04/21/20	J	A	
279. -Fidelity Tr MSCI REIT ETF	A	Dividend			Sold	03/27/20	J	A	
280. -General Dynamics Corp stock	A	Dividend			Sold (part)	04/09/20	J	A	
281.					Sold	05/26/20	J	A	
282. -General Electric Co Stock	A	Dividend	J	T					
283. -Gilead Sciences Inc Stock	A	Dividend	K	T					
284. -Global x mlp & energy infrastructure etf	A	Dividend			Sold (part)	05/08/20	J	A	
285.					Sold	05/26/20	J	A	
286. -Home Depot stock	A	Dividend	J	T			J		
287. -Honeywell International stock	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
288. -Intel Corp Stock	A	Dividend	K	T	Sold (part)	05/26/20	J	A	
289.					Sold (part)	07/27/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 21 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. -Intuitive Surgical Inc stock	A	Dividend	J	T					
291. -Invesco Active US Real Estate Fund	A	Dividend	J	T	Buy	03/27/20	J		
292.					Buy (add'l)	05/26/20	J		
293. -Ishares S&P Golobal Clean Energy fund	A	Dividend	J	T	Buy	10/01/20	J		
294. -JP Morgan Chase & Co. Stock	A	Dividend	K	T	Sold (part)	04/09/20	J	B	
295. -Johnson & Johnson Stock	B	Dividend	K	T	Sold (part)	05/26/20	J	B	
296. -Kimberly Clark stock	A	Dividend	J	T					
297. -Linde PLC EUR stock	A	Dividend	J	T					
298. -Marathon Pete Corp stock	A	Dividend			Sold	04/09/20	J	A	
299. -Marvel Technology stock	A	Dividend	J	T	Buy (add'l)	09/09/20	J		
300. -Mastercard inc stock	A	Dividend	J	T					
301. -McDonalds Corp stock	A	Dividend	J	T					
302. -Medtronic PLC stock	A	Dividend	J	T					
303. -Merk & Co stock	A	Dividend	J	T					
304. -Microsoft Corp stock	A	Dividend	J	T					
305. -Mondelez International Inc stock	A	Dividend	J	T					
306. -Monster Beverage Corp Stock	A	Dividend	J	T	Sold (part)	04/09/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 22 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. -Nike inc stock	A	Dividend	J	T					
308. -Nucor Corp stock	A	Dividend			Sold	05/26/20	J	A	
309. -Oracle Corp Stock	A	Dividend	J	T	Sold (part)	04/21/20	J	B	
310. -Packaging Corp Amer Stock	A	Dividend	J	T					
311. -Palo Alto Networks inc stock	A	Dividend	J	T	Sold (part)	02/27/20	J	A	
312. -PayPal Holdings inc stock	A	Dividend	J	T					
313. -Pepsico Inc stock	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
314. -PPG INDS inc stock	A	Dividend			Sold	05/26/20	J	A	
315. -Procter & Gamble Co	A	Dividend	K	T					
316. -Salesforce.com stock	A	Dividend	J	T					
317. -Sherman Williams Co Stock	A	Dividend	J	T	Sold (part)	04/09/20	J	A	
318. -Skyworks Solutions Inc stock	A	Dividend	J	T	Buy	04/21/20	J		
319. -Starbucks Corp Stock	A	Dividend	J	T	Buy	04/21/20	J		
320. -Stryker Corp stock	A	Dividend	J	T					
321. -T-Mobile US stock	A	Dividend	J	T					
322. -Target Corp stock	A	Dividend	J	T	Buy	04/02/20	J		
323.					Buy (add'l)	04/21/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 23 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent , or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. -Telsa Motors Inc. stock	A	Dividend	J	T	Buy	07/27/20	J		
325. -Union Pacific Corp stock	A	Dividend	J	T	Buy	05/26/20	J		
326. -United Parcel Service	A	Dividend	J	T	Buy	05/26/20	J		
327. -TJX companies stock	A	Dividend			Sold (part)	04/02/20	J	A	
328.					Sold	04/21/20	J	A	
329. -Unilever stock	A	Dividend			Sold	04/21/20	J	A	
330. -United Technologies corp stock	A	Dividend			Sold	04/17/20	J	A	
331. -United Health Group stock	A	Dividend	J	T					
332. -Valero Energy stock	A	Dividend	J	T	Buy	04/09/20	J		
333. -Verizon Communications stock	A	Dividend	J	T	Sold (part)	07/27/20	J	A	
334. -Visa inc stock	A	Dividend	J	T					
335. -Waste Management inc stock	A	Dividend	J	T					
336. TRUST #3									
337. -Fifth Third Banksafe Trust Money Market Account	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 189 and 201 of my 2019 reprot were duplicative entries. The asset is listed at line 168 of this disclosure.

FINANCIAL DISCLOSURE REPORT

Page 25 of 25

Name of Person Reporting

King, Leo L.

Date of Report

05/14/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo L. King**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544