

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bucklew, Susan C.	2. Court or Organization U.S. Dist. Ct. Mid. Dist.Fl.	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Senior Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Sam M. Gibbons U.S. Courthouse 801 N. Florida Ave Tampa, Fl. 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Revocable Trust (See Part VII line 1)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1996	State of Florida---Judicial Retirement
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Bucklew, Susan C.

Date of Report

05/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	State of Florida---Division of Retirement	\$54,909.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Bucklew, Susan C.	05/10/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Bucklew, Susan C.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Revocable Trust #1 (H)									
2. ---Rental Property Tampa Florida		None			Sold	09/30/20	O	G	Brookshire Homes LLC
3. ---Pimco Dynamic Income Fund (PDI)	C	Dividend	K	T					
4. ---Invesco Preferred ETF (PGX)	A	Dividend	K	T	Buy (add'l)	09/04/20	J		
5. ---Clearbridge Large Cap Gwth C (SLCCX)	A	Dividend	L	T					
6. ---Nebraska Pub Pwr Dist Genl Rev-D (Bond)	B	Interest	K	T					
7. ----Tampa Fla Non-Ad Valorem Re Oid (Bond)	A	Interest	K	T					
8. ---O'Shares FTSE US Quality Div (OUSA)	A	Dividend	J	T					
9. ---Federated HRMS Prime CS OBL WS (PCOXX)	A	Dividend	N	T	Buy	09/30/20	O		
10.					Sold (part)	10/05/20	K		
11.					Sold (part)	10/07/20	J		
12.					Sold (part)	10/08/20	J		
13.					Sold (part)	10/15/20	N		
14. ---Morgan Stanley Private Bank--cash account	A	Interest	J	T					
15. IRA (H)									
16. ---Morgan Stanley Private Bank--cash account	A	Interest	J	T					
17. ---Clearbridge Large Cap Growth (SMAL)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. ---Eaton Vance Floating Rate I (EIBLX)	C	Dividend	L	T	Buy (add'l)	09/04/20	J		
19. ---Invesco Premier Inst (IPPXX)	A	Dividend	J	T					
20. ---John Hancock Intl Growth Fd (GOGIX)	A	Dividend	M	T					
21. ---JP Morgan Equity Income Select (HLIEX)	B	Dividend	L	T	Buy (add'l)	03/20/20	J		
22. ---Lazard US EQ Concentrd Inst (LEVIX)	A	Dividend	L	T	Buy (add'l)	03/20/20	J		
23. ---FT-Preferred Secur & Inc ETF (FPE)	C	Dividend	L	T	Buy (add'l)	03/20/20	J		
24. Vanguard 500 INDX Admiral (VFIAX)	C	Dividend	K	T					
25. Bank of America Checking Account X	A	Interest	J	T					
26. Suncoast Credit Union Savings Account X	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Bucklew, Susan C.

Date of Report

05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Lines 16, 17, 18, 19, 20, 21 & 22 are assets in a Morgan Stanley Unified Managed Account. Clearbridge (line 16) contains stocks; EV Floating Rate (line 17), Invesco Premier Inst (line 18), John Hancock Intl Growth (line 19), JP Morgan Equity Income (line 20) and Lazard US EQ Concentrd Inst (line 21) are mutual funds; and FT Preferred Secur & Inc. ETF (line 22) is an ETF. They are broker managed accounts, and I do not control the selection of the assets beyond selecting a risk category. Therefore I did not list the underlying assets.

VII I did not take an IRA distribution.in 2020

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Bucklew, Susan C.

Date of Report

05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan C. Bucklew**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544