

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Summerhays, Robert R.	2. Court or Organization United States District Court, W. D. of Louisiana	3. Date of Report 05/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 800 Lafayette Street Suite 4900 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Acadiana/Lafayette Chapter Federal Bar Association
2. Adjunct Instructor	University of Louisiana/Lafayette
3. Fellow	American College of Bankruptcy
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 09/30/2006	Partially vested in Weil, Gotshal & Manges LLP ("WGM") partner pension. The plan is not based on firm profits, and is maintained, controlled, and owned by WGM
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/20	University of Louisiana / Lafayette -- Teaching income	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Ascension Episcopal School (Wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Center for American and International Law	2/5/2020 - 2/8/2020	New Orleans, LA	Fifth Circuit Bankruptcy Bench/Bar Conference	Food, lodging, and transportation
2.	American Bankruptcy Institute	3/1/2020 - 3/2/2020	New York, NY	Duberstein Moot Court Competition	Food, lodging, and transportation
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Great Lakes Borrower Services	Stafford Student Loan	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price SEP IRA									
2. -- T. Rowe Price Blue Chip Growth Fund	A	Dividend	K	T					
3. -- T. Rowe Price Medditeranean	A	Dividend			Sold	01/22/20	J		
4. -- T. Rowe Price Global Real Estate	A	Dividend	J	T	Buy	01/22/20	J		
5. -- T. Rowe Price Emerging Europe Fund	A	Dividend	J	T	Buy	01/22/20	J		
6. -- T. Rowe Price Value Fund	A	Dividend	J	T					
7. -- T. Rowe Price Global Stock Fund	A	Dividend	J	T					
8. -- T. Rowe Price Growth Stock Fund	A	Dividend	K	T					
9. -- T. Rowe Price Retirement 2030 Fund	A	Dividend	K	T					
10. -- T. Rowe Price GNMA Fund	A	Dividend	J	T					
11.									
12. T. RowePrice Ordinary IRA									
13. -- T. Rowe Price Growth Stock Fund	A	Dividend	J	T					
14. -- T. Rowe Price Blue Chip Growth Fund	A	Dividend	N	T	Sold (part)	03/24/20	K		
15.					Sold (part)	12/08/20	J		
16.					Sold (part)	12/14/20	J		
17. -- T. Rowe Price Retirement 2030 Fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -- T. Rowe Price Value Fund	A	Dividend	J	T					
19. -- T. Rowe Price Mid-cap Growth Fund	A	Dividend	J	T					
20. -- T. Rowe Price Government Money	A	Dividend	J	T	Sold (part)	01/02/20	K		
21.					Sold (part)	01/22/20	J		
22.					Sold (part)	02/28/20	J		
23.					Buy (add'l)	03/24/20	K		
24.					Sold (part)	04/01/20	J		
25.					Sold (part)	05/05/20	J		
26.					Sold (part)	06/03/20	J		
27.					Sold (part)	07/06/20	J		
28.					Sold (part)	08/11/20	J		
29.					Sold (part)	09/09/20	J		
30.									
31.									
32. Vanguard Prime Money Market Fund	A	Interest	J	T	Sold (part)	01/13/20	J		
33.					Buy (add'l)	02/03/20	J		
34.					Sold (part)	02/12/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35.					Buy (add'l)	03/03/20	J		
36.					Sold (part)	03/05/20	J		
37.					Sold (part)	03/13/20	J		
38.					Buy (add'l)	04/03/20	J		
39.					Buy (add'l)	04/30/20	J		
40.					Buy (add'l)	05/01/20	J		
41.					Sold (part)	05/26/20	J		
42.					Buy (add'l)	06/03/20	J		
43.					Buy (add'l)	06/11/20	K		
44.					Buy (add'l)	07/02/20	J		
45.					Sold (part)	07/13/20	J		
46.					Sold (part)	07/15/20	J		
47.					Sold (part)	07/31/20	J		
48.					Sold (part)	08/05/20	J		
49.					Sold (part)	08/11/20	J		
50.					Buy (add'l)	09/03/20	J		
51.					Sold (part)	09/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52.					Sold (part)	09/15/20	J		
53.					Buy (add'l)	10/02/20	J		
54.					Sold (part)	10/12/20	J		
55.					Buy (add'l)	11/03/20	J		
56.					Buy (add'l)	12/03/20	J		
57.					Sold (part)	12/07/20	J		
58.					Sold (part)	12/10/20	J		
59.					Buy (add'l)	12/31/20	J		
60.									
61.	JP Morgan Chase (Louisiana) Checking Account	A	Interest	J	T				
62.									
63.									
64.	Weil, Gotshal & Manges Partner Pension Plan		None	K	T				
65.									
66.	CF Schneider Family LP-- Limited partner interest		None	J	U				
67.									
68.	Mineral Interest #1 -- Thomas Duty Survey, Texas	C	Royalty	J	W				

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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69. Mineral Interest #2 -- Bee County, Texas JD Wright Grant	A	Royalty	J	W					
70. Mineral Interest #3 -- Bee County, Texas Mary M. Wright Gr.	A	Royalty	J	W					
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: On 12/20/2019, the TransAmerica 401K was redeemed and rolled over into my T.Rowe Price ordinary IRA Account. As a result, there was no balance at the end of 2019 and these entries were removed for 2020

Part VII: Certain non-IRA T.Rowe Price stock funds were sold in their entirety in 2019 and the prior disclosure statement showed a zero balance at the end of 2019 (lines 1- 7 of 2019 disclosure report). These entries have therefore been deleted from 2020 report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert R. Summerhays**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544