

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goldgar, A. Benjamin	2. Court or Organization Bankruptcy Court, N.D. Ill.	3. Date of Report 04/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Suite 638 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Family Trust No. 1
2. Director	Federal Bar Association -- Chicago Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Goldgar, A. Benjamin	04/22/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Fidelity Government Cash Reserves Money Market Fund	A	Dividend	K	T	Buy (add'l)	02/14/20	J		
2.					Sold (part)	03/02/20	J		
3.					Buy (add'l)	07/20/20	K		
4. IRA No. 1 (H)									
5. - Fidelity Strategic Advisors Small-Mid Cap Fund	B	Dividend	K	T	Sold (part)	01/23/20	J		
6.					Buy (add'l)	03/06/20	J		
7.					Buy (add'l)	04/01/20	J		
8.					Sold (part)	06/05/20	J		
9.					Buy (add'l)	06/30/20	J		
10.					Sold (part)	10/23/20	J	A	
11.					Sold (part)	12/17/20	J	A	
12. - Fidelity Strategic Advisors Value Fund	B	Dividend			Buy (add'l)	03/06/20	J		
13.					Buy (add'l)	04/01/20	J		
14.					Merged (with line 15)	11/20/20	K		
15. - Fidelity Strategic Advisors Large Cap Fund	A	Dividend	M	T	Buy	11/20/20	M		
16.					Buy (add'l)	11/24/20	J		
17.					Sold (part)	12/17/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Fidelity Strategic Advisors International Fund	B	Dividend	L	T	Buy (add'l)	04/01/20	J		
19.					Sold (part)	06/05/20	J		
20.					Buy (add'l)	06/30/20	J		
21.					Buy (add'l)	10/23/20	J		
22. - Fidelity Strategic Advisors Core Income Fund	D	Dividend	M	T	Buy (add'l)	01/23/20	J		
23.					Sold (part)	03/06/20	J	A	
24.					Buy (add'l)	03/23/20	J		
25.					Buy (add'l)	03/24/20	J		
26.					Sold (part)	04/01/20	J		
27.					Sold (part)	04/27/20	J	A	
28.					Sold (part)	06/30/20	J	A	
29.					Buy (add'l)	08/20/20	J		
30.					Sold (part)	09/11/20	J	A	
31.					Sold (part)	10/23/20	J	A	
32.					Buy (add'l)	12/17/20	J		
33. - Fidelity Strategic Advisors Core Fund	C	Dividend			Sold (part)	01/23/20	J	A	
34.					Buy (add'l)	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/01/20	J		
36.					Sold (part)	04/06/20	J		
37.					Buy (add'l)	04/27/20	J		
38.					Buy (add'l)	04/28/20	J		
39.					Buy (add'l)	06/30/20	J		
40.					Buy (add'l)	07/01/20	J		
41.					Sold (part)	08/20/20	J	A	
42.					Merged (with line 15)	11/20/20	M		
43. - Fidelity Strategic Advisors Growth Fund	C	Dividend			Sold (part)	01/23/20	J	A	
44.					Sold (part)	04/16/20	J	A	
45.					Sold (part)	07/30/20	J	A	
46.					Sold (part)	08/20/20	J	A	
47.					Merged (with line 15)	11/20/20	K		
48. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
49.					Buy (add'l)	06/30/20	J		
50.					Buy (add'l)	07/30/20	J		
51.					Buy (add'l)	10/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 7 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - Fidelity Strategic Advisors Income Opportunity Fund	A	Dividend	K	T	Buy (add'l)	04/27/20	J		
53.					Buy (add'l)	06/05/20	J		
54.					Buy (add'l)	06/30/20	J		
55.					Buy (add'l)	07/30/20	J		
56.					Buy (add'l)	08/20/20	J		
57.					Buy (add'l)	09/11/20	J		
58.					Buy (add'l)	10/23/20	J		
59.					Buy (add'l)	11/24/20	J		
60.					Buy (add'l)	12/17/20	J		
61. - FIMM Money Market Port Inst CL	A	Dividend			Buy (add'l)	04/16/20	J		
62.					Buy (add'l)	04/27/20	J		
63.					Sold (part)	06/05/20	J		
64.					Sold (part)	06/30/20	J		
65.					Closed	07/30/20	J		
66. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend			Buy (add'l)	04/01/20	J		
67.					Buy (add'l)	06/05/20	J		
68.					Sold (part)	06/30/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	07/30/20	J	A	
70.					Sold (part)	08/20/20	J	A	
71.					Sold	09/11/20	J	B	
72. - Fidelity SAI US Large Cap Index		None			Sold (part)	01/23/20	J	A	
73.					Sold	04/27/20	J	B	
74. - Fidelity SAI International Index		None			Sold (part)	04/01/20	J		
75.					Sold	06/30/20	J	A	
76. - Fidelity SAI Emerging Markets Index		None			Buy (add'l)	01/23/20	J		
77.					Sold (part)	03/06/20	J		
78.					Sold (part)	04/01/20	J		
79.					Sold	06/30/20	J	A	
80. - AQR Managed Futures Fund CL N	A	Dividend	J	T	Sold (part)	11/24/20	J		
81.					Sold (part)	12/17/20	J		
82. - Pimco Commodity Real Return Inst	A	Dividend			Sold	03/23/20	J		
83. - Eaton Vance GL Macro Absolute Return Advt A	A	Dividend	J	T					
84. - Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	06/05/20	J		
85.					Buy (add'l)	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	07/30/20	J		
87. - Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	03/23/20	J		
88.					Sold (part)	03/24/20	J		
89.					Buy (add'l)	04/27/20	J		
90.					Sold (part)	04/28/20	J		
91.					Buy (add'l)	06/05/20	J		
92.					Sold (part)	06/05/20	J		
93.					Buy (add'l)	06/30/20	J		
94.					Sold (part)	07/01/20	J		
95.					Buy (add'l)	07/30/20	J		
96.					Buy (add'l)	08/20/20	J		
97.					Buy (add'l)	09/11/20	J		
98.					Sold (part)	10/23/20	J		
99. IRA No. 2 (H)									
100. - Fidelity Strategic Advisors Small-Mid Cap Fund	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
101. - Fidelity Strategic Advisors International Fund	A	Dividend	K	T	Buy (add'l)	06/30/20	J		
102. - Fidelity Strategic Advisors Value Fund	A	Dividend			Merged (with line 103)	11/20/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. - Fidelity Strategic Advisors Large Cap Fund	A	Dividend	L	T	Buy	11/20/20	L		
104.					Sold (part)	12/17/20	J	A	
105. - Fidelity Strategic Advisors Core Fund	B	Dividend			Sold (part)	01/23/20	J	A	
106.					Buy (add'l)	03/06/20	J		
107.					Buy (add'l)	04/27/20	J		
108.					Merged (with line 103)	11/20/20	K		
109. - Fidelity Strategic Advisors Growth Fund	B	Dividend			Merged (with line 103)	11/20/20	J		
110. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	J	T					
111. - Fidelity Strategic Advisors Core Income Fund	C	Dividend	L	T	Buy (add'l)	01/23/20	J		
112.					Sold (part)	03/06/20	J	A	
113.					Sold (part)	04/01/20	J		
114.					Sold (part)	04/27/20	J	A	
115.					Sold (part)	06/30/20	J	A	
116.					Sold (part)	09/11/20	J	A	
117.					Buy (add'l)	12/17/20	J		
118. - Fidelity Strategic Advisors Income Opportunity Fund	A	Dividend	J	T					
119. - FIMM Money Market Port Inst CL	A	Dividend			Buy (add'l)	04/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	06/30/20	J		
121.					Closed	07/30/20	J		
122. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend			Buy (add'l)	04/01/20	J		
123.					Sold (part)	06/30/20	J	A	
124.					Sold (part)	07/30/20	J	A	
125.					Sold (part)	08/20/20	J	A	
126.					Sold	09/11/20	J	A	
127. - Fidelity SAI US Large Cap Index		None			Sold (part)	01/23/20	J	A	
128.					Sold	04/27/20	J	A	
129. -Fidelity SAI Emerging Markets Index		None			Sold	06/30/20	J	A	
130. - AQR Managed Futures Fund CL N (Y)									
131. - Pimco Commodity Real Return Inst	A	Dividend			Sold	03/23/20	J		
132. - SAI International Index					Sold	06/30/20	J	A	
133. - Eaton Vance GL Macro Absolute Retn Advt A	A	Dividend	J	T					
134. - Cohen & Steers Realty Shares	A	Dividend	J	T	Buy	06/05/20	J		
135. - Fidelity Government Cash Reserves	A	Dividend	J	T	Buy	03/23/20	J		
136.					Buy (add'l)	06/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/05/20	J		
138.					Buy (add'l)	07/30/20	J		
139.					Buy (add'l)	08/20/20	J		
140.					Buy (add'l)	09/11/20	J		
141. IRA No. 3 (H)									
142. - Fidelity Strategic Advisors Small-Mid Cap Fund	C	Dividend	L	T	Sold (part)	01/23/20	J		
143.					Buy (add'l)	03/06/20	J		
144.					Buy (add'l)	04/01/20	J		
145.					Sold (part)	04/27/20	J		
146.					Sold (part)	06/05/20	J		
147.					Buy (add'l)	06/30/20	J		
148.					Sold (part)	07/30/20	J		
149.					Sold (part)	08/20/20	J		
150.					Buy (add'l)	09/11/20	J		
151.					Sold (part)	10/23/20	J	A	
152.					Sold (part)	11/24/20	J	A	
153.					Sold (part)	12/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Fidelity Strategic Advisors International Fund	C	Dividend	M	T	Buy (add'l)	04/01/20	J		
155.					Buy (add'l)	04/27/20	J		
156.					Sold (part)	06/05/20	J		
157.					Buy (add'l)	06/30/20	J		
158.					Buy (add'l)	07/30/20	J		
159.					Buy (add'l)	09/11/20	J		
160.					Buy (add'l)	10/23/20	J		
161.					Sold (part)	11/24/20	J	A	
162.					Sold (part)	12/17/20	J	A	
163. - Fidelity Strategic Advisors Value Fund	D	Dividend			Buy (add'l)	03/06/20	J		
164.					Buy (add'l)	04/01/20	J		
165.					Sold (part)	04/27/20	J		
166.					Sold (part)	07/30/20	J		
167.					Merged (with line 168)	11/20/20	L		
168. - Fidelity Strategic Advisors Large Cap Fund	B	Dividend	N	T	Buy	11/20/20	N		
169.					Buy (add'l)	11/24/20	J		
170.					Sold (part)	12/17/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Fidelity Strategic Advisors Core Fund	D	Dividend			Sold (part)	01/23/20	J	A	
172.					Buy (add'l)	03/06/20	J		
173.					Buy (add'l)	04/01/20	J		
174.					Sold (part)	04/16/20	J		
175.					Buy (add'l)	04/27/20	J		
176.					Buy (add'l)	04/28/20	J		
177.					Sold (part)	06/05/20	J	A	
178.					Buy (add'l)	06/30/20	J		
179.					Buy (add'l)	07/01/20	J		
180.					Buy (add'l)	07/30/20	J		
181.					Sold (part)	08/20/20	J	A	
182.					Sold (part)	09/11/20	J	A	
183.					Sold (part)	10/23/20	J	A	
184.					Merged (with line 168)	11/20/20	N		
185. - Fidelity Strategic Advisors Growth Fund	D	Dividend			Sold (part)	01/23/20	J	A	
186.					Sold (part)	04/16/20	J	A	
187.					Sold (part)	04/27/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	07/30/20	J	B	
189.					Sold (part)	08/20/20	J	B	
190.					Sold (part)	09/11/20	J	A	
191.					Sold (part)	10/23/20	J	A	
192.					Merged (with line 168)	11/20/20	L		
193. - Fidelity Strategic Advisors Emerging Markets	A	Dividend	L	T	Buy (add'l)	03/06/20	J		
194.					Buy (add'l)	04/01/20	J		
195.					Buy (add'l)	04/16/20	J		
196.					Buy (add'l)	06/30/20	J		
197.					Buy (add'l)	07/30/20	J		
198.					Buy (add'l)	09/11/20	J		
199.					Buy (add'l)	10/23/20	J		
200.					Sold (part)	12/17/20	J	A	
201. - Fidelity Strategic Advisors Income Opportunity Fund	B	Dividend	K	T	Buy (add'l)	04/27/20	J		
202.					Buy (add'l)	06/05/20	J		
203.					Buy (add'l)	06/30/20	J		
204.					Buy (add'l)	07/30/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/20/20	J		
206.					Buy (add'l)	09/11/20	J		
207.					Buy (add'l)	10/23/20	J		
208.					Buy (add'l)	11/24/20	J		
209.					Buy (add'l)	12/17/20	J		
210. - Fidelity Strategic Advisors Core Income Fund	E	Dividend	N	T	Buy (add'l)	01/23/20	K		
211.					Sold (part)	03/06/20	K	A	
212.					Buy (add'l)	03/23/20	J		
213.					Buy (add'l)	03/24/20	J		
214.					Sold (part)	04/01/20	K		
215.					Sold (part)	04/27/20	K	A	
216.					Sold (part)	06/30/20	J	A	
217.					Sold (part)	07/30/20	J	A	
218.					Buy (add'l)	08/20/20	J		
219.					Sold (part)	09/11/20	J	A	
220.					Sold (part)	10/23/20	J	A	
221.					Buy (add'l)	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 17 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Fidelity Strategic Advisors Short Duration Fund	A	Dividend			Buy (add'l)	04/01/20	J		
223.					Buy (add'l)	06/05/20	J		
224.					Sold (part)	06/30/20	J	A	
225.					Sold (part)	07/30/20	J	A	
226.					Sold (part)	08/20/20	J	A	
227.					Sold	09/11/20	J	C	
228. - Fidelity SAI US Large Cap Index		None			Sold (part)	01/23/20	J	B	
229.					Sold	04/27/20	J	C	
230. - Fidelity SAI Emerging Markets Index		None			Buy (add'l)	02/23/20	J		
231.					Sold (part)	03/06/20	J		
232.					Sold (part)	04/01/20	J		
233.					Sold	06/30/20	J	B	
234. - AQR Managed Futures Fund CL N	A	Dividend	J	T	Sold (part)	04/27/20	J		
235.					Buy (add'l)	07/30/20	J		
236.					Sold (part)	09/11/20	J		
237.					Sold (part)	11/24/20	J		
238.					Sold (part)	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Pimco Commodity Real Return Inst	A	Dividend			Sold	03/23/20	J		
240. - FIMM Government Portfolio: Instl Cl	A	Dividend			Sold (part)	02/24/20	J		
241.					Buy (add'l)	03/06/20	J		
242.					Buy (add'l)	04/16/20	J		
243.					Buy (add'l)	04/27/20	J		
244.					Sold (part)	05/18/20	J		
245.					Sold (part)	06/30/20	J		
246.					Closed	07/30/20	J		
247. - Fidelity SAI Int'l Index		None			Sold (part)	04/01/20	J		
248.					Sold	06/30/20	J	A	
249. - Eaton Vance GL Macro Absolute Return Advt A	A	Dividend	J	T					
250. - Cohen & Steers Realty Shares	A	Dividend	K	T	Buy	06/05/20	J		
251.					Buy (add'l)	06/30/20	J		
252.					Buy (add'l)	07/30/20	J		
253.					Buy (add'l)	12/17/20	J		
254. - Fidelity Government Cash Reserves	A	Dividend	K	T	Buy	03/23/20	J		
255.					Sold (part)	03/24/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	04/27/20	J		
257.					Sold (part)	04/28/20	J		
258.					Buy (add'l)	06/05/20	J		
259.					Sold (part)	06/05/20	J		
260.					Buy (add'l)	06/30/20	J		
261.					Sold (part)	07/01/20	J		
262.					Buy (add'l)	07/30/20	J		
263.					Buy (add'l)	08/20/20	J		
264.					Sold (part)	08/21/20	J		
265.					Buy (add'l)	09/11/20	J		
266.					Sold (part)	10/23/20	J		
267.					Sold (part)	11/13/20	J		
268.					Sold (part)	11/24/20	J		
269. IRA No. 5 (H)									
270. - Fidelity Total Bond (see note)	D	Dividend	M	T	Buy (add'l)	06/16/20	L		
271.					Closed	08/25/20	K		
272.					Closed	10/13/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 20 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Fidelity LT Treasury Bond Index Fund	A	Dividend			Sold	06/26/20	L	E	
274. - Fidelity Government MM	A	Dividend			Sold	10/14/20	J		
275. Roth IRA (H)									
276. - Fidelity Total Bond	A	Dividend			Open	08/25/20	K		
277.					Sold	08/26/20	K	B	
278.					Open	10/13/20	L		
279.					Sold (part)	10/14/20	K	B	
280.					Sold	11/18/20	K	B	
281. - Fidelity Blue Chip Growth	C	Dividend	L	T	Buy	08/26/20	K		
282.					Buy (add'l)	10/14/20	K		
283.					Buy (add'l)	11/18/20	K		
284. - Fidelity Government Money Market		None	J	T	Buy	10/14/20	J		
285. 401(k) (H)									
286. - Vanguard Institutional Index Fund Plus	A	Dividend			Sold	09/01/20	L		
287. - U.S. Large Company Stocks Fund	A	Dividend	L	T	Buy	09/01/20	L		
288. Adirondack Trust Co. stock	D	Dividend			Sold (part)	02/03/20	K	D	
289.					Sold (part)	04/29/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold (part)	09/10/20	J	A	
291.					Sold	09/30/20	M	F	
292. Bank of America Growth Money Market Savings	A	Interest	J	T					
293. Deferred Annuity (H)									
294. - Fidelity VIP Investment Grade Bond Fund		None	N	T					
295. - Fidelity VIP Strategic Income Fund		None	M	T					
296. IRA No. 4 (H)									
297. - Vanguard Total Bond Market Index Fund	E	Dividend	P1	T	Buy (add'l)	06/08/20	L		
298.					Buy (add'l)	07/07/20	K		
299.					Buy (add'l)	07/07/20	L		
300. - Vanguard Total International Stock Index Fund	C	Dividend	M	T	Sold (part)	07/07/20	K		
301. - Vanguard Total Stock Market Index Fund	E	Dividend	P1	T	Sold (part)	06/08/20	L		
302.					Sold (part)	07/07/20	L		
303. - Vanguard Prime Money Market Fund	A	Dividend	J	T					
304. - Vanguard Target Retirement 2020	A	Dividend	J	T					
305. Family Trust No. 1 (H)									
306. - Fidelity Advisor Growth Opp CL 1	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. - Fidelity Diversified International	A	Dividend	K	T					
308. - Fidelity International Cap Appreciation Fund	A	Dividend	J	T					
309. - Causeway International Value Investor		None			Sold (part)	02/14/20	J	A	
310.					Sold	03/16/20	J	A	
311. - Harbor Capital Appreciation Adm CL	A	Dividend	J	T	Sold (part)	12/09/20	J	D	
312. - Oakmark Fund Investor CL	A	Dividend	K	T					
313. - Oakmark International Investor CL 1	A	Dividend	J	T	Sold (part)	03/16/20	J		
314.					Buy (add'l)	06/26/20	J		
315.					Buy (add'l)	08/10/20	J		
316.					Sold (part)	10/20/20	J	A	
317. - Hotchkiss & Wiley Midcap Value CL A	A	Dividend	J	T					
318. - Janus Henderson Enterprise T	B	Dividend	K	T					
319. - Lazard Emerging Markets Equity Open CL Shares	A	Dividend	K	T					
320. - MFS International Intrinsic Value CL A	A	Dividend	J	T					
321. - MFS International Diversification FD A	A	Dividend	K	T					
322. - Invesco Oppenheimer Developing Markets R6	A	Dividend	J	T					
323. - T Rowe Price International Discovery Fund 1 CL		None			Sold	03/16/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - T Rowe Price Overseas Stock 1 CL	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
325.					Sold (part)	04/16/20	J	A	
326.					Sold (part)	05/20/20	J	A	
327. - T Rowe Price Emerging Markets Stock Fund 1		None			Sold	02/14/20	J	A	
328. - T Rowe Price International Stk 1 (Y)									
329. - Fidelity Municipal Income	B	Dividend	K	T					
330. - Fidelity Tax-free Bond	A	Dividend	K	T					
331. - Strategic Adv Tax Sensitive Short Duration	A	Dividend	L	T	Sold (part)	02/14/20	J	A	
332.					Sold (part)	03/16/20	J		
333.					Sold (part)	03/18/20	J		
334.					Buy (add'l)	04/16/20	J		
335.					Buy (add'l)	06/09/20	J		
336.					Sold (part)	06/26/20	J	A	
337.					Buy (add'l)	10/20/20	K		
338.					Buy (add'l)	12/09/20	J		
339. - Western Asset Managed Muni CL A	B	Dividend	K	T	Sold (part)	03/16/20	K		
340.					Sold (part)	03/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. - Vanguard Long Term Tax Exempt Admiral	A	Dividend	K	T	Sold (part)	03/16/20	J		
342.					Buy (add'l)	04/16/20	J		
343.					Buy (add'l)	05/20/20	K		
344.					Buy (add'l)	06/19/20	J		
345. - DWS Managed Muni Bond FD S	A	Dividend	J	T	Sold (part)	06/26/20	J	A	
346. - T Rowe Price Tax Free High Yield Adv	B	Dividend	K	T	Sold (part)	03/16/20	J		
347.					Buy (add'l)	04/16/20	J		
348. - T Rowe Price Tax Free Income Adv CL	B	Dividend	L	T					
349. - Fidelity Govt Cash Reserves	A	Dividend	K	T					
350. - IShares S&P 100 ETF	A	Dividend	K	T					
351. - IShares TR Russell Midcap ETF	A	Dividend	J	T					
352. - IShares Russell 1000 Value ETF	A	Dividend	J	T	Buy (add'l)	03/17/20	K		
353.					Sold (part)	04/17/20	K	A	
354.					Sold (part)	05/21/20	J	A	
355.					Sold (part)	12/10/20	J	A	
356. - IShares Russell 1000 Growth ETF	A	Dividend	L	T					
357. - IShares Russell 1000 ETF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - IShares Russell 2000 ETF	A	Dividend	J	T					
359. - IShares S&P Small Cap 600 Value	A	Dividend			Sold	04/17/20	J	A	
360. - IShares Inc Core MSCI Emerging Markets		None			Sold	03/19/20	J		
361. - Vanguard Index FDS Vanguard Value	B	Dividend	K	T					
362. - Mainstay Mackay Tax Free Bond Class A (see note)	A	Dividend	K	T	Buy (add'l)	04/16/20	J		
363. - Flexshares Trust Morningstar Global Upstream NAT RES IDX		None			Sold (part)	01/08/20	J	A	
364.					Sold	02/18/20	J	A	
365. - IShares TR US Treas Bd ETF (Y)									
366. - Causeway Emerging Markets FD INV CL	A	Dividend	J	T	Buy	08/10/20	J		
367. - Dimensional Emerging Markets Val PRTF Instl	A	Dividend	J	T	Buy	03/16/20	J		
368.					Sold (part)	05/20/20	J	A	
369. -DFA Emerging Markets Portfolio	A	Dividend	J	T	Buy	10/20/20	J		
370. - Transamerica International Equity CL 1	A	Dividend	J	T	Buy	03/16/20	J		
371.					Buy (add'l)	06/09/20	J		
372.					Sold (part)	10/20/20	J	A	
373. - Invesco Exch Traded FD TR 11 FTSE RAF1	A	Dividend	J	T	Buy	06/10/20	J		
374. - IShares Trust S&P Midcap	A	Dividend	J	T	Buy	06/29/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 26 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	08/11/20	J		
376.					Sold (part)	09/11/20	J		
377. - IShares Core S&P Small-Cap E	A	Dividend	J	T	Buy	06/29/20	J		
378.					Buy (add'l)	09/24/20	J		
379.					Sold (part)	10/21/20	J	A	
380. - Vanguard Real Estate ETF	A	Dividend	J	T	Buy	06/10/20	J		
381.					Sold (part)	08/11/20	J		
382.					Buy (add'l)	09/24/20	J		
383.					Sold (part)	10/21/20	J		
384. - Aberdeen Emerging Markets FD Instl SV CL		None			Buy	03/16/20	J		
385.					Sold	04/16/20	J		
386. - Goldman Sachs Emerging Markets EQ Instl CL		None			Buy	03/16/20	J		
387.					Sold	04/16/20	J		
388. - IShares TIPS Bond ETF	A	Dividend			Buy	03/19/20	J		
389.					Sold (part)	05/21/20	J	A	
390.					Sold (part)	06/10/20	J	A	
391.					Sold	06/29/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 27 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. - IShares TR Barclays 7 10 YR	A	Dividend			Buy	01/08/20	J		
393.					Sold	06/10/20	J	A	
394. - IShares US Real Estate ETF		None			Buy	08/11/20	J		
395.					Sold	09/24/20	J		
396. - MFS Municipal Income Class A	A	Dividend			Buy	08/10/20	J		
397.					Sold (part)	09/23/20	J		
398.					Sold	10/20/20	J		
399. Family Trust No. 2 (H)									
400. - Fidelity Diversified International	A	Dividend	J	T					
401. - Fidelity International Cap Appreciation Fund	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
402.					Sold (part)	04/20/20	J	A	
403. - Causeway International Value Investor		None			Sold	03/18/20	J		
404. - Harbor Capital Appreciation Adm CL		None			Sold (part)	09/04/20	J	B	
405.					Sold (part)	11/13/20	J	C	
406.					Sold	12/16/20	J	A	
407. - Oakmark Fund Investor Class	A	Dividend	K	T					
408. - Hotchkiss & Wiley Midcap Value CL A	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 28 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. - Janus Henderson Enterprise T	A	Dividend	J	T					
410. - Lazard Emerging Markets Equity Open CL Shares	A	Dividend	J	T	Sold (part)	07/17/20	J	A	
411. - MFS International Intrinsic Value CL A	A	Dividend	J	T					
412. - MFS International Diversification FD A	A	Dividend	J	T					
413. - T Rowe Price New Era		None			Sold	01/10/20	J	A	
414. - T Rowe Price International Stk 1	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
415.					Sold (part)	07/17/20	J	A	
416. - Fidelity Municipal Income	A	Dividend	J	T					
417. - Fidelity Tax-free Bond	A	Dividend	K	T					
418. - Strategic Adv Tax Sensitive Short Duration	A	Dividend	K	T	Sold (part)	02/14/20	J	A	
419.					Buy (add'l)	04/20/20	J		
420.					Sold (part)	06/26/20	J	A	
421.					Sold (part)	07/17/20	J	A	
422.					Buy (add'l)	09/04/20	J		
423.					Sold (part)	09/23/20	J	A	
424.					Buy (add'l)	10/20/20	J		
425.					Buy (add'l)	11/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 29 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	11/13/20	J		
427. - Blackrock National Muni Fund CL A	A	Dividend	J	T	Sold (part)	03/05/20	J	A	
428.					Sold (part)	03/18/20	J		
429. - Mainstay Mackay High Yield Muni Bd CL A	A	Dividend			Sold (part)	03/16/20	J	A	
430.					Sold	05/20/20	J		
431. - Wells Fargo Muni Bond FD Admin CL		None	J	T	Sold (part)	09/23/20	J	A	
432.					Buy (add'l)	11/13/20	J		
433. - DWS Managed Muni Bond FD S	A	Dividend	J	T	Sold (part)	03/16/20	J		
434.					Buy (add'l)	05/20/20	J		
435.					Sold (part)	06/26/20	J	A	
436. - Franklin Federal Tax Free Class A (see note)		None			Closed	04/08/20	K		
437. - Franklin Federal Tax Free Income ADV (see note)	A	Dividend	K	T	Open	04/08/20	K		
438. - T Rowe Price Tax Free High Yield Adv	A	Dividend	J	T	Sold (part)	03/18/20	J		
439.					Buy (add'l)	05/20/20	J		
440.					Sold (part)	07/17/20	J	A	
441.					Buy (add'l)	09/04/20	J		
442.					Sold (part)	10/20/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 30 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Sold (part)	11/03/20	J	A	
444.					Buy (add'l)	12/16/20	J		
445. - T Rowe Price Tax Free Income Adv CL		None	K	T					
446. - Fidelity Govt Cash Reserves	A	Dividend	J	T					
447. - IShares S&P 100 ETF	A	Dividend	K	T					
448. - IShares TR Russell Midcap ETF	A	Dividend	J	T					
449. - IShares Russell 1000 Growth ETF	A	Dividend	K	T	Sold (part)	07/20/20	J	C	
450. - IShares Russell 1000 ETF	A	Dividend	K	T					
451. - IShares Russell 2000 ETF	A	Dividend	J	T					
452. - IShares S&P Small Cap 600 Value	A	Dividend			Sold	04/21/20	J	A	
453. - IShares Trust Core MSCI EAFE ETF		None			Sold	02/18/20	J	A	
454. - IShares Inc Core MSCI Emerging Markets		None			Sold	03/19/20	J		
455. - Sector Spdr Tr Shares Ben Int Financial	A	Dividend			Sold	05/21/20	J	A	
456. - Vanguard Index FDS Vanguard Value	A	Dividend	K	T	Buy (add'l)	03/17/20	J		
457.					Sold (part)	04/21/20	J	A	
458.					Sold (part)	07/20/20	J	A	
459. - Mainstay Mackay Tax Free Bond Class A		None	J	T	Buy (add'l)	04/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000 M =\$100,001 - \$250,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 31 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold (part)	07/17/20	J	A	
461.					Sold (part)	11/03/20	J	A	
462. - IShares TR US Treas Bd ETF	A	Dividend			Buy (add'l)	01/13/20	J		
463.					Buy (add'l)	02/18/20	J		
464.					Sold (part)	03/06/20	J	A	
465.					Buy (add'l)	04/21/20	J		
466.					Sold (part)	05/21/20	J		
467.					Sold (part)	06/10/20	J	A	
468.					Sold	07/20/20	J	A	
469. - Fidelity Advisor Focused Emerging Markets Z	A	Dividend	J	T	Buy	08/06/20	J		
470.					Buy (add'l)	09/04/20	J		
471. - Aberdeen Emerging Markets FD Instl SV CL		None			Buy	03/16/20	J		
472.					Sold	04/20/20	J		
473. - Acadian Emerging Markets Port Investor	A	Dividend	J	T	Buy	10/20/20	J		
474. - DFA Emerging Markets Portfolio	A	Dividend	J	T	Buy	11/03/20	J		
475. - Transamerica Int'l Equity CL 1	A	Dividend	J	T	Buy	03/18/20	J		
476.					Buy (add'l)	06/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 32 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477.					Sold (part)	07/17/20	J		
478. - IShares Core S&P Small-Cap E	A	Dividend	J	T	Buy	06/29/20	J		
479.					Sold (part)	07/20/20	J	A	
480.					Buy (add'l)	09/24/20	J		
481.					Sold (part)	10/21/20	J	A	
482.					Sold (part)	11/16/20	J	A	
483. - IShares TR EAFE Small Cap ETF	A	Dividend	J	T	Buy	08/07/20	J		
484. - Causeway Emerging Markets FD INV CL		None			Buy	08/06/20	J		
485.					Sold (part)	09/23/20	J		
486.					Sold	11/13/20	J	A	
487. - Dimensional Emerging Markets Value PRTF I Instl		None			Buy	03/16/20	J		
488.					Sold	05/20/20	J	A	
489. - Delaware Tax Free USA Class A		None			Buy	06/09/20	J		
490.					Sold	07/17/20	J	A	
491. - Harbor International Inst		None			Buy	06/09/20	J		
492.					Sold	07/17/20	J		
493. - Oakmark Intl Investor CL	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 33 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	08/06/20	J		
495.					Sold (part)	10/20/20	J	A	
496. - Invesco Exch Traded FD TR 11 FTSE RAFI	A	Dividend			Buy	06/10/20	J		
497.					Sold	07/20/20	J		
498. - IShares TIPS Bond ETF	A	Dividend			Buy	03/19/20	J		
499.					Sold (part)	06/10/20	J	A	
500.					Sold	06/29/20	J	A	
501. - IShares Russell Midcap Value ETF	A	Dividend			Buy	03/17/20	J		
502.					Sold	04/21/20	J	A	
503. - IShares S&P Midcap 400		None			Buy	06/29/20	J		
504.					Sold	07/20/20	J	A	
505. - IShares US Real Estate ETF	A	Dividend	J	T	Buy	07/20/20	J		
506.					Buy (add'l)	08/07/20	J		
507.					Sold (part)	09/08/20	J	A	
508.					Buy (add'l)	09/24/20	J		
509.					Buy (add'l)	11/04/20	J		
510. - MFS Municipal Income Class A	A	Dividend			Buy	06/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 34 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	09/04/20	J		
512.					Sold (part)	10/20/20	J		
513.					Sold	11/03/20	J	A	
514. - T. Rowe Price Emerging Markets Stock Fund 1		None			Buy	03/16/20	J		
515.					Sold	04/20/20	J		
516. - Vanguard Real Estate ETF	A	Dividend			Buy	06/10/20	J		
517.					Sold	07/20/20	J		
518. Family Trust No. 3 (H)									
519. - Fidelity Government Money Market	A	Dividend	J	T	Buy	08/10/20	K		
520.					Sold (part)	08/25/20	K		
521.					Buy (add'l)	10/01/20	M		
522.					Sold (part)	10/15/20	M		
523. - Fidelity Disruptors Fund		None			Buy	08/26/20	K		
524.					Sold	10/14/20	K	B	
525. - iShares Select Dividend ETF	B	Dividend	M	T	Buy	08/27/20	K		
526.					Buy (add'l)	10/15/20	M		
527.					Buy (add'l)	10/16/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 35 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. - Fidelity High Dividend ETF	B	Dividend	M	T	Buy (add'l)	10/15/20	M		
529. Inherited IRA No. 1 (H)									
530. - T. Rowe Price Dividend Growth	B	Dividend	K	T	Redeemed (part)	12/01/20	J		
531. - T. Rowe Price Spectrum Income	A	Dividend	K	T	Redeemed (part)	12/01/20	J		
532. Inherited IRA No. 2 (H)									
533. - TIAA Traditional (see note)	C	Interest	L	T	Sold (part)	02/19/20	J		
534.					Sold (part)	08/19/20	J		
535. - CREF Stock (see note)			N	T	Sold (part)	02/19/20	J		
536.					Sold (part)	08/19/20	J		
537. - CREF Global Equities (see note)			L	T	Sold (part)	02/19/20	J		
538.					Sold (part)	08/19/20	J		
539. - TIAA Real Estate (see note)			K	T	Sold (part)	02/19/20	J		
540.					Sold (part)	08/19/20	J		
541. - CREF Bond Market (see note)			K	T	Sold (part)	02/19/20	J		
542.					Sold (part)	08/19/20	J		
543. - CREF Inflation Linked Bond (see note)			K	T					
544. Bank of America checking (cash equivalent)	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. Bank of America checking (cash equivalent) (4)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 37 of 38

Name of Person Reporting	Date of Report
Goldgar, A. Benjamin	04/22/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 270-72. On the dates shown in lines 271 and 272, portions of this account were converted from a traditional IRA to a Roth IRA. I have selected "Closed" only because there is no "Converted" or "Exchanged" option that would accurately describe the transactions. After the conversion, some funds remained in this investment; the account was not literally closed. The resulting Roth IRA appears on Lines 275ff.

Lines 436-37. According to the Fidelity statement, on the date shown the account on Line 436 was "converted" to the account on Line 437. I selected "Closed" for the account on Line 436 and "Open" for the account on Line 437 because there is no "Converted" option.

Lines 533-43. This is an inherited IRA. Except for line 533, Column B is not completed because it cannot be. The TIAA-CREF statements disclose no information about income (other than for the "TIAA Traditional" fund), and TIAA-CREF personnel have told me that the information is unavailable because the investments are "annuity-based." Similarly, information about gain or loss from "sales" (the distributions I am required to take) is not reported in Column D for any portion of the IRA because TIAA-CREF personnel have told me that information about gains or losses is unavailable.

FINANCIAL DISCLOSURE REPORT

Page 38 of 38

Name of Person Reporting

Goldgar, A. Benjamin

Date of Report

04/22/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **A. Benjamin Goldgar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544