

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Kahn, Benjamin A.                                                                                                                    | <b>2. Court or Organization</b><br><br>United States Bankruptcy Court (MDNC)                                                                                                                                                                                                             | <b>3. Date of Report</b><br><br>05/13/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States Bankruptcy Judge                                                | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>United States Bankruptcy Court<br>101 South Edgeworth Street<br>Greensboro, North Carolina 27401                                                              |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. POA          | Family Member 1                    |
| 2. POA          | Family Member 2                    |
| 3.              |                                    |
| 4.              |                                    |
| 5.              |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

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## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

| <u>DATE</u>  | <u>SOURCE AND TYPE</u>                            | <u>INCOME</u><br>(yours, not spouse's) |
|--------------|---------------------------------------------------|----------------------------------------|
| 1. 6/15/2020 | Matthew Bender - Author fee Collier on Bankruptcy | \$2,240.00                             |
| 2.           |                                                   |                                        |
| 3.           |                                                   |                                        |
| 4.           |                                                   |                                        |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1. 2020     | Truist - salary        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                               | <u>DATES</u>                   | <u>LOCATION</u>   | <u>PURPOSE</u>     | <u>ITEMS PAID OR PROVIDED</u> |
|---------------------------------------------|--------------------------------|-------------------|--------------------|-------------------------------|
| 1. American Bankruptcy Institute            | July 22-26, 2020 - CANCELED    | Amelia Island, FL | Bankruptcy Seminar | Travel                        |
| 2. National Conference of Bankruptcy Judges | October 14-17, 2020 - CANCELED | San Diego, CA     | Bankruptcy Seminar | Travel                        |
| 3.                                          |                                |                   |                    |                               |
| 4.                                          |                                |                   |                    |                               |
| 5.                                          |                                |                   |                    |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Kahn, Benjamin A.        | 05/13/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

Kahn, Benjamin A.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Collegebound 529 #1(H) (Y)                                                                                              |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2. Collegebound 529 #2(H)                                                                                                  |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 3. -Invesco Collegebound Today Portfolio I                                                                                 | A                                      | Int./Div.                              | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. SunTrust Cash Accounts                                                                                                  | A                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. SunTrust Investment Account #1(H)                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 6. -SPY ETF                                                                                                                | D                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7. -Kroger Co Common                                                                                                       | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 04/09/20         | K                        |                         |                                                            |
| 8. -Tiered Rate Multibank Sweep                                                                                            | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 04/09/20         | J                        |                         |                                                            |
| 9. SunTrust Investment Account #2(H)                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 10. -SunTrust Fiduciary Master Deposit Account                                                                             | A                                      | Dividend                               |                                                |                                    | Sold                                      | 01/02/20         | J                        | A                       |                                                            |
| 11. -PWM Fiduciary Master Deposit Account                                                                                  | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 01/03/20         | J                        |                         |                                                            |
| 12. -iShares Core S&P Small Cap ETF                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. -iShares Russell Midcap ETF                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. -Vanguard FTSE Emerging Fund                                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | J                        | A                       |                                                            |
| 15. -ishares core S&P 500 ETF                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. -ishares core MSCI EAFE ETF                                                                                            | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/06/20         | J                        | A                       |                                                            |
| 17. -ishares DJ Select Div ETF                                                                                             | A                                      | Dividend                               |                                                |                                    | Sold                                      | 09/23/20         | K                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. -DFA S-T Ext Quality Fund                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. -Neuberger Berman Hi Inc Bond Fund                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/22/20                | J                               | A                              |                                                                   |
| 20. -Wisdom Tree Midcap Fund                                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. -SPDR S&P 500 ETF                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 03/12/20                | J                               |                                |                                                                   |
| 22. -Vanguard Growth ETF                                                                                                   | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 04/06/20                | J                               |                                |                                                                   |
| 23.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/07/20                | J                               |                                |                                                                   |
| 24.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/23/20                | K                               |                                |                                                                   |
| 25. SunTrust Investment Account #3(H)                                                                                      |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 26. -Federated Government Obligations Trust                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 12/14/20                | J                               | A                              |                                                                   |
| 27. -Tiered Rate Multibank Sweep                                                                                           | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 12/14/20                | J                               |                                |                                                                   |
| 28. -SPDR S&P 500 ETF                                                                                                      | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. SunTrust Investment Account #4(H)(Y)                                                                                   |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 30. SunTrust IRA Rollover #3(H)                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 31. -SunTrust Fiduciary Master Deposit<br>Account                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/02/20                | J                               |                                |                                                                   |
| 32. -PWM Fiduciary Master Deposit Account                                                                                  | A                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 01/03/20                | M                               |                                |                                                                   |
| 33. -AAPL Common                                                                                                           | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. -I-shares Core S&P Small Cap ETF                                                                                       | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. -I-shares DJ Select Div ETF                                                                                            | A                                      | Dividend                               |                                                |                                    | Sold                                      | 02/27/20         | M                        | A                       |                                                            |
| 36. -I-shares MSCI EAFE ETF                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/06/20         | K                        | A                       |                                                            |
| 37. -I-shares Russell Midcap ETF                                                                                           | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 38. -SPDR S&P 500 ETF                                                                                                      | D                                      | Dividend                               | O                                              | T                                  | Buy<br>(add'l)                            | 02/28/20         | M                        |                         |                                                            |
| 39.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | K                        |                         |                                                            |
| 40. -Vanguard FTSE Emerging Markets ETF                                                                                    | B                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | L                        | A                       |                                                            |
| 41. -Neuberger Berman Hi Inc Bond Fund                                                                                     | C                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 04/22/20         | L                        | A                       |                                                            |
| 42. -Wisdom Tree Midcap Fund                                                                                               | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. -Vanguard Growth ETF                                                                                                   | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 04/06/20         | K                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/20         | L                        |                         |                                                            |
| 45. -Ingersoll Rand Common                                                                                                 | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 47. -Nomad Foods LTD Common                                                                                                | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 48.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 49. -Adobe Inc Common                                                                                                      | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 50.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 51. -Allstate Corp Common                                                                                                  | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 53. -Alphabet Inc Class C Common                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 54.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 55. -Alphabet Inc Class A Common                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 56.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 57. -Amazon Common                                                                                                         | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 58.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 59. -Amgen Common                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 60.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 61. -Applied Materials Common                                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 62.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 63. -Assurant Common                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 64.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 65. -Bank of America Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 66.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 67. -Berkshire Hathaway Class B Common                                                                                     | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 68.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Boston Scientific Corp Common                                                                                         | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 70.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 71. -Capital One Common                                                                                                    | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 72.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 73. -Carlisle Cos Inc Common                                                                                               | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 74.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 75. -Chevron Common                                                                                                        | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 76.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 77. -Cisco Systems Common                                                                                                  | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 78.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 79. -Citizens Financial Group Common                                                                                       | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 80.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 81. -Comcast Corp Common                                                                                                   | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 82.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 83. -Corteva Inc Common                                                                                                    | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 84.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 85. -Costco Wholesale Corp Common                                                                                          | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 87. -Crown Castle Intl Common                                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 88.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 89. -Digital Realty Trust Common                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 90.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 91. -Disney Walt Co Common                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 92.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 93. -Dow Inc Common                                                                                                        | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 94.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 95. -DuPont De Nemeurs Inc Common                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 96.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 97. -Eog Res Inc Common                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 98.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 99. -Ecolab Inc Common                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 100.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 101. -Emerson Elec Co Common                                                                                               | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 102.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. -Facebook Inc A Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 104.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 105. -Fidelity Nat'l Info Systems Common                                                                                   | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 106.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 107. -Home Depot Inc Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 108.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 109. -Honeywell Int'l Inc Common                                                                                           | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 110.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 111. -Incyte Corp Common                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 112.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 113. -Intuitive Surgical Inc Common                                                                                        | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 114.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 115. -Johnson & Johnson Common                                                                                             | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 116.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 117. -Mastercard Inc Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 118.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 119. -McDonalds Common                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 121. -Merck & Co Inc Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 122.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 123. -Microsoft Corp Common                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 124.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 125. -Micron Technology Inc Common                                                                                         | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 126.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 127. -Nextera Energy Inc Common                                                                                            | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 128.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 129. -Nike Inc Class B Common                                                                                              | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 130.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 131. -Novartis AG ADR                                                                                                      | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 132.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 133. -Nvidia Corp Common                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 134.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 135. -PNC Fin'l Svcs Group Common                                                                                          | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 136.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

Kahn, Benjamin A.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. -Pioneer Natural Resources Common                                                                                     | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 139. -Proctor & Gamble Co Common                                                                                           | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 141. -Quanta Services Inc Common                                                                                           | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 142.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 143. -Raytheon Co Common                                                                                                   | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 144.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 145. -Salesforce Com Inc Common                                                                                            | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 147. -Schlumberger Ltd Common                                                                                              | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 149. -Thermo Fisher Scientific Common                                                                                      | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 150.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 151. -Tractor Supply Co Common                                                                                             | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 152.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 02/28/20         | J                        | A                       |                                                            |
| 153. -Union Pac Corp Common                                                                                                | A                                      | Dividend                               |                                                |                                    | Buy                                       | 02/27/20         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 155. -United Health Group Inc Common                                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 156.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 157. -Verizon Communications Common                                                                                        | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 158.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 159. -Visa Inc Cl A Common                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 160.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 161. -Walmart Common                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 162.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 163. -Xilinx Inc Common                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/27/20                | J                               |                                |                                                                   |
| 164.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 02/28/20                | J                               | A                              |                                                                   |
| 165. SunTrust IRA Rollover #4(H)                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 166. -SunTrust Fiduciary Master Deposit Account                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 01/02/20                | J                               | A                              |                                                                   |
| 167. -PWM Fiduciary Master Dep Account                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/03/20                | J                               |                                |                                                                   |
| 168. -I-shares Core S&P Small Cap ETF                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 169. -I-shares DJ Select Div ETF                                                                                           | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/23/20                | K                               | A                              |                                                                   |
| 170. -I-shares MSCI EAFE ETF                                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/06/20                | J                               | A                              |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. -I-shares Russell Midcap ETF                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 172. -SPDR S&P 500 ETF                                                                                                     | B                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 173. -Vanguard FTSE Emerging Markets ETF                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | J                        | A                       |                                                            |
| 174. -Neuberger Berman Hi Inc Bond Fund                                                                                    | A                                      | Dividend                               | J                                              | T                                  | Sold<br>(part)                            | 04/22/20         | J                        | A                       |                                                            |
| 175. -Wisdom Tree Midcap Fund                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 176. -Apple Common                                                                                                         | A                                      | Dividend                               | J                                              | T                                  | Buy                                       | 02/27/20         | J                        |                         |                                                            |
| 177. -Vanguard Growth ETF                                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 04/06/20         | J                        |                         |                                                            |
| 178.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/20         | J                        |                         |                                                            |
| 179.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/23/20         | J                        |                         |                                                            |
| 180. SunTrust IRA Rollover #1(H)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 181. -SunTrust Fiduciary Master Deposit<br>Account                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 01/02/20         | J                        | A                       |                                                            |
| 182. -PWM Fiduciary Master Dep Account                                                                                     | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 01/03/20         | L                        |                         |                                                            |
| 183. -Federated Hermes Strategic Val Div Fund                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 184. -Harbor Capital Appreciation Fund                                                                                     | D                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 185. -I-shares Core S&P Small Cap ETF                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 186. -I-shares Russell Midcap ETF                                                                                          | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 187. -Invesco Oppenheimer Dev Mkts Fund                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | K                        | A                       |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. -SPDR S&P 500 ETF                                                                                                     | C                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 03/12/20         | K                        |                         |                                                            |
| 189. -Vanguard FTSE EM ETF                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | K                        | A                       |                                                            |
| 190. -I-shares MSCI EAFE ETF                                                                                               | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/06/20         | K                        | A                       |                                                            |
| 191. -Wisdom Tree Midcap Fund                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 192. -Neuberger Berman Hi Inc Fund                                                                                         | B                                      | Dividend                               | K                                              | T                                  | Sold<br>(part)                            | 04/22/20         | K                        | A                       |                                                            |
| 193. -DJ Select Div ETF                                                                                                    | B                                      | Dividend                               |                                                |                                    | Sold                                      | 09/23/20         | K                        | A                       |                                                            |
| 194. -Vanguard Growth ETF                                                                                                  | A                                      | Dividend                               | M                                              | T                                  | Buy                                       | 04/06/20         | K                        |                         |                                                            |
| 195.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/20         | K                        |                         |                                                            |
| 196.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/23/20         | K                        |                         |                                                            |
| 197. SunTrust IRA Rollover #2(H)                                                                                           |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 198. -SunTrust Fiduciary Master Deposit<br>Account                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 01/02/20         | K                        | A                       |                                                            |
| 199. -PWM Fiduciary Master Dep Account                                                                                     | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/03/20         | K                        |                         |                                                            |
| 200. -Federated Strategic Val. Div. Fund                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 201. -Harbor Capital Appreciation Fund                                                                                     | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 202. -I-shares Core S&P Small Cap ETF                                                                                      | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 203. -I-shares Russell Midcap ETF                                                                                          | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 204. -Invesco/Oppenheimer Dev Mkt Fund                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/07/20         | J                        | A                       |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. -SPDR S&P 500 ETF                                                                                                     | B                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 03/12/20                | J                               |                                |                                                                   |
| 206. -Vanguard FTSE Em Mkt ETF                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/07/20                | J                               | A                              |                                                                   |
| 207. -I-shares MSCI EAFE ETF                                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/06/20                | J                               | A                              |                                                                   |
| 208. -Neuberger Berman Hi Inc Fund                                                                                         | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/22/20                | J                               | A                              |                                                                   |
| 209. -DJ Select Div ETF                                                                                                    | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/23/20                | J                               | A                              |                                                                   |
| 210. -Wisdom Tree Midcap Fund                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 211. -Vanguard Growth ETF                                                                                                  | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 04/06/20                | J                               |                                |                                                                   |
| 212.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/07/20                | J                               |                                |                                                                   |
| 213.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/23/20                | J                               |                                |                                                                   |
| 214. SunTrust 401-K (H)                                                                                                    |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 215. -Vanguard Insitutional Index Fund                                                                                     | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/31/20                | J                               | D                              |                                                                   |
| 216. -Vanguard Russell Extended Market Index                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/31/20                | J                               | B                              |                                                                   |
| 217. -Vanguard Total International Stock Index                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/31/20                | J                               | A                              |                                                                   |
| 218. -Vanguard Treasury Money Market                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 07/31/20                | J                               | A                              |                                                                   |
| 219. -Vanguard Short Term Bond Index                                                                                       | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/31/20                | K                               | A                              |                                                                   |
| 220. -L&G ACWI ExUS Small Cap Fund                                                                                         | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 07/31/20                | J                               |                                |                                                                   |
| 221. -Vanguard S&P 500 SC 600 Index                                                                                        | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 07/31/20                | K                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. -L&G S&P 500 DC Collective Fund                                                                                       | A                                      | Dividend                               | M                                              | T                                  | Buy                                       | 07/31/20         | M                        |                         |                                                            |
| 223. -BBH Ltd Duration Fund                                                                                                | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 07/31/20         | K                        |                         |                                                            |
| 224. Optum HSA Account                                                                                                     | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 225. SunTrust Deferred Salary Plan (H)                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 226. -Vanguard Russell 1000 Growth                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/31/20         | L                        | C                       |                                                            |
| 227. -Vanguard Russell 1000 Value                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/31/20         | K                        | B                       |                                                            |
| 228. -Vanguard Treasury Money Market                                                                                       | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 229. -Vanguard Val Index Inst. Fund                                                                                        | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 07/31/20         | L                        |                         |                                                            |
| 230. -MFS Growth R6 Fund                                                                                                   | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 07/31/20         | L                        |                         |                                                            |
| 231. SunTrust IRA #5 (H) (Y)                                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

**FINANCIAL DISCLOSURE REPORT**

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|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| Kahn, Benjamin A.               | 05/13/2021            |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

## FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kahn, Benjamin A.

Date of Report

05/13/2021

### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Benjamin A. Kahn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544