

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gergel, Richard M.	2. Court or Organization District of South Carolina	3. Date of Report 4/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 85 Broad Street Charleston, SC 29401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Gergel, Richard M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Lawyer's Club of Atlanta	1/22-23/2020	Atlanta, Georgia	Speech	Hotel, Air
2.	Federation of Defense and Corporate Counsel	3/5-8/2020	Scottsdale, Arizona	Kenote speech, led book discussion	Hotel, Air, transport to and from airport
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Gergel, Richard M.	4/29/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gergel, Richard M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Investment Property, Edisto Beach, SC	C	Rent			Sold	03/30/20	O		John and Brianna Gallagher
2. Lincoln National Universal Life Insurance	B	Int./Div.	K	T					
3. Am Balanced Class A	B	Interest	J	T					
4. Am. High Income Trust Class A	A	Int./Div.	K	T					
5. VistaBank South Carolina		None	J	T					
6. Bank of America Checking	A	Int./Div.	M	T					
7. TIAA-CREF Fixed Annuity	D	Int./Div.	M	T					
8. Wachovia Certificates of Deposit	A	Int./Div.	J	T					
9. Am. Short Term Bond/Class A	A	Int./Div.	K	T					
10. Blackrock Global Allocation Fund	B	Int./Div.	K	T					
11. American Fund Global Balanced Fund	D	Interest	J	T					
12. FIA Card Services Money Market	A	Interest	J	T					
13. Merrill Lynch Bank Deposit Fund	A	Interest	J	T					
14. BOA Cash Reserve Money Market Fund	A	Interest	J	T					
15. IShares US Preferred Stock ETF (PFF)	A	Int./Div.	J	T					
16. SPDR Gold Trust	A	Int./Div.	K	T					
17. SPDR US Financial Sector Fund (XLF)	A	Dividend	J	T	Buy	07/15/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	09/02/20	J	A	
19. Healthcare Select SPDR Fund (XLV)	A	Dividend	J	T	Buy	07/15/20	J		
20.					Sold (part)	09/02/20	J	A	
21.					Sold (part)	12/07/20	J	A	
22. Sector SPDR Energy Fund (XLE)	A	Dividend	J	T	Buy	07/15/20	J		
23.					Buy (add'l)	08/10/20	J		
24.					Buy (add'l)	09/02/20	J		
25.					Buy (add'l)	12/07/20	J		
26. Consumer Discretionary SPDR Fund (XLY)	A	Dividend	J	T	Buy	07/15/20	J		
27.					Buy (add'l)	08/10/20	J		
28.					Sold (part)	09/02/20	J	A	
29.					Buy (add'l)	12/07/20	J		
30. Comm. Services Select Sector Fund (XLC)	A	Dividend	J	T	Buy	07/15/20	J		
31.					Buy (add'l)	08/10/20	J		
32.					Sold (part)	09/02/20	J	A	
33. Vanguard MSCI Pacific Fund (VPL)	A	Dividend	J	T	Buy	07/15/20	J		
34.					Buy (add'l)	08/10/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35.					Buy (add'l)	09/02/20	J		
36. Vanguard FTSE All World Fund (VEU)	A	Dividend	J	T	Buy	07/15/20	J		
37.					Sold (part)	09/02/20	J	A	
38. Select Sector SPDR Fund (XLK)	A	Dividend	J	T	Buy	07/15/20	J		
39.					Buy (add'l)	08/10/20	J		
40.					Sold (part)	09/02/20	J	A	
41. Select SPDR Industrial Fund (XLI)	A	Dividend	J	T	Buy	07/15/20	J		
42.					Buy (add'l)	08/10/20	J		
43. Vanguard MSCI European Fund (VGK)	A	Dividend	J	T	Buy	07/15/20	J		
44.					Buy (add'l)	08/10/20	J		
45. Graniteshares Gold Shares (BAR)	A	Dividend	J	T	Buy	07/15/20	J		
46.					Buy (add'l)	08/10/20	J		
47.					Sold (part)	10/16/20	J	A	
48. iShares Intermediate Term Corporate Bond Fund (IGIB)	A	Dividend	J	T	Buy	07/15/20	J		
49.					Buy (add'l)	08/10/20	J		
50.					Buy (add'l)	10/16/20	J		
51. iShares Edge MSCI International Quality Factor Fund (IQLT)	A	Dividend	J	T	Buy	07/15/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52.					Buy (add'l)	08/10/20	J		
53. Kraneshares CSI China Internet Fund (KWEB)	A	Dividend	J	T	Buy	07/15/20	J		
54.					Buy (add'l)	08/10/20	J		
55. First Trust Low Duration Opportunities Fund (LMBS)	A	Dividend	J	T	Buy	07/15/20	J		
56.					Buy (add'l)	08/10/20	J		
57.					Sold (part)	10/16/20	J	A	
58. First Trust China Fund (MCHI)	A	Dividend	J	T	Buy	07/15/20	J		
59. IShares TR S & P Global Materials Fund (MXI)	A	Dividend	J	T	Buy	07/15/20	J		
60.					Buy (add'l)	08/10/20	J		
61. IShares Edge MSCI USA Quality Fund (QUAL)	A	Dividend	K	T	Buy	07/15/20	J		
62.					Buy (add'l)	08/10/20	J		
63. Vanguard Intermediate Term Corporate Bond Fund (VCIT)	A	Dividend	K	T	Buy	07/15/20	J		
64.					Buy (add'l)	08/10/20	J		
65.					Buy (add'l)	09/02/20	J		
66.					Buy (add'l)	12/07/20	J		
67. IShares IBOXX Investment Grade Corporate Bond Fund (LQD)	A	Distribution	J	T	Buy	07/15/20	J		
68.					Buy (add'l)	08/10/20	J		

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69.					Buy (add'l)	09/02/20	J		
70.					Buy (add'l)	10/16/20	J		
71. IShares 0-5 Year TIPS Bond Fund (STIP)	A	Dividend			Buy	07/15/20	J		
72.					Buy (add'l)	08/10/20	J		
73.					Buy (add'l)	09/02/20	J		
74.					Sold	12/07/20	J	A	
75. IShares 3-7 Year Treasury Bond Fund (IEI)	A	Dividend	J	T	Buy	07/15/20	J		
76.					Buy (add'l)	08/10/20	J		
77.					Buy (add'l)	09/02/20	J		
78. SPSD Portfolio Short Term Corp Bond Fund (SPSB)	A	Dividend	J	T	Buy	08/10/20	J		
79. IShares Transportation Fund (IYT)	A	Dividend	J	T	Buy	08/10/20	J		
80. SPDR Short Term Corporate Bond Fund ((SPSB)	A	Dividend	J	T	Buy	07/15/20	J		
81.					Buy (add'l)	09/02/20	J		
82. IShares S & P Global Health Care Section Fund (IXJ)	A	Dividend	J	T	Buy	07/15/20	J		
83.					Buy (add'l)	08/10/20	J		
84. IShares Transportation Fund (IYT)	A	Dividend	J	T	Buy	07/15/20	J		
85. IShares MSCI EAFE Small Cap Fund (SCZ)	A	Dividend	J	T	Buy	01/15/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	08/10/20	J		
87. Sector SPDR Utilities Fund (XLU)	A	Dividend	J	T	Buy	07/15/20	J		
88.					Buy (add'l)	08/10/20	J		
89. Sector SPDR Consumer STPL Fund (XLP)	A	Dividend			Buy	07/15/20	J		
90.					Buy (add'l)	08/10/20	J		
91.					Sold (part)	09/02/20	J	A	
92.					Sold	12/07/20	J	A	
93. IShares 1-3 Year Treasury Bond Fund (SHY)	A	Dividend			Buy	07/15/20	J		
94.					Buy (add'l)	08/10/20	J		
95.					Sold	10/16/20	J	A	
96. IShares MSCI All Country Asia Ex Japan Fund ((AAXJ)	A	Dividend	J	T	Buy	07/15/20	J		
97.					Buy (add'l)	08/10/20	J		
98.					Buy (add'l)	09/02/20	J		
99. IShares TR Russell 2000 Product Fund (IWM)	A	Dividend	J	T	Buy	07/15/20	J		
100.					Buy (add'l)	08/10/20	J		
101.					Sold (part)	09/02/20	J	A	
102. Invesco National Amt-Free Municipal Bond Fund (PZA)	A	Dividend	J	T	Buy	07/15/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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103.					Buy (add'l)	08/10/20	J		
104.					Sold (part)	10/16/20	J	A	
105. IShare Interest Rate Hedged Corp Bond Fund (LQDH)	A	Dividend	J	T	Buy	10/16/20	J		
106. Pimcco 0-5 Corp Bond Index Fund (HYS)	A	Dividend	J	T	Buy	10/16/20	J		
107. IShares 10-20 year Treasury Bond Fund (TLH)	A	Dividend	J	T	Buy	09/16/20	J		
108. IShares Interest Rate Long Term Corp Bond Fund (IGBH)	A	Dividend	J	T	Buy	10/16/20	J		
109. IShare 7-10 year Treasury Bond Fund (IEF)	A	Dividend	J	T	Buy	10/16/20	J		
110. IShares RS 2000 Fund (IWN)	A	Dividend	J	T	Buy	11/17/20	J		
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.									
121.									
122.									
123.									
124.									
125.									
126.									
127.									
128.									
129.									
130.									
131.									
132.									
133.									
134.									
135.									
136.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gergel, Richard M.

Date of Report

4/29/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gergel, Richard M.

Date of Report

4/29/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard M. Gergel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544