

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bulsara, Sanket J.	2. Court or Organization U.S. District Court, Eastern District of New York	3. Date of Report 04/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court Eastern District of New York 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Brooklyn Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Bulsara, Sanket J.

Date of Report

04/06/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Brooklyn Law School (salary)	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	SUNY Stonybrook (salary)
2. 2020	NIH (stipend)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Bulsara, Sanket J.	04/06/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Bulsara, Sanket J.

Date of Report

04/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Ledyard National Bank (cash)	A	Interest	K	T					
3. Citibank, N.A. (cash)	A	Interest	M	T					
4. Guardian Life Insurance Whole Life Policy	B	Dividend	K	T					
5. 45 Grand St Family, LLC	E	Distribution	M	U					
6. Account #1 (H)									
7. Dreyfus Deposit (cash)	A	Interest	J	T					
8. Ishares Tr Core S&P Total US Stk Mkt ETF (ITOT)	A	Dividend			Sold (part)	01/15/20	J	B	
9.					Sold	04/09/20	J		
10. Ishares Tr Core S&P 500 ETF (IVV)	B	Dividend	M	T	Sold (part)	01/15/20	J	A	
11.					Buy (add'l)	03/12/20	J		
12.					Sold (part)	04/09/20	J		
13.					Sold (part)	06/04/20	K	B	
14.					Sold (part)	10/07/20	J		
15.					Buy (add'l)	11/05/20	J		
16. Ishares Tr Iboxx USD Invt Grade Corp Bd ETF (LQD)	A	Dividend	K	T	Buy	04/09/20	K		
17.					Buy (add'l)	06/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/07/20	J		
19. Ishares Tr Global Tech ETF (IXN)	A	Dividend	K	T	Sold (part)	01/15/20	J	B	
20.					Sold (part)	06/04/20	J	A	
21. Ishares Tr Barclays 20+ yr Treas BD ETF (TLT)	A	Dividend	J	T	Sold (part)	01/15/20	J	A	
22.					Buy (add'l)	03/12/20	J		
23.					Sold (part)	04/09/20	J	A	
24. Ishares Tr US Finl Svcs ETF (IYG)	A	Dividend			Buy	06/04/20	J		
25.					Sold	10/07/20	J		
26. Ishares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T	Buy	06/04/20	K		
27.					Sold (part)	10/07/20	J		
28. Ishares Tr MBS ETF (MBB)	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
29.					Sold (part)	03/12/20	J		
30.					Sold	04/09/20	J	A	
31.					Buy	10/07/20	K		
32. Ishares Tr Short-Term Corp Bd ETF (IGSB)	A	Dividend	J	T	Buy	04/09/20	J		
33.					Sold (part)	06/04/20	J	A	
34. Ishares Tr Dow Jones US Medical Devices Index (IHI)	A	Dividend	K	T	Buy	04/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

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35.					Sold (part)	11/05/20	J		
36. Ishares Tr MSCI EAFE Growth ETF (EFG)	A	Dividend	K	T	Buy	04/09/20	K		
37.					Sold (part)	06/04/20	J	A	
38.					Sold (part)	10/07/20	J		
39. Ishares Tr Core S&P Mid-Cap ETF (IJH)		None			Sold	01/15/20	J	A	
40. Ishares Tr Intermediate-Term CR BD ETF (IGIB)	A	Dividend			Sold	04/09/20	J	A	
41. Ishares Tr US Treas BD ETF (GOVT)	A	Dividend	K	T	Buy (add'l)	01/15/20	J		
42.					Sold (part)	03/12/20	K	B	
43.					Sold (part)	04/09/20	K	B	
44.					Buy (add'l)	06/04/20	J		
45.					Buy (add'l)	10/07/20	J		
46.					Sold (part)	11/05/20	J		
47. Ishares Tr MSCI USA Minimum Volatility ETF (USMV)	A	Dividend	J	T	Sold (part)	04/09/20	J	A	
48. Ishares US ETF Tr Short MTY BD ETF (NEAR)	A	Dividend			Buy (add'l)	03/12/20	J		
49.					Buy (add'l)	04/09/20	J		
50.					Sold (part)	06/04/20	J		
51.					Sold	10/07/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 18

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04/06/2021

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52. Ishares Tr Edge MSCI USA Value Factor ETF (VLUE)	A	Dividend	K	T	Buy	04/09/20	J		
53.					Buy (add'l)	06/04/20	J		
54. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend			Buy (add'l)	03/12/20	J		
55.					Sold	04/09/20	K		
56. Ishares Tr Edge MSCI USA Size Factor ETF (SIZE)	A	Dividend			Sold (part)	01/15/20	J	A	
57.					Buy (add'l)	03/12/20	J		
58.					Sold	04/09/20	J		
59. Ishares Tr Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy (add'l)	03/12/20	J		
60.					Sold	04/09/20	K	A	
61.					Buy	06/04/20	K		
62.					Sold (part)	10/07/20	J		
63. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)		None			Sold (part)	01/15/20	K	B	
64.					Sold (part)	03/12/20	J		
65.					Sold	04/09/20	J		
66. Ishares Inc ESG MSCI EM ETF (ESGE)	A	Dividend	K	T	Buy	01/15/20	K		
67.					Buy (add'l)	03/12/20	J		
68.					Buy (add'l)	04/09/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	06/04/20	J		
70.					Buy (add'l)	10/07/20	J		
71.					Sold (part)	11/05/20	J		
72. Ishares Tr 0-5 Yr High Yield Corp Bd ETF (SHYG)	A	Dividend	K	T	Buy	01/15/20	J		
73.					Buy (add'l)	11/05/20	J		
74. Ishares Tr MSCI USA ESG ETF (ESGU)	A	Dividend	M	T	Buy	01/15/20	K		
75.					Buy (add'l)	03/12/20	J		
76.					Buy (add'l)	04/09/20	K		
77.					Sold (part)	06/04/20	K		
78.					Buy (add'l)	10/07/20	K		
79. Account #2 (H)									
80. Ishares Tr Core S&P 500 ETF (IVV)	A	Dividend	J	T					
81. Ishares Tr Iboxx USD Invt Grade Corp Bd ETF (LQD)	A	Dividend	J	T	Buy	04/09/20	J		
82. Ishares Global Tech ETF (IXN) (Y)									
83. Ishares Tr MBS ETF (MBB) (Y)									
84. Ishares Tr MSCI EAFE Growth ETF (EFG)	A	Dividend	J	T	Buy	04/09/20	J		
85. Ishares Tr US Treas BD ETF (GOVT)	A	Dividend	J	T	Sold (part)	04/09/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 18

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86. Ishares Tr MSCI USA Minimum Volatility ETF (USMV) (Y)									
87. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend			Sold	04/09/20	J		
88. Ishares Tr Edge MSCI USA Value Factor ETF (VLUE) (X)	A	Dividend	J	T					
89. Ishares Tr Core MSCI EAFE ETF (IEFA)	A	Dividend			Sold	04/09/20	J		
90. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG) (Y)									
91. Ishares Inc ESG MSCI Em ETF (ESGE)	A	Dividend	J	T	Buy	04/09/20	J		
92. Ishares Tr MSCI USA ESG Optimized ETF (ESGU)	A	Dividend	J	T	Buy	03/12/20	J		
93.					Buy (add'l)	04/09/20	J		
94. Account #3 (H)									
95. Alphabet Inc. Class A (GOOGL)		None	J	T					
96. Alphabet Inc. Class C (GOOG)		None	J	T					
97. TIAA CREF High Yield Fd Retail (TIYRX)	A	Dividend	J	T					
98. Amana Growth Fund Inv (AMAGX)	A	Dividend	J	T					
99. Hancock Horizon Burkenroad Sm Cap Inv (HHBUX)	A	Dividend	J	T					
100. Lazard Intl Strategic Equity Open Shares (LISOX)	A	Dividend	J	T					
101. Schwab S&P 500 Index Fd (SWPPX)	A	Dividend	J	T					
102. Schwab 1000 Index Fund (SNXFX)	A	Dividend	J	T					

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103. Account #4 (H)									
104. TIAA Traditional		None	J	T					
105. CREF Stock R3		None	J	T					
106. CREF Global Equities R3		None	J	T					
107. TIAA Acc Intl Eq T1		None	J	T					
108. TIAA Acc Md-Cap Gr T1 (X)		None	J	T					
109. TIAA Acc S&P 500 Ix T1		None	K	T					
110. TIAA Acc Lg-Cap Val Ix T1		None	J	T					
111. TIAA Acc Sm-Cap Bl Ix T1		None	J	T					
112. TIAA Real Estate		None	J	T					
113. CREF Bond Market R3		None	J	T					
114. TIAA Acc Lifecycle 2040 T1		None	M	T					
115. TIAA Acc Lifecycle 2050 T1		None	J	T					
116. Account #5 (H)									
117. CREF Stock R3		None	J	T					
118. CREF Growth R3		None	J	T					
119. CREF Equity Index R3		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Bulsara, Sanket J.

Date of Report

04/06/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. CREF Global Equities R3		None	J	T					
121. TIAA Acc Intl Eq T1		None	J	T					
122. TIAA Acc Md-Cap Gr T1		None	J	T					
123. TIAA Acc S&P 500 Ix T1		None	K	T					
124. TIAA Real Estate		None	J	T					
125. CREF Bond Market R3		None	J	T					
126. TIAA Acc Lifecycle 2040 T1		None	M	T					
127. Account #6 (H)									
128. T-C Lifecycle 2040-INST	C	Dividend	K	T					
129. Account #7 (H)									
130. CREF Stock R2		None	J	T					
131. CREF Growth R2		None	J	T					
132. CREF Global Equities R2		None	J	T					
133. T-C Lifecycle 2040-INST	A	Dividend	J	T					
134. Account #8 (H)									
135. Dreyfus Deposit (cash)	A	Interest	K	T					
136. Ishares Tr Core S&P Total US Stk Mkt ETF (ITOT)		None			Sold	01/15/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Ishares Tr Core S&P 500 ETF (IVV)	B	Dividend	L	T	Sold (part)	01/15/20	J	A	
138.					Buy (add'l)	03/12/20	J		
139.					Sold (part)	04/09/20	J		
140.					Sold (part)	06/04/20	K	B	
141.					Sold (part)	10/07/20	J		
142.					Buy (add'l)	11/05/20	J		
143. Ishares Tr Iboxx USD Invt Grade Corp Bd ETF (LQD)	B	Dividend	L	T	Buy	04/09/20	L		
144.					Buy (add'l)	06/04/20	J		
145.					Sold (part)	10/07/20	J	A	
146. Ishares Tr Global Tech ETF (IXN)	A	Dividend	K	T	Sold (part)	01/15/20	J	B	
147.					Sold (part)	10/07/20	J	A	
148. Ishares Tr Barclays 20+ yr Treas BD ETF (TLT)	A	Dividend	J	T	Buy (add'l)	03/12/20	J		
149.					Sold (part)	04/09/20	J	B	
150. Ishares TR US Finl Svcs ETF (IYG)	A	Dividend			Buy	06/04/20	J		
151.					Sold	10/07/20	J		
152. Ishares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T	Buy	06/04/20	K		
153.					Sold (part)	10/07/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Ishares Tr MBS ETF (MBB)	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
155.					Sold (part)	03/12/20	J	A	
156.					Sold	04/09/20	K	A	
157.					Buy	10/07/20	K		
158. Ishares Tr Short-Term Corp Bd ETF (IGSB)	A	Dividend	K	T	Buy	04/09/20	K		
159.					Buy (add'l)	06/04/20	J		
160.					Buy (add'l)	10/07/20	J		
161. Ishares Tr Dow Jones US Medical Devices Index (IHI)	A	Dividend	K	T	Buy	04/09/20	J		
162.					Sold (part)	10/07/20	J	A	
163. Ishares Tr MSCI EAFE Growth ETF (EFG)	A	Dividend	K	T	Buy	04/09/20	K		
164.					Sold (part)	06/04/20	J	A	
165.					Sold (part)	10/07/20	J	A	
166. Ishares Tr Intermediate-Term CR BD ETF (IGIB)	A	Dividend			Buy (add'l)	01/15/20	J		
167.					Sold (part)	03/12/20	J		
168.					Sold	04/09/20	K	A	
169. Ishares Tr US Treas BD ETF (GOVT)	B	Dividend	K	T	Sold (part)	01/15/20	J	A	
170.					Sold (part)	03/12/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	04/09/20	K	B	
172.					Buy (add'l)	06/04/20	J		
173.					Buy (add'l)	10/07/20	J		
174.					Sold (part)	11/05/20	J	A	
175. Ishares Tr MSCI USA Minimum Volatility ETF (USMV)	A	Dividend	J	T	Sold (part)	04/09/20	J		
176. Ishares US ETF Tr Short Mty BD ETF (NEAR)	A	Dividend			Buy (add'l)	03/12/20	J		
177.					Buy (add'l)	04/09/20	J		
178.					Sold (part)	06/04/20	J		
179.					Sold	10/07/20	J	A	
180. Ishares Tr Edge MSCI USA Value Factor ETF (VLUE)	A	Dividend	K	T	Buy	04/09/20	J		
181.					Buy (add'l)	06/04/20	J		
182. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend			Buy (add'l)	03/12/20	J		
183.					Sold	04/09/20	K		
184. Ishares Tr Edge MSCI USA Size Factor ETF (SIZE)	A	Dividend			Sold (part)	01/15/20	J	A	
185.					Buy (add'l)	03/12/20	J		
186.					Sold	04/09/20	J		
187. Ishares Tr Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy (add'l)	03/12/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold	04/09/20	K		
189.					Buy	06/04/20	K		
190.					Sold (part)	10/07/20	J	A	
191. Ishares Core MSCI Emerging ETF (IEMG)		None			Sold (part)	01/15/20	J	A	
192.					Sold (part)	03/12/20	J		
193.					Sold	04/09/20	J		
194. Ishares Inc ESG MSCI Em ETF (ESGE)	A	Dividend	K	T	Buy	01/15/20	K		
195.					Buy (add'l)	03/12/20	J		
196.					Buy (add'l)	04/09/20	J		
197.					Sold (part)	06/04/20	J		
198.					Buy (add'l)	10/07/20	J		
199.					Sold (part)	11/05/20	J	A	
200. Ishares Tr 0-5 Yr High Yield Corp Bd ETF (SHYG)	A	Dividend	K	T	Buy	01/15/20	K		
201.					Buy (add'l)	10/07/20	J		
202. Ishares Tr MSCI USA ESG Optimized ETF (ESGU)	A	Dividend	L	T	Buy	01/15/20	K		
203.					Buy (add'l)	03/12/20	J		
204.					Buy (add'l)	04/09/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	06/04/20	J		
206.					Buy (add'l)	10/07/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting	Date of Report
Bulsara, Sanket J.	04/06/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Bulsara, Sanket J.

Date of Report

04/06/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sanket J. Bulsara**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544