

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROBERTS, VICTORIA A.	2. Court or Organization EASTERN DISTRICT OF MICHIGAN	3. Date of Report 06/9/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address UNITED STATES DISTRICT COURT 231 W. LAFAYETTE BLVD ROOM 123 DETROIT, MI. 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	State Bar of Michigan Foundation
2. Member	Simone Road LLC
3. Member	Palmer Road LLC
4. Member	Judy Circle LLC
5. Member	Fairview Dr. LLC
6. Member	Dover Road LLC
7. Member	Dearborn Heights Property LLC
8. Member	Ann Arbor Trail LLC
9. Member	Wayne Enterprises Associates, LLC
10. Member	Mitten Mates, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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ROBERTS, VICTORIA A.	06/9/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Simone Road LLC, Wayne County MI	C	Rent	L	W					
2. Judy Circle LLC, Wayne County MI	C	Rent	L	W					
3. Fairview Drive LLC, Wayne County MI	C	Rent	L	W					
4. Dover Road LLC , Wayne County MI	C	Rent	L	W					
5. Dearborn Heights Property LLC, Wayne County MI	C	Rent	L	W					
6. Palmer Road LLC, Wayne County MI	C	Rent	L	W					
7. Ann Arbor Trail Assoc. LLC, Wayne County MI	C	Rent	L	W					
8. Wayne Enterprises Associates LLC, Wayne County MI	B	Rent	L	W					
9. Mitten Mates LLC, Wayne County MI	A	Distribution	K	W					
10. Daimler AG - Common Stock	A	Dividend	J	T					
11. JP Morgan Chase - Checking	A	Interest	J	T					
12. JP Morgan Chase - Savings	A	Interest	K	T					
13. Charles Schwab:									
14. -Charles Schwab Deposit Account	A	Int./Div.	K	T					
15. -Invesco S&P Low Volatility Portfolio	A	Dividend			Sold	04/08/20	K	A	
16. -DWS Enhanced Cmdy	A	Dividend			Sold	04/08/20	J	A	
17. -Invesco Optimum Yield	A	Dividend			Sold	04/08/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Ishares Core S&P Small	A	Dividend			Sold	08/06/20	J	A	
19. -SPDR Index Shares Emerg	A	Dividend			Sold	11/11/20	K	C	
20. -PGIM High Yield Fund Z	A	Dividend			Sold	11/11/20	J	A	
21. -Pimco Incm Inst Cl	A	Dividend			Sold	04/08/20	K	A	
22. -Vanguard Intl Growth Fd	A	Dividend	L	T					
23. -Wasatch Core Growth	A	Dividend			Sold	11/01/20	J	B	
24. -Schwab US Dividend ETF	A	Dividend			Sold	11/11/20	K	C	
25. -Ishares International	A	Dividend			Sold	11/11/20	K	A	
26. -Schwab US Dividend	A	Dividend			Sold	11/11/20	K	C	
27. -SPDR Nuveen Blmbrg	A	Dividend			Sold	08/06/20	J	A	
28. -Vanguard Health Care	A	Dividend			Sold	11/11/20	K	C	
29. -Blackrock National Muni	A	Dividend			Sold	11/11/20	K	A	
30. -Grandeur Peak Intl	A	Dividend	K	T	Sold (part)	11/11/20	K	A	
31. Charles Schwab IRA:									
32. -Charles Schwab Deposit Account	A	Int./Div.	K	T					
33. -First Eagle Global Fund	A	Dividend			Sold	11/11/20	K	C	
34. -Invesco Developing Markets Fund Class Y	A	Dividend			Sold	11/11/20	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Interm Term Bond	A	Dividend			Sold	11/11/20	K	C	
36. -Invesco S&P 500 Equal Weight	A	Dividend			Sold	11/11/20	J	C	
37. -Ishares Trust MSCI EAFE Small Cap ETF	A	Dividend	L	T					
38. -Vaneck Vectors Biotech	A	Dividend			Sold	11/11/20	J	B	
39. -Vanguared Growth	A	Dividend	M	T					
40. -IShares JPMorgan USD Emg Mkts B ETF	A	Dividend			Sold	11/11/20	K	A	
41. -Invesco FTSE RAFI Dvlp Mkts ETF	A	Dividend			Sold	11/11/20	K	A	
42. -IShares Core S&P Small Cap ETF	A	Dividend	L	T					
43. -Invesco Variable Rate PRF ETF	A	Dividend	J	T					
44. -Schwab Emgerging Mkts	A	Dividend			Sold	11/11/20	K	A	
45. -SPDR S&P 600 Small Cap	A	Dividend	J	T					
46. -Wisdomtree US Small Cap	A	Dividend			Sold	08/06/20	K	A	
47. -Vanguard High Yield Corp	A	Dividend	K	T					
48. -Vanguard Inflation	A	Dividend			Sold	04/08/20	J	A	
49. -FMI Intl Fund	A	Dividend			Sold	04/08/20	J	A	
50. -Ishares Asia 50 ETF	A	Dividend			Sold	08/06/20	K	B	
51. -Schwab Funda Emg Mkts	A	Dividend			Sold	11/11/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Vanguard FTSE Develeoped Mkts	A	Dividend	L	T					
53. -Vangurard Global EX US Real Estate	A	Dividend			Sold	08/06/20	J	A	
54. -PGIM High YIELD Fund Z	A	Dividend	L	T					
55. -PGIM Total Return Bond Z	A	Dividend	L	T					
56. -USAA Income Fund Inst	A	Dividend	K	T					
57. -Primecap Odyssey Stock	A	Dividend			Sold	04/08/20	J	A	
58. -Vanguard Equity Income Fd	A	Dividend	K	T					
59. -Vanguard Emerging MKT	A	Dividend	L	T	Buy (add'l)	11/11/20	K		
60. -Wisdomtree Int'l Small Cap	A	Dividend			Sold	08/06/20	K	A	
61. -Western Asset Core Plus	A	Dividend	L	T	Buy	11/11/20	K		
62. -Blackrock Emerging Mkts	A	Dividend	L	T	Buy	11/11/20	K		
63. -Parnassus Core Equity Intl	A	Dividend	K	T	Buy	08/06/20	K		
64. -PGIM Jennison International	A	Dividend	K	T	Buy	08/06/20	K		
65.									
66.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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C =\$2,501 - \$5,000
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P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Certain investments reported on VII. Investment & Trusts had name changes between the 2019 and 2020 reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ VICTORIA A. ROBERTS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544