

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McDade, Joe B.	2. Court or Organization US District Court, Central District for Illinois	3. Date of Report 05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 127 Federal Building 100 NE Monroe Street Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Ereritus Status Member	American Inn of Court / Abraham Lincoln of Peoria IL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1992	Illinois State Retirement System: Judicial Pension upon retirement in 1992
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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McDade, Joe B.	05/04/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Metropolitan Life Ins	Policy Loan	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Commerce Bank Acct	A	Interest	L	T					
2. Note Rcvble Lexington Assoc Ltd	B	Interest	J	U					
3. Met Life (universal life)	A	Dividend	J	T					
4. Busey Bank Account	B	Interest	L	T					
5. Heartland Bank Account (CJ)	A	Interest	K	T					
6. CJM IRA Brokerage Acct (H) (combines prev IRA funds) (H)									
7. -Hartford ILS Leaders Outlook Fixed Annuity IRA	B	Dividend	K	T					
8. -Vanguard Life Strategy Mod Growth	B	Dividend	J	T					
9. - I shares Dow Jones US Medical Devices (IHI)	A	Dividend	J	T	Buy	08/25/20	J		
10. -Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Buy	10/05/20	J		
11. -Vanguard Total Bond Mkt (BUD)	A	Dividend	J	T	Buy	10/13/20	J		
12. - American SmallCap World	A	Dividend	J	T	Buy	10/13/20	J		
13. - American Strategic Bond	A	Dividend	J	T	Buy	08/25/20	J		
14. - MFS Growth CL R6	A	Dividend	J	T	Buy	08/25/20	J		
15. - MFS Low Volatility Equity	A	Dividend	J	T	Buy	08/25/20	J		
16. - MFS International Diversification	A	Dividend	J	T	Buy	10/13/20	J		
17. CJM Non-IRA Brokerage Account (H) (combines prev non-IRA funds)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. -Lincoln Natl Choice Plus Assurance L Share Variable Annuity		None			Sold	09/20/20	J		
19. AIG Polaris O Series variable annuity (H)									
20. - AMR AST Alloc Fund		None	K	T	Buy	09/11/20	K		
21. -AMR Growth Fund		None	K	T	Buy	09/11/20	K		
22. - AMR Growth and Income Fund		None	K	T	Buy	09/11/20	K		
23. -Abbvie Inc	A	Dividend	J	T	Buy	07/31/20	J		
24. -DocuSign Inc		None	J	T	Buy	09/11/20	J		
25. -Teladoc Health Inc		None	J	T	Buy	08/05/20	J		
26. -Kellogg Co	A	Dividend	J	T	Buy	10/05/20	J		
27. -Vanguard Total Bond ETF	A	Dividend	J	T	Buy	07/31/20	J		
28. -American Tax-Exempt Bond Fund	A	Dividend	J	T	Buy	07/31/20	J		
29. -MFS Growth CL R6	A	Dividend	J	T	Buy	10/13/20	J		
30. -T.Rowe Price Blue Chip Growth	A	Dividend	J	T	Buy	10/13/20	J		
31. -T.Rowe Price Dividend Growth	A	Dividend	J	T	Buy	07/31/20	J		
32. JBM/IRA Schwab Contributory Acct (formerly Vanguard IRA Acct) (H)									
33. -Vanguard GNMA Fund Investor Shares	A	Dividend	J	T					
34. -Vanguard S-T Investment-Grade	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -Vanguard Health Care Fund Adm	A	Dividend	K	T					
36. -Vanguard Dividend Growth Fund (VDIGX)	A	Dividend	K	T					
37. -Vanguard International Growth Adm	A	Dividend	K	T					
38. -Vanguard Selected Value Fund	A	Dividend	K	T					
39. -Vanguard Mid Cap Index Fund Adm	A	Dividend	K	T					
40. JBM Schwab Trust Agmt 11/2016 (H)									
41. Vanguard Intermediate Term Tax Exempt Admiral (VWIUX)	A	Dividend	K	T					
42. Vanguard Long Term Tax Exempt Admiral CL (VWLUX)	A	Dividend			Sold	01/07/20	K		
43. -Vanguard Dividend Growth Fund (VDIGX)	B	Dividend	L	T					
44. -Vanguard MidCap Index Fund Adm (VIMAX)	A	Dividend	M	T					
45. -Vanguard International Growth Fund Adm (VWILX)	A	Dividend	J	T					
46. -Vanguard 500 Index Admiral Cl (VFIAX)	B	Dividend	M	T					
47. - Vanguard Total Intl Stock Index Admiral CL (VTIAX)	B	Dividend	M	T					
48. - Vanguard LTD Term Tax Exempt Admiral (VMLUX)	A	Dividend	K	T					
49. Vanguard Brokerage Acct (JBM) (H)									
50. -Abbott Laboratories	A	Dividend	K	T					
51. -Vanguard Federal Money Mkt Fund	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item 2: Note Receivable -- Lexington Assoc. Ltd., a Colorado Ltd. partnership c/o Cheyenne Mount Investments, re: installment sale of Lexington Hills Apartments, owned by Investors Land Tr #50, of which I own 1.9048% beneficial interest -- Jefferson Trust & Savings Bank, Trustee, Under Trust Agreement.

The AIG Polaris O Series variable annuity was purchased in 2020. I am unable to report the income of each asset held because I am only provided with the unit value of the overall income earned.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joe B. McDade**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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