

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hammond, Mary E.	2. Court or Organization US BANKRUPTCY COURT - NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 4/24/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE (FULL-TIME)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 280 S. FIRST ST COURTROOM 3035 SAN JOSE, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Hammond, Mary E.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	PRO Unlimited, temp job
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hammond, Mary E.	4/24/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Hammond, Mary E.

Date of Report

4/24/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. KEYPOINT CREDIT UNION CHECKING		None	K	T					
2. KEYPOINT CREDIT UNION SAVINGS	A	Interest	J	T					
3. ALLY BANK SAVINGS ACCOUNT	B	Interest	M	T					
4. FIDELITY TRUST ACCOUNT (H)									
5. FIDELITY MUNICIPAL MONEY MARKET	A	Dividend	J	T					
6. AAPL (COMMON)	D	Dividend	O	T					
7. IRA #1 (H)									
8. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
9. COLUMBIA ACORN CLASS I	A	Dividend			Sold	08/03/20	K	D	
10. FIDELITY MID CAP STOCK	A	Dividend	K	T	Buy	08/04/20	K		
11. FIDELITY VALUE	A	Dividend	K	T					
12. MERIDIAN CONTRARIAN FUND LEGACY (MVALX)	A	Dividend	K	T					
13. IRA #2 (H)									
14. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
15. FIDELITY REAL ESTATE INVESTMENT	B	Dividend	K	T					
16. RBC MICROCAP VALUE FUND INSTL CLASS (RMVIX)	B	Dividend	K	T					
17. FIDELITY 500 INDEX FUND	A	Dividend	K	T	Buy	03/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Hammond, Mary E.

Date of Report

4/24/2021

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☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. IRA #3 (H)									
19. FIDELITY CANADA	A	Dividend	K	T					
20. FIDELITY 500 INDEX FUND	D	Dividend	N	T	Buy (add'l)	03/09/20	J		
21.					Buy (add'l)	05/26/20	L		
22. FIDELITY MID CAP STOCK	C	Dividend	L	T					
23. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
24. FIDELITY STOCK SELECTOR LARGE CAP VALUE	A	Dividend	K	T					
25. FIDELITY MID CAP VALUE	D	Dividend			Sold	05/26/20	L	C	
26. FIDELITY LOW PRICED STOCK	D	Dividend	M	T					
27. FIDELITY SELECT TELECOM	C	Dividend	K	T	Buy (add'l)	05/28/20	J		
28. FMI INTERNATIONAL		None	L	T					
29. JANUS HENDERSON SMALL CAP VALUE T	A	Dividend	L	T					
30. JANUS HENDERSON GL LIFE SCIENCES (JAGLX)	C	Dividend	K	T	Buy (add'l)	05/27/20	J		
31. MATTHEWS INDIA FUND		None			Sold	04/13/20	K	A	
32. FIDELITY TOTAL BOND	B	Dividend	K	T					
33. FIDELITY STRATEGIC INCOME	C	Dividend	L	T					
34. FIDELITY REAL ESTATE INVESTMENT	C	Dividend	L	T	Buy (add'l)	04/14/20	K		

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Name of Person Reporting

Hammond, Mary E.

Date of Report

4/24/2021

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35. BNY MELLON SMALLCAP STOCK	D	Dividend	L	T					
36. FIDELITY MSCI HEALTH CARE INDEX ETF (FHLIC)	A	Dividend	K	T	Buy (add'l)	05/27/20	J		
37. IRA #4 (H)									
38. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
39. DODGE & COX STOCK	B	Dividend	K	T					
40. BNY MELLON SMALLCAP STOCK	B	Dividend	K	T					
41. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	K	T					
42. FIDELITY MID CAP STOCK	A	Dividend	J	T					
43. FIDELITY VALUE	A	Dividend	L	T					
44. MERIDIAN CONTRARIAN FUND LEGACY	A	Dividend	K	T					
45. FIDELITY CONTRAFUND	E	Dividend	M	T					
46. FIDELITY STRATEGIC DIVIDEND & INCOME	D	Dividend	M	T					
47. FIDELITY STRATEGIC INCOME	B	Dividend	K	T					
48. IRA #5 (H)									
49. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
50. FIDELITY DIVERSIFIED INTERNATIONAL	A	Dividend	J	T					
51. IRA #6 (H)									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. FIDELITY 500 INDEX FUND	A	Dividend	K	T					
53. FIDELITY GOVERNMENT CASH RESERVES		None	J	T					
54. LINCOLN BENEFIT LIFE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL #1	D	Interest	M	T					
55. LINCOLN BENEFIT LIFE FLEXIBLE PREMIUM ADJUSTABLE INDEXED UNIVERSAL #2	C	Interest	L	T					
56. UTAH EDUCATIONAL SAVINGS PLAN AGE BASED AGGRESSIVE GLOBAL #1	C	Dividend	M	T					
57. UTAH EDUCATIONAL SAVINGS PLAN AGE BASED AGGRESSIVE GLOBAL #2	C	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary E. Hammond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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