

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ho, James C.	2. Court or Organization U.S. Court of Appeals for the Fifth Circuit	3. Date of Report 05/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 1100 Commerce Street Suite 1264 Dallas, TX 75242-1310		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	James C. Ho, P.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

Date of Report

05/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Gibson Dunn and Crutcher, LLP - Partnership Income
2. 2020	Alliance Defending Freedom Academy - Honoraria, \$3,000
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NDTX Bench/Bar Conference	01/10/2020	Las Colinas, TX	Conference Attendee	Parking
2.	Vanderbilt Law School	02/06/2020	Nashville, TN	Moot Court	Travel Expenses
3.	Federalist Society, University of Chicago Law School	02/11/2020	Chicago, IL	Speaker	Travel Expenses
4.	Federalist Society, University of Virginia Law School	02/25/2020	Charlottesville, VA	Speaker	Travel Expenses
5.	Heritage Foundation	02/26/2020	Washington, DC	Speaker	Travel Expenses

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Ho, James C.	05/12/2021

6.	Alliance Defending Freedom	7/31/2020	Leesburg, VA	Speaker (Allyson)	Travel Expenses (spouse expenses not reimbursed)
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Name of Person Reporting	Date of Report
Ho, James C.	05/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Ho, James C.	05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. CitiBank Cash Checking Account	A	Interest	J	T					
2. CitiBank Cash Savings Account	B	Interest	K	T					
3. Wells Fargo Cash Savings Account	A	Interest	J	T					
4. IRA #1									
5. Schwab Gov't Money Fund	A	Int./Div.	J	T					
6. DFA Global Real Estate	B	Dividend	L	T					
7. Schwab US REIT ETF	B	Dividend	L	T					
8. DFA Int'l Core Equity	C	Dividend	M	T					
9. DFA US Core Equity 2	D	Dividend	N	T					
10. DFA Micro Cap	A	Dividend	M	T					
11. DFA Emerging Mkts Core	A	Dividend			Sold	08/19/20	K	A	
12. DFA US Targeted Value	A	Dividend	L	T	Buy (add'l)	08/28/20	K		
13. Schwab Total Stock Market	A	Dividend	J	T	Sold (part)	10/28/20	J	A	
14. IRA #2									
15. Schwab Gov't Money Fund	A	Int./Div.	J	T					
16. DFA US Core Equity 2	A	Dividend	K	T	Sold (part)	10/06/20	J	A	
17. DFA US Micro Cap	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

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05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. DFA Int'l Small Cap Value	A	Dividend	K	T					
19. Schwab US REIT ETF	A	Dividend	J	T					
20. Base Rate Fund QP LP	A	Dividend	M	T					
21. Schwab Total Stock Market	A	Dividend	J	T	Buy	10/06/20	J		
22. IRA #3 (A) (H)									
23. Schwab Gov't Money Fund	A	Int./Div.	J	T					
24. DFA US Targeted Value	C	Dividend	M	T	Buy (add'l)	08/28/20	K		
25. DFA US Micro Cap	A	Dividend	M	T	Buy (add'l)	08/19/20	K		
26. DFA US Core Equity 2	C	Dividend	M	T	Buy (add'l)	08/28/20	L		
27. Vanguard HY Corp	C	Dividend			Sold	08/28/20	M	A	
28. Schwab US REIT ETF	A	Dividend	K	T	Buy (add'l)	08/19/20	J		
29.					Buy (add'l)	11/23/20	J		
30. DFA Emerging Mkts Core Equity	A	Dividend			Sold	08/19/20	K	A	
31. DFA Global Real Estate	A	Dividend	K	T					
32. DFA Intl Core Equity	B	Dividend	L	T	Buy (add'l)	01/28/20	J		
33. DFA Intl Small Cap Value	A	Dividend	K	T					
34. Dodge & Cox Intl Stock	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ho, James C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Schwab Total Stock Mkt	B	Dividend	M	T					
36. IRA #4 (A) (H)									
37. DFA Gbl Real Estate	A	Dividend	K	T					
38. DFA US Core Equity 2	B	Dividend	L	T					
39. DFA US Micro Cap	A	Dividend	M	T					
40. DFA US Targeted Value	B	Dividend	M	T					
41. Schwab Intl Small Co Index	A	Dividend	J	T					
42. Schwab Total Stock Market	A	Dividend	J	T					
43. Palantir		None			Open	11/05/20	L		
44.		None			Sold	12/22/20	L	E	
45. 401k #1									
46. Vanguard Target Retirement 2035		None	M	T	Buy	10/15/20	K		
47. Gibson Dunn Cash Balance Plan		None	M	T	Open	01/02/20	M		
48. 529 #1									
49. American Funds Capital Income Builder	C	Dividend	L	T	Buy (add'l)	01/06/20	J		
50.					Buy (add'l)	02/05/20	J		
51.					Buy (add'l)	03/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	04/06/20	J		
53.					Buy (add'l)	05/05/20	J		
54.					Buy (add'l)	06/05/20	J		
55.					Buy (add'l)	07/06/20	J		
56.					Buy (add'l)	08/05/20	J		
57.					Buy (add'l)	09/08/20	J		
58.					Buy (add'l)	10/05/20	J		
59.					Buy (add'l)	11/05/20	J		
60.					Buy (add'l)	12/07/20	J		
61. American Funds Capital World Growth & Income	B	Dividend	M	T	Buy (add'l)	01/06/20	J		
62.					Buy (add'l)	02/05/20	J		
63.					Buy (add'l)	03/05/20	J		
64.					Buy (add'l)	04/06/20	J		
65.					Buy (add'l)	05/05/20	J		
66.					Buy (add'l)	06/05/20	J		
67.					Buy (add'l)	07/06/20	J		
68.					Buy (add'l)	08/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	09/08/20	J		
70.					Buy (add'l)	10/05/20	J		
71.					Buy (add'l)	11/05/20	J		
72.					Buy (add'l)	12/07/20	J		
73. American Funds Small Cap World	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
74.					Buy (add'l)	02/05/20	J		
75.					Buy (add'l)	03/05/20	J		
76.					Buy (add'l)	04/06/20	J		
77.					Buy (add'l)	05/05/20	J		
78.					Buy (add'l)	06/05/20	J		
79.					Buy (add'l)	07/06/20	J		
80.					Buy (add'l)	08/05/20	J		
81.					Buy (add'l)	09/08/20	J		
82.					Buy (add'l)	10/05/20	J		
83.					Buy (add'l)	11/05/20	J		
84.					Buy (add'l)	12/07/20	J		
85. American Funds New World	A	Dividend	K	T	Buy (add'l)	01/06/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	02/05/20	J		
87.					Buy (add'l)	03/05/20	J		
88.					Buy (add'l)	04/06/20	J		
89.					Buy (add'l)	05/05/20	J		
90.					Buy (add'l)	06/05/20	J		
91.					Buy (add'l)	07/06/20	J		
92.					Buy (add'l)	08/05/20	J		
93.					Buy (add'l)	09/08/20	J		
94.					Buy (add'l)	10/05/20	J		
95.					Buy (add'l)	11/05/20	J		
96.					Buy (add'l)	12/07/20	J		
97. American Funds Bond Fund of America	B	Dividend	L	T	Buy (add'l)	01/06/20	J		
98.					Buy (add'l)	02/05/20	J		
99.					Buy (add'l)	03/05/20	J		
100.					Buy (add'l)	04/06/20	J		
101.					Buy (add'l)	05/05/20	J		
102.					Buy (add'l)	06/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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P4 =More than \$50,000,000

S =Assessment

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D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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103.					Buy (add'l)	07/06/20	J		
104.					Buy (add'l)	08/05/20	J		
105.					Buy (add'l)	09/08/20	J		
106.					Buy (add'l)	10/05/20	J		
107.					Buy (add'l)	11/05/20	J		
108.					Buy (add'l)	12/07/20	J		
109. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
110.					Buy (add'l)	02/05/20	J		
111.					Buy (add'l)	03/05/20	J		
112.					Buy (add'l)	04/06/20	J		
113.					Buy (add'l)	05/05/20	J		
114.					Buy (add'l)	06/05/20	J		
115.					Buy (add'l)	07/06/20	J		
116.					Buy (add'l)	08/05/20	J		
117.					Buy (add'l)	09/08/20	J		
118.					Buy (add'l)	10/05/20	J		
119.					Buy (add'l)	11/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/05/20	J		
121. 529 #2									
122. American Funds US Gov't Securities		None			Sold	06/02/20	J	A	
123. American Funds Capital Income Builder	C	Dividend	L	T	Buy (add'l)	01/06/20	J		
124.					Buy (add'l)	02/05/20	J		
125.					Buy (add'l)	03/05/20	J		
126.					Buy (add'l)	04/06/20	J		
127.					Buy (add'l)	05/05/20	J		
128.					Buy (add'l)	06/05/20	J		
129.					Buy (add'l)	07/06/20	J		
130.					Buy (add'l)	08/05/20	J		
131.					Buy (add'l)	09/08/20	J		
132.					Buy (add'l)	10/05/20	J		
133.					Buy (add'l)	11/05/20	J		
134.					Buy (add'l)	12/07/20	J		
135. American Funds Capital World Growth & Income	B	Dividend	M	T	Buy (add'l)	01/06/20	J		
136.					Buy (add'l)	02/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Ho, James C.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	03/05/20	J		
138.					Buy (add'l)	04/06/20	J		
139.					Buy (add'l)	05/05/20	J		
140.					Buy (add'l)	06/05/20	J		
141.					Buy (add'l)	07/06/20	J		
142.					Buy (add'l)	08/05/20	J		
143.					Buy (add'l)	09/08/20	J		
144.					Buy (add'l)	10/05/20	J		
145.					Buy (add'l)	11/05/20	J		
146.					Buy (add'l)	12/07/20	J		
147. American Funds Small Cap World	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
148.					Buy (add'l)	02/05/20	J		
149.					Buy (add'l)	03/05/20	J		
150.					Buy (add'l)	04/06/20	J		
151.					Buy (add'l)	05/05/20	J		
152.					Buy (add'l)	06/05/20	J		
153.					Buy (add'l)	07/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Ho, James C.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	08/05/20	J		
155.					Buy (add'l)	09/08/20	J		
156.					Buy (add'l)	10/05/20	J		
157.					Buy (add'l)	11/05/20	J		
158.					Buy (add'l)	12/07/20	J		
159. American Funds New World	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
160.					Buy (add'l)	02/05/20	J		
161.					Buy (add'l)	03/05/20	J		
162.					Buy (add'l)	04/06/20	J		
163.					Buy (add'l)	05/05/20	J		
164.					Buy (add'l)	06/05/20	J		
165.					Buy (add'l)	07/06/20	J		
166.					Buy (add'l)	08/05/20	J		
167.					Buy (add'l)	09/08/20	J		
168.					Buy (add'l)	10/05/20	J		
169.					Buy (add'l)	11/05/20	J		
170.					Buy (add'l)	12/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. American Funds Bond Fund of America	B	Dividend	L	T	Buy (add'l)	01/06/20	J		
172.					Buy (add'l)	02/05/20	J		
173.					Buy (add'l)	03/05/20	J		
174.					Buy (add'l)	04/06/20	J		
175.					Buy (add'l)	05/05/20	J		
176.					Buy (add'l)	06/05/20	J		
177.					Buy (add'l)	07/06/20	J		
178.					Buy (add'l)	08/05/20	J		
179.					Buy (add'l)	09/08/20	J		
180.					Buy (add'l)	10/05/20	J		
181.					Buy (add'l)	11/05/20	J		
182.					Buy (add'l)	12/07/20	J		
183. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
184.					Buy (add'l)	02/05/20	J		
185.					Buy (add'l)	03/05/20	J		
186.					Buy (add'l)	04/06/20	J		
187.					Buy (add'l)	05/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	06/05/20	J		
189.					Buy (add'l)	07/06/20	J		
190.					Buy (add'l)	08/05/20	J		
191.					Buy (add'l)	09/08/20	J		
192.					Buy (add'l)	10/05/20	J		
193.					Buy (add'l)	11/05/20	J		
194.					Buy (add'l)	12/07/20	J		
195. Brokerage Account #1									
196. Schwab Muni Money Fund	A	Interest	K	T					
197. DFA Emerging Markets Small	C	Dividend	M	T	Buy (add'l)	01/28/20	K		
198.					Sold (part)	03/25/20	M	A	
199.					Buy (add'l)	04/29/20	M		
200.					Buy (add'l)	07/24/20	J		
201. DFA Int'l Small Value	B	Dividend	L	T	Buy (add'l)	11/23/20	K		
202. DFA US Micro Cap	B	Dividend			Sold	08/19/20	K	B	
203. DFA US Core Equity 2	B	Dividend	M	T	Sold (part)	03/25/20	N	A	
204.					Buy (add'l)	04/29/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Ho, James C.

Date of Report

05/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	07/24/20	K	C	
206.					Sold (part)	08/19/20	J	C	
207. DFA US Core Equity 1		None			Buy	03/25/20	N		
208.					Sold	04/29/20	N	F	
209. DFA Int'l Core Equity	A	Dividend	J	T					
210. Dodge & Cox International	A	Dividend	M	T	Sold (part)	07/24/20	J	A	
211. DFA Emerging Markets Core		None			Sold	03/25/20	L	A	
212. Schwab Total Stock Market	B	Dividend	L	T					
213. DFA US Targeted Value	A	Dividend			Sold	08/19/20	J	A	
214. American Funds New World	A	Dividend	M	T	Buy (add'l)	03/25/20	M		
215.					Sold (part)	04/29/20	M	D	
216.					Buy (add'l)	08/19/20	K		
217. MetLife Group Variable Universal Life Insurance; Cash Value		None	N	T					
218. Fidelity Variable Insurance Freedom 2040 Portfolio	A	Dividend	M	T					
219. Trust #1 (H)									
220. Schwab Gov't Money Fund	A	Dividend	J	T					
221. Alternative Investments #1									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Goldcrest Capital, LP		None	N	T					
223. CSOP Palantir III, LP (f/k/a Goldcrest Palantir III, LP)		None	M	T					
224. Goldcrest Capital Fund II-B, LP		None	L	T					
225. Goldcrest Capital Mercury SPV, LLC		None	L	T	Buy	07/29/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Ho, James C.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. Ho**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544