

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Agresti, Thomas P.	2. Court or Organization U.S. Bankruptcy Court, Western District of Pennsylvania	3. Date of Report 03/26/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 17 South Park Row Erie, PA 16501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Agresti, Thomas P.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Agresti, Thomas P.	03/26/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. CITIZENS BANK (cash)	D	Interest	O	T					
3. ERIE BANK (cash)	D	Interest	O	T					
4. PNC BANK (cash)	A	Interest	K	T					
5. VACANT LAND #1, ERIE COUNTY, PA		None	M	W					
6. VACANT LAND #2, ERIE COUNTY, PA		None	M	W					
7. TALCOTT RESOLUTION VARIABLE ANNUITY (H) (was HARTFORD LIFE)									
8. HARTFORD BALANCED HLS FUND		None	L	T					
9. HARTFORD CAPITAL APPRECIATION		None	M	T					
10. FIXED ACC - 4% MINIMUM (X)		None	J	T					
11. ACCOUNT #2 (H)									
12. VENTURE 20 LS GROWTH PORTFOLIO		None	M	T					
13. ACCOUNT #3 (H)									
14. INVESCO V.I. EQUITY & INCOME FUND		None	K	T					
15. ACCOUNT #4 (H)									
16. AFIS ASSET ALLOCATION FUND		None	M	T					
17. ACCOUNT #5 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. VANGUARD INTERMED TERM TAX EXEMPT FDS ADM (VWIUX)	A	Dividend	K	T	Buy	02/12/20	K		
19. VANGUARD PA TAX-FREE FUND INSURED LT PORT (VPAIX)	A	Dividend	K	T	Buy	02/12/20	K		
20. FRANKLIN RISING DIVIDENDS VIP		None			Sold	01/27/20	J		
21. AMR GROWTH-INCOME		None			Sold	01/27/20	J		
22. AMR GROWTH		None			Sold	01/27/20	J		
23. FRANKLIN INCOME VIP FUND		None			Sold	01/27/20	J		
24. AMR GLOBAL GROWTH & INCOME FUND		None			Sold	01/27/20	J		
25. ACCOUNT #6 (H)									
26. LINCOLN GROWTH-INCOME		None			Sold	01/27/20	K		
27. ACCOUNT #7 (H)									
28. WELLS FARGO BANK DEPOSIT (cash) (X)	A	Interest	J	T					
29. ABBOTT LABORATORIES (ABT)	A	Dividend	J	T					
30. ACCENTURE PLC IRELAND SHARES CLASS A (ACN)	A	Dividend	J	T					
31. AFLAC INC (AFL)	A	Dividend	J	T					
32. AIR PRODUCTS & CHEMICALS INC (APD)	A	Dividend	J	T					
33. AMERICAN TOWER CORP (AMT)	A	Dividend	J	T	Buy	04/08/20	J		
34.					Buy (add'l)	09/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. AMGEN INC (AMGN)	A	Dividend	J	T					
36. ANALOG DEVICES INC (ADI)	A	Dividend	J	T					
37. APPLE INC (AAPL)	A	Distribution	J	T					
38. AT&T INC (T)	A	Dividend	J	T					
39. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	J	T					
40. BECTON DICKINSON & CO (BDX)	A	Dividend	J	T					
41. BLACKROCK INC (BLK)	A	Dividend	J	T					
42. CHEVRON CORPORATION (CVX)	A	Dividend	J	T					
43. CHUBB LTD (CB)	A	Dividend	J	T					
44. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
45. CLOROX COMPANY (CLX)	A	Dividend	J	T					
46. COLGATE-PALMOLIVE CO (CL)	A	Dividend	J	T					
47. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	J	T					
48. EATON VANCE CORP NON VTG (EV)	A	Dividend	J	T					
49. EMERSON ELECTRIC CO (EMR)	A	Dividend	J	T					
50. EVERSOURCE ENERGY (ES)	A	Dividend	J	T					
51. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	08/10/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52. FACTSET RESEARCH SYSTEMS INC (FDS)	A	Dividend	J	T					
53. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
54. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
55. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
56. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T					
57. LINDE PLC (LIN)	A	Dividend	J	T					
58. LOWES COMPANIES INC (LOW)	A	Dividend	J	T					
59. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend	J	T					
60. MCDONALDS CORP (MCD)	A	Dividend	J	T					
61. MEDTRONIC PLC (MDT)	A	Dividend	J	T					
62. MICROSOFT (MSFT)	A	Dividend	J	T					
63. NEXTERA ENERGY INC (NEE)	B	Dividend	J	T					
64. NIKE INCL CLASS B (NKE)	A	Dividend	J	T					
65. NORFOLK SOUTHERN CORP (NSC)	A	Dividend	J	T					
66. NOVARTIS AG SPON ADR (NVS)	A	Dividend	J	T					
67. PAYCHEX INC (PAYX)	A	Dividend	J	T					
68. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy (add'l)	12/22/20	J		
70. POLARIS INDS INC (PII) (Y)									
71. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
72. RAYTHEON TECHNOLOGIES (RTX) (was UNITED TECHNOLOGIES CORP (UTX))	A	Dividend	J	T					
73. SYSCO CORPORATION (SYY)	A	Dividend			Sold	06/10/20	J	B	
74. TARGET CORP (TGT)	A	Dividend	J	T					
75. UNITEDHEALTH GROUP (UNH)	A	Dividend	J	T	Buy	03/25/20	J		
76.					Buy (add'l)	09/03/20	J		
77. US BANCORP NEW (USB)	A	Dividend	J	T					
78. V F CORPORATION (VFC)	A	Dividend	J	T					
79. WALMART INC (WMT)	A	Dividend	J	T					
80. WEC ENERGY GROUP INC (WEC)	A	Dividend	J	T					
81. 3M CO (MMM)	A	Dividend	J	T					
82. ACCOUNT #8 (H)									
83. GENERAL ELECTRIC COMPANY (GE)	A	Dividend	J	T					
84. MICROSOFT (MSFT)	B	Dividend	M	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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Q =Appraisal
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G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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85. SHELTON INTL SELECT EQUITY FD INVESTOR CL SHARE (SISLX)	B	Dividend	L	T					
86. ACCOUNT #9 (H)									
87. WELLS FARGO BANK (cash)	A	Interest	J	T					
88. ABBVIE INC (ABBV)	A	Dividend	J	T	Buy	05/13/20	J		
89. ADOBE SYSTEMS INC (ADBE)		None	J	T	Buy	03/04/20	J		
90.					Buy (add'l)	10/30/20	J		
91.					Buy (add'l)	11/20/20	J		
92. AES CORP (AES)		None			Sold	01/13/20	J	A	
93. AFLAC INC (AFL)	A	Dividend			Sold	11/20/20	J	A	
94. ALLSTATE CORP (ALL) (X)	A	Dividend			Sold	07/15/20	J		
95. ALLY FINANCIAL INC (ALLY)	A	Dividend			Sold	04/22/20	J		
96. ALPHABET INC (GOOGL)		None	J	T	Buy	06/24/20	J		
97.					Buy (add'l)	07/22/20	J		
98.					Buy (add'l)	11/20/20	J		
99. AMDOCS LTD (DOX)	A	Dividend			Buy	03/04/20	J		
100.					Sold	11/20/20	J		
101. AMERICAN EXPRESS COMPANY (AXP)	A	Dividend			Sold	10/30/20	J		

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102. AMERIPRISE FINANCIAL (AMP)	A	Dividend	J	T					
103. AMETEK INC NEW (AME)	A	Dividend	J	T					
104. AMGEN INC (AMGN)	A	Dividend	J	T					
105. ANALOG DEVICES (ADI) (X)	A	Dividend	J	T					
106. ARISTA NETWORKS (ANET)		None			Buy	02/06/20	J		
107.					Sold	12/11/20	J	A	
108. ASSURED GUARANTY LTD (AGO)		None			Sold	02/06/20	J	A	
109. AT & T INC (T)	A	Dividend	J	T					
110. BECTON DICKINSON & CO (BDX)	A	Dividend	J	T					
111. BERKSHIRE HATHAWAY INC (BRK/B)		None	J	T	Buy	04/22/20	J		
112.					Buy (add'l)	11/20/20	J		
113. BEST BUY CO INC (BBY)	A	Dividend	J	T					
114. BOEING CO (BA)	A	Dividend			Sold	03/25/20	J	A	
115. BOSTON SCIENTIFIC CORP (BSX)		None	J	T					
116. BROADCOM INC (AVGO)	A	Dividend	J	T	Buy	07/22/20	J		
117.					Buy (add'l)	11/20/20	J		
118. BRUNSWICK CORP (BC)	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. BURLINGTON STORES INC (BURL)		None	J	T					
120. CARETRUST REIT INC (CTRE)	A	Dividend			Sold	12/11/20	J	A	
121. CELANESE CORPORATION SERIES A (CE)	A	Dividend			Sold	03/04/20	J		
122. CENTERPOINT ENERGY INC (CNP)		None			Sold	01/13/20	J		
123. CHEMED CORP (CHE) (X)		None	J	T					
124. CIRRUS LOGIC INC (CRUS)		None	J	T	Buy (add'l)	01/13/20	J		
125. CMS ENERGY CORP (CMS)	A	Dividend	J	T					
126. COCA-COLA EUROPEAN PARTNERS PLC (CCEP)	A	Dividend			Sold	11/20/20	J	A	
127. COMCAST CORP (CMCSA)	A	Dividend	J	T	Buy	04/08/20	J		
128. COMMUNITY HEALTHCARE TR INC (CHCT)	A	Dividend	J	T					
129. CORNING INC (GLW)	A	Dividend			Sold	11/20/20	J	A	
130. CRANE COMPANY (CR)	A	Dividend			Sold	03/25/20	J		
131. CUMMINS INC (CMI)	A	Dividend	J	T	Buy (add'l)	11/20/20	J		
132. DANAHER CORP (DHR)	A	Dividend	J	T					
133. DISNEY WALT COMPANY (DIS)	A	Dividend			Sold	05/13/20	J	A	
134. DOLLAR TREE STORES INC (DLTR)		None	J	T					
135. EASTGROUP PPTYS INC REIT (EGP)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

03/26/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. EDISON INTL (EIX)	A	Dividend	J	T					
137. EDWARDS LIFESCIENCE CORP (EW)		None	J	T					
138. EMERSON ELECTRIC CO (EMR)		None			Sold	01/13/20	J	A	
139. EPAM SYSTEMS INC (EPAM)		None	J	T	Buy	04/01/20	J		
140. EQUITY LIFESTYLE PROP RIET (ELS)	A	Dividend	J	T					
141. FACEBOOK INC CLASS A (FB)		None	J	T	Buy (add'l)	01/13/20	J		
142. FAIR ISAAC INC (FICO)		None	J	T	Buy	08/25/20	J		
143.					Buy (add'l)	10/30/20	J		
144. FIDELITY NATIONAL INFORMATION SVCS (FIS)	A	Dividend	J	T					
145. FIRST TR ETF IV FIRST TR LOW DURATION MTG OPPORTUNITIES ETF (LMBS)	A	Dividend			Buy (add'l)	03/13/20	J		
146.					Sold	10/07/20	J		
147. FIRST TR ETF FD VII TCW OPP FXD INCOME (FIXD)	A	Dividend	J	T	Buy	07/09/20	J		
148. FLEETCOR TECHNOLOGIES INC (FLT)		None			Sold	08/25/20	J	A	
149. FLEXSHARES IBOXX 5YR ETF TARGET DURATION TIPS INDEX FD (TDTF)		None			Sold	01/14/20	J	A	
150. FLUOR CORP NEW (FLR)	A	Dividend			Sold	01/13/20	J		
151. FMC CORP NEW (FMC)	A	Dividend	J	T					

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. HOME DEPOT INC (HD)	A	Dividend	J	T					
153. HUNTINGTON INGALLS INDUSTRIES INC (HII)	A	Dividend			Sold	03/04/20	J	A	
154. HP INC (HPQ)	A	Dividend	J	T	Buy	04/08/20	J		
155. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
156. INGERSOLL RAND INC (IR) (X)	A	Dividend			Sold	02/26/20	J	B	
157. INNOSPEC INC (IOSP) (Y)									
158. INTEL CORP (INTC)	A	Dividend			Sold	10/30/20	J		
159. INTUIT INC (INTU)	A	Dividend	J	T					
160. INVESCO TR II PFD (PGX)	A	Dividend	J	T					
161. INVESCO TR II FUNDAMENTAL HIGH YIELD CORP BD (PHB)	A	Dividend			Sold	03/13/20	J		
162. ISHARES CMBS ETF (CMBS)	A	Dividend	J	T	Buy	01/14/20	J		
163. ISHARES 1-3 YR TREASURY BD (SHY)	A	Dividend			Buy	01/14/20	J		
164.					Buy (add'l)	03/12/20	J		
165.					Sold	06/05/20	K	A	
166. ISHARES 3-7 YEAR TREASURY BD (IEI)	A	Dividend			Sold (part)	06/05/20	J	A	
167.					Sold	07/09/20	J	A	
168. ISHARES 7-10 YEAR TREASURY BD (IEF)	A	Dividend			Buy	06/05/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
169.					Sold	12/09/20	K		
170. ISHARES IBONDS DEC 2024 TERM CORP (IBDP)	A	Dividend	J	T					
171. ISHARES IBONDS DEC 2023 TERM CORP (IBDO)		None			Sold	01/14/20	J	A	
172. ISHARES JP MORGAN USD EMERGING MARKETS BD (EMB)		None			Sold	01/14/20	J	A	
173. ISHARES MBS (MBB)	A	Dividend	J	T	Buy (add'l)	01/14/20	J		
174. ISHARES MSCI SINGAPORE (EWS)	A	Dividend	J	T					
175. ISHARES MSCI NETHERLANDS (EWN)	A	Dividend	J	T					
176. ISHARES MSCI AUSTRALIA (EWA)	A	Dividend	J	T					
177. ISHARES MSCI SWITZERLAND (EWL)	A	Dividend	J	T					
178. ISHARES MSCI HONG KONG (EWH)	A	Dividend	J	T					
179. ISHARES MSCI FRANCE (EWQ)	A	Dividend	J	T					
180. ISHARES MSCI GERMANY (EWG)	A	Dividend	J	T					
181. ISHARES MSCI ITALY (EWI)	A	Dividend	J	T					
182. ISHARES MSCI NEW ZEALAND (ENZL)	A	Dividend	J	T					
183. ISHARES MSCI SWEDEN (EWD)	A	Dividend	J	T					
184. ISHARES TR MSCI UTD KINGDOM ETF NEW (EWU)	A	Dividend	J	T					
185. KELLOGG COMPANY (K)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
186. KLA CORP (KLAC)	A	Dividend	J	T	Buy	03/04/20	J		
187.					Buy (add'l)	11/20/20	J		
188. LAUDER ESTEE COS (EL)	A	Dividend	J	T	Buy	04/01/20	J		
189.					Buy (add'l)	12/11/20	J		
190. LHC GROUP (LHCG) (X)		None	J	T					
191. LINDE PLC (LIN)	A	Dividend	J	T					
192. LITHIA MTRS INC CL A (LAD)	A	Dividend	J	T					
193. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	T	Buy (add'l)	12/11/20	J		
194. LYONDELLBASELL INDUSTRIES AF SCA CL A (LYB) (Y)									
195. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend			Sold	11/20/20	J	A	
196. MARSH AND MC LENNAN COMPANIES INC (MMC)	A	Dividend	J	T					
197. MASIMO CORP (MASI) (X)		None	J	T					
198. MASTEC INC (MTZ)		None			Sold	04/01/20	J		
199. MASTERCARD INC CL A (MA)	A	Dividend	J	T					
200. MERITAGE HOMES CORP (MTH)		None			Buy	01/13/20	J		
201.					Sold	04/15/20	J		
202. MICROSOFT (MSFT)	A	Dividend	J	T	Buy (add'l)	05/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
203.					Buy (add'l)	10/30/20	J		
204. MOODY'S CORP (MCO)		None	J	T	Buy	12/11/20	J		
205. NATIONAL RETAIL PPTYS INC (NNN) (Y)									
206. NATIONAL STORAGE AFFILIATES TR (NSA)	A	Dividend	J	T					
207. NEXPOINT RESIDENTIAL TR INC (NXRT)	A	Dividend	J	T					
208. NEXTERA ENERGY INC (NEE)	A	Dividend			Sold (part)	01/30/20	J	A	
209.					Sold	03/25/20	J	A	
210. OMNICOM GROUP (OMC)	A	Dividend			Sold	04/08/20	J		
211. OSHKOSH CORP (OSK)	A	Dividend			Sold	05/20/20	J		
212. PACCAR INC (PCAR)	A	Dividend	J	T					
213. PACKAGING CORP OF AMER (PKG)	A	Dividend			Sold	07/22/20	J	A	
214. PARKER-HANNIFIN CORP (PH)	A	Dividend			Sold (part)	02/26/20	J	B	
215.					Sold	03/04/20	J	A	
216. PEPSICO INC (PEP)		None	J	T	Buy	10/30/20	J		
217.					Buy (add'l)	11/20/20	J		
218. PFIZER INC (PFE)	A	Dividend	J	T	Buy	07/15/20	J		
219. PHILLIPS 66 (PSX) (Y)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

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G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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220. PIMCO ACTIVE BOND ETF (BOND)	A	Dividend	J	T	Buy	07/09/20	J		
221. PIMCO INVESTMENT GRADE CORP BD INDEX FD (CORP)	A	Dividend	J	T	Buy (add'l)	07/09/20	J		
222. PINNACLE WEST CAP CORP (PNW)	A	Dividend			Sold	12/11/20	J		
223. PS BUSINESS PKS INC CALIF (PSB) (Y)									
224. RAYTHEON TECHNOLOGIES (RTX) (was UNITED TECHNOLOGIES CORP (UTX))	A	Dividend			Sold	10/23/20	J		
225. REALTY INCOME CORP REIT (O) (Y)									
226. REXFORD IND REALITY INC (REXR)	A	Dividend	J	T					
227. ROCKWELL AUTOMATION INC (ROK)	A	Dividend	J	T					
228. ROSS STORES INC CALIF (ROST)	A	Dividend	J	T					
229. SALESFORCE.COM (CRM)		None	J	T					
230. SEAGATE TECHNOLOGY (STX)	A	Dividend	J	T	Buy	02/26/20	J		
231. SIMON PROPERTY GROUP REIT INC NEW (SPG)	A	Dividend			Sold	12/11/20	J		
232. SKYWORKS SOLUTIONS INC (SWKS)	A	Dividend	J	T					
233. SOUTHERN COMPANY/THE (SO)	A	Dividend	J	T					
234. SSGA ACTIVE ETF TR SPDR DOBL TOT RET TACT (TOTL)	A	Dividend			Buy	01/14/20	J		
235.					Sold	07/09/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
236. SPDR BLOOMBERG BARCLAYS INTERNATIONAL TREASURY BD ETF (BWV)		None			Sold	01/14/20	J	A	
237. STATE STR CORP (STT)	A	Dividend			Buy (add'l)	01/13/20	J		
238.					Sold	10/30/20	J		
239. STORE CAPITAL CORP (STOR)	A	Dividend	J	T					
240. STRYKER CORP (SYK)	A	Dividend	J	T					
241. SUN COMMUNITIES INC REIT (SUI)	A	Dividend	J	T					
242. SYSCO CORP (SYY)	A	Dividend	J	T	Buy	03/25/20	J		
243. T-MOBILE US INC (TMUS)	A	Dividend	J	T	Buy (add'l)	01/13/20	J		
244. TERRENO REALTY CORP (TRNO)	A	Dividend	J	T					
245. TETRA TECH INC (TTEK) (X)	A	Dividend	J	T					
246. TEXAS INSTRUMENTS INC (TXN)	A	Dividend	J	T					
247. TIMKEN COMPANY (TKR)	A	Dividend	J	T					
248. TJX COS INC NEW (TJX)	A	Dividend			Sold	05/13/20	J	A	
249. TRANE TECHNOLOGIES (TT)	A	Dividend			Sold	03/25/20	J	A	
250. TYSON FOODS INC CL A (TSN)	A	Dividend			Sold	07/22/20	J	A	
251. UNION PACIFIC CORP (UNP)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
252. UNITEDHEALTH GROUP INC (UNH)	A	Dividend	J	T	Buy	03/25/20	J		
253.					Buy (add'l)	10/30/20	J		
254. UNIVERSAL FOREST PRODUCTS INC (UFPI)		None			Sold	01/13/20	J	A	
255. UNIVERSAL HEALTH SVCS INC CL B (UHS)	A	Dividend			Sold	06/24/20	J		
256. VANECK VECTORS TR FALLEN ANGEL HIGH YIELD ETF (ANGL)	A	Dividend			Sold	03/12/20	J		
257. VANGUARD INTERMEDIATE ETF TERM CORP BD (VCIT)	A	Dividend	K	T	Buy (add'l)	07/09/20	J		
258.					Buy (add'l)	10/07/20	K		
259. VANGUARD MORTGAGE BACK SECURITIES ETF (VMBS)	A	Dividend	J	T	Buy (add'l)	01/14/20	J		
260. VANGUARD WHITEHALL FDS EMERGING MKT GOVT BD INDEX FD (VWOB)	A	Dividend			Sold	06/05/20	J		
261. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T	Buy (add'l)	10/23/20	J		
262. VERTEX PHARMACEUTICALS INC (VRTX)		None			Sold	10/30/20	J	A	
263. WALGREENS BOOTS ALLIANCE INC (WBA)	A	Dividend			Sold	04/08/20	J		
264. WEX INC (WEX)		None			Sold	02/06/20	J	A	
265. WILLIAMS SONOMA INC (WSM)	A	Dividend			Sold	04/08/20	J		
266. WILLIS TOWERS WATSON PUB LTD CO (WLTW)	A	Dividend			Buy (add'l)	01/13/20	J		
267.					Sold	07/22/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
268. XYLEM INC (XYL)		None			Sold	01/13/20	J	A	
269. 3M CO (MMM)	A	Dividend	J	T	Buy	03/25/20	J		
270. WELLS FARGO FUNDS CORE PLUS BOND FUND CL I (WPIX)	A	Dividend	K	T	Buy	12/09/20	K		
271. ACCOUNT #10 (H)									
272. AMERICAN ELECTRIC POWER INC (AEP)	A	Dividend	J	T					
273. AMGEN INC (AMGN)	A	Dividend	J	T					
274. AT&T INC (T)	A	Dividend	J	T					
275. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	J	T	Buy (add'l)	02/12/20	J		
276. BANK NEW YORK MELLON (BNY)	A	Dividend	J	T	Buy	10/02/20	J		
277. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
278. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	J	T	Buy (add'l)	02/12/20	J		
279. DIAGEO PLC SPONSORED ADR NEW (DEO)	A	Dividend	J	T					
280. DOMINION ENERGY INC (D) (Y)									
281. DOVER CORP COMMON (DOV)	A	Dividend	J	T					
282. DOW INC (DOW) (Y)									
283. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	08/10/20	J		
284. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
285. GENUINE PARTS CO COM (GPC)	A	Dividend	J	T					
286. GILEAD SCIENCES INC (GILD)	A	Dividend	J	T	Buy	08/03/20	J		
287.					Buy (add'l)	12/18/20	J		
288. HASBRO INC (HAS)	A	Dividend			Sold	09/17/20	J		
289. HOME DEPOT INC (HD)	A	Dividend	J	T					
290. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	J	T					
291. INTEL CORP (INTC)	A	Dividend	J	T					
292. INTERNATIONAL BUSINESS MACHINE CORP (IBM)	A	Dividend	J	T					
293. INTUIT INC (INTU)	A	Dividend	J	T	Buy	08/05/20	J		
294. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
295. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T					
296. KIMBERLY-CLARK CORP (KMB)	A	Dividend	J	T					
297. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	T					
298. M & T BANK CORP (MTB)	A	Dividend	J	T					
299. MAXIM INTEGRATED PRODS INC (MXIM)	A	Dividend			Sold	08/13/20	J		
300. MCDONALDS CORP (MCD)	A	Dividend	J	T					
301. MERCK & CO INC NEW (MRK)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
302. MICROSOFT (MSFT)	A	Dividend	J	T					
303. NEXTERA ENERGY INC (NEE)	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
304. PEOPLE'S UNITED FINANCL (PBCT)	A	Dividend	J	T					
305. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T					
306. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy (add'l)	12/21/20	J		
307. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
308. SOUTHERN COMPANY/THE (SO) (Y)									
309. STARBUCKS CORP (SBUX)	A	Dividend	J	T					
310. TARGET CORP (TGT)	A	Dividend	J	T					
311. UNITED PARCEL SERVICE-B (UPS)	A	Dividend	J	T					
312. US BANCORP NEW (USB)	A	Dividend	J	T					
313. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
314. WALGREENS BOOTS ALLIANCE INC (WBA)	A	Dividend	J	T					
315. WASTE MGMT INC DEL (WM)	A	Dividend	J	T					
316. WELLTOWER INC (WELL)		None			Sold	02/03/20	J		
317. XCEL ENERGY INC (XEL)	A	Dividend	J	T	Buy	08/04/20	J		
318. ACCOUNT #11 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
319. VANGUARD INDEX VANGUARD 500 INDEX FD ADMIRAL SHS (VFIAX)	A	Dividend	J	T					
320. ACCOUNT #12 (H)									
321. VANGUARD INDEX VANGUARD 500 INDEX FD ADMIRAL SHS (VFIAX)	A	Dividend	J	T					
322. ACCOUNT #13 (H)									
323. MICROSOFT (MSFT)	A	Dividend	L	T					
324. ACCOUNT #14 (H)									
325. WELLS FARGO BANK (cash)	A	Interest	K	T					
326. ABBOTT LABORATORIES (ABT)	A	Dividend	K	T					
327. ACCENTURE PLC IRELAND SHARES CLASS A (ACN)	A	Dividend	K	T					
328. AFLAC INC (AFL)	A	Dividend	J	T					
329. AIR PRODUCTS & CHEMICALS INC (APD)	A	Dividend	J	T					
330. AMERICAN TOWER CORP (AMT)	A	Dividend	J	T	Buy	04/08/20	J		
331.					Buy (add'l)	09/04/20	J		
332. AMGEN INC (AMGN)	A	Dividend	J	T					
333. ANALOG DEVICES INC (ADI)	A	Dividend	K	T					
334. APPLE INC (AAPL)	A	Dividend	K	T					
335. AT&T INC (T)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
336. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	K	T					
337. BECTON DICKINSON & CO (BDX)	A	Dividend	J	T					
338. BLACKROCK INC (BLK)	A	Dividend	J	T					
339. CARRIER GLOBAL CORP (CARR) (X)		None			Sold	04/08/20	J		
340. CHEVRON CORPORATION (CVX)	A	Dividend	J	T					
341. CHUBB LTD (CB)	A	Dividend	J	T					
342. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
343. CLOROX COMPANY (CLX)	A	Dividend	J	T	Sold (part)	03/25/20	J		
344. COLGATE-PALMOLIVE CO (CL)	A	Dividend	J	T					
345. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	K	T					
346. EATON VANCE CORP NON VTG (EV)	A	Dividend	J	T					
347. EMERSON ELECTRIC CO (EMR)	A	Dividend	J	T					
348. EVERSOURCE ENERGY (ES)	A	Dividend	J	T					
349. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	08/10/20	J		
350. FACTSET RESEARCH SYSTEMS INC (FDS)	A	Dividend	J	T					
351. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
352. ILLINOIS TOOL WORKS INC (ITW)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
353. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
354. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T					
355. LINDE PLC (LIN)	A	Dividend	J	T					
356. LOWES COMPANIES INC (LOW)	A	Dividend	K	T					
357. L3HARRIS TECHNOLOGIES INC (LHX)	A	Dividend	J	T					
358. MCDONALDS CORP (MCD)	A	Dividend	J	T					
359. MEDTRONIC PLC (MDT)	A	Dividend	K	T					
360. MICROSOFT (MSFT)	A	Dividend	L	T					
361. NEXTERA ENERGY INC (NEE)	A	Dividend	K	T					
362. NIKE INCL CLASS B (NKE)	A	Dividend	J	T					
363. NORFOLK SOUTHERN CORP (NSC)	A	Dividend	K	T					
364. NOVARTIS AG SPON ADR (NVS)	A	Dividend	J	T					
365. OTIS WORLDWIDE CORP (OTIS) (X)		None			Sold	04/08/20	J		
366. PAYCHEX INC (PAYX)	A	Dividend	J	T					
367. PEPSICO INCORPORATED (PEP)	A	Dividend	J	T					
368. PHILLIPS 66 (PSX)	A	Dividend	J	T	Buy (add'l)	12/30/20	J		
369. POLARIS INDS INC (PII)	A	Dividend			Sold	04/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

Date of Report

03/26/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
370. PROCTER & GAMBLE CO (PG)	A	Dividend	J	T					
371. RAYTHEON TECHNOLOGIES (RTX) (was UNITED TECHNOLOGIES (UTX))	A	Dividend	J	T					
372. SYSCO CORPORATION (SYY)	A	Dividend			Sold	06/10/20	J		
373. TARGET CORP (TGT)	A	Dividend	J	T					
374. UNITEDHEALTH GROUP INC (UNH)	A	Dividend	J	T	Buy	03/25/20	J		
375.					Buy (add'l)	09/03/20	J		
376. US BANCORP NEW (USB)	A	Dividend	J	T					
377. V F CORPORATION (VFC)	A	Dividend	J	T					
378. WALMART INC (WMT)	A	Dividend	J	T					
379. WEC ENERGY GROUP INC (WEC)	A	Dividend	J	T					
380. 3M CO (MMM)	A	Dividend	J	T					
381. ACCOUNT #15 (H)									
382. WELLS FARGO BANK (cash)	A	Interest	M	T					
383. ABBOTT LABORATORIES (ABT)	A	Dividend	J	T					
384. ALTRIA GROUP INC (MO)	A	Dividend			Sold	01/24/20	J		
385. AMGEN INC (AMGN)	A	Dividend	J	T					
386. APPLE INC (AAPL)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
387. CHUBB LTD (CB)	A	Dividend	K	T	Buy (add'l)	01/24/20	J		
388. CISCO SYSTEMS INC (CSCO)	A	Dividend	J	T					
389. DISNEY WALT COMPANY (DIS)	A	Dividend	K	T					
390. EXXON MOBIL CORP (XOM)		None			Sold	02/04/20	J		
391. GENL DYNAMICS CORP (GD)	A	Dividend	J	T					
392. HOME DEPOT INC (HD)		None			Sold	02/06/20	J	D	
393. HONEYWELL INTERNATIONAL INC (HON)	A	Dividend	J	T					
394. HUNTINGTON BANCSHRES INC (HBAN)	A	Dividend	J	T					
395. INTEL CORP (INTC)	A	Dividend	J	T					
396. INVESCO FTSE RAFI US 1000 (PRF)	A	Dividend	K	T	Sold (part)	01/31/20	J	D	
397. ISHARES CORE S&P MID ETF (IJH)	A	Dividend	K	T	Sold (part)	01/24/20	K	D	
398.					Sold (part)	02/03/20	K	D	
399. ISHARES CORE S&P SMALLCAP (IJR)	A	Dividend	K	T	Sold (part)	01/24/20	K	C	
400. JOHNSON & JOHNSON (JNJ)		None			Sold	02/06/20	K	C	
401. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T					
402. KEYCORP NEW (KEY)	A	Dividend	J	T					
403. LOCKHEED MARTIN CORP (LMT)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Agresti, Thomas P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
404. LOWES COMPANIES INC (LOW)	A	Dividend	K	T					
405. MCDONALDS CORP (MCD)	A	Dividend	K	T	Buy (add'l)	01/24/20	J		
406. MERCK & CO INC NEW (MRK)	A	Dividend	J	T					
407. PFIZER INCORPORATED (PFE)	A	Dividend	J	T					
408. REALTY INCOME CORP REIT (O)	A	Dividend	J	T					
409. SELECT SECTOR SPDR TR FINANCIAL (XLF)	A	Dividend	J	T					
410. SPDR S&P 500 TRUST (SPY)	A	Dividend	K	T	Sold (part)	02/03/20	K	E	
411. TRUIST FINL CORP (TFC)	A	Dividend	J	T					
412. T ROWE PRICE GROUP INC (TROW)		None			Sold	01/24/20	J	C	
413. TEXAS INSTRUMENTS INC (TXN)		None			Sold	01/24/20	J	D	
414. TOTAL S.A. SPONS ADR (TOT)	A	Dividend			Sold	02/04/20	J		
415. TC ENERGY CORP (TRP)	A	Dividend			Sold	02/27/20	J	C	
416. US BANCORP NEW (USB)	A	Dividend	J	T					
417. VALERO ENERGY CORP NEW (VLO)	A	Dividend			Sold	02/27/20	J		
418. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
419. WELLS FARGO & CO NEW (WFC)	A	Dividend	J	T					
420. AMERICAN FUNDS BD CL F2 (TEAFX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
421. BLACKROCK MULTI STATE MUNICIPAL BD (MAPYX)	B	Dividend	L	T					
422. BLACKROCK MUN BD FD INSTL CL (MANLX)	B	Dividend	L	T					
423. DIAMOND HILL LONG-SHORT FD CL I (DHLSX)	A	Dividend	L	T	Sold (part)	01/31/20	J	C	
424. EATON VANCE FUNDS SHORT DUR MUNI OPPTY S CL I (EMAIX)	A	Dividend	L	T	Buy	02/10/20	L		
425. FRANKLIN GLOBAL TR INTL GROWTH FD AD CL (FNGZX)	B	Dividend	L	T					
426. GOLDMAN SACHS H/Y MUNI FD I (GHYIX)	B	Dividend	L	T	Buy	02/06/20	L		
427. MFS INT DIVERS FD CL I (MDIUX)	B	Dividend	L	T					
428. WELLS FARGO FUNDS HIGH YIELD MUNICIP BD INSTL CL (WHYIX)	B	Dividend	L	T					
429. VANGUARD PA TAX FREE FD L-T T-E ADM (VPALX)	B	Dividend	L	T	Buy	02/03/20	K		
430. WELLS FARGO STRATEGIC MUNICIPAL BD INST CLASS (STRIX)	A	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

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K =\$15,001 - \$50,000

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V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account #1 is a TALCOTT RESOLUTION VARIABLE ANNUITY, formerly Hartford Life Ins. Co. Individual Variable Annuity. Underlying assets are listed in Part VII.

Account #2 is a JOHN HANCOCK LIFE INS CO VARIABLE ANNUITY. The underlying asset is listed in Part VII.

Accounts #3 and #4 are PACIFIC LIFE VALUES VARIABLE ANNUITIES. Underlying assets are listed in Part VII.

Account #5 is a HARTFORD LEADERS LIFE INS VARIABLE ANNUITY. Underlying assets are listed in Part VII.

Account #6 is a LINCOLN NATL LIFE INS VARIABLE ANNUITY. The underlying asset is listed in Part VII.

RAYTHEON TECHNOLOGIES (RTX) was UNITED TECHNOLOGIES CORP (UTX).

TRANE TECHNOLOGIES (TT) was INGERSOLL RAND (PLC).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Agresti, Thomas P.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas P. Agresti**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544