

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Gershon, Nina	2. Court or Organization EDNY	3. Date of Report 04/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Gershon, Nina

Date of Report

04/30/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	JAMS- miscellaneous
2. 2020	pension-NYState
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Gershon, Nina

Date of Report

04/30/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Gershon, Nina

Date of Report

04/30/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. Citibank Checking	A	Interest	K	T					
3. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
4. Kaufmann Fund	E	Dividend	O	T	Buy (add'l)	01/06/20	M		
5.					Buy (add'l)	11/25/20	M		
6. NYS Deferred Comp	E	Int./Div.	N	T					
7. NY Money Trust (MS)	A	Interest	J	T					
8. Vanguard 500 (IRA)	A	Dividend	L	T					
9. Vanguard GNMA Fund	B	Dividend	L	T					
10. Vanguard GNMA (IRA)	B	Dividend	K	T					
11. NYS Hsg Finance Agency Bond	A	Interest	K	T	Redeemed (part)	05/15/20	J	A	
12. Citibank Market Rate Acct	A	Interest	K	T					
13. NYS TWY Auth	B	Interest	L	T					
14. Metropolitan W BE Bond	B	Interest			Redeemed	10/01/20	K	A	
15. VanguardTotalBondMktIndexFund(IRA)	B	Dividend	N	T					
16. NYS Dorm Auth Bond	B	Interest			Redeemed	07/01/20	K	A	
17. NYC Mun Water Bond	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MTA NY Trans Bond	A	Interest	K	T					
19. NYC Dorm Auth	B	Interest	K	T					
20. Vanguard 500	D	Dividend	O	T					
21. PtAuth NY&NJ	B	Interest	K	T					
22. NYS GOB-E	A	Interest	K	T					
23. NYC TFA Fiscal	B	Interest			Redeemed	10/01/20	K	A	
24. Vanguard SEP (IRA)(Total Intern'l Stock Index Fund)	A	Interest	N	T	Buy (add'l)	07/20/20	L		
25. Vanguard Ext.Mkt.IndexFund	A	Dividend	L	T					
26. Vanguard Total Int'l Stock Index Fund	B	Dividend	K	T					
27. MinisinkVYCentSchDist	A	Interest	K	T					
28. StatePubSchBldgAuth PACollege	A	Interest	K	T					
29. NYS Dorm Auth	B	Interest	L	T					
30. WesternNassauCty WtrAuth	B	Interest	L	T					
31. Vanguard 500	A	Dividend	K	T					
32. Triboro Bridge Tunnel	C	Interest	L	T					
33. NY Convention Ctr Dev Corp	A	Interest	K	T					
34. NYC NY TransFinAuth	B	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. NYC GOB Ser-I-1	A	Interest	L	T					
36. NYC Mun Water Finance	A	Interest	K	T					
37. JAMS		None	M	T					
38. NYC GOB Ser1-1	A	Interest	K	T					
39. NYC Mun Water Finance Secd genl res rev-HH	A	Interest	K	T					
40. NYC Transitional Fin Auth	A	Interest	K	T					
41. JPMorganChase Checking Acct	A	Interest	L	T					
42. NYC TransFinAuthBldgAidRev	A	Interest	M	T					
43. NYC TransFinAuthBldgAidRev	A	Interest	J	T					
44. DutchessCty FinFutureIssue	A	Interest	K	T					
45. MonroeCtyNY IndlDevCorpRev	A	Interest	L	T					
46. NYC MunFinWater Auth	A	Interest	M	T					
47. NY ConventionCtrDevCorp	A	Interest	L	T					
48. NY ConventionCtrDevCorp	A	Interest	M	T					
49. Blind Brook-RyBe2	A	Interest	L	T					
50. USAA Bank Check Account	A	Interest	M	T	Open	07/17/20	J		
51. MTA NY Rev Bond	A	Interest	M	T	Buy	07/29/20	M		

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52. Blind Brook-RYE	A	Interest	M	T	Buy	11/18/20	M		
53.									
54.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Gershon, Nina

Date of Report

04/30/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NYS Hsg Finance Agency Bond was inadvertently reported as "Sold" on my 2019 report. This should have been reported as "Redeemed(part)".

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Gershon, Nina

Date of Report

04/30/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544