

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) STEVENS, JOHN PAUL	2. Court or Organization SUPREME COURT OF THE U. S.	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE (Ret.)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1 FIRST STREET, N. E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	New York Review of Books - book review and royalties	\$5,219.91
2. 2011	Little, Brown and Company - book royalties	\$318,750.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	May 2-4, 2011	New York, New York	Annual Law Day Dinner	Transportation, Meals, Hotel
2. Northwestern Law School	May 11-14, 2011	Chicago, Illinois	Law Review Symposium and Law School Commencement	Transportation, Meals, Hotel
3. University of Colorado School of Law	September 22-23, 2011	Boulder, Colorado	Inaugural Stevens Lecture	Transportation, Meals, Hotel
4. Gerald R. Ford Presidential Foundation	September 29, 2011	Grand Rapids, Michigan	William E. Simon Lecture/ Luncheon	Transportation, Meal
5. Little, Brown and Company	October 2-4, 2011	Chicago, Illinois	Q&A session at the University of Chicago	Transportation, Meals, Hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

6.	Little, Brown and Company	October 9-10, 2011	New York, New York	Q&A session at the 92nd Street Y	Transportation, Meals, Hotel
7.	Princeton Law School	October 10-11, 2011	Princeton, New Jersey	Harlan Lecture and Q&A session	Transportation, Meals, Hotel
8.	American College of Trial Lawyers	October 20-22, 2011	La Quinta, California	ACTL Annual Meeting	Transportation, Meals, Hotel
9.	The University of Alabama School of Law	November 16-17, 2011	Tuscaloosa, Alabama	Fall 2011 Albritton Lecture	Transportation, Meals, Hotel

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Union League Club of Chicago	Honorary Membership (Non-resident fee)	\$840.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. VA ST Public School Bonds	B	Interest	K	T					
2. BB&T Ins. Inv., Arlington	A	Interest	M	T					
3. BB&T Ins. Inv., Arlington	A	Interest	M	T					
4. Wells Fargo (formerly Wachovia)	B	Interest	N	T					
5. Wells Fargo (formerly Wachovia)	A	Interest	K	T					
6. Wells Fargo (formerly Wachovia)	A	Interest	L	T					
7. Wells Fargo (formerly Wachovia)	B	Interest	M	T					
8. Bank of America	B	Interest	M	T					
9. Metro Wash DC Airport Authority	B	Interest	K	T					
10. Income Beneficiary Family Trust No. 1	B	Interest	N	T					
11. - Shares/Bank of America CTF US Govt Obligation Fund									
12. - Shares/Bank of America Short Term Investment Fund									
13. Income Beneficiary Family Trust No. 2	E	Interest	P1	W					
14. - Investment property in Webster County, Iowa									
15. - Shares/Bank of America Short Term Investment Fund									
16. - Bank of America CTF Tax Exempt Bond Fund									
17. Norfolk, VA GO Cap Imp and Ref Bds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VA College Building Authority	B	Interest	K	T					
19. Greater Richmond Convention Control	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting	Date of Report
STEVENS, JOHN PAUL	05/07/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

STEVENS, JOHN PAUL

Date of Report

05/07/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JOHN PAUL STEVENS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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