

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Richard B.	2. Court or Organization UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO	3. Date of Report 04/16/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address US Courthouse 901 19th St Denver CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	PERA STATE OF COLORADO	\$75,299.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	SELF-EMPLOYED TRAVEL AGENT
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -VANGUARD VALUE VIPERS	B	Dividend	L	T					
3. -BNY MELLON INSTITUTIONAL S AND P 500	A	Dividend	L	T					
4. -BNYMellon International Small Cap	A	Dividend	K	T	Buy	06/23/20	K		
5. -VANGUARD EXTENDED MKT VIPERS		Dividend			Sold	02/13/20	K	E	
6. -VANGUARD GROWTH VIPERS	A	Dividend	L	T					
7. -BNY MELLON MUNICIPAL OPPORTUNITIES FUND	A	Dividend	K	T					
8. -BNYMELLON NATIONAL INTERMEDIATE MUNICIPAL BOND FUND	B	Dividend	M	T					
9. -BNY MELLON NATIONAL SHORT TERM MUNICIPAL BOND FUND	A	Dividend	K	T					
10. -BNYMellon HIGH YIELD FUND	A	Dividend	J	T					
11. -BNYMellonFLOATING RATE INC	A	Dividend	J	T					
12. -BNY Mellon S GLOBAL Real RETURN		Dividend			Sold	07/07/20	J	B	
13. -BNY Mellon Global Real Estate	A	Dividend	J	T					
14. -BNYMellon DIVERSIFIED EMR MKTS	A	Dividend	K	T					
15. -TCW EMERGING MKTS INCOME FUND	A	Dividend	K	T	Buy	06/10/20	K		
16. -ISHARES MSCI EAFE INDEX FD	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. -ishares Russell Midcap	A	Dividend	K	T	Buy	04/01/20	K		
18. -ishares russell 2000 etf	A	Dividend	K	T	Buy	04/01/20	K		
19. -BNYMellon DYNAMIC TOTAL RETURN FUND		None			Sold	06/23/20	K	B	
20. -SPDR s&P Dividend		Dividend			Sold	06/11/20	K	B	
21. -IShares Tr S & P midcap		Dividend			Sold	04/01/20	K	A	
22. -Ishares Tr S&P Small cap		Dividend			Sold	04/01/20	J	A	
23. BROKERAGE ACCOUNT #1									
24. -FED HOME LN MTG CORP		Dividend			Sold	01/30/20	L	A	
25. -FEDERAL HOME LNMTC CORP		Dividend			Sold	05/01/20	K	A	
26. -US TREASURY NOTE 2% 11/21	A	Interest	L	T					
27. -U.S.TREASURY NOTE 2.25% 4/30/21	B	Interest	L	T					
28. -U.S.TREASURY NOTE 2% 2/15/22	A	Interest	L	T					
29. -U.S.TREASURY NOTE 2%/ 7/31/20	A	Interest	L		Sold	07/31/20	L	A	
30. -US TREASURY NOTE 2% 2/23	A	Interest	K	T					
31. -BERKSHIRE HATHAWAY INC 2/11/23	A	Interest	K	T					
32. -INTEL CORP 12/15/22	A	Interest	K	T					
33. -ISHARES TR MIDCAP	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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34. -ISHARES MSCI EAFE INDX FUND	C	Dividend	M	T					
35. -iSharesCore MSCI Emerging Mkts	A	Dividend	K	T	Buy	12/18/20	K		
36. -iShares Russell 2000 Index Fund	A	Dividend	L	T	Buy	08/03/20	K		
37. -DFA EMERGING CORE EQUITY	A	Dividend	L	T					
38. -BNYMellon instit S AND P 500 STOCK INDEX	E	Dividend	P1	T	Buy (add'l)	06/12/20	N		
39. -BNY Mellon DIVERSIFIED INTL	A	Dividend	J	T	Sold (part)	05/29/20	L	D	
40. -BNY Mellon HIGH YIELD 1	D	Dividend	M	T	Buy (add'l)	05/29/20	L		
41. -BNY Mellon FLOATING RATE INCOME FUND	D	Dividend	M	T	Buy (add'l)	05/29/20	L		
42. -BNY Mellon Gbl Dyn Bnd	C	Dividend	L	T					
43. -BNY Mel Global Real Estate	A	Dividend	K	T					
44. -BNY Mel International Small Cap	A	Dividend	L	T	Buy (add'l)	07/31/20	K		
45. -BNY Mellon SELECT MGER S/CAP	A	Dividend	L	T					
46. -BNY mellon Select Mgr s/cap Growth	A	Dividend	K	T					
47. -BNY MELLON INCOME STOCK FUND	B	Dividend	K	T	Sold (part)	05/05/20	K	A	
48. -BNY MELLON CORP BOND	B	Dividend	K	T	Sold (part)	07/31/20	L	D	
49. -BNY Mellon Bond Fund	D	Dividend	O	T	Buy (add'l)	07/31/20	L		
50. -BNY Mel Adv Dyn totl REt		Dividend			Sold	05/29/20	L	A	

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51. -Blackrock Inc 3/18/24	B	Interest	L	T					
52. -CATERPILLAR INC 2.6% 6/22	A	Interest	K	T					
53. -TCW Emerging Markets income fund	B	Dividend	M	T	Buy	06/11/20	M		
54. -Van Eck Cm Commodity Index	A	Dividend	K						
55. -Wells Fargo & Co 3/4/21	A	Interest	L	T					
56. -Anheuser Busch 25000 7/15/22		Interest			Sold	07/15/20	K	A	
57. -PEPSICO INC 3.125% 11/20		Interest			Sold	11/02/20	K	A	
58. 401(k) #1									
59. -PERAdvantage Income Fund	B	Dividend	K	T					
60. -PERAdvantage Fixed Income	B	Dividend	K	T					
61. -PERAdvantage US Large Cap Stk	B	Dividend	J	T					
62. -PERAdvantage Intl Stock Fd	B	Dividend	J	T					
63. BROKERAGE ACCOUNT #2									
64. --ALAMEDA CNTYH CA TRANSP AUTHO 3/1/205%		Interest			Sold	03/02/20	L	A	
65. -CHEVRON CORP	B	Dividend	K	T					
66. -EXXON MOBIL	C	Dividend	K	T	Sold (part)	10/27/20	K	E	
67. -UNION PAC CORP	A	Dividend	K	T					

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68. -WALT DISNEY CO	A	Dividend	J	T					
69. -STARBUCKS CORP	C	Dividend	O	T					
70. -Medtronic	A	Dividend	J	T					
71. -NESTLE S A SPONSORED ADR REPSTG REG	A	Dividend	L	T					
72. -PEPSICO INC	A	Dividend	K	T					
73. -PROCTER & GAMBLE CO	B	Dividend	K	T					
74. -JOHNSON & JOHNSON	B	Dividend	K	T					
75. -PFIZER INC	A	Dividend	J	T					
76. -JP MORGAN CHASE & CO	A	Dividend	K	T					
77. -ACCENTURE PLC	A	Dividend	J	T					
78. -Abbvie Inc	A	Dividend	J	T	Buy	03/27/20	J		
79. -Align Technology Inc		Dividend			Sold	01/30/20	J	C	
80. -American Tower Corp	A	Dividend	J	T					
81. -APPLE INC	A	Dividend	M	T					
82. -Bristol Myers	A	Dividend	J	T					
83. -Broadcom Inc	B	Dividend	K	T					
84. -CME Group Inc	A	Dividend	J	T					

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85. -Deere and CO	A	Dividend	J	T					
86. -Eaton Corp	A	Dividend	J	T	Buy	01/30/20	J		
87. -Equinix Inc	A	Dividend	J	T					
88. -Exact Sciences Corp		Dividend			Sold	03/27/20	J	A	
89. -Exelon Corp	A	Dividend	J	T					
90. -GOOGLE INC/ALPHABET		None	K	T					
91. -SALESFORCE.COM INC		None	L	T					
92. -S & P Global Inc	A	Dividend	J	T	Buy	03/27/20	J		
93. -United Healthgroup Inc	A	Dividend	J	T	Buy	03/27/20	J		
94. -Truist Finl Corp	A	Dividend	J	T	Buy	03/27/20	J		
95. -ISHARES MSCI EAFE INDEX FD	C	Dividend	M	T					
96. -Ishares Core S & P Midcap 400	B	Dividend	L	T	Buy	03/27/20	L		
97. -Ishares Core S & P Small cap	A	Dividend	L	T	Buy	07/16/20	L		
98. -IShares Core MSCI Emerging Mkts	A	Dividend	K	T	Buy	12/18/20	K		
99. -IShares MSCI Emerging Mkts	A	Dividend	K	T	Buy	03/27/20	K		
100. -IShares MSCI eafe small cap		Dividend			Sold	03/27/20	K	A	
101. -IShares Russell mid cap		Dividend			Sold	03/27/20	L	A	

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102. -IShares Russell 2000 etf		Dividend			Sold	03/27/20	K	D	
103. -Microsoft Corp	A	Dividend	K	T					
104. -VANGUARD EXTENDED MARKET VIPERS INDEX FUND	A	Dividend	M	T					
105. -Visa Inc	A	Dividend	J	T					
106. -EL PASO CTY CO REV	B	Interest	L	T					
107. -BNY MELLON MUNICIPAL OPPORTUNITIES	B	Dividend	M	T					
108. -TUALATIN HILL OR 6.21	B	Interest	L	T					
109. -Platte River COPwer 5% 6/1/24	A	Interest	K	T					
110. -PROVO UTAH 4% 1/20		Interest			Sold	01/02/20	K	A	
111. -AMAZON .COM INC	A	Dividend	M	T					
112. -Adams CO 12 Five Star Sch 5.5% 12/15/22	A	Interest	L	T	Buy	07/24/20	L		
113. -ADOBE SYSTEMS INC		None	L	T					
114. -Arapahoe Cty CO School Dstr 5% 5/25	C	Interest	L	T					
115. -Aurora CO 5% 8/1/23	A	Interest	L	T	Buy	07/29/20	L		
116. -Boulder Valley Sch Dis 4% 12/1/26	B	Interest	L	T					
117. -CELANESE CORP	A	Dividend	J	T					
118. -CHARLES SCHWAB CORP		Dividend			Sold	03/27/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. -CVS Health		Dividend			Sold	03/27/20	J	A	
120. -Cisco Systems		Dividend			Sold	06/11/20	K	A	
121. -Denver City Cnty 5% 9/25	A	Interest	L	T					
122. -Douglas Cnt Co Sch 5% 12/15/21	A	Interest	L	T					
123. -EAGLE GARFIELD 4.0% 12/22	A	Interest	L	T					
124. -Englewood CO 5% 12/1/24	A	Interest	L	T					
125. -FACEBOOK INC		None	J	T					
126. -DENVER CITY & CTY CO SCH 12/19/2021 4%	B	Interest	L	T					
127. -ABBOTT LABS	A	Dividend	J	T					
128. -BNY Mellon Dyn Ttl Return		Dividend			Sold	07/15/20	M	D	
129. -BNYMellon Floating Rate Income Fund	B	Dividend	L	T	Buy	06/10/20	L		
130. -BNYMellon HIGH YIELD FD	A	Dividend	L	T					
131. Regional Transprt n Dist CO Sales 5% 11/1/26	B	Interest	L	S	Buy	11/02/20	L		
132. -TCW EMERGING MKTS INCOME FUND	D	Dividend	M	T	Buy	06/10/20	L		
133. -Univ of Co Enterprise 5% 6/1/22	A	Interest	L	T					
134. -Univer of Colorado 5% 6/28	B	Interest	L	T					
135. -Weld CO School Dstr 5% 12/24	B	Interest	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -Wiggins CO School Dstr 5% 12/26	B	Interest	L	T					
137. IRA #2									
138. -BNY MELLON INTERM BOND FUND CLASS M	B	Dividend	L	T					
139. -BNYMellon Bond Fund	B	Dividend	L	T					
140. -BNYMellon High Yield Fund	A	Dividend	K	T	Buy	07/15/20	K		
141. -BNY MELLON SHORT TERM US GOVERNMENT SEC FUND		Dividend			Sold	07/15/20	J	A	
142. -BNYMellon YIELD ENHANCEMENT STRATEGY FUND		Dividend			Sold	07/15/20	J	A	
143. -BNYMellon DYNAMIC TOTAL RETURN		None			Sold	05/29/20	J	A	
144. -BNY MELLON Select ManagerSC FUND		None	J	T					
145. -BNYMellon DIVERSIFIED INTERNATIONAL FUND	A	Dividend	K	T					
146. -BNYMellon Emerging Market Fund	A	Dividend	J	T					
147. -BNYMellon Global real estate sec	A	Dividend	J	T					
148. -BNYMellon Midcap Index	A	Dividend	K	T					
149. -BNYMellon Inst S AND P 500 STOCK INDEX FD	B	Dividend	M	T	Buy (add'l)	07/15/20	L		
150. -BNY Mellon select manager small cap Growth	A	Dividend	J	T	Buy	07/16/20	J		
151. -iShares MSCI Eafe Small Cap Index	A	Dividend	J	T	Buy	07/16/20	J		
152. -Pacific Funds Floating Rate Income	A	Dividend	K	T	Buy	07/16/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -TCW Emerging Markets income fund	A	Dividend	K	T	Buy	07/15/20	K		
154. -Van eck Com Commodity	A	Dividend	K	T					
155. BROKERAGE ACCOUNT #3									
156. -BNYMellon Global stock Fund	A	Dividend	K	T					
157. BROKERAGE ACCOUNT #4									
158. -CIT GROUP INC NEW	A	Dividend	J	T					
159. -Diversified Real Asset income Fnd	A	Dividend	J	T					
160. -GABELLI DIVIDEND & INCOME TRUST	A	Dividend	J	T					
161. -AIM INV INVESCO VAN KAMPEN CORP BOND FD.	B	Dividend	K	T					
162. -AIM INV INVESTCO VAN KAMPEN HIGH YD FD CLASS A	A	Dividend	K	T					
163. -PLUM CREEK TIIMBER CO INC	A	Dividend	J	T					
164. -WELLSFARGO WEALTH BUILDER MODERATE BALANCE FUND	A	Dividend	K	T					
165. -WELLS FARGO BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
166. TRUST #1									
167. -SPDR S AND P 500 FD	A	Dividend	K	T					
168. -BNY MELLON NATL INTER MUN BD FUND	A	Dividend	K	T					
169. -BNYMellon Dynamic Total return fund		Dividend			Sold	04/01/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -BNYMellon Mun Opportunity Fund	A	Dividend	J	T					
171. -bny mellon natl short term mun bond	A	Dividend	J	T	Buy	04/01/20	J		
172. -BNY MELLON SMALL CAP MULTI STRATEGY		None	J	T					
173. -BNYMellon HIGH YIELD FD	A	Dividend	J	T					
174. -BNYMellon FLOATING RATE INCOME FUND	A	Dividend	J	T					
175. -BNYMellon GLOBAL REAL ESTATEFUND	A	Dividend	J	T					
176. -DFA EMERGING MARKETS CORE EQUITY	A	Dividend	J	T					
177. -ishares Russell Midcap	A	Dividend	J	T					
178. -ishares MSCI Eafe	A	Dividend	J	T	Buy	06/11/20	J		
179. -ishares MSCI Eafe small cap	A	Dividend	J	T	Buy	07/16/20	J		
180. -TCW EMERGING MKTS INCOME FD	A	Dividend	J	T	Buy	06/11/20	J		
181. -Van Eck Cm Commodity	A	Dividend	J	T					
182. TRUST #2									
183. -SPDR S&P500ETF	B	Dividend	M	T	Buy (add'l)	07/16/20	K		
184. -BNYMellon DYNAMIC TOTAL REFUND FUND		Dividend			Sold	05/29/20	J	A	
185. -ISHARES MSCI EAFE INDX FD	A	Dividend	K	T					
186. -IShares MSCI Eafe Small Cap Index	A	Dividend	J	T	Buy	07/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
187. -Ishares Russell MidCap Index	A	Dividend	K	T					
188. -IShares Russell 2000 Index	A	Dividend	J	T					
189. -TCWEMERGING MKTS INCOME FUND	A	Dividend	K	T	Buy	06/11/20	J		
190. -BNYMellon FLOATING RATE INCOME FUND	A	Dividend	K	T					
191. -BNYMellon DIVERSIFIED INTL		None			Sold	05/20/20	J	A	
192. -BNYMellon Diversified Emerging Mkts	A	Dividend	J	T					
193. -BNYMellon Global Real Estate	A	Dividend	J	T					
194. -BNY Mel High Yield	A	Dividend	J	T					
195. -BNYMELLON NATIONAL SHORT TERM MUN FUND	A	Dividend	J	T					
196. -Van Eck CM Commodity Index Fund	A	Dividend	J	T					
197. -BNY Mellon Municipal Opport Fund	A	Dividend	K	T					
198. -BNYMellon Natl Intermed Muni Bond Fund	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Jackson, Richard B.	Date of Report 04/16/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Jackson, Richard B.

Date of Report

04/16/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard B. Jackson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544