

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Jeffrey V.	2. Court or Organization U.S. District Court, Southern District of Texas	3. Date of Report 07/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address U.S. Courthouse 601 25th Street Suite 613 Galveston, Texas 77550		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Bay Area Council, Boy Scouts of America
2. Trustee	Texas Supreme Court Historical Society
3. Director	University of Houston Law Foundation
4. Director	Houston Law Review
5. Adjunct Professor of Law	St. Mary's University School of Law
6. Justice	Supreme Court of Texas
7. Independent Contractor (book editor)	Thomson Reuters

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	State of Texas: Judicial Pension
2. 2001	Harris County, Texas: Employee Pension
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Thomson-Reuters, book-editing income	\$2,000.00
2. 2019	St. Mary's University School of Law, teaching income	\$5,000.00
3. 2019	Texas Judicial Retirement System, judicial pension	\$32,660.00
4. 2019	Supreme Court of Texas	\$129,958.53

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Hays Consolidated Independent School District, teaching income
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. St. Mary's University School of Law	July 2019	Innsbruck, Austria	St. Mary's Institute on World Legal Problems (teaching)	Transportation, housing allowance
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank.cash accounts	A	Interest	K	T					
2. Morgan Stanley money-market account	A	Interest	K	T					
3. USAA Federal Savings Bank cash accounts	A	Interest	J	T					
4. Morgan Stanley Rollover IRA account (H)									
5. Blackrock Inflat Prot Bond I Fund	A	Dividend			Sold	04/18/19	J	A	
6.			J	T	Buy	12/19/19	J		
7. Blackrock Low Dur Bd Inv Invst Fund	A	Dividend			Sold	04/18/19	J	A	
8.			J	T	Buy	12/19/19	J		
9. Causeway Emerging Mkts Inst Fund	B	Dividend			Sold	04/18/19	J	B	
10.			J	T	Buy	12/19/19	J		
11. Delaware Value Instl Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
12. Edgewood Growth Instl Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
13. GQG Partners Emrg Mkts Eq Ins	A	Dividend	J	T	Buy	04/18/19	J		
14. Harding Loevner Intl Eqty Inst Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
15. Hartford Midcap I Fund	A	Dividend			Sold	04/18/19	J	A	
16.			J	T	Buy	12/19/19	J		
17. Hartford Small Cap Growth I Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. JPMorgan Value Advantage I Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
19. Lazard Intl Strat Eq Ptf Inst Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
20. Loomis Growth Y Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
21. Lyrical US Value Equity Instl Fund	A	Dividend			Sold	04/18/19	J	A	
22.			J	T	Buy	12/19/19	J		
23. Matthews Asian Japan Inv Fund	B	Dividend			Sold	04/18/19	J	B	
24.			J	T	Buy	12/19/19	J		
25. Metropolitan West Tot Ret Bd I Fund	A	Dividend			Sold	04/18/19	J	A	
26.			J	T	Buy	12/19/19	J		
27. Neuberger Intrinsic Value Inst Fund	A	Dividend			Sold	04/18/19	J	A	
28.			J	T	Buy	12/19/19	J		
29. Peartree Polaris FGN VL I Fund	B	Dividend			Sold	04/18/19	J	B	
30.			J	T	Buy	12/19/19	J		
31. Pimco Long Term Credit Bd 12	A	Dividend	J	T	Buy	04/18/19	J		
32. Pimco Short Term 12	A	Dividend	J	T	Buy (add'l)	12/19/19	J		
33. Wells Fargo Special MDCP VL I Fund	A	Dividend	J	T	Sold (part)	04/18/19	J	A	
34. Western Asset Core Plus Bd I Fund	A	Dividend			Sold	04/18/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. CollegeBound 529 Account (H)			J	T	Buy	12/19/19	J		
36. Invesco CollegeBound 2019-2020 Portfolio C	A	Dividend	J	T	Buy (add'l)	01/14/19	J		
37.					Buy (add'l)	02/14/19	J		
38.					Buy (add'l)	03/14/19	J		
39.					Buy (add'l)	04/12/19	J		
40.					Buy (add'l)	05/14/19	J		
41.					Buy (add'l)	06/14/19	J		
42.					Buy (add'l)	07/12/19	J		
43.					Buy (add'l)	08/14/19	J		
44.					Buy (add'l)	09/13/19	J		
45.					Buy (add'l)	10/14/19	J		
46.					Buy (add'l)	11/14/19	J		
47.					Buy (add'l)	12/13/19	J		
48. Invesco CollegeBound 2019-2020 Portfolio CX	D	Dividend			Sold	07/18/19	J	D	
49. Invesco CollegeBound 2019-2020 Portfolio A	A	Dividend	J	T	Buy	07/18/19	J		
50. USAA Federal Savings Bank cash accounts	A	Interest	J	T					
51. USCRX Cornerstone Moderately Aggressive Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Voya Financial Harris County IRA (H)									
53. 0101 Calvert VP SRI Balanced Portfolio	A	Dividend	J	T					
54. Empower Retirement Texasaver 401(K) (H)									
55. BlackRock LifePath Index 2035 Fund	A	Dividend	K	T	Buy (add'l)	01/02/19	J		
56.					Buy (add'l)	02/01/19	J		
57.					Buy (add'l)	03/01/19	J		
58.					Buy (add'l)	04/01/19	J		
59.					Buy (add'l)	05/01/19	J		
60.					Buy (add'l)	06/03/19	J		
61.					Buy (add'l)	07/01/19	J		
62.					Buy (add'l)	08/01/19	J		
63.					Redeemed (part)	08/07/19	K	E	
64.					Buy (add'l)	09/03/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey V. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544