

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Briones, Brian P.	2. Court or Organization New Mexico Federal District Court	3. Date of Report 12/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (part-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 407 N. Auburn Farmington, NM 87401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	The K.R. Funk and Frances L. Funk Revocable Trust dated February 16, 1997, Restated October 30, 2017
2. Director and Secretary	The Four Corners Community Foundation, Inc., Farmington, NM
3. Vice President, Director, Shareholder and employee	Briones Law Firm, P.A.
4. Trustee	Revocable Living Trust UTA May 15, 2015
5. Registered Agent	4 Corners Well Service, Inc.;
6. Amicus Investments, LLC	Member and Manager
7. Reistered Agent	22ND STREET, L.L.C.
8. Registered Agent	2532 RIO VISTA COURT, L.L.C.
9. Registered Agent	2722 EASTRIDGE COURT, L.L.C.
10. Registered Agent	2800 East 20th Street, L.L.C.
11. Registered Agent	2800 Rio Vista Court, L.L.C.
12. Registered Agent	2810 Eastridge Court, L.L.C.
13. Registered Agent	3530 Sol Rey Court, LLC
14. Registered Agent	4004 Abbey Road, L.C.C.
15. Registered Agent	402 North Auburn, L.L.C.
16. Registered Agent	406 North Auburn, L.L.C.

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Briones, Brian P.

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17. Registered Agent	22nd Street, L.L.C.
18. Registered Agent	302 Lake, L.L.C.
19. Registered Agent	312 Lake, L.L.C.
20. Registered Agent	711 Orchard Homes, L.L.C.
21. Registered Agent	812 Maple, L.L.C.
22. Registered Agent	Briones Rental Properties, L.L.C.
23. Registered Agent	Masala Enterprises, L.L.C.
24. Registered Agent	Sussex Family Holdings, L.L.C.
25. Registered Agent	MLJS Holdings, L.L.C.
26. Registered Agent	Boys & Girls Club of Farmington Property Holding, L.L.C.
27. Registered Agent	Four Corners Foundation, Inc.
28. Registered Agent	K&K Holdings, L.L.C.
29. Registered Agent	NELT Investments, L.L.C.
30. Registered Agent	Sweet Cakes, L.L.C.
31. Registered Ageent	PHMF Condominium Association, LLC

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*



NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Briones Law Firm, P.A.	\$156,000.00
2. 2019	Amicus Investments, LLC	\$13,129.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Briones Law Firm, P.A. Employed as office manager
2. 2019	NELT, LLC. One-quarter owner of an investment LLC
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Traditional IRA #2 (35)									
2. CWMBS Mtg Passthru 2003-44-A3 5.00%	A	Interest	J	T					
3. Alphabet Inc CI A	A	Dividend	J	T					
4. Alphabet Inc Cap Stk CI C	A	Dividend	K	T					
5. Amazon.Com Inc	A	Dividend	K	T					
6. Apple Inc	A	Dividend	L	T					
7. AT&T Inc.	A	Dividend	J	T					
8. Berkshire Hathawy Inc CI B		None	K	T					
9. Caterpillar Inc.	A	Dividend	J	T					
10. CococoPhillips	A	Dividend	J	T					
11. Corenergy Infrastr Tr Inc Reit		None	J	T					
12. Diamondback Energy Inc.		None	J	T					
13. General Electric Co	A	Dividend	J	T					
14. Johnson & Johnson	A	Dividend	J	T					
15. Lowes Companies Inc.	A	Dividend	K	T					
16. Microstoft Corp	A	Dividend	K	T					
17. Nokia Corp ADR	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pepsico Inc.	A	Dividend	J	T					
19. Phillips 66	A	Dividend	J	T					
20. Stryker Corp	A	Dividend	K	T					
21. Tesla Inc	A	Dividend	J	T					
22. United Service Parcel Service Inc. CI B	A	Dividend	J	T					
23. United Technologies Corp	A	Dividend	J	T					
24. Walgreens Boots Alliance Inc.	A	Dividend	J	T					
25. Wal-Mart Inc.	A	Dividend	J	T					
26. Walt Disney Co.	A	Dividend	J	T					
27. Invesco Equity and Income A	A	Dividend	J	T					
28.									
29. iShares Core US Aggregate Bond	A	Dividend	K	T					
30. Spdr Dj Global Real Estate ETF	A	Dividend	J	T					
31. Vanguard FTSE Dev Mkts ETF	A	Dividend	K	T					
32. Vanguard Value ETF	A	Dividend	K	T					
33. Vanguard Large Cap ETF	A	Dividend	J	T					
34. Vanguard Small Value ETF	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Capital World Bond F3	A	Dividend	J	T					
36. Bridge Builder Core Plus Bond	A	Dividend	K	T					
37. Bridge Builder INTL Equity	A	Dividend	K	T					
38. Bridge Builder Large Growth	A	Dividend	K	T					
39. Bridge Builder Large Value	A	Dividend	K	T					
40. Bridge Builder Small/Mid Grw	A	Dividend	K	T					
41. Bridge Builder Small/Mid Value	A	Dividend	J	T					
42. Delaware Emerging Markets I	A	Dividend	J	T					
43. Goldman Fs Goverment I	A	Dividend	J	T					
44. Invesco Comstock A	A	Dividend	J	T					
45. Invesco Equity and Income A	B	Dividend	K	T					
46. Invesco Growth and Income A	A	Dividend	J	T					
47. Invesco International Growth A	A	Dividend	J	T					
48. Investco Op Developing Mkts R6	A	Dividend	J	T					
49. Invesco Value Opportunities A	A	Dividend	J	T					
50. Trp High Yield Bond I	A	Dividend	J	T					
51.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. IRA #1 (13) (H)									
53. Apple Inc	A	Dividend	J	T					
54. Invesco Equity and Income A	A	Dividend	J	T					
55. Invesco International Growth A	A	Dividend	J	T					
56. Invesco Value Opportunities A	A	Dividend	J	T					
57.									
58. Traditional IRA #1 (43) (H)									
59. iShares Core US Aggregate Bond	A	Dividend	K	T					
60. Spdr Dj Global Real Estate ETF	A	Dividend	J	T					
61. Vanguard FTSE Dev Mkts ETF	A	Dividend	K	T					
62. Vanguard Value ETF	A	Dividend	K	T					
63. Vanguard Large Cap ETF	A	Dividend	J	T					
64. Vanguard Small Cap Value ETF	A	Dividend	J	T					
65. American Capital World Bond F3	A	Dividend	J	T					
66. Bridge Builder Core Plus Bond	A	Dividend	K	T					
67. Bridge Builder INTL Equity	A	Dividend	K	T					
68. Bridge Builder Large Growth	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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69. Bridge Builder Large Value	A	Dividend	K	T					
70. Bridge Builder Smallmid Growth	A	Dividend	K	T					
71. Bridge Builder Smallmid Value	A	Dividend	K	T					
72. Delaware Emerging Markets I	A	Dividend	J	T					
73. GS FINL Square Govt Fd Instl	A	Dividend	J	T					
74. Invesco Op Developing Mkts R6	A	Dividend	J	T					
75. Trp High Yield Bond I	A	Dividend	J	T					
76.									
77. IRA #2 (34) (H)									
78. Invesco Comstock Fund Cl A	A	Dividend	J	T					
79. Invesco Equity & Income Cl A	A	Dividend	J	T					
80. Invesco Growth & Income Fund A	A	Dividend	J	T					
81.									
82. Roth IRA #2 (82) (H)									
83. Invesco Comstock Fund Cl A	A	Dividend	J	T					
84. Invesco Equity & Income Cl A	A	Dividend	J	T					
85.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Brokerage #1 (62) (H)									
87. Edward Jones Money Market Account	A	Interest	J	T					
88. Applied Materials Inc	A	Dividend	J	T					
89. Berkshire Hathaway Inc Cl B		None	J	T					
90. ConocoPhillips	A	Dividend	J	T					
91. Lowes Companies Inc	A	Dividend	J	T					
92. Phillips 66	A	Dividend	J	T					
93. Invesco Equity & Income Cl A	A	Dividend	K	T					
94.									
95. Clgcounts529 Trp Balanced A (07)	A	Dividend	J	T					
96. Clgcounts529 C&S Div Val A (07)	A	Dividend	J	T					
97. Clgcounts529 TRPp Balanced A (34)	A	Dividend	J	T					
98. Clgcounts529 C&S Div Val A (34)	A	Dividend	J	T					
99.									
100.									
101.									
102.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.									
104.									
105.									
106.									
107.									
108.									
109.									
110.									
111.									
112.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian P. Briones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544