

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 02/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address UNITED STATES COURTHOUSE P.O. BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

02/03/2022

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
BOWEN, DUDLEY H.	02/03/2022

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Marittime New F	A	Dividend	J	T					
3. Dynegy Inc	A	Dividend	J	T					
4. Credit Suisse AG	A	Dividend	J	T					
5. Sch Adv Cash Reserv Prem	A	Dividend	J	T					
6. CHARLES SCHWAB									
7. Ares Capital Corp	A	Dividend	J	T					
8. Wachovia Corp New	A	Dividend	J	T					
9. Charles Schwab Money Market	A	Interest	J	T					
10. ABN AMRO VERDX MCGFX Funds (Alleghany)	D	Dividend	M	T					
11. EI Deupont Drip	A	Dividend	J	T					
12. South State Bank	A	Interest	J	T					
13. TIAA CREF Large Cap Growth Inst Mutual Fund	A	Dividend	L	T					
14. CSRA Fed Cr Union	A	Interest	J	T					
15. Real PPty McCormick County, SC	D	Distribution	J	W					
16. Barclays Mon Mkt Acct		None	J	T					
17. Atlantic Amer Com		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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18. Regional Bank Savings		None	J	T					
19. CHARLES SCHWAB IRA #2									
20. IDII Stock	A	Dividend	J	T					
21. Merrill Lynch Pfd	A	Dividend	K	T					
22. Brookfield Total Return	E	Dividend	K	T					
23. Ares Capital Corp 1476	A	Dividend	J	T					
24. Brookfield Total Rtn 1476	A	Dividend	J	T					
25. Ares Management LP 1476	A	Dividend	J	T					
26. Ford Motor Company	B	Dividend	K	T					
27. Marathon Oil Corporation	A	Dividend	J	T					
28. Southern Company 1476	A	Dividend	J	T					
29. Blackstone Group LP 1476	A	Dividend	J	T					
30. Enterprise Products 1476	A	Dividend	J	T					
31. CHARLES SCHWAB									
32. Pengrowth Energy Corp	A	Dividend	J	T					
33. Blackrock CP High Yield	A	Dividend	J	T					
34. Motorola	A	Dividend	J	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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T =Cash Market

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

02/03/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Lucent	A	Dividend	J	T					
36. New Hampshire Department of Education	A	Dividend	J	T					
37. New Hampshire Department of Education	A	Dividend	J	T					
38. New Hampshire Higher Educational & Health Facility	A	Dividend	J	T					
39. Xerox	A	Dividend	J	T					
40. General Motors	A	Dividend	J	T					
41. Schwab Money market	A	Interest	J	T					
42. Gainesville GA	B	Interest	K	T					
43. HD Supply Inc	A	Interest	K	T					
44. HD Supply Inc	A	Interest	J	T					
45. Morgan Stanley Bank NA	C	Interest	K	T					
46. Kansas INDPT COL	A	Interest	K	T					
47. Franklin GA Tax Free	A	Interest	K	T					
48. Matthews Asia Dividend	A	Dividend	J	T					
49. Nuveen DIV Advan Muni	A	Dividend	J	T					
50. Nuveen DIV Advan Muni	A	Interest	J	T					
51. PROSHS ULTRASHT ETF	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. TRUST CHARLES SCHWAB(X)	A	Int./Div.	J	T					
53. CHARLES SCHWAB IRA #3									
54. Schwab Adv Cash Reserve	C	Dividend	J	T					
55. Invesco Mtg Cap Reit	A	Dividend	J	T					
56. Ares Management LP	A	Dividend	J	T					
57. Ares Management LP	A	Dividend	J	T					
58. Ares Management LP	A	Distribution	J	T					
59. Charles Schwab & Company Inc	B	Interest	J	T					
60. CHARLES SCHWAB IRA									
61. Southern Company	A	Dividend	J	T					
62. Transocean Inc New	A	Dividend	J	T					
63. Pengrowth Energy Corp	A	Dividend	K	T					
64. General Electric Company	A	Dividend	J	T					
65. General Electric Company	A	Dividend	J	T					
66. Pengrowth Energy Co	A	Dividend	J	T					
67. Noble Corp PLC	A	Dividend	J	T					
68. Enterprise Products	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. Nuveen Amt Free Mncpl CR	G	Dividend			Buy	01/01/19	M		
70. Nuveen Amt Free Mncpl CR	D	Dividend			Sold	10/04/19	M	D	
71. Pimco Municipal Income	E	Dividend			Buy	01/01/19	K		
72. Pimco Municipal Income	B	Dividend			Sold	01/17/19	K	B	
73. Southern Co	E	Dividend			Buy	01/01/19	K		
74. Southern Co	D	Dividend			Sold	03/15/19	L	D	
75. SPDR S&P 500 ETF	A	Dividend			Buy	05/23/19	J		
76. SPDR S&P 500 ETF	A	Dividend			Sold	08/31/19	J	A	
77. US Tresu NT	D	Interest	J	T	Buy	05/23/19	J		
78. US Tresu NT	D	Interest			Sold	08/31/19	J	A	
79. US Tresu NT	C	Interest			Buy	07/12/19	J		
80. US Tresu NT	C	Interest			Sold	12/31/19	J	A	
81. US Tresu NT	A	Interest			Buy	06/04/19	K		
82. US Tresu NT	A	Interest			Sold	08/31/19	K	A	
83. US Tresu NT	A	Interest			Buy	09/10/19	K		
84. US Tresu NT	A	Interest			Sold	01/30/19	K	A	
85. US Tresu	A	Interest			Buy	09/03/19	L		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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86. US Tresu	A	Interest			Sold	11/30/19	L	A	
87. Southern Co	B	Dividend			Buy	01/01/19	J		
88. Southern Co	B	Dividend			Sold	02/20/19	J	B	
89. Nuveen Amt Free MNPL CR	E	Dividend			Buy	01/01/19	N		
90. Nuveen Amt Free MNPL CR	E	Dividend			Sold	10/04/19	N	E	
91. Pimco Municipal Income I	D	Dividend			Buy	01/01/19	M		
92. Pimco Municipal Income I	D	Dividend			Sold	01/16/19	M	D	
93. SPDR S&P 500 ETF	B	Dividend			Buy	01/01/19	J		
94. SPDR S&P 500 ETF	B	Dividend			Sold	04/24/19	J	B	
95. Wells Fargo	A	Dividend			Buy	01/01/19	K		
96. Wells Fargo	A	Dividend			Sold	12/30/19	K	A	
97. Charles Schwab & Co Inc Interest	A	Interest	J	T					
98. Charles Schwab & Co Inc Cash Dividend	B	Dividend	J	T					
99. TD Ameritrade Clearing Inc Cash Account	A	Interest	J	T					
100. TD Ameritrade Clearing Inc Dividend	A	Dividend	J	T					
101. Bank of Dudley/Dublin GA Y	A	Interest	J	T					
102. Metlife/Computer Share	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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103. Charles Schwab & Company 1476	A	Interest	J	T					
104. Charles Schwab & Company Cash Dividend 1476	E	Dividend	K	T					
105. Charles Schwab & Company Cash Dividend 7910	A	Dividend	J	T					
106. Charles Schwab & Company Inc Interest 7910	A	Interest	J	T					
107. Oaktree Capital Group LLC Real Estate Mortgage Inv Condu	A	Interest	J	T					
108. Compass Diversified Holdings LLC (Publicly Traded Partnership)	A	Interest	J	T					
109. Oaktree LLC Interest (Publicly Traded Partnership)	A	Interest	J	T					
110. Puerto Rico Electric Power Authority (Accrued Market Discounts)	A	Interest	J	T					
111. Puerto Rico Industrial Tourist Educational (Accrued Market Discount)	A	Interest	J	T					
112. Boise City Idaho HSG Authority (Accrued Market Discount)	A	Interest	J	T					
113.									
114. SunTrust Advantage Money Market Savings	C	Interest	P1	T					
115.									
116. LPL Financial (H)									
117. - Wells Fargo Bank Certificate of Deposit	C	Interest			Sold	11/26/19	M	A	
118.									
119. MetLife (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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120. - Whole Life Policy Trust Interests	A	Dividend	J	T					
121.									
122. Charles Schwab (H)									
123. - Schwab Bank Sweep	A	Interest	L	T					
124. - US Treasury Bill due 8/31/19					Buy	05/23/19	J		
125. - US Treasury Bill due 8/31/19					Matured	08/31/19	J		
126. - US Treasury Bill due 12/31/19					Buy	07/12/19	J		
127. - US Treasury Bill due 12/31/19					Matured	12/31/19	J		
128. - US Treasury Bill due 8/31/19					Buy	06/04/19	K		
129. - US Treasury Bill due 8/31/19					Matured	08/31/19	K		
130. - US Treasury Bill due 11/30/19					Buy	09/10/19	K		
131. - US Treasury Bill due 11/30/19					Matured	11/30/19	K		
132. - US Treasury Bill due 11/30/19					Buy	09/03/19	L		
133. - US Treasury Bill due 11/30/19					Matured	11/30/19	L		
134. - Zions Bancorp CD Due 1/15/20	C	Interest	K	T	Buy	02/14/19	J		
135. - Bank Leumi USA CD	A	Interest			Buy	02/15/19	L		
136. - Bank Leumi USA CD					Matured (part)	08/15/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

02/03/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Bank Leumi USA CD					Matured	05/15/19	J		
138. - Great Southern Bank CD Due 1/16/20	B	Interest	L	T					
139. - Bank of the West CD	A	Interest			Buy	04/03/19	J		
140. - Bank of the West CD					Matured	10/03/19	J		
141. - Southern States Bank CD Due 1/22/20	B	Interest	K	T	Buy	01/23/19	K		
142. - BBVA USA CD Due 1/24/20	B	Interest	L	T					
143. - Synovus Bank CD Due 1/30/20	A	Interest	J	T	Buy	01/30/19	J		
144. - Morgan Stanley CD Due 2/7/20	B	Interest	K	T	Buy	02/07/19	K		
145. - Zions Bancorp CD Due 2/26/20	A	Interest	J	T	Buy	02/27/19	J		
146. - Metabank CD Due 2/28/20	A	Interest	J	T					
147. - Cross River Bank due 3/13/20	A	Interest	J	T	Buy	03/06/19	J		
148. - Synovus Bank CD due 3/13/20	A	Interest	K	T	Buy	03/14/19	K		
149. - Morgan Stanley CD Due 7/17/20	A	Interest	L	T	Buy	01/17/19	K		
150. - BBVA USA CD Due 10/26/20	A	Interest	L	T					
151. - Wells Fargo & Co CD 2.75XXX	A	Interest			Matured	12/30/19	K		
152. - Altria Group	A	Dividend	K	T	Buy (add'l)	09/10/19	K		
153. - Ford	B	Dividend	K	T	Buy (add'l)	07/29/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Nuveen AMT Free Municipal Cr	D	Dividend	K	T	Sold (part)	10/04/19	M	D	
155. - Nuveen AMT Free Municipal Cr					Sold (part)	12/31/19	N	E	
156. - Nuveen AMT Free Quality	B	Dividend	K	T					
157. - Nuveen Municipal Credit	B	Dividend	K	T					
158. - Nuveen Quality Municipal	B	Dividend	K	T					
159. - Southern Company	A	Dividend	J	T	Sold (part)	03/05/19	L	D	
160. - Southern Company					Sold (part)	02/20/19	J	A	
161. - Invesco QQQ Trust	A	Dividend	J	T					
162. - Shares Core MSCI Emerging Markets ETF	A	Dividend	J	T					
163. - iShares Core S&P Mid Cap ETF	A	Dividend	J	T					
164. - iShares Core S&P Small Cap ETF	A	Dividend	J	T					
165. - Schwab US Broad Market ETF	A	Dividend	J	T					
166. - SPDR Dow Jones Industrial Average ETF	A	Dividend	J	T					
167. - PIMCO Income Inst CL	D	Dividend	M	T					
168. - PIMCO Municipal Income I	A	Dividend			Sold	01/17/19	K	B	
169. - PIMCO Municipal Income I					Sold	01/16/19	M	D	
170. - SPDR S&P 500 ETF	A	Dividend			Sold	04/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - SPDR S&P 500 ETF					Sold	04/24/19	J	B	
172. - Beal Bank CDs					Buy	01/23/19	K		
173. - Beal Bank CDs					Buy (add'l)	01/30/19	J		
174. - Beal Bank CDs					Buy (add'l)	05/29/19	K		
175. - Beal Bank CDs					Matured	06/26/19	K		
176. - Beal Bank CDs					Matured (part)	02/27/19	J		
177. - Beal Bank CDs					Matured (part)	02/20/19	K		
178. - Cadence Bank CDs					Buy	02/25/19	J		
179. - Cadence Bank CDs					Buy (add'l)	04/03/19	J		
180. - Cadence Bank CDs					Matured	06/03/19	J		
181. - Cadence Bank CDs					Matured (part)	04/25/19	J		
182. - Compass Bank CDs					Buy	01/24/19	L		
183. - Compass Bank CDs					Matured	06/24/19	L		
184. - First National Bank CD due 7/30/19					Buy	01/30/19	J		
185. - First National Bank CD due 7/30/19					Matured	07/30/19	J		
186. - Key National Bank CD					Buy	02/06/19	J		
187. - Key National Bank CD					Matured	05/06/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. - Luther Burbank CD due 7/8/19					Buy	04/08/19	K		
189. - Luther Burbank CD due 7/8/19					Matured	07/08/19	K		
190. - Peoples Bank CD					Buy	02/22/19	K		
191. - Peoples Bank CD					Matured	05/22/19	K		
192. - Prudential Bank CD due 8/20/19					Buy	05/20/19	K		
193. - Prudential Bank CD due 8/20/19					Matured	08/20/19	K		
194. - Salisbury Bank CD					Buy	03/13/19	J		
195. - Salisbury Bank CD					Matured	06/19/19	J		
196. - Southern States Bank CD Due 8/22/19					Buy	02/22/19	K		
197. - Southern States Bank CD Due 8/22/19					Matured	08/22/19	K		
198. - State Bank of Indiana CD due 4/15/19					Buy	03/14/19	K		
199. - State Bank of Indiana CD due 4/15/19					Matured	04/15/19	K		
200. - Synovus Bank CD due 11/14/19					Buy	03/14/19	J		
201. - Texas Capital CD Due 5/9/19					Buy	02/08/19	K		
202. - Texas Capital CD Due 5/9/19					Matured	05/09/19	K		
203. - The Bank of Princ CD due 7/15/19					Buy	03/15/19	J		
204. - The Bank of Princ CD due 7/15/19					Matured	07/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

02/03/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - Umpqua Bank CD Due 6/10/19					Buy	05/08/19	J		
206. - Umpqua Bank CD Due 6/10/19					Matured	06/10/19	J		
207. - United Bank CD due 7/17/19					Buy	06/17/19	J		
208. - United Bank CD due 7/17/19					Matured	07/17/19	J		
209. - Zions Bancorp CD due 10/4/19					Buy	04/04/19	K		
210. - Zions Bancorp CD due 10/4/19					Matured	10/04/19	K		
211. - Zions Bancorp CD due 4/30/19					Buy	01/30/19	J		
212. - Zions Bancorp CD due 4/30/19					Matured	04/30/19	J		
213. - Zions Bancorp CD due 7/23/19					Buy	01/24/19	K		
214. - Zions Bancorp CD due 7/23/19					Matured	07/23/19	K		
215. - Zions Bancorp CD due 9/6/19					Buy	03/07/19	K		
216. - Zions Bancorp CD due 9/6/19					Matured	09/06/19	K		
217. - US T Bill due 9/26/19	A	Interest			Buy	06/27/19	K		
218. - US T Bill due 9/26/19					Matured	09/26/19	K		
219. - US T Bill due 8/22/19	A	Interest			Buy	05/09/19	K		
220. - US T Bill due 8/22/19					Buy (add'l)	05/24/19	K		
221. - US T Bill due 8/22/19					Matured	08/22/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

BOWEN, DUDLEY H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - US T Bill due 8/13/20			K	T	Buy	09/06/19	K		
223. - US T Bill due 8/1/19					Buy	05/02/19	J		
224. - US T Bill due 8/1/19					Buy	04/29/19	K		
225. - US T Bill due 8/1/19					Matured	08/01/19	K		
226. - US T Bill due 7/5/19	A	Interest			Buy	04/03/19	K		
227. - US T Bill due 7/5/19					Matured	07/05/19	J		
228. - US T Bill due 7/11/19	A	Interest			Buy	04/11/19	J		
229. - US T Bill due 7/11/19					Matured	07/11/19	J		
230. - US T Bill due 7/18/19	A	Int./Div.			Buy	04/18/19	K		
231. - US T Bill due 7/18/19					Matured	07/18/19	K		
232. - US T Bill due 11/29/19	A	Interest			Buy	08/30/19	K		
233. - US T Bill due 11/29/19					Buy (add'l)	09/13/19	K		
234. - US T Bill due 11/29/19					Matured	11/29/19	L		
235. - US T Bill due 11/21/19	A	Interest			Buy	08/20/19	K		
236. - US T Bill due 11/21/19					Matured	11/21/19	K		
237. - US T Bill due 10/3/19	A	Interest			Buy	07/09/19	K		
238. - US T Bill due 10/3/19					Buy (add'l)	04/16/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - US T Bill due 10/3/19					Matured	10/03/19	L		
240. - US T Bill due 10/10/19	A	Interest			Buy	07/16/19	M		
241. - US T Bill due 10/10/19					Matured	10/10/19	M		
242. - US T Bill due 10/17/19	A	Interest			Buy	04/18/19	K		
243. - US T Bill due 10/17/19					Buy (add'l)	07/19/19	K		
244. - US T Bill due 10/17/19					Buy (add'l)	07/22/19	K		
245. - US T Bill due 10/17/19					Buy (add'l)	08/05/19	K		
246. - US T Bill due 10/17/19					Matured	10/17/19	L		
247. - US T Bill due 10/24/19					Buy	07/26/19	K		
248. - US T Bill due 10/24/19					Matured	10/24/19	K		
249.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

BOWEN, DUDLEY H.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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