

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bennett, Mark J.	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 05/04/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Ala Moana Blvd. Honolulu HI 96850		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	American Judicature Society
2. Member/Owner	Beecher Hill Properties, LLC
3. Trustee	Trust #1 (no reportable holdings)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	State of Hawaii (defined benefit pension plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	State of Hawaii (pension)	\$21,209.50
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	State of Hawaii (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Conference of Western Attorneys General	06/18/2019 to 06/20/2019	Santa Barbara, CA	Speaker	Transportation, meals, lodging
2.	George Mason Antonin Scalia School of Law	04/24/2019 to 04/26/2019	Fairfax, VA	Invitee	Transportation, meals, lodging
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Indiivdual Assets (H)									
2. Ally Bank (cash)	D	Interest	O	T					
3. Hawaii State Credit Union (cash)		None	K	T					
4. United States Series I Savings Bonds	C	Interest	M	T					
5. Precious metals (gold, silver, and platinum, bullion, and coins)		None	N	W					
6. Barclay's Bank (cash) (X)	C	Interest	M	T					
7. Brokerage Account #1 (H)									
8. Fidelity Intl Cap Appreciation Fund (FIVFX)	A	Dividend	K	T					
9. Fidelity 500 Index Fund (FXAIX) (formerly FUSVX)	A	Dividend			Sold	12/16/19	K	E	
10. Fidelity Advisor New Insights CL I (FINSX)	A	Dividend			Sold	12/16/19	K	E	
11. Fidelity Growth Company (FDGRX)	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
12.					Sold (part)	03/20/19	J	A	
13.					Sold (part)	04/25/19	J	A	
14. American Beacon Large Cap Investor (AAGPX)	B	Dividend	J	T					
15. Diamond Hill Small Cap Class A (DHSCX)	A	Dividend	J	T					
16. Oakmark Intl Investor Cl (OAKIX)	A	Dividend	J	T					
17. Janus Henderson Enterprise T (JAENX)	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. Lazard Emerging Mkts Equity (LZOEX)	A	Dividend	K	T					
19. MFS International Diversification Fund A (MDIDX)		None			Sold	12/16/19	K	E	
20. Morgan Sta Inst Inc Internal Equity (MIQBX)	B	Dividend	J	T					
21. Invesco Oppenheimer Dev Mkts R6 (ODVIX)	A	Dividend	J	T					
22. T Rowe Price Overseas Stock I Cl (TROIX)	A	Dividend	J	T					
23. T Rowe Price Mid Cap Value Adv (TAMVX)	A	Dividend	J	T					
24. Transamerica Intl Equity Cl I (TSWIX)	A	Dividend	J	T					
25. Wells Fargo Special Mid Cap Adm (WFMDX)	A	Dividend	J	T					
26. Fidelity Tax-Free Bond (FTABX)	B	Dividend	K	T	Sold (part)	01/02/19	J	A	
27. Strategic Adv Tax Sensitive Short Duration (FGNSX)	A	Dividend	K	T	Sold (part)	01/02/19	J		
28. Fidelity SAI Tax Free Bond Fund (FSAJX)	A	Dividend	J	T	Buy	06/28/19	J		
29.					Buy (add'l)	07/30/19	J		
30. Delaware Tax Free USA Class A (DMTFX)	A	Dividend	J	T	Buy (add'l)	04/25/19	J		
31. DWS Managed Muni Bond Fd S (SCMBX)	C	Dividend	L	T					
32. T Rowe Price Tax Free High Yield (PRFHX)	A	Dividend	K	T	Buy (add'l)	02/04/19	J		
33.					Buy (add'l)	04/25/19	J		
34. Templeton Global Bond Class A (TPINX)	A	Dividend			Sold	06/28/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Fidelity Government Cash Reserves (FDRXX)	A	Dividend	K	T					
36. Flexshares Trust Morningstar Global Upstream Nat Res (GUNR)	A	Dividend	J	T	Sold (part)	03/21/19	J	A	
37.					Sold (part)	07/31/19	J	A	
38. Ishares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Sold (part)	03/21/19	J	A	
39.					Sold (part)	07/01/19	J	A	
40. Ishares Russell 1000 Value ETF (IWD)	B	Dividend	L	T	Sold (part)	07/31/19	J	A	
41. Ishares Russell 1000 Growth ETF (IWF)	A	Dividend			Sold	12/16/19	K	E	
42. Ishares S&P Smallcap 600 Value (IJS)		None			Buy (add'l)	01/03/19	J		
43.					Sold	03/21/19	J	A	
44. Ishares Edge MSCI USA Quality (QUAL)		None			Sold	02/05/19	J	A	
45. Ishares Inc Core MSCI Emerging Mkts (IEMG)	A	Dividend	J	T					
46. Sector Spdr Tr Shs Ben Int Fin (XLF)	A	Dividend	J	T					
47. Vanguard Sector Index FDS Vanguard Finls (VFH)	A	Dividend	J	T					
48. Vanguard Index Fds Vanguard Large Cap (VV)	A	Dividend			Sold	12/16/19	K	E	
49. Ishares TR US Treas BD ETF (GOVT)	A	Dividend	J	T	Buy	07/01/19	J		
50. Brokerage Account #2 (H)									
51. Fidelity Money Market Account (cash) (Y)									

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52. Fidelity Advisor Growth Oppty Cl I (FAGCX)	B	Dividend	K	T					
53. Fidelity 500 Index Fund (FXAIX) (formerly FUSVX)	A	Dividend	K	T					
54. Fidelity Low Priced Stock (FLPSX)	A	Dividend	J	T					
55. Brokerage Account #3 (H)									
56. Discover Bank (cash)	A	Interest			Open	12/17/19	L		
57.					Closed	12/20/19	L		
58. IRA #1 (H)									
59. Strategic Advisers Core Fund (FCSAX)	B	Dividend	K	T	Sold (part)	02/22/19	J	D	
60.					Buy (add'l)	05/30/19	J		
61.					Sold (part)	10/04/19	J	A	
62. Strategic Advisers Growth Fund (FSGFX)	B	Dividend	J	T	Sold (part)	02/22/19	J	B	
63.					Sold (part)	10/04/19	J	A	
64. Strategic Advisers Value Fund (FVSAX)	B	Dividend	J	T	Sold (part)	02/22/19	J	B	
65.					Sold (part)	10/04/19	J	A	
66. Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
67.					Sold (part)	10/04/19	J		
68. Strategic Advisers Small-Mid Cap Fd (FSCFX)	A	Dividend	J	T	Sold (part)	02/22/19	J	B	

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69.					Sold (part)	10/04/19	J	A	
70. Strategic Advisers International Fund (FILFX)	A	Dividend	K	T	Sold (part)	02/22/19	J	C	
71.					Sold (part)	10/04/19	J	A	
72. Fidelity SAI U.S. Large Cap Index (FLCPX)	A	Dividend	J	T					
73. Fidelity SAI International Index (FIONX)	A	Dividend	J	T					
74. Fidelity SAI Emerg Markets Index (FERGX)	A	Dividend	J	T					
75. AQR Managed Futures Fund Class N (AQMNX)	A	Dividend	J	T					
76. Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
77.					Sold (part)	03/08/19	J	A	
78.					Buy (add'l)	10/04/19	J		
79. Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T					
80. Strategic Advisers Core Income Fund (FPCIX)	B	Dividend	L	T	Buy (add'l)	01/30/19	J		
81.					Sold (part)	02/22/19	K	A	
82.					Buy (add'l)	03/08/19	J		
83.					Buy (add'l)	04/03/19	J		
84.					Sold (part)	05/30/19	J		
85.					Buy (add'l)	09/26/19	J		

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86.					Buy (add'l)	10/24/19	J		
87.					Buy (add'l)	12/05/19	J		
88. Eaton Vance GL Macro Absolute (EGRIX)	A	Dividend	J	T					
89. Pimco Commodity Real Return Inst (PCRIX)	A	Dividend	J	T					
90. FIMM Government Portfolio: Instl CL (FRGXX)	A	Dividend	J	T					
91. IRA #2 (H)									
92. Strategic Advisers Core Fund (FCSAX)	C	Dividend	L	T	Sold (part)	02/22/19	K	D	
93.					Sold (part)	04/03/19	J	A	
94.					Buy (add'l)	05/30/19	J		
95.					Sold (part)	06/27/19	J	A	
96.					Buy (add'l)	09/26/19	J		
97.					Sold (part)	10/04/19	J	A	
98.					Sold (part)	12/05/19	J	A	
99. Strategic Advisers Growth Fund (FSGFX)	C	Dividend	K	T	Sold (part)	01/30/19	J	A	
100.					Sold (part)	02/22/19	J	C	
101.					Sold (part)	03/08/19	J	B	
102.					Sold (part)	05/30/19	J	A	

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103.					Sold (part)	09/26/19	J	A	
104.					Sold (part)	10/04/19	J	A	
105.					Sold (part)	12/30/19	J	B	
106. Strategic Advisers Value Fund (FVSAX)	C	Dividend	K	T	Sold (part)	01/30/19	J	A	
107.					Sold (part)	02/22/19	J	D	
108.					Sold (part)	03/08/19	J	A	
109.					Sold (part)	05/30/19	J	A	
110.					Sold (part)	09/26/19	J	A	
111.					Sold (part)	10/04/19	J	A	
112.					Sold (part)	12/05/19	J	A	
113. Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T	Sold (part)	02/22/19	J	B	
114.					Sold (part)	10/04/19	J	A	
115. Strategic Advisers Small-Mid Cap Fd (FSCFX)	A	Dividend	K	T	Sold (part)	01/30/19	J	A	
116.					Sold (part)	02/22/19	J	C	
117.					Sold (part)	10/04/19	J	A	
118. Strategic Advisers International Fund (FILFX)	B	Dividend	K	T	Sold (part)	02/22/19	K	D	
119.					Sold (part)	10/04/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Bennett, Mark J.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	12/05/19	J	A	
121. Fidelity SAI U.S. Large Cap Index (FLCPX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
122.					Sold (part)	03/08/19	J	A	
123.					Sold (part)	10/04/19	J	A	
124. Fidelity SAI International Index (FIONX)	A	Dividend	J	T	Sold (part)	01/30/19	J	A	
125.					Sold (part)	02/22/19	J	A	
126.					Sold (part)	06/27/19	J	A	
127. Fidelity SAI Emerg Markets Index (FERGX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
128. AQR Multi Strgy Alternative Class N (ASANX)		None			Sold	04/03/19	J	A	
129. AQR Managed Futures Fund Class N (AQMNX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
130. Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	K	T	Sold (part)	02/22/19	J	A	
131.					Sold (part)	03/08/19	J	A	
132.					Buy (add'l)	04/03/19	J		
133.					Buy (add'l)	10/04/19	K		
134.					Buy (add'l)	12/05/19	J		
135. Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
136. Strategic Advisers Core Income Fund (FPCIX)	D	Dividend	M	T	Buy (add'l)	01/30/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Bennett, Mark J.

Date of Report

05/04/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	02/22/19	K	B	
138.					Buy (add'l)	03/08/19	J		
139.					Buy (add'l)	04/03/19	J		
140.					Sold (part)	05/30/19	J	A	
141.					Buy (add'l)	06/27/19	J		
142.					Buy (add'l)	09/26/19	J		
143.					Buy (add'l)	10/04/19	K		
144. Eaton Vance GL Macro Absolute (EGRIX)		None			Sold	04/03/19	J	A	
145. Eaton Vance GL Macro Absolute Rtrn Advt A (EGRAX)	A	Dividend	J	T	Buy (add'l)	04/03/19	J		
146. Pimco Commodity Real Return Inst (PCRIX)	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
147. FIMM Government Portfolio: Instl CL (FRGXX)	A	Dividend	J	T	Sold (part)	02/22/19	M		
148.					Sold (part)	02/11/19	J		
149.					Buy (add'l)	03/08/19	J		
150.					Buy (add'l)	05/30/19	J		
151.					Buy (add'l)	09/26/19	J		
152.					Buy (add'l)	12/05/19	J		
153. IRA #3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. FDIC Insured Deposit At Wells Fargo IRA (X)	A	Interest	K	T					
155. American Century One Choice 2020 INV (ARVX)	D	Dividend	M	T					
156. Touchstone Merger Arbitrage Fund Cl A (TMGAX)	A	Dividend	J	T					
157. Fidelity Total Bond (FTBFX)	A	Dividend	K	T					
158. Pimco Low Duration Class A (PTLAX)	A	Dividend	K	T					
159. T Rowe Price Glbl Multi Sector Bond Fd (PRSNX)	A	Dividend	L	T					
160. Amerian Finl Group Inc Ohio Note (025932AK0) (X)		None	K	T					
161. Goldman Sachs Group Inc Note (38141GWB6) (X)		None	K	T					
162. Ebay Inc Note Call Make Whole (278642AU7) (X)	A	Dividend	K	T					
163. Bank Amer Corp Mtn (06051GGC7) (X)		None	L	T					
164. JPMorgan Chase & Co Note (46625HRX0) (X)	A	Dividend	K	T					
165. AXA Equitable Hldgs Inc Note (054561AJ4) (X)		None	K	T					
166. Dowdupont Inc Note Call Make Whole (26078JAD2) (X)		None	K	T					
167. IRA #4 (H)									
168. Thompson Bond Fund (THOPX)	A	Dividend	L	T					
169. Bank Amer Corp Mtn (06051GGC7) (X)		None	K	T					
170. Morgan Stanley Mtn (61760LBZ7) (X)		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Bennett, Mark J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

171. IRA #5 (H)									
172. Strategic Advisers Core Fund (FCSAX)	A	Dividend	J	T					
173. Strategic Advisers Growth Fund (FSGFX)	A	Dividend	J	T					
174. Strategic Advisers Value Fund (FVSAX)	A	Dividend	J	T					
175. Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
176. Strategic Advisers Small-Mid Cap Fd (FSCFX)	A	Dividend	J	T					
177. Strategic Advisers International Fund (FILFX)	A	Dividend	J	T					
178. Fidelity SAI U.S. Large Cap Index (FLCPX)	A	Dividend	J	T					
179. Fidelity SAI International Index (FIONX) (Y)									
180. Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	J	T					
181. Strategic Advisers Core Income Fund (FPCIX)	A	Dividend	K	T	Buy (add'l)	03/08/19	J		
182. IRA #6 (H)									
183. Thompson Bond Fund (THOPX)	A	Dividend	K	T					
184. Island Savings Plan #1 (H)									
185. Prudential Stable Value Fund		None	M	T					
186. Black Rock TRB Fund (MAHQX)		None	K	T					
187. Black Rock US Large Cap Fund (BRGNX)		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Island Savings Plan #2 (H)									
189. Prudential Stable Value Fund		None	N	T					
190. Black Rock TRB Fund (MAHQX)		None	K	T					
191. Black Rock US Large Cap Fund (BRGNX)		None	N	T					
192. Miscellaneous Holdings (H)									
193. WCP Real Estate Fund III, LP	C	Distribution	K	T					
194. WCP Real Estate Fund IV, LP	D	Distribution	M	T					
195. Private Mortgage, Binghamton, NY #1 (Y)									
196. Private Mortgage, Binghamton, NY #2	D	Interest	M	T					
197. Rental Property #1, Honolulu, Hawaii	E	Rent	N	W					
198. Rental Property #2, Honolulu, Hawaii		None	O	W					
199. Rental Property #3, Honolulu, Hawaii	D	Rent	N	W					
200. Rental Property #4, Honolulu, Hawaii	E	Rent	O	W					
201. Rental Property #5, Honolulu, Hawaii	E	Rent	O	W					
202. Rental Property Owego, New York	E	Rent	M	W					
203. Skybridge Opp Zone Real Estate Inv Trust		None	L	T	Buy	12/18/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Bennett, Mark J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, line 2: Reportable assets of this entity are included in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name change Invesco Oppenheimer Dev Mkts R6 (ODVIX) was Oppenheimer Dev Mkts Class I (ODVIX).

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Name of Person Reporting

Bennett, Mark J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark J. Bennett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544