

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CECCHI, CLAIRE C.	2. Court or Organization US DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	3. Date of Report 07/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Martin Luther King Jr Fed Bldg 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	- WAGES
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great West Financial (Empower)	401k loan	J
2.	Citibank	Unsecured credit lines	M
3.	American Express	Credit Card	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking accounts	A	Interest	K	T					
2. Citibank - Checking accounts	A	Interest	K	T					
3. Northwestern Mutual Ins Cash Value	A	Int./Div.	M	T					
4. Guardian Whole Life Cash Value	B	Int./Div.	K	T					
5. Mass Mutual Whole Life Cash Value	A	Int./Div.	N	T					
6. National Life UL Cash Value (x)	E	Int./Div.	P1	T					
7. 401(k) #1 (H)									
8. - AmericanFunds Inv Fund	C	Dividend	L	T					
9. - Mainstay Large Cap	C	Dividend	L	T					
10. 401(k) #2 (H)									
11. - American Century Growth Fund	F	Dividend	O	T					
12. - PIMCO Total Return	D	Dividend	M	T					
13. [REDACTED]		None	P2	W					
14. Brokerage #1 (H)									
15. - Western Asset Money Mkt Account	A	Int./Div.	J	T					
16. Franklin Templeton 529 (H)									
17. - Franklin Growth Age 13-14	A	Dividend			Sold	03/08/19	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. - Franklin Growth Age 15-16	A	Dividend	K	T	Buy	03/08/19	K		
19. Brokerage #2 (H)									
20. - Western Asset Money Mkt Account (x)	A	Interest	K	T					
21. - Frisco Tex Rfdg 5% Bond	C	Interest	L	T					
22. - California ST 4% Bond	C	Interest	L	T					
23. - College Station Tex Rfdg 5% Bond	B	Interest			Sold	08/13/19	K	A	
24. - Lakeland Fl Energy 5.25% Bond	C	Interest	L	T					
25. - NYS Dorm Auth 5% Bond	C	Interest	L	T					
26. - Richardson Tex Ind Sch Dist 4% Bond	C	Interest	L	T					
27. - Washington ST Healthcare 5%	C	Interest	L	T					
28. - Metro Council Waste 5% Bond	C	Interest	L	T					
29. - Central Puget Sound 5%	C	Interest	L	T					
30. - Hawaii St Ser FK5% Bond	C	Interest	L	T					
31. - Wisconsin ST Env impt 5% Bond	C	Interest	L	T					
32. - Sumter Cnty Dev Auth 5%Bond	C	Interest	L	T					
33. - Phil PA Hosp Higher Ed 5% Bond	C	Interest	L	T					
34. - Miami Dade Rfdg-Bldg 5% Bond	C	Interest			Sold	10/24/19	L	B	

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - Hawaii St Dept Home LDS 5% Bond	C	Interest	L	T					
36. - Honolulu HI City & County 5.0% Bond	C	Interest	L	T					
37. - Washingotn Subn San Dist 4.0% Bond	C	Interest	L	T					
38. - Sales Tax Asset Rec NY 5.0% Bond	D	Interest	M	T					
39. - Bexar Cnty Tex Hosp 2016 5.0% Bond	C	Interest	L	T					
40. - Nevada Sys Higher Ed 5.0% Bond	C	Interest	M	T					
41. - Arizona Brd Regents 5.0% Bond	C	Interest	K	T					
42. - Monmouth Cnty NJ Auth Rev 5.0% Bond	C	Interest	L	T					
43. - Pierce Cnty Wash Sch Dist 5.0% Bond	C	Interest	L	T					
44. - Mass St Trans Fd Bds 5.0% Bond	C	Interest	K	T					
45. - East Cent Reg Wastewater Tr Bond	C	Interest	L	T					
46. - Colorado St CTFS Part 5.0% Bond	C	Interest	L	T					
47. - Indiana Univ Revs Student 5.0% Bond	C	Interest	M	T					
48. - Clark Cnty Nev Trans 5.0% Bond	C	Interest	M	T					
49. - Mississippi St Gaming 5.0% Bond	B	Interest	L	T	Buy	08/19/19	L		
50. - Tippecanoe cnty Ind 5.0% Bond	B	Interest	L	T	Buy	10/30/19	L		
51. Brokerage #3 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. - See Part VIII Additional Information									
53. Brokerage #4 (H)									
54. - See Part VIII Additional Information									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

BROKERAGE #3, Part VII, Line 52

Income	Gross Value at End of Reporting Period	Type	Date	Value Code	Gain Code
Description of Assets	Code Type	Value Code	Value Method		
2U INC COM	J T Buy 8/6/2019 J				
3M CO COM A Div J T	Sold part 5/10/2019 J A				
3M CO COM	Sold 6/10/2019 J A				
3M CO COM	Buy 8/6/2019 J				
ABBVIE INC COM A Div J T	Sold part 3/7/2019 J A				
ABBVIE INC COM	Buy add'l 5/10/2019 J				
ABBVIE INC COM	Sold part 8/6/2019 J A				
ABBVIE INC COM	Buy add'l 10/2/2019 J				
ABIOMED INC COM	J T Buy 6/10/2019 J				
ABIOMED INC COM	Sold part 8/6/2019 J A				
ACADIA REALTY TR COM A Div J T					
ACCENTURE PLC IRELAND	J T				
ACI WORLDWIDE	J T				
ACTIVISION BLIZZARD INC COM A Div J T	Buy add'l 5/10/2019 J				
ADOBE SYS	J T				
ADVANCED DISP SVCS INC DEL COM	J T Buy 10/2/2019 J				
ADVANCED ENERGY	J T				
ADVANCED MICRO	J T				
AES CORP	J T				
AFLAC	J T				
AGILENT A Div J T					
AGREE RLTY CORP COM A Div J T					
AIR PRODUCTS A Div J T					
AK STEEL HOLDING	J T Buy 6/10/2019 J				
ALBEMARLE CORP COM A Div J T	Buy 10/2/2019 J				
ALEXION	PHARMACEUTICALS INC COM J T Sold part 8/6/2019 J A				
ALEXION	PHARMACEUTICALS INC COM Buy 10/2/2019 J				
ALLIANT ENERGY	J T				
ALIGN TECH	J T				
ALLSTATE A Div J T					
ALNYLAM PHARM	J T				
ALPHABET INC	J T				
ALPHABET INC CLASS A	K T				
ALTRIA GROUP INC COM A Div J T	Buy 1/14/2019 J				
ALTRIA GROUP INC COM	Sold 10/2/2019 J A				
ALTRIA GROUP INC COM	Buy 12/10/2019 J				
AMAZON COM A Div K T					
AMERICAN AIRLS GROUP INC COM	Buy 1/14/2019 J				
AMERICAN AIRLS GROUP INC COM	Sold 8/6/2019 J A				
AMERICAN EXPRESS A Div J T					
AMERICAN INTL GROUP A Div J T					
AMERICAN TOWER CORP NEW COM A Div J T					
AMERIPRISE FINL A Div J T					
AMERISAFE A Div J T					
AMERISOURCE A Div J T					
AMETEK	J T				
AMGEN A Div J T					
AMPHENOL CORP A Div J T					
ANALOG DEVICES A Div J T					
ANTERIX A Div J T					
ANTHEM A Div J T					
ANWORTH MTG ASSET CORP COM A Div J T	Buy 12/10/2019 J				
AON PLC	J T				
APPLE INC A Div L T					
APPLIED MATERIALS A Div J T					
APTIV PLC	SHS COM J T Buy 3/7/2019 J				
APTIV PLC	SHS COM Sold part 6/10/2019 J A				
AQUA AMER INC A Div J T					
AT&T INC COM A Div J T	Buy 1/14/2019 J				
AT&T INC COM	Buy 5/10/2019 J				
AT&T INC COM	Buy 8/6/2019 J				

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ATHENE HOLDINGS LTD J T
AUTODESK J T
AUTOMATICK DATA PROCESSING A Div J T
AVALONBAY CMNTYS INC COM A Div J T
AVISTA CORP A Div J T
AXIS CAPITAL J T
BAKER HUGHES A GE COCL A A Div J T Buy 5/10/2019 J
BALL CORP A Div J T
BANK OF AMERICA A Div J T
BANK OF NEW YORK MELLON CORP COM A Div J T Sold part 6/10/2019 J A
BANK OZK LITTLE ROCK A Div J T
BECTON DICKINSON A Div J T
BERKSHIRE HATHAWAY INC K T
BEST BUY A Div J T
BIOGEN IDEC INC COM A Div J T Sold 5/10/2019 J A
BIOGEN IDEC INC COM Buy 6/10/2019 J
BIOMARIN PHARMACEUTICAL INC COM J T Sold 10/2/2019 J A
BIO-TECHNE CORP A Div J T
BLACKROCK INC A Div J T
BOEING CO A Div J T
BOOKING HLDING J T
BOSTON SCIENTIFIC J T
BRISTOL MYERS SQUIBB CO COM Sold 12/10/2019 J A
BRIXMOR PPTY GROUP INC COM A Div J T
BROADCOM INC A Div J T
BROOKLINE BANCORP A Div J T
BROWN FORMAN A Div J T
BURLINGTON STORES J T
CADENCE BANCORPORATION CL A A Div Sold 8/6/2019 J A
CAPITAL ONE FINL A Div J T
CAPRI HOLDINGS J T Buy 8/6/2019 J
CARDINAL HEALTH INC COM A Div J T Sold part 6/10/2019 J A
CARMAX INC J T
CATERPILLAR INC A Div J T
CBS CORP NEW CL B A Div Sold 10/2/2019 J A
CENTENE CORP J T
CENTURYLINK INC COM J T Sold 3/7/2019 J A
CENTURYLINK INC COM Buy add'l 5/10/2019 J
CERNER CORP A Div J T
CERUS CORP J T
CHARLES RIV LAB J T
CHARTER COMMUNICATIONS J T
CHENIERE ENERGY J T
CHEVRON CORP A Div J T
CHUBB LTD COM J T
CHURCH & DWIGHT A Div J T
CIGNA CORP NEW COM J T Sold part 5/10/2019 J A
CISCO SYSTEMS J T
CITIGROUP INC A Div J T
CITIZENS FINL GROUP A Div J T
CITRIX SYSTEMS A Div J T
CME GROUP A Div J T
CMS ENERGY CORP J T
COCA COLA COMPANY A Div J T Buy add'l 1/14/2019 J
COCA COLA COMPANY Sold part 3/7/2019 J A
COGNEX CORP A Div J T
COGNIZANT TECHNOLOGYSOLUTIONS CORP CL A A Div J T Sold part 5/10/2019 J A
COMCAST CORP CL A A Div J T Buy 6/10/2019 J
COMPUTER PROGRAMS & SYS INC COM A Div J T Buy 10/2/2019 J
CONAGRA BRANDS INC COM A Div J T Buy 3/7/2019 J
CONCHO RES A Div J T Buy 10/2/2019 J
CONOCO PHILIPS COM A Div J T
CONSTELLATION BRANDS A Div J T
CONTINENTAL RES INC A Div J T
CORNING INC A Div J T
CORTEVA INC COM J T Buy 8/6/2019 J
CORTEVA INC COM J T Sold 10/2/2019 J A

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CORTEVA INC COM Buy 12/10/2019 J
COSTCO WHOLESALE A Div J T
COUSINS PPTY INC (X) A Div J T
CRANE COMPANY A Div J T
CROWN CASTLE INTL CORP A Div J T
CSX CORP A Div J T
CUBIC CORP (X) A Div J T
CURTISS WRIGHT CORP A Div J T
CVS HEALTH CORP COM A Div Sold 3/7/2019 J A
CVS HEALTH CORP COM Buy 5/10/2019 J
DANAHER CORP A Div J T
DAVITA INC COM Sold 6/10/2019 J A
DEERE & CO A Div J T
DELTA AIRLINES A Div J T
DENNY'S CORP (X) A Div J T
DENTSPLY SIRONA A Div J T
DEVON ENERGY CORP NEW COM A Div Buy 6/10/2019 J
DEVON ENERGY CORP NEW COM Sold 9/21/2120 J A
DEXCOM J T
DIAMONDBACK ENERGY INC COM A Div J T Buy 8/6/2019 J
DISCOVER FINL SERVICES A Div J T
DISNEY WALT A Div J T
DOLLAR GENERAL A Div J T
DOLLAR TREE INC COM Buy 10/2/2019 J
DOLLAR TREE INC COM Sold 12/10/2019 J A
DOMINION ENERGY A Div J T
DOW INC COM A Div J T Sold 5/10/2019 J A
DOW INC COM Buy 8/6/2019 J
DOWDUPONT INC COM A Div Buy 1/14/2019 J
DOWDUPONT INC COM Sold 5/10/2019 J A
DUPONT DE NEMOURS INC COM A Div J T Buy 8/6/2019 J
DXC TECHNOLOGY CO COM A Div J T Sold 6/10/2019 J A
DXC TECHNOLOGY CO COM Buy 10/2/2019 J
DYNEX CAP INC COM NEW A Div Sold 6/10/2019 J A
EATON CORP J T
EBAY INC COM A Div J T Buy 1/14/2019 J
ECOLAB INC A Div J T
EDISON INTL A Div J T
EDWARDS LIFESCIENCES J T
EL PASO ELECTRIC (X) A Div J T
ELECTRONIC ARTS J T
EMERGENT BIOSOLUTIONS J T
EMERSON ELEC A Div J T
EOG RES INC COM A Div J T Sold 8/6/2019 J A
EOG RES INC COM Buy 10/2/2019 J
EPR PROPERTIES COM A Div J T
EQUIFAX INC J T Buy 12/10/2019 J
EQUINIX INC COM PAR \$0 001 J T Buy 12/10/2019 J
EQUITY RESIDENTIAL SH BEN INT COM A Div J T
ESTEE LAUDER A Div J T
ETRADE FINL CORP A Div J T
EVERTEC INC A Div J T
EVOQUA WATER TECH (X) J T
EXELON CORP A Div J T
EXPEDIA GROUP A Div J T
EXPEDITORS INTL WASH A Div J T
EXXON MOBIL CORP COM A Div J T Sold part 1/14/2019 J A
EXXON MOBIL CORP COM Sold part 1/14/2019 J A
EXXON MOBIL CORP COM Buy add'l 3/7/2019 J
EXXON MOBIL CORP COM Buy add'l 6/10/2019 J
EXXON MOBIL CORP COM Sold part 8/6/2019 J A
EXXON MOBIL CORP COM Buy add'l 10/2/2019 J
EXXON MOBIL CORP COM Sold part 12/10/2019 J A
F5 NETWORKS J T
FACEBOOK INC CL A K T Buy add'l 3/7/2019 J
FEDEX CORP COM A Div Sold 6/10/2019 J A
FGL HOLDINGS (X) J T

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FIDELITY NATL INFO SYS A Div J T
FIFTH THIRD BANCORP A Div J T
FIRST COMMONWEALTH FINL CORP A Div J T
FISERV INC J T
FOOT LOCKER A Div J T
FORD MOTOR A Div J T
FORRESTER RESH INC COM Sold 10/2/2019 J A
FORTIVE CORP (X) A Div J T
FOX CORP CL A COM A Div Sold 6/10/2019 J A
GARTNER INC (X) J T
GENERAL DYNAMICS CORP COM A Div J T Buy 6/10/2019 J
GENERAL ELECTRIC A Div J T
GENERAL MILLS INC COM A Div J T Buy 10/2/2019 J
GENERAL MOTORS A Div J T
GENESCO INC J T
GILEAD SCIENCES INC A Div J T Buy 5/10/2019 J
GLOBAL PMNTS INC A Div J T
GLOBE LIFE INC A Div J T
GOLDMAN SACHS GROUP INC COM A Div J T Buy 5/10/2019 J
GRAINGER WW INC A Div J T
HAEMONETICS CORP J T
HALLIBURTON CO COM A Div J T Sold 5/10/2019 J A
HALLIBURTON CO COM Buy 6/10/2019 J
HALLIBURTON CO COM Sold 10/2/2019 J A
HALLIBURTON CO COM Buy 12/10/2019 J
HCA HEALTHCARE INC COM A Div J T Buy 3/7/2019 J
HCA HEALTHCARE INC COM Sold 10/2/2019 J A
HCA HEALTHCARE INC COM Buy 12/10/2019 J
HEALTHPEAK PPTYS J T
HENRY JACK & ASSOC A Div J T
HERITAGE FINL CORP A Div J T
HEWLETT PACKARD ENTERPRISE CO A Div J T
HIL ROM HLDGS COM A Div J T Buy 8/6/2019 J
HOLOGIC INC J T
HOME DEPOT INC A Div J T
HONEYWELL INTL A Div J T
HP INC A Div J T
HUMANA INC A Div J T
HUNTSMAINT CORP A Div J T
ICU MED INC COM J T Sold 10/2/2019 J A
ICU MED INC COM Buy 12/10/2019 J
IDACORP INC A Div J T
IDEX CORP A Div J T
IDEXX LABS INC (X) J T
ILLINOIS TOOL WORKS INC A Div J T
ILLUMINA INC J T
INCYTE CORP J T
INGERSOLL RAND PLC (X) J T
INNERWORKINGS INC COM Buy 3/7/2019 J
INNERWORKINGS INC COM Sold 5/10/2019 J A
INTEL CORP A Div J T
INTERCONTINENTAL EXCHANGE INC A Div J T
INTERNATIONAL BUSINESS MACHINES CORP A Div J T
INTERNATIONAL PAPER CO COM A Div J T Buy 10/2/2019 J
INTL FLAVORS & FRAGRANCES INC A Div Buy 5/10/2019 J
INTL FLAVORS & FRAGRANCES INC Sold 10/2/2019 J A
INTUIT CORP A Div J T
INTUITIVE SURGICAL INC J T
IOVANCE BIOTHERAPEUTICS INC (X) J T
IQVIA HOLDINGS INC J T
JOHNSON CTLS INTL PLC SHS ISIN#IE00BY7QL619 J T Sold 1/14/2019 J A
JOHNSON CTLS INTL PLC SHS ISIN#IE00BY7QL619 Buy 5/10/2019 J
JP MORGAN CHASE & CO A Div J T
KAMAN CORP COM A Div J T
KEYCORP NEW COM A Div J T Sold part 1/14/2019 J A
KIMBERLY CLARK CORP COM A Div J T
KIMCO RLTY CORP COM A Div J T

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KINDER MORGAN INC COM A Div J T
KLA CORP COM (X) A Div J T
KOHLS CORP COM A Div J T
KONTOOR BRANDS INC COM A Div J T
KRAFT HEINZ CO COM STK A Div Sold 3/7/2019 J A
KROGER CO COM A Div J T
L3 HARRIS TECH INC COM A Div J T
LABORATORY CORP AMERHLDGS COM NEW J T Sold part 1/14/2019 J A
LABORATORY CORP AMERHLDGS COM NEW Buy add'l 3/7/2019 J
LAM RESEARCH CORP COM A Div J T
LAS VEGAS SANDS CORP COM A Div J T
LENNAR CORP CL A COM A Div J T
LENNOX INTL INC COM (X) A Div J T
LIBERTY BROADBAND CORP COM J T
LIBERTY MEDIA CORP COM J T
LINDE PLC J T
LIVANOVA PLC SHS ISIN#GB00BYMT0J19 J T Buy 5/10/2019 J
LOCKHEED MARTIN CORP COM A Div J T
LOWES COS INC COM A Div J T
LULULEMON ATHLETICA INC COM J T Buy 8/6/2019 J
LYONDELLBASELL INDUSTRIES N V ORD SHS CL A Sold 8/6/2019 J A
M&T BK CORP A Div J T
MACK CALI RLTY CORP COM A Div J T
MACQUARIE INFRASTRUCTURE CORP COM A Div J T
MACYS INC COM A Div Sold 10/2/2019 J A
MARATHON PETE CORP COM A Div J T
MARRIOTT INTL CL A COM A Div J T
MARSH & MCLENNAN COS INC COM A Div J T
MASTERCARD INC COM A Div J T
MCCORMICK & CO COM A Div J T
MCDONALDS CORP COM A Div J T
MCKESSON CORP COM A Div J T Buy 5/10/2019 J
MDC HOLDINGS INC COM (X) A Div J T
MEDNAX INC COM J T Buy 10/2/2019 J
MEDTRONIC PLC SHS COM J T
MGM RESORTS INTL COM A Div J T
MICROCHIP TECH INC COM (X) A Div J T
MICRON TECH INC COM J T
MICROSOFT CORP COM A Div L T Buy add'l 8/6/2019 J
MICROSTRATEGY INC CL A COM J T
MIDDLEBY CORP COM J T
MOHAWK INDUSTRIES INC COM J T
MOLSON COORS BREWINGCO CL B NON VTG STK A Div Sold part 5/10/2019 J A
MOLSON COORS BREWINGCO CL B NON VTG STK Sold 8/6/2019 J A
MONDELEZ INTL INC COM A Div J T
MONSTER BEVERAGE CORP COM J T
MOODYS CORP COM (X) A Div J T
MORGAN STANLEY CORP COM A Div J T
MOSAIC CO COM A Div J T
MOTOROLA SOLUTIONS INC COM A Div J T
NATIONAL CINEMEDIA INC COM A Div J T
NATIONAL OILWELL VARCO A Div J T Buy 6/10/2019 J
NETAPP INC COM A Div J T
NETFLIX INC COM J T
NEUROCRINE BIOSCIENCES INC COM (X) J T
NEWMONT GOLDCORP COM (X) A Div J T
NEXTERA ENERGY COM A Div J T
NIELSEN HOLDINGS PLC SHS Buy 3/7/2019 J
NIELSEN HOLDINGS PLC SHS Sold 5/10/2019 J A
NIELSEN HOLDINGS PLC SHS Buy 6/10/2019 J
NIELSEN HOLDINGS PLC SHS Sold 12/10/2019 J A
NIKE INC COM A Div J T
NOBLE ENERGY INC COM A Div J T Buy 8/6/2019 J
NORFOLK SOUTHRN CORP COM A Div J T
NORTHERN TRUST CORP COM A Div J T
NORTHROP GRUMAN CORP COM A Div J T
NORTHWEST NAT HLDG COM A Div J T

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NORTON LIFELOCK INC COM A Div J T
NVIDIA CORP COM A Div J T
NXP SEMICONDUCTORS NV COM J T
OCCIDENTAL PETE CORPCOM A Div Sold part 6/10/2019 J A
OCCIDENTAL PETE CORPCOM Buy add'l 8/6/2019 J
OCCIDENTAL PETE CORPCOM Sold 10/2/2019 J A
OLD NATL BANCORP COM A Div J T
OMINCOM GROUP INC COM A Div J T
ONEOK INC COM A Div J T
ORACLE CORP COM A Div J T
O'REILLY AUTO INC COM J T
PACCAR INC COM A Div J T
PALO ALTO NETWORKS COM (X) J T
PARKER HANNIFIN CORP COM A Div J T
PAYPAL HLDGS INC COM J T
PEPSICO INC COM A Div J T Sold part 1/14/2019 J A
PEPSICO INC COM Buy add'l 6/10/2019 J
PEPSICO INC COM Sold part 8/6/2019 J A
PEPSICO INC COM Buy add'l 10/2/2019 J
PERKINELMER INC COM A Div J T
PHILIP MORRIS INTL INC COM A Div J T Sold 1/14/2019 J A
PHILIP MORRIS INTL INC COM Buy 3/7/2019 J
PHILIP MORRIS INTL INC COM Sold 6/10/2019 J A
PHILIP MORRIS INTL INC COM Buy 8/6/2019 J
PHILIP MORRIS INTL INC COM Buy add'l 10/2/2019 J
PHILLIPS 66 COM A Div J T
PIONEER NAT RES CO COM A Div J T
PLEXUS CORP COM (X) J T
PNC FINL SVCS GROUP COM A Div J T
PNM RES INC COM A Div J T
PPG INDS INC COM A Div J T
PRA HEALTH SCIENCES INC COM J T
PRICE T ROWE GROUP INC COM A Div J T
PRINCIPAL FINL GROUP INC COM A Div J T
PROCTER & GAMBLE INC COM A Div J T
PROGRESSIVE CORP COM A Div J T
PROLOGIS INC COM A Div J T
PUBLIC STORAGE COM A Div J T
QUAGEN NV REGISTERED SHS J T
QUALCOMM INC A Div J T
QUEST DIAGNOSTICS INC COM A Div J T
RAYMOND JAMES FINL INC COM A Div J T
RAYTHEON CO COM A Div J T
RBC BEARINGS INC COM J T
REGENERON PHARMACEUTICALS INC J T Sold 5/10/2019 J A
REGENERON PHARMACEUTICALS INC Buy 6/10/2019 J
REGENERON PHARMACEUTICALS INC Sold 10/2/2019 J A
REGENERON PHARMACEUTICALS INC Buy 12/10/2019 J
RESMED INC COM A Div J T
ROCKWELL AUTOMATION INC COM A Div J T Sold part 8/6/2019 J A
ROPER TECHNOLOGIES INC COM A Div J T
ROYAL CARIBBEAN CRUISES LTD J T
S&P GLOBAL INC COM A Div J T
SALESFORCE.COM INC COM J T
SAREPTA THERAPEUTICS INC COM J T Buy 12/10/2019 J
SAUL CNTRS INC COM A Div J T
SBA COMMUNICATIONS INC COM (X) A Div J T
SCANSOURCE INC COM J T
SCHEIN HENRY INC COM (X) J T
SCHLUMBERGER LTD COM A Div J T Buy 1/14/2019 J
SCHLUMBERGER LTD COM Buy add'l 5/10/2019 J
SCHLUMBERGER LTD COM Sold 6/10/2019 J A
SCHLUMBERGER LTD COM Buy 8/6/2019 J
SCHLUMBERGER LTD COM Sold 10/2/2019 J A
SCHLUMBERGER LTD COM Buy 12/10/2019 J
SCHWAB CHARLES CORP COM A Div J T
SEACOAST BKG CORP COM J T

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SEATTLE GENETICS INC COM J T
SEMPRA ENERGY COM A Div J T
SERVICENOW INC COM J T
SIMON PTY GROUP INC COM A Div J T
SIMPSON MFG INC COM (X) A Div J T
SIRIUS SM HLDGS INC COM A Div J T
SMUCKER JM CO COM A Div J T
SOUTHERN CO COM A Div J T
SOUTHWEST AIRLS CO COM A Div J T
SPIRIT RLTY CAP INC COM A Div J T
SPLUNK INC COM J T
SPROUTS FMRS MKT INC COM J T
STARBUCKS CORP COM A Div J T
STATE STR CORP COM J T Buy 12/10/2019 J
STEEL DYNAMICS INC COM A Div Sold 6/10/2019 J A
STRYKER CORP COM A Div J T
SUMMIT MATLS INC COM (X) J T
SYNEOS HEALTH INC COM (X) J T
SYNOPSIS INC COM J T
SYNOVUS FINL CORP COM A Div J T
SYSCO CORP COM A Div J T
TARGET COPR COM A Div J T
TEMPER SEALY INTL INC COM (X) J T
TENET HEALTHCARE CORP COM (X) J T
TENNECO INC CL A VTG COM STK Buy 6/10/2019 J
TENNECO INC CL A VTG COM STK Sold 8/6/2019 J A
TESLA INC COM J T Sold 6/10/2019 J A
TESLA INC COM Buy add'l 8/6/2019 J
TETRA TECH INC COM (X) A Div J T
TEXAS INSTRUMENTS INC COM A Div J T
TEXAS ROADHOUSE INC COM A Div J T
THERMO FISHER SCIENTIFIC INC COM A Div J T
TIFFANY & CO COM A Div J T
TIMKENSTEEL CORP COM J T Buy 8/6/2019 J
TORO CO COM A Div J T
TRAVELERS COS INC COM A Div J T
TREEHOUSE FOODS INC COM J T
TRUST FINL CORP COM (FORMERLY BB&T CORP) J T
TRUSTMARK CORP A Div J T
TWITTER INC COM J T
UGI CORP Buy 12/10/2019 J
UGI CORP COM J T
ULTA BEAUTY INC COM Buy 5/10/2019 J
ULTA BEAUTY INC COM Sold 5/10/2019 J A
ULTA SALON COSMETICS & FRAGRANCE INC J T Buy 12/10/2019 J
UNION PAC CORP COM A Div J T
UNITED CMNTY BK COM (X) A Div J T
UNITED HEALTHGROUP INC COM A Div J T
UNITED PARCEL SVC INC COM A Div J T
UNITED STATES CELLULAR CORP COM J T
UNITED STS STL CORP NEW COM A Div Buy 6/10/2019 J
UNITED STS STL CORP NEW COM Sold 8/6/2019 J A
UNITED TECHNOLOGIES CORP COM A Div J T
UNIVERSAL HEALTH SVCS INC CL B A Div J T Buy 8/6/2019 J
US BANCORP DEL COM A Div J T
US BANCORP DEL COM Sold 1/14/2019 J A
US FOOD HLDG CORP COM J T
VALERO ENERGY CORP COM A Div J T
VARIAN MED SYS INC COM J T
VEEVA SYS INC CL A COM Buy 6/10/2019 J
VEEVA SYS INC CL A COM Sold 12/10/2019 J A
VENTAS INC COM A Div J T
VEREIT INC COM A Div J T
VERISIGN INC COM J T
VERIZON COMMUN INC COM A Div J T
VERTEX PHARMACEUTICALS INC COM J T
VF CORP COM A Div J T

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VIACOM CBS INC COM A Div J T
VISA INC COM A Div J T
VISTEON CORP COM J T Buy 6/10/2019 J
VULCAN MATLS CO COM A Div J T Buy 5/10/2019 J
WALMART INC COM A Div J T
WASTE MGMT INC COM A Div J T
WATERS CORP COM J T
WELBILT INC COM J T Buy 1/14/2019 J
WELLCARE HEALTH PLANS INC COM J T
WELLS FARGO & CO NEW COM A Div J T Buy 1/14/2019 J
WELLS FARGO & CO NEW COM Sold part 5/10/2019 J A
WELLS FARGO & CO NEW COM Buy 6/10/2019 J
WELLTOWER INC COM A Div J T
WEST PHARMACEUTICAL SVCS INC COM A Div J T
WILLIAMS COS INC COM A Div J T
WORKDAY INC CL A COM J T
WPX ENERGY INC COM (X) J T
WRIGHT MED GROUP NV ORD COM J T
WYNDHAM DESTINATIONS COM A Div J T
WYNDHAM HOTELS & RESORTS COM A Div J T
XLINX INC COM A Div J T
YUM BRANDS INC COM A Div J T
YUM CHINA HOLDINGS COM A Div J T
ZILLOW GROUP INC COM J T
ZIMMER BIOMET HLDGS INC COM A Div J T Buy 3/7/2019 J

BROKERAGE #4, Part VII, Line 54

A P MOLLER MAERSK ASADR A Div J T Buy 1/29/2019 J
A P MOLLER MAERSK ASADR Sold 5/14/2019 J A
A P MOLLER MAERSK ASADR Buy 6/18/2019 J
ABB LTD SPONSORED ADR A Div J T Buy add'l 3/5/2019 J
ABB LTD SPONSORED ADR Sold part 4/9/2019 J A
ABB LTD SPONSORED ADR Buy add'l 5/14/2019 J
ABB LTD SPONSORED ADR Sold part 6/18/2019 J A
ABB LTD SPONSORED ADR Buy add'l 8/27/2019 J
ACS ACTIV DE CONSTRUC Y SERV ADR J T Buy add'l 8/27/2019 J
ADECCO SA ADR A Div J T Buy add'l 3/5/2019 J
ADIDAS A Div J T
AEGON N V ORD AMER REG A Div J T Buy 10/1/2019 J
AERCAP HOLDING N V SHS J T Buy 8/27/2019 J
AGC INC ADR Sold 8/27/2019 J A
AGEAS SPONS ADR A Div J T
AIA GROUP LTD SPONSORED ADR J T
AIR LIQUIDE ADR A Div J T
AIRBUS GROUP ADR NCE & SPACE CO A Div J T
ALFA LAVAL AB SWEDEN ADR J T
ALLIANZ SE SPONS ADRREPSTG 1/10 SHS J T
ALPS ELEC LTD ADR J T Buy 8/27/2019 J
ALUMINA LTD SPONSORED ADR A Div J T
AMADEUS IT HLDG SA ADS A Div J T
AMCOR PLC REGISTEREDSHS A Div J T
ANGLO AMERN PLC SPONSORED ADR A Div J T
ANHEUSER BUSCH INBEVSA NV SPONSORED ADR A Div J T Buy add'l 1/29/2019 J
ANHEUSER BUSCH INBEVSA NV SPONSORED ADR Buy add'l 4/9/2019 J
ANHEUSER BUSCH INBEVSA NV SPONSORED ADR Sold part 11/5/2019 J A
ARKEMA SPONSORED ADR A Div J T Buy add'l 8/27/2019 J
ASAHI KASEI CORP ADR ISIN#US0434001006 A Div J T Sold 8/27/2019 J A
ASHTED GROUP PLC ADR A Div J T
ASML HLDG J T

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSOCIATED BRIT FOODS LTD ADR NEW A Div J T Buy 10/1/2019 J
ATLANTIA SPA ADR A Div J T
ATLAS COPCO AB SPON ADR NEW REPSTG A Div J T
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSORED ADR RPSTG 5 ORD SHS A Div J T Buy 1/29/2019 J
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSORED ADR RPSTG 5 ORD SHS Buy add'l 5/14/2019 J
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSORED ADR RPSTG 5 ORD SHS Buy add'l 8/27/2019 J
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSORED ADR RPSTG 5 ORD SHS Sold part 12/11/2019 J A
AVIVA PLC ADR A Div J T
BAE SYS A Div J T
BANCO BILBAO VIZCAYAARGENTARIA SA ISIN#US05946K1016 APONSORED ADR Buy 1/3/2019 J
BANCO BILBAO VIZCAYAARGENTARIA SA ISIN#US05946K1016 APONSORED ADR Sold 8/27/2019 J A
BANCO SANTANDER SA ADR ISIN#US05964H1059 Sold 8/27/2019 J A
BARCLAYS PLC ADR ISIN#US06738E2046 A Div J T Buy 8/27/2019 J
BARRICK GOLD CORP COM ISIN#CA0679011084 Sold 3/5/2019 J A
BASF SE SPONS ADR ISIN#US0552625057 A Div Buy 1/29/2019 J
BASF SE SPONS ADR ISIN#US0552625057 Sold 6/18/2019 J A
BAYERISCHE MOTOREN WERKE A G SPONSORED ADR ISIN#US0727433056 J T Buy 8/27/2019 J
BEIGENE LTD SPONSORED ADR J T
BHP GROUP LTD SPONSORED ADR ISIN#US0886061086 A Div J T Sold part 1/29/2019 J A
BHP GROUP PLC J T
BIC SA ADR ISIN#US0887361030 J T Buy 8/27/2019 J
BNP PARIBAS A Div J T
BP PLC J T
BRAMBLES SHS SPONS ADR REPR 2 SHS A Div J T
BRENNTAG AG ADR A Div J T Buy add'l 8/27/2019 J
BRIDGESTONE CORP ADR A Div J T
BRITISH AMERN TOB PLC SPONSORED ADR A Div J T Buy add'l 5/14/2019 J
BRITISH LD CO PLC SPONSORED ADR A Div J T Buy add'l 5/14/2019 J
BURBERRY GROUP PLC A Div J T
CANON INC ADR REPSTG5 SHS A Div Buy 1/29/2019 J
CANON INC ADR REPSTG5 SHS Sold 8/27/2019 J A
CAPGEMINI A Div J T
CARREFOUR SA SPONSORED ADR ISIN#US1444302046 A Div Sold 8/27/2019 J A
CENTRAL JAPAN RAILWAY CO ADR A Div J T
CHECK POINT SOFTWARETECHNOLOGIES LTD SHSISIN#IL0010824113 J T Sold part 1/29/2019 J A
CHR HANSEN HLDG A/S SPONS ADR A Div J T
CITY DEVELOPMENT LTDADR A Div J T
CLP HOLDINGS LTD SPONSORED ADR A Div Sold 11/5/2019 J A
COCA-COLA EUROPEAN PARTNERS PLC SHS J T
COLOPLAST A/S ADR A Div J T Buy add'l 1/29/2019 J
COMMERZBANK AG SPONSORED ADR NEW ISIN#US2025976059 Buy 6/18/2019 J
COMMERZBANK AG SPONSORED ADR NEW ISIN#US2025976059 Sold 8/27/2019 J A
COMMONWEALTH BK AUSTRALIA SPONS ADR ISIN#US2027126000 A Div J T Sold part 4/9/2019 J A
COMMONWEALTH BK AUSTRALIA SPONS ADR ISIN#US2027126000 Buy add'l 8/27/2019 J
COMMONWEALTH BK AUSTRALIA SPONS ADR ISIN#US2027126000 Sold part 10/1/2019 J A
COMMONWEALTH BK AUSTRALIA SPONS ADR ISIN#US2027126000 Buy add'l 12/11/2019 J
COMPAGINE FINANCIERERICHEMONT AG SWITZ ADR A Div J T Sold part 10/1/2019 J A
COMPASS GROUP PLC SPONSORED ADR NEW JUNE 2017 A Div J T
CONTINENTAL AG SPONSADR Buy 6/18/2019 J
CONTINENTAL AG SPONSADR Sold 8/27/2019 J A
CONTINENTAL AG SPONSADR Buy 10/1/2019 J
CONTINENTAL AG SPONSADR Sold 12/11/2019 J A
CONVATEC GROUP PLC ADR A Div Sold 6/18/2019 J A
COVESTRO AG SPONSORED ADR ISIN# US22304D2071 A Div Buy 6/18/2019 J
COVESTRO AG SPONSORED ADR ISIN# US22304D2071 Sold 8/27/2019 J A
CREDIT AGRICOLE SA ADR A Div J T
CREDIT SUISSE GROUP SPONSORED ADR A Div J T Sold part 4/9/2019 J A
CREDIT SUISSE GROUP SPONSORED ADR Buy add'l 5/14/2019 J
CREDIT SUISSE GROUP SPONSORED ADR Sold part 6/18/2019 J A
CREDIT SUISSE GROUP SPONSORED ADR Buy add'l 7/23/2019 J
CRH PLC ADR A Div J T Buy 1/29/2019 J
CSL LTD SPONSORED ADR A Div J T
DAI NIPPON PRTG LTD JAPAN SPON ADR A Div J T
DAIKIN INDS LTD ADR A Div J T
DAIMLER AG ADR ISIN#US2338252073 A Div Sold 6/18/2019 J A
DAITO TR CONSTR CO LTD SPONSORED ADR A Div J T Buy add'l 5/14/2019 J
DAIWA HOUSE IND LTD ADR A Div J T Buy add'l 5/14/2019 J

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DAIWA SECS GROUP INC SPONS ADR ISIN#US2340643012 A Div J T Buy add'l 8/27/2019 J
DANONE SPONSORED ADR A Div J T
DANSKE BK A/S SPONSORED ADR ISIN#US2363632066 J T Buy 10/1/2019 J
DASSAULT SYS A Div J T
DBS GROUP HLDGS A Div J T
DENSO CORP ADR A Div J T Buy 1/29/2019 J
DENSO CORP ADR Sold part 3/5/2019 J A
DEUTSCHE BANK AG NAMEN AKT ISIN#DE0005140008 A Div Sold 6/18/2019 J A
DEUTSCHE BOERSE ADR ISIN#US2515421061 A Div J T Buy add'l 8/27/2019 J
DEUTSCHE LUFTHANSA AG SPONSORED ADR A Div J T Buy 10/1/2019 J
DEUTSCHE POST AG SPONS ADR ISIN#US25157Y2028 A Div J T Buy 1/29/2019 J
DEUTSCHE POST AG SPONS ADR ISIN#US25157Y2028 Buy add'l 3/5/2019 J
DEUTSCHE TELEKOM AG SPONSORED ADR A Div J T Sold part 5/14/2019 J A
DEUTSCHE TELEKOM AG SPONSORED ADR Buy add'l 8/27/2019 J
DIAGEO PLC A Div J T
DNB ASA SPONS ADR REPSTG 10 ORD SHS A Div J T Buy add'l 8/27/2019 J
DSV PANALPINA J T
E ON SE A Div J T
EAST JAPAN RY CO ADR ISIN#US2737021017 A Div J T Sold part 11/5/2019 J A
EDP ELECTRICIDADE DE PORTUGAL S A SPONSORED ADR A Div J T
ELBIT SYSTEMS LTD J T
ELECTRICITE DE FRANCE ADR A Div J T Buy add'l 12/11/2019 J
ENEL SOCIETA PER AZIONI ADR ISIN#US29265W2070 A Div J T Sold part 1/29/2019 J A
ENGIE SPONSORED ADR A Div J T
ERICSSON L M TEL CO ADR CL B SEK 10 NEW EXCH FOR ADR CL B SEK NEW A Div J T Sold part 1/29/2019 J A
ESSILOR INTL S A SPONSORED ADR ISIN#US2972842007 A Div J T Sold part 4/9/2019 J A
ESSITY AB SHS SPONSORED AMERICAN DEPOSIT RECEIPT A Div J T
EXPERIAN PLC A Div J T
FANUC CORPORATION ADR ISIN#US3073051027 A Div J T Buy 4/9/2019 J
FANUC CORPORATION ADR ISIN#US3073051027 Sold 5/14/2019 J A
FAST RETAILING CO LTD ADR A Div J T
FERGUSON PLC A Div J T
FERRARI N V SHS J T
FERROVIAL S A ADR J T
FIAT CHRYSLER AUTOMOBILES N V SHS J T
FLUTTER ENTMT PLC ADR A Div J T Buy 1/29/2019 J
FORTESCUE METALS GROUP LTD A Div J T
FRESENIUS MED CARE A Div J T Buy add'l 6/18/2019 J
FRESENIUS SE & CO KGAA SPONSORED ADR A Div J T Buy add'l 1/29/2019 J
FUCHS PETROLUB SE ADR ISIN#US35952Q1067 J T Buy 10/1/2019 J
FUJIFILM HLDGS CORP ADR 2 ORD A Div J T
FUJITSU LTD A Div J T
GALP ENERGIA SGPS SAADR A Div J T
GEA GROUP AG ADR ISIN#US3615921083 J T Buy 8/27/2019 J
GEBERIT SHS UNSPON ADR REPR 1/10 SH A Div J T Buy 1/29/2019 J
GENMAB A/S J T
GIVAUDAN SA A Div J T
GLENCORE PLC ADR ISIN#US37827X1000 A Div J T Sold 5/14/2019 J A
GLENCORE PLC ADR ISIN#US37827X1000 Buy 6/18/2019 J
GRIFOLS S A A Div J T Buy add'l 5/14/2019 J
HANG LUNG PPTYS LTD SPONSORED ADR A Div J T Buy add'l 12/11/2019 J
HANNOVER RUECK SE SPONS ADR A Div J T
HEINEKEN HLDGS NV SPONS ADR ISIN#US4230081014 A Div J T Sold part 1/29/2019 J A
HEINEKEN N V SPONS ADR LEVEL 1 A Div J T Buy add'l 12/11/2019 J
HENDERSON LAND DEV LTD SP ADR A Div J T
HENKEL AG & CO KGAA SPONSORED ADR REPSTGPF D SHS Sold 3/5/2019 J A
HENNES & MAURITZ AB ADR A Div J T Buy add'l 3/5/2019 J
HERMES INTL SCA ADR A Div J T Buy add'l 3/5/2019 J
HEXAGON AB ADR A Div J T
HITACHI LTD ADR 10 COM NEW A Div J T
HONDA MTR LTD ADR REPRESENTING 2 ORD SHS A Div Sold 8/27/2019 J A
HONG KONG @ CHINA GAS ADR A Div J T
HOYA CORP A Div J T
HSBC HLDGS PLC SPONS ADR NEW A Div J T Buy add'l 1/29/2019 J
HSBC HLDGS PLC SPONS ADR NEW Buy add'l 3/5/2019 J
HSBC HLDGS PLC SPONS ADR NEW Sold part 8/27/2019 J A
HSBC HLDGS PLC SPONS ADR NEW Buy add'l 12/11/2019 J

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Name of Person Reporting	Date of Report
CECCHI, CLAIRE C.	07/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IBERDROLA S A SPONSORED ADR REPSTG 1 ORD SHS ISIN#US4507371015 A Div J T Sold part 1/29/2019 J A
IMPERIAL BRANDS PLC SPONSORED ADR A Div J T Buy 11/5/2019 J
INDUSTRIA DE DISENO TEXTIL INDITEX SA ADR ISIN#US4557931098 A Div J T Buy 8/27/2019 J
INFINEON TECHNOLOGIES AG ISIN#US45662N1037 SPONS ADR A Div J T Sold part 6/18/2019 J A
INFORMA PLC SPONSORED ADR NEW ISIN#US45672B3050 A Div J T Buy 1/29/2019 J
ING GROEP N V ADR A Div Sold part 8/27/2019 J A
INPEX CORP ADR ISIN#US45790H1014 A Div J T Buy add'l 8/27/2019 J
INTERCONTINENTAL HOTELS GROUP PLC NEW SPONSORED ADR NEW 2018 A Div J T Buy add'l 1/29/2019 J
INTESA SANPAOLO S P A SPON ADR REPSTG ORD SHS A Div J T
ISRAEL CHEMICALS LTD SHS J T
ITOCHU CORP ADR A Div J T
ITV PLC ADR J T
J SAINSBURY PLC SPONSORED ADR NEW A Div J T Sold part 5/14/2019 J A
J SAINSBURY PLC SPONSORED ADR NEW Buy add'l 6/18/2019 J
JAMES HARDIE INDS NV SPONS ADR A Div J T
JAPAN AIRLS LTD ADR ISIN#US4710381090 A Div Buy 8/27/2019 J
JAPAN AIRLS LTD ADR ISIN#US4710381090 Sold 10/1/2019 J A
JAPAN EXCHANGE GROUP INC ADR ISIN#US4710591052 A Div J T Sold part 10/1/2019 J A
JAPAN TOB INC ADR ISIN#US4711052054 A Div J T Sold part 8/27/2019 J A
JARDINE STRATEGIC HLDGS LTD BERMUNDA ADR A Div J T Sold 8/27/2019 J A
JARDINE STRATEGIC HLDGS LTD BERMUNDA ADR Buy 10/1/2019 J
JARDINE STRATEGIC HLDGS LTD BERMUNDA ADR Sold part 12/11/2019 J A
JGC CORP ADR ISIN#US4661401004 A Div Sold 5/14/2019 J A
JULIUS BAER GROUP LTD ADR ISIN#US48137C1080 A Div J T Buy add'l 7/23/2019 J
JULIUS BAER GROUP LTD ADR ISIN#US48137C1080 Sold part 8/27/2019 J A
KAO CORP A Div J T
KBC GROUP NV ADR ISIN#US48241F1049 A Div J T Sold part 5/14/2019 J A
KDDI CORP ADR ISIN#US48667L1061 A Div J T Sold part 4/9/2019 J A
KERING S A ADR A Div J T
KINGFISHER PLC SPONSORED ADR ISIN#US4957244035 A Div Buy 1/29/2019 J
KINGFISHER PLC SPONSORED ADR ISIN#US4957244035 Sold 6/18/2019 J A
KIRIN HLDGS CO LTD SPONSORED ADR A Div J T
KONE OYJ ADR ISIN#US50048H1014 A Div J T Buy add'l 11/5/2019 J
KONINKLUKE AHOLD NV A Div J T
KONINKLUKE PHILIPS N V A Div J T
KONINKLUKE VOPAK NV A Div J T
KUBOTA CORP ADR FORMERLY KUBOTA LTD ADR A Div J T Buy 5/14/2019 J
KUENE & NAGEL INTL AG ADR J T Buy 11/5/2019 J
KYOCERA CORP ADR ISIN#US5015562037 A Div J T Buy 1/29/2019 J
LAFARGEHOLCIM ADR A Div J T Buy 7/23/2019 J
LEND LEASE LTD SPONSORED ADR A Div J T
LINE CORP SPONSORED ADR J T Sold part 6/18/2019 J A
LLOYDS BANKING GROUP PLC SPONS ADR ISIN#US5394391099 A Div Sold part 1/3/2019 J A
LLOYDS BANKING GROUP PLC SPONS ADR ISIN#US5394391099 Sold 8/27/2019 J A
LONDON STK EXCHANGE GROUP ADR A Div J T
LOREAL CO ADR A Div J T Buy add'l 3/5/2019 J
LVMH MOET HENNESSY LOUIS VUITTON ADR A Div J T
MACQUARIE GROUP LTD ADR NEW A Div J T
MARKS & SPENCER GROUP PLC SPONSORED ADR J T Buy 1/29/2019 J
MARKS & SPENCER GROUP PLC SPONSORED ADR Buy add'l 6/18/2019 J
MARKS & SPENCER GROUP PLC SPONSORED ADR Sold part 7/23/2019 J A
MARKS & SPENCER GROUP PLC SPONSORED ADR Buy add'l 10/1/2019 J
MARUI GROUP CO LTD ADR A Div J T
MAZDA MTR CORP ADR ISIN#US5787871038 A Div Sold 8/27/2019 J A
MEDIOBANCA BANCA DI CREDITO FINANZIARIO SPA ADR A Div J T
MICHELIN COMPAGNIE GENERALE DES ESTABLISHMENTS ADR A Div J T
MITSUBISHI CORP SPONSORED ADR A Div J T
MITSUBISHI ELECTRIC CORP ADR A Div J T Buy 8/27/2019 J
MITSUBISHI ESTATE LTD ADR A Div J T
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 A Div J T Sold part 1/3/2019 J A
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 Sold part 1/3/2019 J A
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 Buy add'l 4/9/2019 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 Buy add'l 5/14/2019 J
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 Sold part 6/18/2019 J A
MITSUBISHI UFJ FINL GROUP INC SPON ADR ISIN#US6068221042 Buy add'l 8/27/2019 J
MITSUI FUDOSAN CO LTD ADR ISIN#US60683M1099 A Div J T Buy 4/9/2019 J
MIZUHO FINANCIAL GROUP INC SPON ADR A Div J T Sold part 1/3/2019 J A

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

MIZUHO FINANCIAL GROUP INC SPON ADR Sold part 1/3/2019 J A
MIZUHO FINANCIAL GROUP INC SPON ADR Sold part 1/3/2019 J A
MIZUHO FINANCIAL GROUP INC SPON ADR Buy add'l 6/18/2019 J
MS&AD INS GROUP HLDGS ADR A Div J T
MUNICH RE GROUP ADR A Div J T
MURATA MFG CO LTD ADR ISIN#US6264251025 A Div Sold 8/27/2019 J A
NATIONAL AUSTRALIA BANK LTD ADRS A Div J T Buy add'l 12/11/2019 J
NATIONAL GRID PLC SPON ADR NEW 2017 A Div J T Buy 1/29/2019 J
NATIONAL GRID PLC SPON ADR NEW 2017 Buy add'l 7/23/2019 J
NATIONAL GRID PLC SPON ADR NEW 2017 Buy add'l 11/5/2019 J
NESTLE SA SPONSORED ADR A Div K T
NICE SYSTEMS LTD SPONS ADR J T
NIDEC CORP SPONS ADR A Div J T
NINTENDO LTD ADR A Div J T Buy add'l 12/11/2019 J
NIPPON TELEG & TELEPHONE CORP SPONSORED ADR A Div J T
NISSAN MOTOR LTD SPON ADR A Div J T Buy 8/27/2019 J
NITTO DENKO CORP ADR A Div J T
NOKIA CORP SPONSORED ADR A Div J T Sold part 5/14/2019 J A
NOKIA CORP SPONSORED ADR Buy add'l 6/18/2019 J
NOKIA CORP SPONSORED ADR Sold part 11/5/2019 J A
NOMURA HLDGS INC SPONSORED ADR A Div J T Sold part 1/3/2019 J A
NOMURA HLDGS INC SPONSORED ADR Buy add'l 3/5/2019 J
NOMURA HLDGS INC SPONSORED ADR Buy add'l 5/14/2019 J
NOMURA HLDGS INC SPONSORED ADR Sold part 6/18/2019 J A
NORDEA BK ABP SPONSORED ADR ISIN#US65558R1095 J T Buy 8/27/2019 J
NOVOZYMES A/S SPONSORED ADR Sold 1/29/2019 J A
NSK LTD SPONSORED ADR Buy 6/18/2019 J
NSK LTD SPONSORED ADR Sold 8/27/2019 J A
NTT DOCOMO INC SPONS ADR ISIN#US62942M2017 A Div J T Sold part 4/9/2019 J A
NXP SEMICONDUCTORS NV COM ISIN#NL0009538784 J T Buy 5/14/2019 J
OIL SEARCH LTD SPONSORED ADR A Div Sold 8/27/2019 J A
OLYMPUS CORP SPON ADR A Div J T
OMV AG SPONS ADR NEW (X) A Div J T Buy add'l 6/18/2019 J
ORANGE SPONSORED ADR A Div J T
ORIX CORP SPONSORED ADR A Div J T Sold part 10/1/2019 J A
OVERSEA CHINESE BKG CORP LTD ADR ISIN#US6903331097 A Div J T Buy 8/27/2019 J
PAN PAC INTL HLDGS CORP ADR A Div J T
PANASONIC CORP ADR ISIN#US69832A2050 J T Buy 4/9/2019 J
PANASONIC CORP ADR ISIN#US69832A2050 Buy add'l 10/1/2019 J
PARK24 CO LTD SPONSORED ADR J T Sold part 4/9/2019 J A
PERNOD RICARD S A ADR A Div J T
PERSIMMON ADR ISIN#US7153181018 Buy 6/18/2019 J
PROSIEBENSAT 1 MEDIA SE ADR ISIN#US7434762024 A Div Buy 5/14/2019 J
PROSIEBENSAT 1 MEDIA SE ADR ISIN#US7434762024 Sold 6/18/2019 J A
RAKUTEN INC ADR A Div J T
RECKITT BENCKISER PLC SPONSORED ADR ISIN#US7562552049 A Div J T Sold part 1/29/2019 J A
RECKITT BENCKISER PLC SPONSORED ADR ISIN#US7562552049 Buy add'l 3/5/2019 J
RECRUIT HLDGS CO LTD ADR ISIN#US75629J1016 A Div J T Buy 4/9/2019 J
RED ELECTRICA DE ESPANA SA ADR A Div J T
RELX PLC SPONSORED ADR A Div J T Sold part 3/5/2019 J A
RENAULT S A ADR ISIN#US7596734035 A Div Buy 5/14/2019 J
RENAULT S A ADR ISIN#US7596734035 Sold 11/5/2019 J A
REPSOL YPF SA SPONS ADR A Div J T
RIO TINTO PLC SPONSORED ADR A Div J T
ROHM CO LTD ADR A Div J T Buy 6/18/2019 J
ROLLS ROYCE HLDGS PLC SPONS ADR ISIN#US7757812067 A Div Sold 11/5/2019 J A
ROYAL BK SCOTLAND GROUP PLC SPON ADR REPSTG 2 ORD SH A Div Buy 1/3/2019 J
ROYAL BK SCOTLAND GROUP PLC SPON ADR REPSTG 2 ORD SH Sold 8/27/2019 J A
ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG A SHS A Div J T
ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG B SHS A Div J T
RSA INS GROUP PLC SPONS ADR A Div J T
RWE AG SPONSORED ADR J T
RYANAIR HLDGS PLC SPONSORED ADR NEW Sold 6/18/2019 J A
SAFRAN S A ADR A Div J T
SAGE GROUP PLC ADR A Div J T
SAMPO OYJ ADR ISIN#US79588J1025 A Div Sold 10/1/2019 J A
SANDVIK AB ADR A Div J T

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Name of Person Reporting	Date of Report
CECCHI, CLAIRE C.	07/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SAP AE SPONSORED ADR A Div J T
SCOR SPONSORED ADR REPSTG ORD SHS A Div J T
SECOM LTD ADR A Div J T Sold part 1/29/2019 J A
SEIKO EPSON CORP UNSPONSORED ADR A Div J T Buy 8/27/2019 J
SEKISUI HOUSE LTD SPONSORED ADR A Div J T
SEVEN & I HLDGS CO LTD ADR ISIN#US81783H1059 A Div J T Sold part 4/9/2019 J A
SEVEN & I HLDGS CO LTD ADR ISIN#US81783H1059 Buy add'l 5/14/2019 J
SEVEN & I HLDGS CO LTD ADR ISIN#US81783H1059 Sold part 6/18/2019 J A
SEVEN & I HLDGS CO LTD ADR ISIN#US81783H1059 Buy add'l 8/27/2019 J
SGS SA ADR A Div J T
SHARP CORP ADR NEW A Div J T Buy add'l 5/14/2019 J
SHIMANO SHS UNSPONSORED ADR 1/10 SH ISIN#US82455C1018 A Div J T Sold part 1/29/2019 J A
SHIN ETSU CHEM CO LTD ADR A Div J T Buy add'l 1/29/2019 J
SHISEIDO LTD SPON ADR A Div J T
SIEMENS A G SPONSORED ADR ISIN#US8261975010 A Div J T Sold part 1/29/2019 J A
SIEMENS A G SPONSORED ADR ISIN#US8261975010 Sold part 8/27/2019 J A
SIEMENS HEALTHINEERSAG ADR ISIN#US82622J1043 J T Buy 4/9/2019 J
SINGAPORE EXCHANGE LTD ADR A Div Sold 5/14/2019 J A
SKANDINAVISKA ENSKILDA BANKEN SPONSORED ADR REPSTG SHS SER A ISIN#US8305053014 A Div Sold 8/27/2019 J A
SMC CORP JAPAN SPONSORED ADR A Div J T
SMITH & NEPHEW P L C SPONSORED ADR NEW A Div J T
SMITHS GROUP PLC SPONS ADR A Div J T Buy 8/27/2019 J
SMITHS GROUP PLC SPONS ADR Sold part 10/1/2019 J A
SOCIETE GENERALE FRANCE SPON ADR A Div J T Buy 1/3/2019 J
SOCIETE GENERALE FRANCE SPON ADR Sold part 4/9/2019 J A
SOCIETE GENERALE FRANCE SPON ADR Sold part 6/18/2019 J A
SOCIETE GENERALE FRANCE SPON ADR Buy add'l 8/27/2019 J
SODEXHO SPON ADR A Div J T
SOFTBANK CORP ADR ISIN#US83404D1090 A Div J T Buy add'l 1/29/2019 J
SONIC HEALTHCARE LTD SPONSORED ADR A Div J T
SONOVA HLDG AG ADR A Div J T
SONY CORP ADR AMERN SH NEW A Div J T
SOUTH32 LTD SPONSORED ADR A Div J T Sold 8/27/2019 J A
SOUTH32 LTD SPONSORED ADR Buy 10/1/2019 J
SPARK NEW ZEALAND LTD SPONS ADR A Div J T Buy 4/19/2019 J
SSE PLC SPONSORED ADR ISIN#US78467K1079 A Div J T Buy 7/23/2019 J
STMICROELECTRONICS NV SHS N Y REGISTRY (X) A Div J T Buy add'l 1/29/2019 J
SUBARU CORP ADR A Div J T
SUEZ ENVIRONMENT CO S A ADR (X) A Div J T
SUMITOMO CHEM CO LTD SPON ADR ISIN#US8656121055 A Div J T Buy 5/14/2019 J
SUMITOMO CORP SPONSORED ADR A Div J T
SUMITOMO MITSUI FINLGROUP INC SPONS ADR ISIN#US86562M2098 A Div J T Sold part 1/3/2019 J A
SUMITOMO MITSUI FINLGROUP INC SPONS ADR ISIN#US86562M2098 Sold part 8/27/2019 J A
SUN HUNG KAI PPTYS LTD ADR NEW A Div J T
SUNTORY BEVERAGE & FOOD LTD ADS A Div J T
SUZUKI MTR CORP ADR ISIN#US86959X1072 A Div J T Sold part 4/9/2019 J A
SUZUKI MTR CORP ADR ISIN#US86959X1072 Buy add'l 5/14/2019 J
SUZUKI MTR CORP ADR ISIN#US86959X1072 Sold 7/23/2019 J A
SUZUKI MTR CORP ADR ISIN#US86959X1072 Buy 8/27/2019 J
SVENSKA HANDELSBANKEN AB ADR ISIN#US86959C1036 A Div J T Sold 6/18/2019 J A
SVENSKA HANDELSBANKEN AB ADR ISIN#US86959C1036 Buy 8/27/2019 J
SWATCH GROUP AG ADR ISIN#US8701231065 A Div J T Buy add'l 4/9/2019 J
SWATCH GROUP AG ADR ISIN#US8701231065 Sold 5/14/2019 J A
SWATCH GROUP AG ADR ISIN#US8701231065 Buy 8/27/2019 J
SWEDBANK A B SPONS ADR ISIN#US8701951043 Sold 3/5/2019 J A
SWIRE PACIFIC LTD SPONS ADR REPRESENTG1 CLASS A SHARE A Div J T
SWISS RE LTD SPONS ADR A Div J T
SWISSCOM SPON ADR A Div J T
SYMRISE AG ADR A Div J T
SYSMEX CORP ADR A Div J T
TAKEDA PHARMACEUTICAL CO LTD SPONSORED ADR Sold 1/29/2019 J A
TDK CORP AMERICAN DEP SHS A Div J T
TECHTRONICS IND LTD SPON ADR A Div J T
TELEFONICA S A ADR SPONS ADR ISIN#US8793822086 A Div J T Sold 8/27/2019 J A
TELEFONICA S A ADR SPONS ADR ISIN#US8793822086 Buy 10/1/2019 J
TELIA A B ADR ISIN#US87960M2052 A Div J T Buy 4/9/2019 J
TELIA A B ADR ISIN#US87960M2052 Buy add'l 6/18/2019 J

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TELIA A B ADR ISIN#US87960M2052 Buy add'l 7/23/2019 J

TELSTRA LTD SPON ADR FINAL INSTALLMENT RPSTG 5 SHS COM A Div J T Buy 1/29/2019 J

TELSTRA LTD SPON ADR FINAL INSTALLMENT RPSTG 5 SHS COM Buy add'l 12/11/2019 J

TEMENOS GROUP AG SPONSORED ADR ISIN# US87974R2085 A Div J T Buy 6/18/2019 J

TEMENOS GROUP AG SPONSORED ADR ISIN# US87974R2085 Sold part 10/1/2019 J A

TERNA RETE ELETTRICANAZIONALE SPA ADR A Div J T

TERUMO CORP ADR J T Buy 11/5/2019 J

TESCO PLC SPON ADR A Div J T

THK CO LTD ADR A Div J T

THYSSENKRUPP AG SPONSORED ADR A Div J T Buy add'l 6/18/2019 J

THYSSENKRUPP AG SPONSORED ADR Sold 7/23/2019 J A

THYSSENKRUPP AG SPONSORED ADR Buy 8/27/2019 J

TOKIO MARINE HLDGS INC ADR A Div J T

TOKYO ELECTRON LTD ADR A Div J T Buy add'l 1/29/2019 J

TORAY INDS INC ADR ISIN#US8908802064 A Div J T Sold 5/14/2019 J A

TORAY INDS INC ADR ISIN#US8908802064 Buy 8/27/2019 J

TOSHIBA CORP ADR A Div J T

TOTAL S A SPONSORED ADR A Div J T

TOYOTA MTR CO SPON ADR A Div J T

TREASURY WINE ESTATES LTD SPONS ADR A Div J T

TUI AG ADR J T Buy 6/18/2019 J

UBISOFT ENTMT SA ADR J T Buy 11/5/2019 J

UBS GROUP AG SHS ISIN#CH0244767585 J T Sold part 3/5/2019 J A

UBS GROUP AG SHS ISIN#CH0244767585 Buy add'l 4/9/2019 J

UBS GROUP AG SHS ISIN#CH0244767585 Sold 5/14/2019 J A

UBS GROUP AG SHS ISIN#CH0244767585 Buy add'l 6/18/2019 J

UBS GROUP AG SHS ISIN#CH0244767585 Sold part 8/27/2019 J A

UBS GROUP AG SHS ISIN#CH0244767585 Buy add'l 10/1/2019 J

UMICORE GROUP ADR ISIN#US90420M1045 A Div J T Buy 6/18/2019 J

UNICHARM CORP SPONSORED ADR A Div J T

UNICREDIT SPA ADR A Div J T

UNILEVER NV NEW YORKSHS NEW A Div J T

UNILEVER PLC SPON ADR A Div J T

UNITED OVERSEAS BK LTD SPON ADR A Div J T Sold part 5/14/2019 J A

UNITED UTILS GROUP PLC SPON ADR A Div J T

UPM KYMMENE CORP SPONSORED ADR A Div J T

VEOLIA ENVIRONMENT SPONSORED ADR A Div J T

VESTAS WIND SYS A/S UTD KINGDOM UNSPONSORED ADR A Div J T

VINCI S A ADR A Div J T

VIVENDI SA ADR A Div J T

VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR A Div J T Sold 1/29/2019 J A

VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR Buy 4/9/2019 J

VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR Buy add'l 5/14/2019 J

VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR Sold part 6/18/2019 J A

VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR Buy add'l 8/27/2019 J

VOLKSWAGEN A G ADR 1/10TH A Div J T

VOLKSWAGEN A G ADR PREF (X) A Div J T

WESFARMERS LTD ADR NEW 2014 ISIN#US9508403063 A Div J T Sold 1/29/2019 J A

WESFARMERS LTD ADR NEW 2014 ISIN#US9508403063 Buy 7/23/2019 J

WESFARMERS LTD ADR NEW 2014 ISIN#US9508403063 Sold 10/1/2019 J A

WESFARMERS LTD ADR NEW 2014 ISIN#US9508403063 Buy 12/11/2019 J

WESFARMERS LTD SALE OF RIGHTS EXP 12/24/2018 Sold 1/3/2019 J A

WEST JAPAN RY CO ADR A Div J T

WESTPAC BANKING CORP ADR A Div Buy 1/29/2019 J

WESTPAC BANKING CORP ADR ISIN#US9612143019 Buy 6/18/2019 J

WESTPAC BANKING CORP ADR ISIN#US9612143019 Buy 8/27/2019 J

WESTPAC BANKING CORP ADR ISIN#US9612143019 Sold 12/11/2019 J A

WH GROUP LTD SPONSORED ADR (X) A Div J T

WHARF HLDGS LTD ADR ISIN#US9622574088 A Div J T Sold part 7/23/2019 J A

WHARF HLDGS LTD ADR ISIN#US9622574088 Buy add'l 8/27/2019 J

WHEELLOCK & CO LTD ADR A Div J T Buy 7/23/2019 J

WHEELLOCK & CO LTD ADR Sold part 10/1/2019 J A

WHEELLOCK & CO LTD ADR Buy add'l 11/5/2019 J

WILMAR INTL LTD ADR A Div J T

WM MORRISON SUPERMARKETS PLC ADR ISIN#US92933J1079 A Div J T Buy add'l 5/14/2019 J

WM MORRISON SUPERMARKETS PLC ADR ISIN#US92933J1079 Sold 6/18/2019 J A

WM MORRISON SUPERMARKETS PLC ADR ISIN#US92933J1079 Buy 8/27/2019 J

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

WOLTERS KLUWER N V SPON ADR A Div J T

WOODSIDE PETE LTD SPON ADR A Div J T

WPP PLC NEW ADR A Div J T

YARA INTL ASA SPONSORED ADR Sold 1/29/2019 J A

YASKAWA ELEC CORP ADR A Div J T

Z HLDGS CORP ADR J T

ZALANDO UNSPONS ADR REPSTG 1/2 SHARE J T Buy 1/29/2019 J

ZURICH INS GROUP LTDSPONSORED ADR A Div J T

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CLAIRE C. CECCHI**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544