

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Dorsey, Jennifer A.	2. Court or Organization US District Court - Nevada	3. Date of Report 06/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South Las Vegas, Nevada 89101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2. Adjunct Professor (Part-Time)	William S. Boyd School of Law at University of Nevada, Las Vegas
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	William S. Boyd School of Law at University of Nevada, Las Vegas - Adjunct Professor	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Ainsworth Game Technology - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Nevada	June 26, 2019 - June 28, 2019	Vail, Colorado	State Bar of Nevada Bar Association Annual Meeting (Instructor - Trial Academy)	Transportation and lodging
2. Howard McKibben Chapter - Inn of Court	October 26, 2019	Washington, D.C.	Ameircan Inns of Court - Annual Awards Dinner	Dinner Ticket
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Series EE Savings Bonds	A	Interest	J	T					
2. 529 Accounts (H)									
3. - American Funds Growth Fund of America 529	A	Interest	L	T	Sold (part)	08/13/19	J		
4. - American Funds Capital World Bond Fund 529	A	Interest	J	T					
5. - American Funds EuroPacific Growth Fund 529	A	Interest	J	T	Sold (part)	01/07/19	J		
6. - American Funds New World Fund 529	A	Interest	J	T					
7. - American Funds US Govt Sec Fund 529 (Y)									
8. - American Funds Fund of America 529	A	Interest	J	T	Sold (part)	08/13/19	J		
9. Trust # 1 Accounts									
10. - Wells Fargo Cash Accounts	A	Interest	M	T					
11. - Wells Fargo Advisors Cash Accounts	B	Interest	N	T					
12. Stocks/Equities (H)									
13. - AES Corp stock	A	Dividend			Sold	03/26/19	J		
14. - Alibaba Group Holding stock	A	Dividend	J	T	Buy	05/23/19	J		
15.					Buy (add'l)	12/05/19	J		
16. - Alphabet Inc. Class A stock (f/k/a Google)	A	Dividend	L	T	Buy (add'l)	05/23/19	J		
17.					Buy (add'l)	06/11/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Altria Group Inc stock	A	Dividend			Sold	01/03/19	J		
19. - Amazon Com stock	A	Dividend	K	T	Buy (add'l)	05/23/19	J		
20.					Buy (add'l)	12/05/19	J		
21. - American Intl Gp Inc (new) stock	A	Dividend			Sold	03/26/19	J		
22. - Amgen Inc stock	A	Dividend	J	T	Buy	03/06/19	J		
23.					Buy (add'l)	12/03/19	J		
24. - Amphenol Corp New Class A stock	A	Dividend	J	T					
25. - Anadarko Pete stock	A	Dividend			Sold	03/26/19	J		
26. - Anthem Inc stock	A	Dividend	K	T	Buy (add'l)	03/04/19	J		
27.					Buy (add'l)	04/15/19	J		
28.					Buy (add'l)	04/17/19	J		
29. - Apple Inc stock	A	Dividend	L	T	Buy (add'l)	03/14/19	J		
30.					Buy (add'l)	03/26/19	J		
31.					Buy (add'l)	05/23/19	J		
32.					Buy (add'l)	12/03/19	J		
33.					Sold (part)	05/10/19	J		
34.					Sold (part)	10/30/19	J		

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - Applied Materials Inc stock	A	Dividend			Sold	03/26/19	J		
36. - Baxter Intl Inc stock	A	Dividend			Sold (part)	03/26/19	J		
37.					Sold	05/10/19	J		
38. - Becton Dickinson & Co stock	A	Dividend	J	T	Buy (add'l)	01/29/19	J		
39. - Berkshire Hathaway Class B stock	A	Dividend			Sold	05/15/19	J		
40. - Biogen Inc stock	A	Dividend	K	T	Buy	03/21/19	J		
41.					Buy (add'l)	05/23/19	J		
42.					Buy (add'l)	12/03/19	J		
43. - Boeing Inc stock	A	Dividend	K	T	Buy (add'l)	03/11/19	J		
44.					Buy (add'l)	03/18/19	J		
45.					Buy (add'l)	03/26/19	J		
46.					Buy (add'l)	05/07/19	J		
47.					Buy (add'l)	05/23/19	J		
48.					Buy (add'l)	12/03/19	J		
49.					Buy (add'l)	12/16/19	J		
50.					Sold (part)	05/10/19	J		
51. - Booking Holdings stock (fka Priceline Group)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Bristol Myers Squibb Co stock	A	Dividend			Sold (part)	03/26/19	J		
53.					Sold	05/10/19	J		
54. - Broadridge Financial Solution LLC stock	A	Dividend	J	T	Buy (add'l)	03/25/19	J		
55. - CSX Corp stock	A	Dividend			Sold	03/26/19	J		
56. - CVS Health Corp stock	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
57. - Cerner Corp stock	A	Dividend			Sold	03/01/19	J		
58. - Check Point Software Tech Ltd stock	A	Dividend	J	T					
59. - Chevron Corp stock	A	Dividend			Sold	05/10/19	J		
60. - Cognizant Tech Solutions stock	A	Dividend			Sold	07/18/19	J		
61. - ConocoPhillips stock	A	Dividend	K	T	Buy (add'l)	03/26/19	J		
62.					Buy (add'l)	05/23/19	J		
63.					Sold (part)	05/10/19	J		
64. - Constellation Brands stock	A	Dividend	K	T	Buy	03/01/19	J		
65.					Buy (add'l)	03/06/19	J		
66. - Corning Inc stock	A	Dividend			Sold	03/26/19	J		
67. - Walt Disney Co Holding Company stock	B	Dividend	L	T	Buy (add'l)	03/26/19	J		
68.					Buy (add'l)	05/23/19	J		

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69.					Buy (add'l)	12/03/19	J		
70.					Sold (part)	05/10/19	J		
71. - Dollar Tree Inc stock	A	Dividend	J	T	Buy (add'l)	12/09/19	J		
72.					Sold (part)	01/24/19	J		
73.					Sold (part)	10/09/19	J		
74. - Ecolab Inc stock	A	Dividend			Sold (part)	04/26/19	J		
75.					Sold	07/30/19	J		
76. - FMC Corp stock	A	Dividend			Sold	03/26/19	J		
77. - Facebook Inc Class A stock	B	Dividend	L	T	Buy (add'l)	05/23/19	J		
78.					Buy (add'l)	12/03/19	J		
79. - Fastenal Co stock	A	Dividend			Sold	02/07/19	J		
80. - Fleetcor Technologies stock	A	Dividend	J	T					
81. - Fortune Brands Home & Sec Inc stock	A	Dividend	J	T	Buy (add'l)	05/15/19	J		
82. - Freeport-McMoran Class B stock	A	Dividend			Sold	03/26/19	J		
83. - Halliburton Co stock	A	Dividend			Sold	03/26/19	J		
84. - Honeywell International Inc stock	A	Dividend			Sold (part)	03/26/19	J		
85.					Sold	05/10/19	J		

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86. - Humana Inc stock	A	Dividend			Sold	03/26/19	J		
87. - Intel Corp stock	A	Dividend	K	T	Buy (add'l)	03/26/19	J		
88.					Buy (add'l)	05/23/19	J		
89.					Sold (part)	05/10/19	J		
90. - Intuit Inc stock	A	Dividend	J	T					
91. - Johnson & Johnson stock	A	Dividend	J	T	Buy (add'l)	03/26/19	J		
92.					Sold (part)	05/10/19	J		
93. - Littlefuse Inc stock	A	Dividend	J	T					
94. - Lockheed Martin Corp stock	A	Dividend	J	T	Buy (add'l)	03/26/19	J		
95.					Buy (add'l)	12/03/19	J		
96.					Sold (part)	05/10/19	J		
97. - Lowes Companies Inc stock	A	Dividend	J	T	Sold (part)	03/26/19	J		
98. - Marathon Petroleum Corp stock	A	Dividend			Sold	03/26/19	J		
99. - Markel Corp (Holding Co) stock	A	Dividend	J	T	Buy (add'l)	05/15/19	J		
100. - Mastercard Inc stock	A	Dividend	J	T					
101. - Merck & Co Inc stock	A	Dividend	J	T					
102. - Metlife Incorporated stock	A	Dividend			Sold	03/26/19	J		

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103. - Microsoft Corp stock	A	Dividend	K	T	Buy (add'l)	03/26/19	J		
104.					Buy (add'l)	05/23/19	J		
105.					Buy (add'l)	12/03/19	J		
106.					Sold (part)	05/10/19	J		
107. - Middleby Corp stock	A	Dividend	J	T					
108. - Morgan Stanley stock	A	Dividend			Sold	03/26/19	J		
109. - Nextera Energy Inc stock	A	Dividend			Sold (part)	03/26/19	J		
110.					Sold	05/10/19	J		
111. - Netflix stock	A	Dividend	J	T	Buy	07/18/19	J		
112.					Buy (add'l)	12/03/19	J		
113. - Nike Inc stock	A	Dividend	K	T	Buy (add'l)	03/22/19	J		
114.					Buy (add'l)	05/23/19	J		
115. - Novo Nordisk A/S ADR stock	A	Dividend			Sold	02/07/19	J		
116. - Nvidia Corp stock	A	Dividend	J	T					
117. - Philip Morris Intl Inc stock	A	Dividend			Sold (part)	03/26/19	J		
118.					Sold	05/10/19	J		
119. - Phillips 66 stock	A	Dividend	J	T					

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Qualcomm Inc stock	A	Dividend			Sold	03/26/19	J		
121. - Qurate Retail Inc series A stock	A	Dividend			Buy (add'l)	03/14/19	J		
122.					Sold	03/26/19	J		
123. - S&P Global Inc. stock	A	Dividend	J	T					
124. - Sherwin Williams Company stock	A	Dividend	J	T	Sold (part)	10/11/19	J		
125. - TJX Cos Inc stock	A	Dividend	J	T					
126. - Technipfmc Ltd stock	A	Dividend			Sold	03/26/19	J		
127. - Teradata Corp stock	A	Dividend			Sold	03/26/19	J		
128. - Thermo Fisher Scientific stock	A	Dividend	J	T	Buy	02/07/19	J		
129. - Tyson Foods Inc stock	A	Dividend			Sold	03/26/19	J		
130. - Ulta Beauty stock (X)	A	Dividend	J	T					
131. - Union Pacific Corp stock	A	Dividend	J	T	Sold (part)	05/10/19	J		
132. - UnitedHealth Group stock	A	Dividend	K	T	Buy	04/17/19	J		
133.					Buy (add'l)	05/23/19	J		
134. - United Technologies Corp stock	A	Dividend			Sold	03/26/19	J		
135. - Unumprovident Corp stock	A	Dividend			Sold	03/26/19	J		
136. - US Foods Holding Corp stock	A	Dividend	J	T	Buy	10/21/19	J		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Valero Energy CP stock	A	Dividend			Sold (part)	03/26/19	J		
138.					Sold	05/10/19	J		
139. - Verizon Communications stock	A	Dividend			Sold	03/26/19	J		
140. - Visa Inc stock	A	Dividend	K	T	Buy (add'l)	05/23/19	J		
141. - Wells Fargo & Co stock	A	Dividend	J	T	Buy	09/19/19	J		
142. - Western Alliance Bancorp stock	A	Dividend	J	T					
143. - Williams Co Inc stock	A	Dividend			Sold	03/26/19	J		
144. - Zebra Technologies stock	A	Dividend	J	T	Buy	08/07/19	J		
145.					Buy (add'l)	10/25/19	J		
146. ETF/Equity Index Holdings (H)									
147. - BCS Trigger Plus on SPX (MS ISSUE)	A	Int./Div.	M	T					
148. - MS Dual Directional Trigger on SPX (MS ISSUE)	A	Int./Div.	K	T					
149. - Calamos Mkt Neutral ETF	A	Int./Div.	K	T	Buy	03/22/19	K		
150. - Invesco (fka Guggenheim) S&P 500 Equal Weight ETF	A	Dividend	K	T	Sold (part)	06/12/19	J		
151. - First Trust Developing Market ETF	A	Int./Div.	J	T	Buy	01/25/19	J		
152.					Buy (add'l)	12/03/19	J		
153. - First Trust Value Div IDX ETF	A	Int./Div.	N	T	Buy (add'l)	02/28/19	L		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/22/19	K		
155.					Buy (add'l)	03/26/19	L		
156.					Buy (add'l)	05/10/19	L		
157. - First Trust Low Duration ETF	A	Int./Div.	J	T	Buy	06/12/19	J		
158. - First Trust Mld CP Cor AlphaDX ETF	A	Int./Div.	M	T					
159. - First Trust Senior Loan ETF	A	Int./Div.	J	T					
160. - O'Shares FTSE US Quality ETF	A	Dividend	J	T					
161. - iShares Core S&P Total US Stock ETF	A	Dividend	K	T					
162. - iShares Floating Rate Bond ETF	A	Int./Div.	J	T	Buy	01/08/19	J		
163. - iShares TIPS Bond ETF	B	Int./Div.			Sold	03/26/19	K		
164. - iShares SP Smallcap 600 Index ETF	A	Int./Div.			Sold	01/08/19	J		
165. - iShares Short Maturity Bond ETF	A	Int./Div.	J	T	Buy	01/08/19	J		
166.					Buy (add'l)	06/12/19	J		
167. - iShares Short Term Corp Bond ETF	A	Int./Div.	J	T	Buy	05/08/19	J		
168.									
169. - iShares MSCI Emerging Markets ETF	A	Int./Div.			Sold	07/09/19	J		
170. - iShares MSCI USA Min Vol ETF	A	Int./Div.	K	T	Sold (part)	01/08/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - iShares MSCI Brazil ETF	A	Int./Div.	K	T	Buy	01/25/19	J		
172.					Buy (add'l)	01/28/19	J		
173.					Buy (add'l)	02/07/19	K		
174.					Buy (add'l)	04/17/19	J		
175. - iShares MSCI BRIC ETF	A	Dividend	K	T	Buy	01/25/19	J		
176.					Buy (add'l)	01/28/19	J		
177.					Buy (add'l)	02/07/19	K		
178.					Sold (part)	08/05/19	J		
179. - iShares MSCI Italy ETF	A	Int./Div.	K	T	Buy	01/25/19	J		
180.					Buy (add'l)	01/28/19	J		
181.					Buy (add'l)	02/07/19	J		
182. - iShares MSCI Russia ETF	A	Int./Div.	J	T	Buy	01/25/19	J		
183. - iShares MSCI US Quality Fac ETF	A	Int./Div.			Sold	02/05/19	J		
184. - JP Morgan Ultra-Short ETF	A	Int./Div.	J	T	Buy	03/12/19	J		
185.					Buy (add'l)	05/08/19	J		
186. - Mainstay Def Term Muni Opp ETF	A	Int./Div.	L	T	Buy (add'l)	12/03/19	K		
187. - Invesco (fka) Powershares QQQ ETF	A	Int./Div.			Sold	05/08/19	J		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - SPDR Bloomberg Investment Grade ETF	A	Int./Div.	J	T	Buy	02/05/19	J		
189. - SPDR Portf Emerging Markets ETF	A	Dividend	J	T	Buy	02/05/19	J		
190. - SPDR Gold Tr Gold ETF	A	Int./Div.	K	T	Buy (add'l)	12/03/19	J		
191. - SPDR S&P Midcap 400 ETF	A	Dividend			Sold (part)	01/08/19	J		
192.					Sold	05/08/19	J		
193. - Vaneck Vectors Gold Miners ETF	A	Dividend	J	T					
194. - Vanguard Index FDS S&P 500 ETF	A	Dividend	J	T					
195. - Wisdomtree Europe Hedged Equity ETF	A	Dividend	J	T					
196. Mutual Funds (H)									
197. - American Century Emerg Mkts Inv Fund	B	Int./Div.	K	T	Buy (add'l)	05/10/19	J		
198.					Sold (part)	03/26/19	L		
199. - American Infl Linked Bond Fund Fund	B	Int./Div.			Sold	03/22/19	L		
200. - Blackrock Inflation Protect Bond Fund	A	Int./Div.			Sold	03/26/19	K		
201. - Columbia Dividend Inc Z Fund	B	Int./Div.			Sold (part)	02/28/19	K		
202.					Sold	03/26/19	L		
203. - Columbia Sel Large Cap Value Z Fund	B	Int./Div.			Sold (part)	01/07/19	J		
204.					Sold	02/28/19	L		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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205. - Franklin Gold & Precious Metals Fund	A	Int./Div.	M	T	Buy (add'l)	01/04/19	K		
206.					Buy (add'l)	05/10/19	J		
207. - Goldman Sachs SMCP Eq Insight	B	Interest			Buy (add'l)	01/07/19	J		
208.					Sold	05/10/19	L		
209. - Ivy Intl Core Equity I	B	Interest			Sold (part)	01/04/19	J		
210.					Sold (part)	03/22/19	K		
211.					Sold	03/26/19	L		
212. - Clearbridge Aggressive Growth I	A	Interest			Sold (part)	03/26/19	K		
213.					Sold	05/10/19	K		
214. - Clearbridge Large Cap Growth I	B	Int./Div.	M	T	Buy	01/07/19	J		
215.					Buy (add'l)	03/26/19	L		
216.					Buy (add'l)	05/10/19	L		
217. - First Trust Preferred Sec & Inc Index	B	Interest			Sold	12/16/19	L		
218. - Lord Abbett Flt Rt Fund	B	Interest			Buy (add'l)	02/05/19	J		
219.					Sold (part)	01/04/19	K		
220.					Sold (part)	02/07/19	L		
221.					Sold	02/08/19	J		

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Lord Abbett Ultra Short Bond Fund	B	Int./Div.			Sold	09/12/19	L		
223. - Mainstay Tax Free Bond I	A	Interest	K	T	Buy (add'l)	12/03/19	J		
224. - Nuveen Muni Tot Ret Mngd Accts	A	Interest	M	T	Sold (part)	09/23/19	J		
225. - Oppenheimer Intl Small-Mid Co	A	Interest	K	T	Buy (add'l)	05/10/19	J		
226. - Prudential Jennison SM Comp Z	A	Interest	M	T	Buy (add'l)	01/07/19	J		
227.					Buy (add'l)	05/10/19	L		
228.					Buy (add'l)	05/13/19	J		
229. - Prudential Jennison Global Opportunities	A	Interest	M	T	Buy	03/22/19	K		
230.					Buy (add'l)	03/26/19	M		
231.					Buy (add'l)	05/10/19	J		
232. - Virtus Vontobel Foreign Opportunity I	A	Interest			Sold	03/26/19	J		
233. - Western Asset Core Plus BD I NBR	B	Interest	M	T	Buy (add'l)	01/04/19	K		
234.					Buy (add'l)	03/26/19	J		
235.					Buy (add'l)	05/13/19	J		
236. - Western Asset Int Term Muni	A	Interest	M	T					
237. Fixed Income/Bonds (H)									
238. - Chaseflex Trust 2005-1	A	Interest	K	T					

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. - NAA 2006-AP1	A	Interest	J	T					
240. - Bexar County TX Hospital Dist	A	Interest	J	T					
241. - Boone Cnty Ky School Dist	A	Interest	J	T					
242. - Dallas Fort Worth Intl Airport	A	Interest	J	T					
243. - Escambia County FL Cap Improv	A	Interest	J	T					
244. - Geisinger Auth PA Health System	A	Interest	J	T					
245. - Kentucky St Prop & Bldgs Comm Rev Ref Proj 100-A	A	Interest			Sold	04/18/19	K		
246. - Las Vegas Valley Water Dist	A	Interest	J	T					
247. - New York City Trans Fin Auth	A	Interest	J	T					
248. - North Texas Twy Auth	A	Interest	J	T					
249. - Ohio State Infrastr Impt	A	Interest	K	T					
250. - Pearland Texas Water & Sewer	A	Interest	J	T					
251. - Port Auth NY & NJ Consolidated Rev	A	Interest	K	T					
252. - AZ State Board of Regents	A	Interest	J	T	Buy	04/24/19	J		
253. - OK State Capitol Repair Project	A	Interest	J	T	Buy	09/24/19	J		
254. - University of MN General Obligation	A	Interest	J	T	Buy	04/25/19	J		
255. [REDACTED] Bank Accounts (H)									

1. Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
(See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Dorsey, Jennifer A.

Date of Report

06/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place (X) after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

256. - Wells Fargo Cash Accounts	A	Interest	J	T					
257. - Chase Bank Cash Account	A	Interest	J	T					
258.									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

- Trust #1 notation includes all relevant investment, brokerage and retirements accounts held via [REDACTED] trust (filer and spouse being co-trustees) and/or beneficiary at Wells Fargo Advisors as of 12/31/19. Holdings are included under Trust #1 and itemized individually for ease of reference.
- Holdings in Part VII are managed by Filer's financial advisor now at Wells Fargo Advisors, who departed Morgan Stanley Smith Barney during 2019. The relevant holdings and asset classifications remained the same, but this move explains the location change for cash accounts within investment portfolios.
- Filer lists checking/savings accounts at lines 255-257 belonging to [REDACTED] that are above the applicable reporting threshold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jennifer A. Dorsey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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