

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Foote, Elizabeth E.	2. Court or Organization U.S. District Court Western District of Louisiana	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 Fannin Street Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	720 Murray Street-law office building partnership
2. Power of Attorney	POA #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/01/10	Termination of Partnership Agreement- The Smith Foote Law Firm
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	The Smith Foote Law Firm, pursuit to termination agreement	\$44,435.16
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Louisiana State Employees Retirement System- retired judge
2. 2019	The Smith Foote Law Firm, share of partnership profits
3. 2019	W Ross Foote, LLC
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Foote, Elizabeth E.	05/13/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	credit card	J
2.	US Treasury & LA Dept of Revenue	income tax liability- ESTIMATED	M
3.	Mastercard	credit card	J
4.	Discover Card	credit card	J
5.	Dan Boyd	guarantee on debt of HD Foote LLC	K

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo- Roth IRA (H)									
2. ----- Templeton World Fund	C	Int./Div.	L	T					
3. ----- Washington Mutual Investment Fund	A	Int./Div.	K	T					
4. Red River Bank Checking	A	Interest	M	T					
5. Red River Bank Checking #2	A	Interest	M	T					
6. Capital One Savings #1	A	Interest	J	T					
7. American Funds 529 Plan Account (FBO ██████)									
8. -----American Funds Money Market		None	J	T					
9. -----American Balanced Fund	A	Dividend	M	T					
10. Wells Fargo Roth IRA (H)									
11. -----John Hancock Regional Bank	A	Int./Div.	K	T					
12. -----Templeton World Fund	C	Int./Div.	L	T					
13. -----Washington Mutual Invs Fund	B	Int./Div.	L	T					
14. Chase Investment (H)									
15. -----JP Morgan US Treas Plus MMKT	A	Dividend	K	T					
16. -----JPMorgan Chase & Co Common	B	Dividend	M	T					
17. -----AllianceBer Global Thematic Grth	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -----JPMorgan Intrepid Mid Cap	A	Dividend	J	T					
19. -----JPMorgan Large Cap Grth		None	K	T					
20. Capital One Savings #2	A	Interest	J	T					
21. Fidelity & Guaranty Life "Indexed Rewards" - Fixed Rate Annuity	E	Int./Div.	N	T					
22. Chase Investment-Invesco Global Small & Mid		None			Sold	08/28/19	J		
23. Yuma Exploration-Royalty Interest-Rapides Parish, Louisiana	C	Royalty	K	W					
24. Timber land- Rapides Parish, Louisiana	B	Royalty	N	W					
25. 1/2 interest land-Grant Parish, Louisiana		None	J	W					
26. 1/2 interest land - Rapides Parish, Louisiana		None	J	W					
27. 1/6 interest 720 Murray Street Company		None	K	W					
28. 1/3 interest 720 Murray Street Company (power of attorney)		None	K	W					
29. Metropolitan Life Insurance Company - variable policy									
30. ----MetLife Stck Index Port	A	Int./Div.	K	T					
31. ----Barclays Ag Bd Idx Port	A	Int./Div.	J	T					
32. ----MSCI EAFE Index	A	Int./Div.	J	T					
33. ----Russell2000 Index Port	A	Int./Div.	J	T					
34. Red River Bank- Checking #3	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Vanguard-Prime Money Mkt Fund	A	Int./Div.	J	T	Distributed (part)	03/26/19	L		
36.					Distributed (part)	04/22/19	M		
37.					Distributed (part)	10/15/19	O		
38. John Hancock-Law Firm 401K, Lifestyle Balanced Fund	B	Int./Div.			Distributed	11/19/19	N		
39. Wells Fargo- Roth IRA line 1: Invesco Amer. Franchise		None	M	T					
40. American Funds, Uniform Gift to Minor- Capital World Growth	A	Int./Div.	K	T					
41. American Funds, Uniform Gift to Minor- Wash Mutual Invs	A	Int./Div.	K	T					
42. Red River Bank- Checking #4	A	Int./Div.	K	T					
43. FTJ Fundchoice IRA - iShares Goldman Sachs Software Index (IGV)		None			Sold	01/07/19	J	B	
44. FTJ Fundchoice IRA-SPDR Technology Select Sector (XLK)	A	Dividend	K	T	Sold (part)	10/01/19	J	A	
45.					Sold (part)	12/27/19	J	A	
46. FTJ Fundchoice IRA-SPDR Financial Select Sector (XLF)	A	Dividend	J	T					
47. FTJ Fundchoice IRA-SPDR Health Care Select Sector (XLV)	A	Dividend	J	T	Sold (part)	02/26/19	J	B	
48.					Sold (part)	04/03/19	J	B	
49. FTJ Fundchoice IRA-TD Bank USA FDIC Insured Deposit	A	Int./Div.	K	T					
50. Aviva Life and Annuity Co.- fixed rate annuity	E	Int./Div.	O	T					
51. Vanguard Acct. #1 (H)									

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52. ----- Vanguard Mid-Cap Growth	B	Dividend	M	T	Sold (part)	10/15/19	L	E	
53. ----- Vanguard Small-Cap Growth	B	Dividend	M	T	Sold (part)	10/15/19	L	E	
54. ----- Vanguard Total Stock	D	Dividend	N	T	Sold (part)	03/26/19	L	E	
55.					Sold (part)	04/22/19	M	F	
56.					Sold (part)	10/15/19	O	G	
57. Vanguard SEP IRA: Vanguard REIT Index	B	Int./Div.	M	T					
58. 1/7 interest - Grant Parish and Rapides Parish		None	L	W					
59. FTJ Fundchoice IRA - SPDR S&P Bank (KBE)	A	Dividend	J	T					
60. American Funds 529 Plan Account (FBO █████)									
61. -----American Funds Money Market		None	K	T					
62. FTJ Fundchoice IRA-SPDR S&P Biotech (XBI)	A	Dividend	J	T	Sold (part)	10/01/19	J	A	
63. FTJ Fundchoice IRA-Main Sector Rotation ETF (SECT)	D	Dividend	N	T					
64. Capital One Money Market	C	Int./Div.	N	T					
65. Vanguard SEP IRA	A	Int./Div.	L	T					
66. FTJ Fundchoice IRA - iShares Nasdaq Biotechnology Index (IBB)	A	Dividend	J	T	Sold (part)	10/01/19	J		
67. FTJ Fundchoice IRA - Xtrackers Harvest CSI 300 China A (ASHR)		None			Buy (add'l)	02/26/19	J		
68.					Sold	08/27/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. FTJ Fundchoice IRA - iShares Russell Midcap Value Index (IWS)	A	Dividend	J	T					
70. FTJ Fundchoice IRA - Vanguard Consumer Staples (VDC)	A	Dividend	J	T	Buy (add'l)	08/27/19	J		
71. FTJ Fundchoice IRA - Vanguard FTSE Emerging Markets (VWO)	A	Dividend			Sold (part)	02/26/19	J	A	
72.					Sold	06/07/19	J	A	
73. FTJ Fundchoice IRA - iShares Russell 2000 (IWM)	A	Dividend			Buy	01/07/19	J		
74.					Sold	06/05/19	J	A	
75. FTJ Fundchoice IRA - SPDR Barclays 1-3 Month T-Bill (BIL)	A	Dividend			Buy	02/26/19	J		
76.					Sold	04/01/19	J		
77. FTJ Fundchoice IRA - iShares U.S. Home Construction ETF (ITB)	A	Dividend	J	T	Buy	04/01/19	J		
78.					Buy (add'l)	04/03/19	J		
79.					Sold (part)	06/13/19	J	A	
80. FTJ Fundchoice IRA - Van Eck Vectors semiconductor (SMH)		None			Buy	06/05/19	J		
81.					Buy (add'l)	06/07/19	J		
82.					Sold (part)	06/25/19	J	A	
83.					Sold	07/05/19	J	A	
84. FTJ Fundchoice IRA - VanEck Vectors Gold Miners ETF (GDX)	A	Dividend	J	T	Buy	08/05/19	J		
85.					Buy (add'l)	08/27/19	J		

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86. FTJ Fundchoice IRA - SPDR Energy Select Sector ETF (XLE)	A	Dividend	J	T	Buy	08/27/19	J		
87.					Buy (add'l)	10/01/19	J		
88. FTJ Fundchoice IRA - iShares MSCI USA Value Factor (VLUE)	A	Dividend	J	T	Buy	10/01/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 58: 1/14 interest land located in Grant Parish, Louisiana was given to [REDACTED] by [REDACTED] in 2015. This asset is [REDACTED] separate property and in no way associated with Judge Elizabeth Foote's assets. [REDACTED] inherited an additional 1/14 interest in land located in Grant Parish, Louisiana during 2018. Asset description has been updated to show total interest of 1/7 as of 12/31/2018.

Line 61: This is a non-interest bearing fund, no income is being earned.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Elizabeth E. Foote**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544