

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 586 Federal Building 100 Centennial Mall North Lincoln, Nebraska 68508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Governors	Nebraska Wesleyan University
2. Board of Directors	Federal Judges Association
3. Adjunct faculty	University of Nebraska College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	University of Nebraska College of Law adjunct salary	\$3,950.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	South Lincoln Psychiatry - psychiatric nurse practitioner salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Nebraska Wesleyan University	09/18/19	Naples, FL	University President/ Chair Board of Governors training retreat	Airfare
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gerrard, John M.	08/12/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank Omaha Checking Account	A	Interest	J	T					
2. U.S. Bank Checking Account	A	Interest	J	T					
3. Stifel Bank Program Money Market Account	A	Interest	J	T					
4. AAIEX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
5.					Sold (part)	08/21/19	J	A	
6.					Sold (part)	08/23/19	J	A	
7. AEPFX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
8.					Sold (part)	08/21/19	J	A	
9. AMCFX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
10.					Buy (add'l)	08/21/19	J		
11. CDC ETF	A	Dividend	K	T	Sold (part)	05/07/19	K	B	
12. CDL ETF	A	Dividend	K	T	Buy	05/07/19	K		
13. CEZ ETF	A	Dividend	J	T	Buy (add'l)	05/08/19	J		
14. CFA ETF	A	Dividend	L	T	Sold (part)	05/07/19	J	B	
15. CFO ETF	A	Dividend	L	T					
16. CIL ETF	A	Dividend	K	T	Buy	05/07/19	K		
17. CIZ ETF	A	Dividend	K	T	Sold (part)	05/07/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CSA ETF	A	Dividend	J	T	Buy	05/07/19	J		
19. CSF ETF	A	Dividend	J	T	Sold (part)	05/07/19	J	A	
20. EEMS ETF	A	Dividend			Sold	01/15/19	J	A	
21. FINSX Mutual Fund	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
22.					Buy (add'l)	04/09/19	J		
23.					Buy (add'l)	08/23/19	J		
24. FMIYX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
25.					Sold (part)	02/22/19	J	A	
26.					Buy (add'l)	04/09/19	J		
27.					Buy (add'l)	05/20/19	J		
28.					Buy (add'l)	05/22/19	J		
29.					Sold (part)	08/21/19	J	A	
30.					Sold (part)	08/23/19	J	A	
31. FSCCX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/20/19	J		
32.					Buy (add'l)	02/22/19	J		
33.					Buy (add'l)	04/09/19	J		
34.					Sold (part)	08/21/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	08/23/19	J	A	
36. GICIX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
37.					Sold (part)	02/22/19	J	A	
38.					Buy (add'l)	04/09/19	J		
39.					Buy (add'l)	05/20/19	J		
40.					Buy (add'l)	05/22/19	J		
41.					Sold (part)	08/21/19	J	A	
42.					Sold (part)	08/23/19	J	A	
43. IAU ETF	A	Dividend	J	T	Sold (part)	01/15/19	J	A	
44.					Sold (part)	02/20/19	J	A	
45.					Buy (add'l)	04/16/19	J		
46.					Sold (part)	07/17/19	J	A	
47.					Buy (add'l)	10/15/19	J		
48. IJJ ETF	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
49.					Sold (part)	02/20/19	J	A	
50.					Sold (part)	04/16/19	J	A	
51.					Buy (add'l)	07/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	10/15/19	J	A	
53. IJK ETF	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
54.					Sold (part)	02/20/19	J	A	
55.					Sold (part)	04/16/19	J	A	
56.					Buy (add'l)	07/17/19	J		
57.					Sold (part)	10/15/19	J	B	
58. IJS ETF	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
59.					Sold (part)	02/20/19	J	A	
60.					Buy (add'l)	04/16/19	J		
61.					Sold (part)	07/17/19	J	A	
62.					Sold (part)	10/15/19	J	A	
63. IJT ETF	A	Dividend	J	T	Buy (add'l)	01/15/19	J		
64.					Sold (part)	02/20/19	J	A	
65.					Buy (add'l)	04/16/19	J		
66.					Sold (part)	07/17/19	J	A	
67.					Sold (part)	10/15/19	J	A	
68. IVE ETF	A	Dividend	K	T	Buy	01/15/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	02/20/19	J	A	
70.					Sold (part)	04/16/19	J	A	
71.					Sold (part)	07/17/19	J	A	
72.					Buy (add'l)	10/15/19	J		
73. IVW ETF	A	Dividend	J	T	Buy	01/15/19	J		
74.					Sold (part)	02/20/19	J	A	
75.					Sold (part)	04/16/19	J	A	
76.					Sold (part)	07/17/19	J	A	
77.					Buy (add'l)	10/15/19	J		
78. IWO ETF	A	Dividend			Sold (part)	01/15/19	J	A	
79.					Sold (part)	02/20/19	J	A	
80.					Sold	04/16/19	J	A	
81. IYMIX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
82.					Sold (part)	02/22/19	J	A	
83.					Buy (add'l)	04/09/19	J		
84. MADVX Mutual Fund	A	Dividend	J	T	Buy	02/22/19	J		
85.					Buy (add'l)	04/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	08/23/19	J		
87. MISGX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
88.					Buy (add'l)	02/22/19	J		
89.					Buy (add'l)	04/09/19	J		
90.					Sold (part)	08/21/19	J	A	
91.					Sold (part)	08/23/19	J	A	
92. MQGIX Mutual Fund	A	Dividend	J	T	Sold (part)	02/22/19	J	A	
93.					Buy (add'l)	04/09/19	J		
94.					Sold (part)	08/23/19	J	A	
95. ODVYX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
96.					Buy (add'l)	02/22/19	J		
97.					Sold (part)	02/22/19	J	A	
98.					Buy (add'l)	04/09/19	J		
99.					Buy (add'l)	04/09/19	J		
100.					Sold (part)	05/20/19	J	A	
101.					Sold (part)	05/22/19	J	A	
102.					Sold (part)	08/21/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	08/23/19	J	A	
104. SH ETF	A	Dividend			Buy	01/02/19	K		
105.					Sold	02/04/19	K	A	
106. SMGIX Mutual Fund	A	Dividend	K	T	Sold (part)	02/20/19	J	A	
107.					Buy (add'l)	02/22/19	J		
108.					Buy (add'l)	04/09/19	J		
109.					Buy (add'l)	08/21/19	J		
110.					Buy (add'l)	08/23/19	J		
111. SPDW ETF	A	Dividend			Sold	01/15/19	J	A	
112. SPY ETF	A	Dividend	L	T	Sold (part)	01/02/19	L	A	
113.					Buy (add'l)	04/01/19	L		
114. TLH ETF	A	Dividend	J	T	Buy	10/15/19	J		
115. TLT ETF	A	Dividend	J	T	Buy	10/15/19	J		
116. VGK EFT	A	Dividend			Sold	01/15/19	J	A	
117. VWO ETF	A	Dividend			Sold	01/15/19	J	A	
118. WFMIX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
119.					Sold (part)	02/22/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	04/09/19	J		
121.					Sold (part)	08/21/19	J	A	
122.					Sold (part)	08/23/19	J	A	
123. WMFFX Mutual Fund	A	Dividend	J	T	Sold (part)	02/20/19	J	A	
124.					Buy (add'l)	08/21/19	J		
125. American General Universal Life Insurance		None	J	T					
126. Nebraska Judges Retirement System (no control)	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Gerrard, John M.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Gerrard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544