

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Munson, Alex R.	2. Court or Organization District Court for the Northern Mariana Islands	3. Date of Report 04/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article I Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 1671 Gualo Rai Road P.O. Box 500687 Saipan, MP 96950		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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Munson, Alex R.	04/02/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Idaho BD BK AUTH REV SERA	B	Interest	L	T					
2. Boise State University GEN, Revenue Project Bonds: SET2018A	C	Interest	K	T					
3. University Idaho UNIV REVS GEN REV BDS 2014	B	Interest	K	T					
4. Franklin Tax Free TR HGHNYLD Tax Free CL A	B	Int./Div.	M	T					
5. Franklin FED Tax Free Income FD CL A	B	Int./Div.	M	T					
6. Franklin Tax Free TR FDRL INTR TRM Tax FR INCM CL A	B	Int./Div.	L	T					
7. Franklin Custodian FD5 Franklin Income FD CL A	B	Int./Div.	K	T					
8. ENB	B	Int./Div.	K	T	Buy	06/21/19	K		
9. FTS	B	Int./Div.	K	T	Buy	06/21/19	K		
10. JPM	B	Int./Div.	K	T	Buy	06/21/19	K		
11. VFC	B	Int./Div.	K	T	Buy	06/21/19	K		
12. T	B	Int./Div.	K	T	Buy	06/21/19	K		
13. PLD	B	Int./Div.	K	T	Buy	06/21/19	K		
14. TFC	D	Int./Div.	K	T	Buy	06/21/19	K		
15. MO	B	Int./Div.	K	T	Buy	06/21/19	K		
16. PFE	B	Int./Div.	K	T	Buy	06/21/19	K		
17. LMT	B	Int./Div.	K	T	Buy	06/21/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DUK	B	Int./Div.	K	T	Buy	06/21/19	K		
19. PEP	B	Int./Div.	K	T	Buy	08/02/19	K		
20. BBT - Error. See Part VIII.									
21. ITW	B	Int./Div.	K	T	Buy	08/02/19	K		
22. MDT	B	Int./Div.	K	T	Buy	08/02/19	K		
23. VZ	B	Int./Div.	K	T	Buy	08/02/19	K		
24. JNJ	B	Int./Div.	K	T	Buy	08/02/19	K		
25. VLO	B	Int./Div.	K	T	Buy	08/02/19	K		
26. CVX	B	Int./Div.	K	T	Buy	08/02/19	K		
27. PG	B	Int./Div.	K	T	Buy	08/02/19	K		
28. UPS	B	Int./Div.	K	T	Buy	08/02/19	K		
29. MO	B	Int./Div.	K	T	Buy	09/26/19	K		
30. PFE	B	Int./Div.	K	T	Buy	11/18/19	K		
31. Payette Lakes Rec Wtr Swr Dist ID (wastewater)	E	Interest			Sold	06/21/19	L	B	
32. Sedgwick Cnty Uni Sch Dist 262 Bam Tcrs G/O (X)	C	Interest			Sold	06/21/19	M	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 10 was corrected from JMP to JPM. Line 19 was entered erroneously. Lines 30 and 31 added.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alex R. Munson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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